# MA2101S - Linear Algebra II(S) Suggested Solutions

(Semester 2 : AY2014/15)

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## Question 1

(a) To prove 'if':

Let  $u_1 + U', u_2 + U' \in U/U'$  such that  $u_1 + U' = u_2 + U'$ .

Then  $u_1 - u_2 \in U'$  so  $\alpha(u_1 - u_2) \in V'$ . We have

$$\beta(u_1 + U') = \alpha(u_1) + V' = \alpha(u_1) - \alpha(u_1 - u_2) + V' = \alpha(u_2) + V' = \beta(u_2 + U').$$

Thus  $\beta$  is well defined.

To prove 'only if':

Let  $w \in U'$ . Then  $w + U' = 0_V + U'$ . Since  $\beta$  is well-defined:

$$\beta(w + U') = \beta(0_V + U') \to \alpha(w) + V' = 0_V + V'.$$

Thus  $\alpha(w) \in V'$  so  $\alpha(U') \subseteq V'$ .

(b)(i) Let  $u + U', v + U' \in U/U'$  and  $x, y \in \mathbb{F}$ .

$$\beta(xu + yv + U') = \alpha(xu + yv) + V' = x\alpha(u) + y\alpha(v) + V' \text{ (Since } \alpha \text{ is a linear transformation)}$$
$$= x\beta(u + U') + y\beta(v + U').$$

Thus  $\beta$  is linear.

(ii) To prove 'if':

Let  $u + U' \in \ker(\beta)$ . Then

$$\beta(u+U') = 0_V + V' \to \alpha(u) \in V'.$$

Thus  $u \in \alpha^{-1}(V')$ . Since  $\alpha^{-1}(V') \subseteq U'$ ,  $u \in U'$  so  $u + U' = 0_V + U'$ . This means that  $\ker(\beta) = \{0_V\}$  so  $\beta$  is injective.

To prove 'only if':

Let  $v \in \alpha^{-1}(V')$ . Then  $\alpha(v) \in V'$ .

 $\beta(v+U')=0_V+V'$  so  $v+U'\in\ker(\beta)$ . By injectivity of  $\beta,\,v+U'=0_V+U'$ . Thus  $v\in U'$  so  $\alpha^{-1}(V')\subseteq U'$ .

(iii) To prove 'if':

Let  $w + V' \in V/V'$ . Since  $\alpha(U) + V' = V$ , we can write w = u + v for  $u \in \alpha(U), v \in V'$ .

$$u \in \alpha(U) \to \exists u' \in U \text{ such that } \alpha(u') = u.$$

$$u' + U' \in U/U'$$
 and  $\beta(u' + U') = \alpha(u') + V' = u + V' = w + V'$ .

Thus  $\beta$  is surjective.

To prove 'only if':

 $\alpha(U) \subseteq V \wedge V' \subseteq V \rightarrow \alpha(U) + V' \subseteq V$ . Thus it suffice to prove that  $V \subseteq \alpha(U) + V'$ .

Let  $k \in V$ . By surjectivity of  $\beta$ ,  $\exists k' + U' \in U/U'$  such that  $\beta(k' + U') = k + V'$ .

$$\alpha(k') + V' = k + V' \to k - \alpha(k') \in V'.$$

Thus we can write:  $k = k - \alpha(k') + \alpha(k')$  for  $k - \alpha(k') \in V'$  and  $\alpha(k') \in \alpha(U)$ .

But this means that  $k \in \alpha(U) + V'$ . Hence  $V \subseteq \alpha(U) + V'$ .

## Question 2

(a) We will only prove that  $U_1 = \text{span}(\{u_1, \alpha(u_1), \alpha^2(u_1), ...\})$ . The proof for  $U_2$  is similar.

Obviously span( $\{u_1, \alpha(u_1), \alpha^2(u_1), ...\}$ )  $\subseteq U_1$  since  $U_1$  is  $\alpha$ -invariant. Thus it suffice to prove  $U_1 \subseteq \text{span}(\{u_1, \alpha(u_1), \alpha^2(u_1), ...\})$ . Let  $w \in U_1$ .

$$w = c_0 v + c_1 \alpha(v) + c_2 \alpha^2(v) + \dots + c_n \alpha^n(v) \text{ for some } c_1, c_2, \dots c_n \in \mathbb{F}$$
  
=  $c_0(u_1 + u_2) + c_1 \alpha(u_1 + u_2) + c_2 \alpha^2(u_1 + u_2) + \dots + c_n \alpha^n(u_1 + u_2)$   
=  $[c_0 u_1 + c_1 \alpha(u_1) + \dots + c_n \alpha^n(u_1)] + [c_0 u_2 + c_1 \alpha(u_2) + \dots + c_n \alpha^n(u_2)].$ 

Since  $U_1$  and  $U_2$  are  $\alpha$ -invariant subspaces:

$$[c_0u_1 + c_1\alpha(u_1) + \dots + c_n\alpha^n(u_1)] \in U_1 \wedge [c_0u_2 + c_1\alpha(u_2) + \dots + c_n\alpha^n(u_2)] \in U_2.$$

But we can also write:  $w = w + 0_V$  for  $w \in U_1$ ,  $0_V \in U_2$ . Since  $U_1 + U_2$  is a direct sum, we must have:

$$c_0u_1 + c_1\alpha(u_1) + \dots + c_n\alpha^n(u_1) = w \wedge c_0u_2 + c_1\alpha(u_2) + \dots + c_n\alpha^n(u_2) = 0_V.$$

Thus  $w \in \text{span}(\{u_1, \alpha(u_1), \alpha^2(u_1), ...\})$  so  $U_1 \subseteq \text{span}(\{u_1, \alpha(u_1), \alpha^2(u_1), ...\})$ .

(b)(i) Similarly, we only prove the case for i = 1. Since  $V = \text{span}(\{v, \alpha(v), \alpha^2(v), ...\}), \exists r(x) \in F[x]$  such that  $r(\alpha)(v) = u_1$ . If  $\deg(r(x)) < \deg(m(x))$ , then we are done. If  $\deg(r(x)) \ge \deg(m(x))$ , then we perform the Euclidean Algorithm:

$$r(x) - b(x)m(x) = q_1(x)$$
 for some  $b(x), q_1(x) \in F[x] \land \deg(q_1(x)) < \deg(m(x))$ 

$$q_1(\alpha)(v) = r(\alpha)(v) - b(\alpha)m(\alpha)(v)$$
  
=  $r(\alpha)(v) - 0_V$  (By definition of minimial polynomial)  
=  $u_1$ . (As desired)

(ii) Claim:  $(q_1 + q_2)(\alpha) = I_V$ .

Proof: Let  $\alpha^k(v) \in \{v, \alpha(v), \alpha^2(v), ...\}$ 

$$q_{1}(\alpha)(v) + q_{2}(\alpha)(v) = u_{1} + u_{2} = v$$

$$q_{1}(\alpha)(\alpha^{k}(v)) + q_{2}(\alpha)(\alpha^{k}(v)) = \alpha^{k}[q_{1}(\alpha)(v) + q_{2}(\alpha)(v)]$$

$$= \alpha^{k}(v).$$

Since  $V = \text{span}(\{v, \alpha(v), \alpha^2(v), ...\})$ , and  $(q_1 + q_2)(\alpha)(\alpha^k(v)) = \alpha^k(v) \ \forall \alpha^k(v) \in \{v, \alpha(v), \alpha^2(v), ...\}$ , we conclude that  $q_1(\alpha) + q_2(\alpha) = I_V$ .

 $q_1(x) + q_2(x) - 1$  is a polynomial of degree less than m(x).

But  $q_1(\alpha) + q_2(\alpha) - I_V = 0_V$ . Thus  $q_1(x) + q_2(x) - 1 = 0$ . (Otherwise it contradicts the definition of minimal polynomial) Hence we get:  $q_1(x) + q_2(x) = 1$ .

Note that:

$$q_1(\alpha)(u_1+u_2)=u_1+0_V\to q_1(\alpha)(u_1)+q_1(\alpha)(u_2)=u_1+0_V.$$

Recall that  $q_1(\alpha)(u_1) \in U_1 \land q_2(\alpha)(u_2) \in U_2$  since  $U_1$  and  $U_2$  are  $\alpha$ -invariant. By the unique expression property of direct sums,  $q_1(\alpha)(u_1) = u_1 \land q_1(\alpha)(u_2) = 0_V$ .

Then  $q_1(\alpha)(q_2(\alpha)(v)) = q_1(\alpha)(u_2) = 0_V$ .

(iii) From part(b), we know that  $q_1(\alpha)(u_2) = 0_V$ .

Since  $U_2 = \text{span}(\{u_2, \alpha(u_2), \alpha^2(u_2), ...\}), q_1(\alpha)(k) = 0_V \ \forall k \in U_2$ 

Thus by definition of minimial polynomial,  $p_2(x) \mid q_1(x)$ . Similarly,  $p_1(x) \mid q_2(x)$ .

But  $gcd(q_1(x), q_2(x)) = 1$  since  $q_1(x) + q_2(x) = 1$ . Thus  $p_1(x)$  and  $p_2(x)$  must be coprime as well.

(c) Let  $p_1(x), p_2(x)$  denote the minimal polynomial of  $\alpha$  restricted on  $U_1$  and  $U_2$  respectively. Since  $f(\alpha)^k(v) = 0$  and  $V = \text{span}(\{v, \alpha(v)\alpha^2(v), ...\}), \ f(\alpha)^k(t) = 0 \ \forall t \in V$ . By definition of minimal polynomial,  $m_{\alpha}(x) \mid f(x)^k$ .

Thus  $p_1(x) = f(x)^{k_1} \land p_2(x) = f(x)^{k_2}$  for some  $0 \le k_1 \le k, 0 \le k_2 \le k$ . If  $k_1 > 0 \land k_2 > 0$ , then  $\gcd(p_1(x), p_2(x)) \ne 1$ , which contradicts (b)(iii). Hence  $k_1 = 0 \lor k_2 = 0$  so  $U_1 = \{0\}$  or  $U_2 = \{0\}$ .

## Question 3

(a) For any arbitrary  $A \in SL_2(\mathbb{F}_p)$ , the first column of A can be any column except the zero column. (Which will result in  $\det(A) = 0$ ) Thus there are  $p^2 - 1$  choices for the first column of A.

For the second column of A, consider 2 cases:

Case 1:  $a = 0 \lor c = 0$ .

Without loss of generality, assume  $a = 0 \land c \neq 0$ .

Since ad - bc = 1, d can be any element while there is only 1 choice for b, which is  $-c^{-1}$ . Thus there are p choices for the second column of A.

Case 2:  $a \neq 0 \land c \neq 0$ .

Then d can be any element and for each d there is only 1 choice for b, which is  $adc^{-1} - c^{-1}$ . Similiar to case 1, there are p choices for the second column of A.

To conclude, there are  $(p^2 - 1)p = p^3 - p$  elements in  $SL_2(\mathbb{F}_p)$ .

(b) Let  $A \in SL_2(\mathbb{F}_p)$  and consider 2 cases.

Case 1:  $c_A(x) = m_A(x)$ .

Since det(A) = 1,  $c_A(x) = x^2 + ax + 1$  for some  $a \in \mathbb{F}_p$ . Then A is similar to R (Rational canonical form):

$$R = \begin{pmatrix} 0 & -1 \\ 1 & -a \end{pmatrix}$$
, where  $C_A(x) = x^2 + ax + 1$ .

There are p choices for a so there are p pairwise non-similar matrices of this form. (Note that changing the value of a will result in a non-similar matrix since the characteristic polynomial of A have changed)

Case 2:  $c_A(x) \neq m_A(x)$ .

Then  $c_A(x) = (x - \lambda)^2$  and  $m_A(x) = x - \lambda$  for some  $\lambda \in \mathbb{F}_p$ .

Since  $\det(A) = 1, \lambda^2 = 1$ . Note that since  $m_A(x)$  has no repeated factors, A is diagonalisable.

If  $\mathbb{F}_p$  has characteristic greater than 2, then  $\lambda^2 = 1$  have 2 solutions:  $\lambda = 1 \vee \lambda = -1$ .

Thus there are 2 matrices that A can be similar to:  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$ .

If  $\mathbb{F}_p$  has characteristic 2, then  $\lambda^2 = 1$  have only 1 solution:  $\lambda = 1$ . (Since -1 = 1)

Thus there is only 1 matrix that A can be similar to:  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

In conclusion, there are p+2 pairwise non-similar matrices when  $\operatorname{char}(\mathbb{F}_p) \neq 2$  and p+1 pairwise non-similar matrices when  $\operatorname{char}(\mathbb{F}_p) = 2$ .

## Question 4

(a) Let (p,q),(r,s) denote the index of positively of  $\phi$  and  $\psi$  respectively.

Claim 1:  $p \leq r$ 

Proof: Let  $M_{\phi}$ ,  $M_{\psi}$  denote the maximal subspace of V such that  $\phi_{|_{M_{\phi}\times M_{\phi}}}$  and  $\psi_{|_{M_{\psi}\times M_{\psi}}}$  are positive definite. Then  $\dim(M_{\phi}) = p \wedge \dim(M_{\psi}) = r$ . Since  $\phi(v, v) \leq \psi(v, v)$ ,  $\phi(v, v) > 0 \rightarrow \psi(v, v) > 0$ .

Thus  $M_{\phi} \subseteq M_{\psi}$  so we have  $\dim(M_{\phi}) \leq \dim(M_{\psi})$  and  $p \leq r$ .

Claim 2:  $q \ge s$ 

Proof: Let  $N_{\phi}, N_{\psi}$  denote the maximal subspace of V such that  $\phi_{|_{N_{\phi} \times N_{\phi}}}$  and  $\psi_{|_{N_{\psi} \times N_{\psi}}}$  are negative definite. Then  $\dim(N_{\phi}) = q \wedge \dim(N_{\psi}) = s$ . Since  $\phi(v, v) \leq \psi(v, v), \ \psi(v, v) < 0 \rightarrow \phi(v, v) < 0$ .

Thus  $N_{\psi} \subseteq N_{\phi}$  so we have  $\dim(N_{\phi}) \ge \dim(N_{\psi})$  and  $q \ge s$ .

Combining the two claims, we have:  $p - q \le r - s$  so  $s_{\phi} \le s_{\psi}$ .

(b) Existence: Let  $B = \{w_1, w_2, ... w_n\}$  be a basis for W and let C and D be the representing matrix of  $\theta$  and  $\chi$  under basis B respectively. (Note that a representing matrix exist since W is finite-dimensional). Since  $\chi$  is non-degenerate, D is invertible so  $D^{-1}$  exists. Choose  $\alpha$  to be the linear operator such that:

$$[\alpha]_B = D^{-1}C.$$

Then we have:

$$\theta(x,y) = ([x]_B)^T C[y]_B = ([x]_B)^T D D^{-1} C[y]_B = ([x]_B)^T D[\alpha(y)]_B = \chi(x,\alpha(y)).$$

Uniqueness: Let  $\alpha_1, \alpha_2$  both be linear operators on W such that:

$$\chi(x, \alpha_1(y)) = \chi(x, \alpha_2(y)) = \theta(x, y) \ \forall x, y \in W.$$

Let  $A_1, A_2$  be the standard matrix of  $\alpha_1$  and  $\alpha_2$  under basis B respectively.

$$\forall x, y \in W, ([x]_B)^T D A_1[y]_B = ([x]_B)^T D A_2[y]_B$$

This equality holds for all  $x, y \in W$ , so  $DA_1 = DA_2$ . Since D is invertible,  $A_1 = A_2$ . This means that  $\alpha_1$  and  $\alpha_2$  have the same standard matrix under basis B. Thus we conclude that  $\alpha_1 = \alpha_2$ .