

CS2102

Database Systems

Slides adapted from Prof. Chan Chee Yong

LECTURE 09

DECOMPOSITION

Functional dependencies

What

- Abstraction of “*uniquely identifies*” to any arbitrary attributes
- $a \rightarrow b$ means that the same set of values a will always give the same set of values b

Why

- **Superkey** “*uniquely identifies*” the entire relation R
 $a \rightarrow R$
- **Key** minimal set of superkey
- **Prime attribute** attributes in some key
 - Non-prime attribute
 \Rightarrow cannot be used to “*uniquely identify*” R

Armstrong's axiom

Basic

- Reflexivity if $b \subseteq a$ then $a \rightarrow b$
- Augmentation if $a \rightarrow b$ then $ac \rightarrow bc$
- Transitivity if $a \rightarrow b$ and $b \rightarrow c$ then $a \rightarrow c$

Extended

- Union if $a \rightarrow b$ and $a \rightarrow c$ then $a \rightarrow bc$
- Decomposition if $a \rightarrow b$ then $a \rightarrow b'$ where $b' \subseteq b$

Properties

- Sound any derived FD is implied by F
- Complete all FDs in F^+ can be derived

Closures

F^+

- Set of all FDs implied by F
- $F^+ = \{ f \mid F \models f \}$

How

- Start with F
- Apply Armstrong's axiom until none can produce new FDs

Properties

- Maximum size: $2^n \times 2^n$
- Most FDs are uninteresting (e.g., trivial)
 - Wasted computation

Closures

F^+

- Set of all FDs implied by F
- $F^+ = \{ f \mid F \models f \}$

How

- Start with F
- Apply Armstrong's axiom until none can produce new FDs

Properties

- Maximum size: $2^n \times 2^n$
- Most FDs are uninteresting (e.g., trivial)
 - Wasted computation
- Consider $F = \{A \rightarrow A, A \rightarrow B, A \rightarrow AB, B \rightarrow B\}$ and $G = \{A \rightarrow B\}$
 - Do we lose any information by keeping only G ?

Closures

a^+

- Set of all attributes functionally depends on a
- $a^+ = \{ A \in R \mid F \models a \rightarrow A \}$

How

- Invariant: $a \rightarrow \theta$ is always implied
 - Start with $\theta = a$
 - Consider any $b \rightarrow c$ such that $b \subseteq \theta$
 - By invariant we have $a \rightarrow \theta$; $\theta \rightarrow b$; $b \rightarrow c$
 - By transitivity we have $a \rightarrow c$
 - By union we have $a \rightarrow \theta \cup c$
 - Thus, we can set $\theta = \theta \cup c$ and still maintain invariant
 - We can stop once θ cannot be expanded anymore

Closures

a^+

- Set of all attributes functionally depends on a
- $a^+ = \{ A \in R \mid F \models a \rightarrow A \}$

Properties

- Invariant: $a \rightarrow \theta$ is always implied
 - Let $a^+ = \theta$
 - By decomposition $a \rightarrow b$ where $b \subseteq a^+$
 - Hence, $F \models a \rightarrow b$ if and only if $b \subseteq a^+$
- Maximum size: n
- $a \rightarrow a^+$ can be decomposed into two components
 - Trivial $a \rightarrow a$
 - Completely non-trivial $a \rightarrow (a^+ - a)$

Minimal covers

What

- Set G of FDs such that
 - Every FD in G is of the form $a \rightarrow A$
 - For each FD $a \rightarrow A$ in G , a has no redundant attributes
 - There are no redundant FDs in G
 - G and F are equivalent

Redundant attributes

- Let $F_1 = G \cup \{aA \rightarrow B\}$ and $F_2 = G \cup \{a \rightarrow B\}$
- If $F_1 \equiv F_2$ then A is redundant
 - In other words, having $a \rightarrow B$ instead of $aA \rightarrow B$ does not change the amount of information
- **How**
 - Compute a^+ w.r.t. F_1 ; if $B \in a^+$, then $a \rightarrow B$
 - So $F_1 \models F_2$ and $F_2 \models F_1$ which means $F_1 \equiv F_2$
 - $F_2 \models aA \rightarrow a$ and $F_2 \models a \rightarrow B$ means $F_2 \models aA \rightarrow B$

Minimal covers

What

- Set G of FDs such that
 - Every FD in G is of the form $a \rightarrow A$
 - For each FD $a \rightarrow A$ in G , a has no redundant attributes
 - There are no redundant FDs in G
 - G and F are equivalent

Redundant FD

- Let $F = G \cup \{a \rightarrow B\}$
- If $F \equiv G$ then $a \rightarrow B$ is redundant
 - In other words, not having $a \rightarrow B$ does not change the amount of information
- **How**
 - Compute a^+ w.r.t. G ; if $B \in a^+$, then $a \rightarrow B$
 - So $G \models F$ and $F \models G$ which means $F \equiv G$
 - $F \models G$ trivially because $G \subset F$

- Schema decomposition
 - Lossless-join decomposition
 - Dependency-preserving decomposition

Overview

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- Schema decomposition
 - Lossless-join decomposition
 - Dependency-preserving decomposition

Schema decomposition

Schema decomposition

Definition

- The **decomposition of schema** R is a set of schemas $\{R_1, R_2, \dots, R_n\}$ (called **fragments**) such that
 - $R_i \subseteq R$ for each R_i
 - Each fragment is **simpler** than the original schema
 - $R = R_1 \cup R_2 \cup \dots \cup R_n$
 - No attributes are **missing**

Schema decomposition

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 - $R_i \subseteq R$ for each R_i
 - Each fragment is **simpler** than the original schema
 - $R = R_1 \cup R_2 \cup \dots \cup R_n$
 - No attributes are **missing**
- Consider a relation r of R , the decomposition of r into $\{r_1, r_2, \dots, r_n\}$ is
 - $r_i = \pi_{R_i}(r)$
 - **Projection operation!**

Schema decomposition

Useful properties

- The decomposition must **preserve information**
 - Information in original relation must be **equivalent** to the information in decomposed relations
 - After performing joins (e.g., natural join)
 - Crucial for correctness!
- The decomposition should **preserve FDs**
 - FDs in original schema must be **equivalent** to the FDs in decomposed schemas
 - Facilitates checking of FD violations without performing joins or relying on triggers

Schema decomposition

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**Lossless-join
decomposition**

**Dependency-preserving
decomposition**

Schema decomposition

Example

- Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B\}$

R

<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

- Is $R_1(A, B)$ and $R_2(B, C)$ a decomposition of R ?

R1

<i>A</i>	<i>B</i>
a1	b1
a2	b1

R2

<i>B</i>	<i>C</i>
b1	c1
b1	c2

Schema decomposition

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- $R_1 \subseteq R \wedge R_2 \subseteq R$
- $R_1 \cup R_2 = R$

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R2

<i>B</i>	<i>C</i>
b1	c1
b1	c2

Schema decomposition

Example

- Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B\}$

R

<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

- Is $R_1(A)$, $R_2(B)$, and $R_3(C)$ a decomposition of R ?

<i>R1</i>	<i>R2</i>	<i>R3</i>								
<table><tr><th><i>A</i></th></tr><tr><td>a1</td></tr><tr><td>a2</td></tr></table>	<i>A</i>	a1	a2	<table><tr><th><i>B</i></th></tr><tr><td>b1</td></tr></table>	<i>B</i>	b1	<table><tr><th><i>C</i></th></tr><tr><td>c1</td></tr><tr><td>c2</td></tr></table>	<i>C</i>	c1	c2
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Schema decomposition

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<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

- Is $R_1(A)$, $R_2(B)$, and $R_3(C)$ a decomposition of R ?
- $R_1 \subseteq R \wedge R_2 \subseteq R \wedge R_3 \subseteq R$
- $R_1 \cup R_2 \cup R_3 = R$

<i>R1</i>	<i>R2</i>	<i>R3</i>								
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Schema decomposition

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a1	b1	c1
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- Is $R_1(A, B)$ and $R_2(B)$ a decomposition of R ?

R1

<i>A</i>	<i>B</i>
a1	b1
a2	b1

R2

<i>B</i>
b1
b1

Schema decomposition

Example

- Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B\}$

R

<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

- Is $R_1(A, B)$ and $R_2(B)$ a decomposition of R ?
 - $R_1 \subseteq R \wedge R_2 \subseteq R$
 - $R_1 \cup R_2 \neq R$

R1

<i>A</i>	<i>B</i>
a1	b1
a2	b1

R2

<i>B</i>
b1
b1

Lossless-join decomposition

Definition

- Consider a schema R decomposed into $\{R_1, R_2, \dots, R_n\}$ and the natural join operation \bowtie
- Let the relation r of R be decomposed into $\{r_1, r_2, \dots, r_n\}$ such that
 - $r_1 = \pi_{R_1}(r), r_2 = \pi_{R_2}(r), \dots, r_n = \pi_{R_n}(r)$
 - $\{R_1, R_2, \dots, R_n\}$ is a **lossless-join** (or **lossless**) decomposition w.r.t. F if
 - $r = \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \dots \bowtie \pi_{R_n}(r)$
 - In other words, no information is lost by performing a join
 - But tuple (i.e., data) may increase!
 - If not a lossless-join, we call it a **lossy-join** (or **lossy**) decomposition

Lemma 1

- If $\{R_1, R_2, \dots, R_n\}$ is a decomposition of R , then for any relation r or R
 - $r \subseteq \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \dots \bowtie \pi_{R_n}(r)$
 - Lossy-join decomposition produces more tuple!

Lossless-join decomposition

Example

- Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B\}$

R

<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

- Is $R_1(A, B)$ and $R_2(B, C)$ a lossless-join decomposition of R ?

R1

<i>A</i>	<i>B</i>
a1	b1
a2	b1

R2

<i>B</i>	<i>C</i>
b1	c1
b1	c2

R1* ⋈ *R2

<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a1	b1	c2
a2	b1	c1
a2	b1	c2

Lossless-join decomposition

Example

- Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B\}$

R

<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

- Is $R_1(A, B)$ and $R_2(A, C)$ a lossless-join decomposition of R ?

R1

<i>A</i>	<i>B</i>
a1	b1
a2	b1

R2

<i>A</i>	<i>C</i>
a1	c1
a2	c2

R1* ⋈ *R2

<i>A</i>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

Lossless-join decomposition

Theorem 1

- The decomposition of R with FDs F into $\{R_1, R_2\}$ is a lossless-join decomposition w.r.t. F if
 - $F \models R_1 \cap R_2 \rightarrow R_1$
- OR
- $F \models R_1 \cap R_2 \rightarrow R_2$

Lossless-join decomposition

Theorem 1

- The decomposition of R with FDs F into $\{R_1, R_2\}$ is a lossless-join decomposition w.r.t. F iff
 - $F \models R_1 \cap R_2 \rightarrow R_1$
OR
 - $F \models R_1 \cap R_2 \rightarrow R_2$

Intuition

- Let $\{R_1, R_2\}$ be a decomposition of R with FDs F
- Let $R_1 \cap R_2 = a$, $R_1 - R_2 = b$, and $R_2 - R_1 = c$
- Let r be an instance of R , $r_1 = \pi_{R_1}(r)$ and $r_2 = \pi_{R_2}(r)$
 - If $F \models a \rightarrow b$

R		
A	B	C
a1	b1	c1
a2	b1	c2

R_1	
A	B
a1	b1
a2	b1

R_2	
A	C
a1	c1
a2	c2

$R_1 \bowtie R_2$		
A	B	C
a1	b1	c1
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Lossless-join decomposition

Theorem 1

- The decomposition of R with FDs F into $\{R_1, R_2\}$ is a lossless-join decomposition w.r.t. F iff
 - $F \models R_1 \cap R_2 \rightarrow R_1$
 - OR
 - $F \models R_1 \cap R_2 \rightarrow R_2$

Intuition

- Let $\{R_1, R_2\}$ be a decomposition of R with FDs F
- Let $R_1 \cap R_2 = a$, $R_1 - R_2 = b$, and $R_2 - R_1 = c$
- Let r be an instance of R , $r_1 = \pi_{R_1}(r)$ and $r_2 = \pi_{R_2}(r)$
 - If $F \not\models a \rightarrow b$ and $F \not\models a \rightarrow c$

R		
A	B	C
a1	b1	c1
a1	b2	c2

R_1	
A	B
a1	b1
a1	b2

R_2	
A	C
a1	c1
a1	c2

$R_1 \bowtie R_2$		
A	B	C
a1	b1	c1
a1	b1	c2
a1	b2	c1
a1	b2	c2

Lossless-join decomposition

Corollary 1

- If $a \rightarrow b$ is a completely non-trivial FD that holds on R , then the decomposition of R into $\{R - b, ab\}$ is a lossless-join decomposition
- Since $(R - b) \cap ab = a$ and $a \rightarrow b$ so $a \rightarrow ab$

Theorem 2

- If $\{R_1, R_2, \dots, R_n\}$ is a lossless-join decomposition of R , and $\{R_{1,1}, R_{1,2}\}$ is a lossless-join decomposition of R_1 then $\{R_{1,1}, R_{1,2}, R_2, \dots, R_n\}$ is a lossless join decomposition of R
- ❖ Combine corollary 1 and theorem 2 to decompose any R into more than 2 fragments

Lossless-join decomposition

Example

- Let $R(A, B, C, D, E)$ with FDs
$$F = \{A \rightarrow B, C \rightarrow D, CE \rightarrow A\}$$
- Is $\{R_1(A, B), R_2(C, D), R_3(A, C, E)\}$ a lossless-join decomposition?
- Steps:
 - Since this is a **decomposition** into 3 fragments, we need to find an intermediate decomposition
 - Let the intermediate be R_I , then either
 1. $\{R_I, R_3\}$ is a lossless-join decomposition of R and $\{R_1, R_2\}$ is a lossless-join decomposition of R_I where $R_I(A, B, C, D)$
 2. $\{R_I, R_2\}$ is a lossless-join decomposition of R and $\{R_1, R_3\}$ is a lossless-join decomposition of R_I where $R_I(A, B, C, E)$
 3. $\{R_I, R_1\}$ is a lossless-join decomposition of R and $\{R_2, R_3\}$ is a lossless-join decomposition of R_I where $R_I(A, C, D, E)$

Lossless-join decomposition

Example

- Let $R(A, B, C, D, E)$ with FDs
$$F = \{A \rightarrow B, C \rightarrow D, CE \rightarrow A\}$$
- Is $\{R_1(A, B), R_2(C, D), R_3(A, C, E)\}$ a lossless-join decomposition?
- Steps:
 - **Case #1**
 - Check $\{R_I(A, B, C, D), R_3(A, C, E)\}$ is a lossless-join decomposition of R
 - $R_I \cap R_1 = AC$ and $AC \rightarrow R_I$ (since $AC^+ = ABCD$)
 - ✓ $\{R_I(A, B, C, D), R_3(A, C, E)\}$ is a lossless-join decomposition of R
 - Check $\{R_1(A, B), R_2(C, D)\}$ is a lossless-join decomposition of R_I
 - $R_1 \cap R_2 = \emptyset$ but neither $\emptyset \rightarrow R_1$ nor $\emptyset \rightarrow R_2$
 - ❖ $\{R_1(A, B), R_2(C, D)\}$ is **not** a lossless-join decomposition of R_I

Lossless-join decomposition

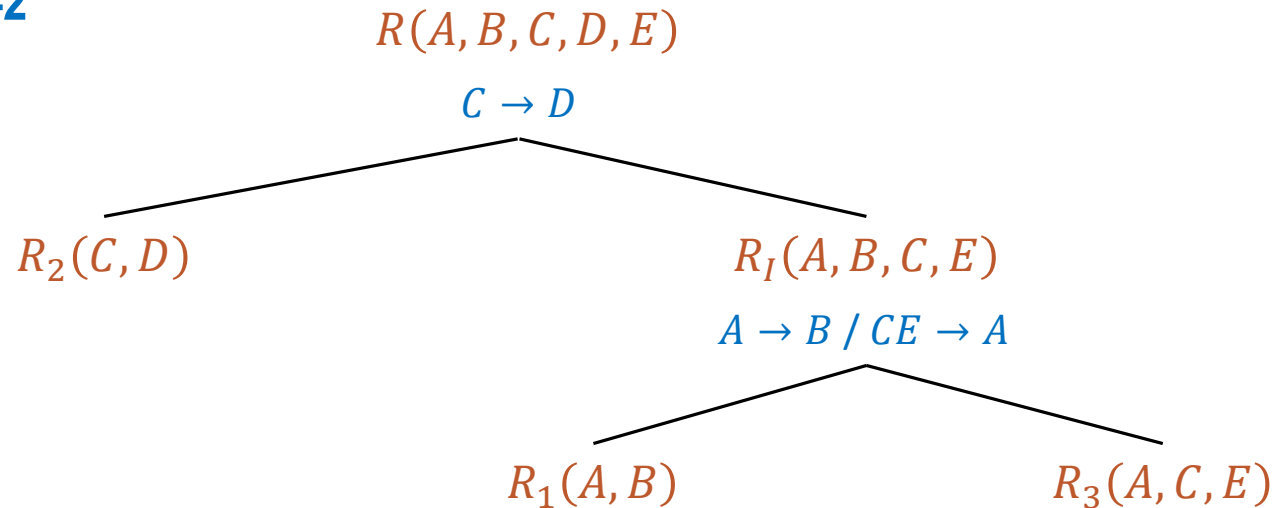
Example

- Let $R(A, B, C, D, E)$ with FDs
$$F = \{A \rightarrow B, C \rightarrow D, CE \rightarrow A\}$$
- Is $\{R_1(A, B), R_2(C, D), R_3(A, C, E)\}$ a lossless-join decomposition?
- Steps:
 - **Case #2**
 - Check $\{R_I(A, B, C, E), R_2(C, D)\}$ is a lossless-join decomposition of R
 - $R_I \cap R_2 = C$ and $C \rightarrow R_2$
 - ✓ $\{R_I(A, B, C, E), R_2(C, D)\}$ is a lossless-join decomposition of R
 - Check $\{R_1(A, B), R_3(A, C, E)\}$ is a lossless-join decomposition of R_I
 - $R_1 \cap R_3 = A$ and $A \rightarrow R_1$
 - ✓ $\{R_1(A, B), R_3(A, C, E)\}$ is a lossless-join decomposition of R_I

Lossless-join decomposition

Example

- Let $R(A, B, C, D, E)$ with FDs
 $F = \{A \rightarrow B, C \rightarrow D, CE \rightarrow A\}$
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- Steps:
 - Case #2**



Lossless-join decomposition

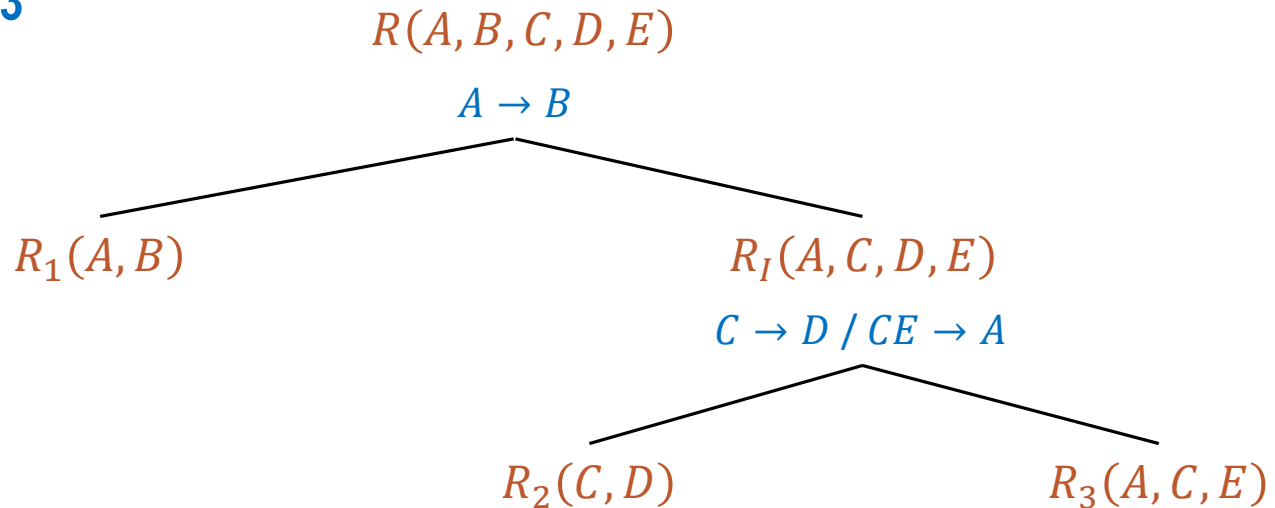
Example

- Let $R(A, B, C, D, E)$ with FDs
$$F = \{A \rightarrow B, C \rightarrow D, CE \rightarrow A\}$$
- Is $\{R_1(A, B), R_2(C, D), R_3(A, C, E)\}$ a lossless-join decomposition?
- Steps:
 - **Case #3**
 - Check $\{R_I(A, C, D, E), R_1(A, B)\}$ is a lossless-join decomposition of R
 - $R_I \cap R_1 = A$ and $A \rightarrow R_1$
 - ✓ $\{R_I(A, C, D, E), R_1(A, B)\}$ is a lossless-join decomposition of R
 - Check $\{R_2(C, D), R_3(A, C, E)\}$ is a lossless-join decomposition of R_I
 - $R_2 \cap R_3 = C$ and $C \rightarrow R_2$
 - ✓ $\{R_2(C, D), R_3(A, C, E)\}$ is a lossless-join decomposition of R_I

Lossless-join decomposition

Example

- Let $R(A, B, C, D, E)$ with FDs
 $F = \{A \rightarrow B, C \rightarrow D, CE \rightarrow A\}$
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- Steps:
 - Case #3**



Lossless-join decomposition

Example

- Let $R(A, B, C, D, E)$ with FDs
$$F = \{A \rightarrow B, C \rightarrow D, CE \rightarrow A\}$$
- Is $\{R_1(A, B), R_2(C, D), R_3(A, C, E)\}$ a lossless-join decomposition?
- Notes:
 - We can stop after case #2 since we have found one intermediate decomposition
 - If it is a lossy-join decomposition, then we have to check until all cases have been exhausted
 - $(\neg \exists_d \cdot \text{lossless}(d)) = (\forall_d \cdot \neg \text{lossless}(d)) = (\forall_d \cdot \text{lossy}(d))$
 - **Corollary 1** can be used to guide the decomposition
 - The decomposition is based on FDs f in F

Dependency-preserving decomposition

Projection

- Consider a schema R with FDs F , and $a \subseteq R$
- The **projection** of F on a denoted by F_a is the set of FDs such that
 - $F_a \subseteq F^+$
 - The projection is a **subset of the closure**
 - $F_a = \{ b \rightarrow c \in F^+ \mid bc \subseteq a \}$
 - The projection involves **only attributes in a**

Dependency-preserving decomposition

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 - The projection is a **subset of the closure**
 - $F_a = \{ b \rightarrow c \in F^+ \mid bc \subseteq a \}$
 - The projection involves **only attributes in a**
- Example: Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C, C \rightarrow B\}$

<i>FD</i>	<i>In F^+?</i>	<i>In F_{AB}?</i>	<i>In F_{AC}?</i>
$C \rightarrow A$			
$A \rightarrow AB$			
$B \rightarrow C$			
$A \rightarrow C$			

Dependency-preserving decomposition

Projection

- Consider a schema R with FDs F , and $a \subseteq R$
- The **projection** of F on a denoted by F_a is the set of FDs such that
 - $F_a \subseteq F^+$
 - The projection is a **subset of the closure**
 - $F_a = \{ b \rightarrow c \in F^+ \mid bc \subseteq a \}$
 - The projection involves **only attributes in a**
- How to compute?
 - Consider any $b \subseteq a$ and compute b^+
 - Then $b \rightarrow b^+$ is in F^+ as well as $b \rightarrow c$ for any $c \subseteq b^+$
 - Let $c = b^+ \cap a$, we know that $c \subseteq b^+$
 - Then $b \rightarrow (b^+ \cap a)$ is in F^+
 - But $b \subseteq a$ and $(b^+ \cap a) \subseteq a$, so $b \rightarrow (b^+ \cap a)$ in F_a

Dependency-preserving decomposition

Projection

- Algorithm #3
- **Input** A set of attributes $a \subseteq R$ and a set of FDs F on R
- **Output** F_a
 1. initialize $\theta = \emptyset$
 2. for each $(b \subseteq a \text{ such that } b \neq \emptyset)$
 3. $\theta = \theta \cup \{b \rightarrow (b^+ \cap a)\}$ // w.r.t. F
 4. return θ
- How to compute?
 - Consider any $b \subseteq a$ and compute b^+
 - Then $b \rightarrow b^+$ is in F^+ as well as $b \rightarrow c$ for any $c \subseteq b^+$
 - Let $c = b^+ \cap a$, we know that $c \subseteq b^+$
 - Then $b \rightarrow (b^+ \cap a)$ is in F^+
 - But $b \subseteq a$ and $(b^+ \cap a) \subseteq a$, so $b \rightarrow (b^+ \cap a)$ in F_a

Dependency-preserving decomposition

Projection

- Algorithm #3
- **Input** A set of attributes $a \subseteq R$ and a set of FDs F on R
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 1. initialize $\theta = \emptyset$
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 4. return θ
- How to compute?
 - Consider any $b \subseteq a$ and compute b^+
 - Then $b \rightarrow b^+$ is in F^+ as well as $b \rightarrow c$ for any $c \subseteq b^+$
 - Let $c = b^+ \cap a$, we know that $c \subseteq b^+$
 - Then $b \rightarrow (b^+ \cap a)$ is in F^+
 - But $b \subseteq a$ and $(b^+ \cap a) \subseteq a$, so $b \rightarrow (b^+ \cap a)$ in F_a

Dependency-preserving decomposition

Projection

- Algorithm #3
- **Input** A set of attributes $a \subseteq R$ and a set of FDs F on R
- **Output** F_a
 1. initialize $\theta = \emptyset$
 2. for each ($b \subseteq a$ such that $b \neq \emptyset$)
 3. $\theta = \theta \cup \{b \rightarrow (b^+ \cap a)\}$ // w.r.t. F
 4. return θ
- Example: Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C, C \rightarrow B\}$
 - Compute F_{AB}
 - initialize $\Rightarrow \theta = \emptyset$
 - let $b = A, A^+ = ABC \Rightarrow \theta = \{A \rightarrow AB\}$
 - let $b = B, B^+ = BC \Rightarrow \theta = \{A \rightarrow AB, B \rightarrow B\}$
 - let $b = AB, AB^+ = ABC \Rightarrow \theta = \{A \rightarrow AB, B \rightarrow B, AB \rightarrow AB\}$

Dependency-preserving decomposition

Projection

- Algorithm #3
- **Input** A set of attributes $a \subseteq R$ and a set of FDs F on R
- **Output** F_a
 1. initialize $\theta = \emptyset$
 2. for each ($b \subseteq a$ such that $b \neq \emptyset$)
 3. $\theta = \theta \cup \{b \rightarrow (b^+ \cap a)\}$ // w.r.t. F
 4. return θ
- Example: Let $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C, C \rightarrow B\}$
 - Compute F_{BC}
 - initialize $\Rightarrow \theta = \emptyset$
 - let $b = B, B^+ = BC \Rightarrow \theta = \{B \rightarrow BC\}$
 - let $b = C, C^+ = BC \Rightarrow \theta = \{B \rightarrow BC, C \rightarrow BC\}$
 - let $b = BC, BC^+ = BC \Rightarrow \theta = \{B \rightarrow BC, C \rightarrow BC, BC \rightarrow BC\}$

Dependency-preserving decomposition

Why projection?

- Consider a schema $R(A, B, C, D, E)$ and a decomposition $\{R_1(A, B, C), R_2(C, D, E)\}$
- An FD $a \rightarrow b$ is enforced as candidate key
 - PRIMARY KEY
 - NOT NULL and UNIQUE
- Candidate key constraints in R_1 cannot enforce an FD $a \rightarrow b$ where $b \in R_2$
- Projection captures which FDs can be enforced by each fragments

Dependency-preserving decomposition

Definition

- Decomposition $\{R_1, R_2, \dots, R_n\}$ of R is dependency-preserving if
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$ is equivalent to F
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \equiv F$
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})^+ = F^+$
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \models F \wedge F \models (F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$
- Guarantees that for each update to a decomposed relation $r_i = \pi_{R_i}(r)$, FD violations for r can be detected without computing joins

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
 - $F_{BC} = \{B \rightarrow C\}$
 - $F_{AB} \cup F_{BC} = F$
 - $(F_{AB} \cup F_{BC})^+ = F^+$
- Note:
 - $A \rightarrow C$ is neither in F_{AB} nor in F_{BC}
 - but $A \rightarrow C$ is in $(F_{AB} \cup F_{BC})^+$

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
 - $F_{BC} = \{B \rightarrow C\}$
 - $F_{AB} \cup F_{BC} = F$
 - $(F_{AB} \cup F_{BC})^+ = F^+$

<i>R</i>		
<u>A</u>	B	C
a1	b1	c1
a2	b1	c2

<i>R1</i>		<i>R2</i>	
<u>A</u>	B	<u>B</u>	C
a1	b1	b1	c1
a2	b2	b2	c2

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
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<i>R</i>		
<u>A</u>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2
a1	b1	c2

<i>R1</i>		<i>R2</i>	
<u>A</u>	<i>B</i>	<u>B</u>	<i>C</i>
a1	b1	b1	c1
a2	b2	b2	c2

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
 - $F_{BC} = \{B \rightarrow C\}$
 - $F_{AB} \cup F_{BC} = F$
 - $(F_{AB} \cup F_{BC})^+ = F^+$

<i>R</i>		
<u>A</u>	B	C
a1	b1	c1
a2	b1	c2
a1	b1	c2

<i>R1</i>	
<u>A</u>	B
a1	b1
a2	b2
a1	b1

<i>R2</i>	
<u>B</u>	C
b1	c1
b2	c2
b1	c2

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, B \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
 - $F_{BC} = \{B \rightarrow C\}$
 - $F_{AB} \cup F_{BC} = F$
 - $(F_{AB} \cup F_{BC})^+ = F^+$

R

<u>A</u>	B	C
a1	b1	c1
a2	b1	c2
a1	b1	c2

R1

<u>A</u>	B
a1	b1
a2	b2
a1	b1

R2

<u>B</u>	C
b1	c1
b2	c2
b1	c2

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, A \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
 - $F_{BC} = \{ \} = \emptyset$
 - $F_{AB} \cup F_{BC} = \{A \rightarrow B\}$
 - $(F_{AB} \cup F_{BC})^+ = \{A \rightarrow B\} \neq F^+ = \{A \rightarrow B, A \rightarrow C\}$

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, A \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
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<i>R</i>		
<u>A</u>	<i>B</i>	<i>C</i>
a1	b1	c1
a2	b1	c2

<i>R1</i>		<i>R2</i>	
<u>A</u>	<i>B</i>	<i>B</i>	<i>C</i>
a1	b1	b1	c1
a2	b2	b2	c2

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, A \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
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 - $(F_{AB} \cup F_{BC})^+ = \{A \rightarrow B\} \neq F^+ = \{A \rightarrow B, A \rightarrow C\}$

<i>R</i>		
<u>A</u>	B	C
a1	b1	c1
a2	b1	c2
a1	b1	c2

<i>R1</i>		<i>R2</i>	
<u>A</u>	B	B	C
a1	b1	b1	c1
a2	b2	b2	c2

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, A \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
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<i>R</i>		
<u>A</u>	B	C
a1	b1	c1
a2	b1	c2
a1	b1	c2

<i>R1</i>	
<u>A</u>	B
a1	b1
a2	b2
a1	b1

<i>R2</i>	
B	C
b1	c1
b2	c2
b1	c2

Dependency-preserving decomposition

Example

- Consider $R(A, B, C)$ with FDs $F = \{A \rightarrow B, A \rightarrow C\}$
 - Is $\{R_1(A, B), R_2(B, C)\}$ dependency-preserving?
- Steps:
 - $F_{AB} = \{A \rightarrow B\}$
 - $F_{BC} = \{ \} = \emptyset$
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 - $(F_{AB} \cup F_{BC})^+ = \{A \rightarrow B\} \neq F^+ = \{A \rightarrow B, A \rightarrow C\}$

<i>R</i>		
<u>A</u>	B	C
a1	b1	c1
a2	b1	c2
a1	b1	c2

<i>R1</i>	
<u>A</u>	B
a1	b1
a2	b2
a1	b1

<i>R2</i>	
B	C
b1	c1
b2	c2
b1	c2

Dependency-preserving decomposition

Checking

- Given a decomposition $\{R_1, R_2, \dots, R_n\}$ of R , how to check if it is a dependency-preserving decomposition
- $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$ is equivalent to F
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \equiv F$
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})^+ = F^+$
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \models F \wedge F \models (F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$
- How to compute F^+ and $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})^+$ efficiently?

Dependency-preserving decomposition

Checking

- Given a decomposition $\{R_1, R_2, \dots, R_n\}$ of R , how to check if it is a dependency-preserving decomposition
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 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \models F \wedge F \models (F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$
- How to compute F^+ and $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})^+$ efficiently?

Lemma 2

- For every decomposition $\{R_1, R_2, \dots, R_n\}$ of R
 - $F \models (F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$
 - By definition, $F_{R_i} = \{b \rightarrow c \in F^+ \mid bc \subseteq R_i\}$
 - For all $b \rightarrow c$ in F_{R_i} , we also have $b \rightarrow c$ in F^+

Dependency-preserving decomposition

Checking

- Given a decomposition $\{R_1, R_2, \dots, R_n\}$ of R , how to check if it is a dependency-preserving decomposition
- $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$ is equivalent to F
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \equiv F$
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})^+ = F^+$
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \models F \wedge F \models (F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$
- How to compute F^+ and $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})^+$ efficiently?
 - Given lemma 2, we simply have to check $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \models F$

Lemma 2

- For every decomposition $\{R_1, R_2, \dots, R_n\}$ of R
 - $F \models (F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$
 - By definition, $F_{R_i} = \{b \rightarrow c \in F^+ \mid bc \subseteq R_i\}$
 - For all $b \rightarrow c$ in F_{R_i} , we also have $b \rightarrow c$ in F^+

Dependency-preserving decomposition

Checking

- Given a decomposition $\{R_1, R_2, \dots, R_n\}$ of R , how to check if it is a dependency-preserving decomposition
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n})$ is equivalent to F
 - $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \models F$
- Idea
 - Let $G = F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}$
 - For each $f \in F$
 - Check $G \models f$
 - Let $f = a \rightarrow b$
 - Compute a^+ w.r.t. G
 - If $b \subseteq a^+$ then $G \models a \rightarrow b$
 - Alternatively, if $b \not\subseteq a^+$ then $G \not\models F$
 - If pass all $b \subseteq a^+$ then $G \models F$

Dependency-preserving decomposition

Checking

- Algorithm #4
- **Input** A decomposition $\{R_1, \dots, R_n\}$ of R with FDs F
- **Output** *YES* (if dependency-preserving) or *NO* (otherwise)
 1. for each ($R_i \in \{R_1, \dots, R_n\}$)
 2. compute F_{R_i}
 3. let $G = F_{R_1} \cup \dots \cup F_{R_n}$
 4. for each (FD $a \rightarrow b \in F$)
 5. compute a^+ w.r.t. G
 6. if ($b \notin a^+$) then return *NO*
 7. return *YES*

Summary

□ Decomposition

□ Lossless-join

- $r = \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \dots \bowtie \pi_{R_n}(r)$ No information is lost
- **Theorem 1:** $F \models R_1 \cap R_2 \rightarrow R_1 \vee F \models R_1 \cap R_2 \rightarrow R_2 \Rightarrow$ lossless
 - Can be used to check for lossless-join
- **Corollary 1:** Completely non-trivial $a \rightarrow b \Rightarrow \{R - b, ab\}$ is lossless
 - Can be used to decompose!
- **Theorem 1:** $\text{lossless}(\{R_{1,1}, R_{1,2}\}, R_1) \wedge \text{lossless}(\{R_1, R_2\}, R) \Rightarrow \text{lossless}(\{R_{1,1}, R_{1,2}, R_2\}, R)$
 - Can be used to further decompose

□ Dependency-preserving

- $(F_{R_1} \cup F_{R_2} \cup \dots \cup F_{R_n}) \equiv F$ No FD is lost
- FD can be checked without performing the join
- Projection: $F_a = \{b \rightarrow c \in F^+ \mid bc \subseteq a\}$
- **Algorithm #3:** computes F_a
- **Algorithm #4:** check $\{R_1, R_2, \dots, R_n\}$ of R is dependency-preserving