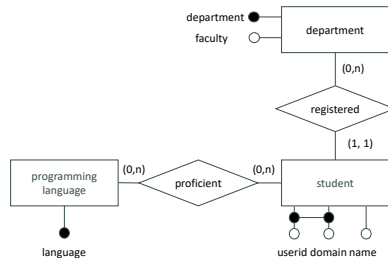


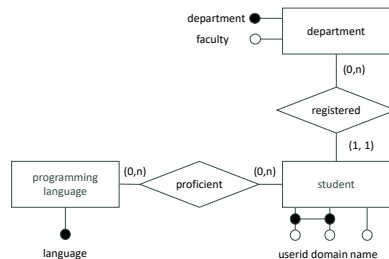
# Anomalies and Boyce-Codd Normal Form

Stéphane Bressan



Sentosa University of Technology (SUT) records the programming language skills of the students of its different faculties and departments.





We have four tables: `programming_language(language)`,  
`student(userid, domain, name, department)`,  
`department(department, faculty)`, and  
`proficiency(userid, domain, language)`.

We store everything in **one table** (proficiency.) What can go wrong?

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

Each faculty is organised in several departments. A department belongs to one faculty only and there is no two different departments with the same name in Sentosa University of Technology.

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

$$\{department\} \rightarrow \{faculty\}$$

Students are identified by their email. The email of a student is composed of her userid and domain.

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

$$\{userid, domain\} \rightarrow \{name\}$$

We record the primary department of the student.

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

$$\{userid, domain\} \rightarrow \{department\}$$

For each student, the database records (only once) each programming language in which the student is proficient.

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

$$\{userid, domain, language\} \rightarrow \{name, userid, domain, department, faculty, language\}$$



How can we avoid repeating the same rows?

proficiency					
name	userid	domain	department	faculty	language
...					
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
...					

```
1 CREATE TABLE proficiency (  
2   ...  
3   PRIMARY KEY (name, userid, domain, department, faculty, language));
```

```
1 CREATE TABLE proficiency (  
2   ...  
3   PRIMARY KEY (userid, domain, language));
```

Let us call the table  $R$  (proficiency) and the columns  $A$  (name),  $B$  (userid),  $C$  (domain),  $D$  (department),  $E$  (faculty), and  $F$  (language), respectively.

We have the following set of functional dependencies:

$$\Sigma = \{\{B, C\} \rightarrow \{A\}, \{B, C\} \rightarrow \{D\}, \{D\} \rightarrow \{E\}, \{B, C, F\} \rightarrow \{A, B, C, D, E, F\}\}$$

Calculate the **attribute closures** to find all the candidate keys.

$$\{A\}^+ = \{A\}.$$

...

$$\{B, C\}^+ = \{A, B, C, D, E\}.$$

...

$$\{B, C, F\}^+ = \{A, B, C, D, E, F\}.$$

...

The **candidate key** (there is only one) of  $R$  with  $\Sigma$  is  $\{B, C, F\}$  (userid, domain, language).

We store everything in one table. What can go wrong?

If we do not enforce the functional dependencies, we can experience **anomalies**:

- redundant storage,
- update anomalies,
- deletion anomalies, and
- insertion anomalies.

Let us illustrate the four different anomalies with this design and the functional dependency:

$$\{department\} \rightarrow \{faculty\}$$

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

## Redundant Storage

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

## Redundant Storage

The faculty of a department is repeated for every student of the department and every time the student is proficient in a programming language.

## Is it really an issue?



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$$\{department\} \rightarrow \{faculty\}$$

If we want to save space and some query time (or not), we can store the departments and their faculties in a separate table.

```
1 CREATE TABLE department (  
2   department VARCHAR(64),  
3   faculty VARCHAR(128));
```

department	
department	faculty
computer science	computing
information systems and analytics	computing
computer engineering	engineering
physics	science
mathematics	science
pharmacy	medecine



Even then we still need to indicate the departments in the main table ...

proficiency					
name	userid	domain	department	language	
Tan Hee Wee	tanh	comp.sut.edu	computer science	JavaScript	
Tan Hee Wee	tanh	comp.sut.edu	computer science	Python	
Tan Hee Wee	tanh	comp.sut.edu	computer science	C++	
Stanley Georgeau	stan	comp.sut.edu	computer science	Python	
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	Python	
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	C++	
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	Fortran	
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	C++	
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	Fortran	
Tan Hooi Ling	tanh	sci.sut.edu	physics	Julia	
Tan Hooi Ling	tanh	sci.sut.edu	physics	Fortran	
Roxana Nassi	rox	sci.sut.edu	mathematics	R	
Amirah Mokhtar	ami	med.sut.edu	pharmacy	R	

We join the two tables to recover the original table.

```
1 SELECT p.name, p.userid, d.domain, p.department, p.faculty, p.language
2 FROM proficiency p, department d
3 WHERE p.department = d.faculty;
```

```
1 SELECT p.name, p.userid, d.domain, p.department, p.faculty, p.language
2 FROM proficiency p INNER JOIN department d ON p.department = d.faculty;
```

```
1 SELECT *
2 FROM proficiency p NATURAL JOIN department d
```

## Update Anomaly

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	informatics	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

```
1 UPDATE proficiency SET faculty='informatics' WHERE userid='stan' AND domain='comp.sut.edu';
```

## Update Anomaly

Two rows of the table have the same value for the column department but different values for the column faculty. This **violates** the functional dependency.

If we store the department and the faculty in a separate table and we make the column department the **primary key** of this new table, the functional dependency is enforced by the constraint!

```
1 CREATE TABLE department (  
2   department VARCHAR(128) PRIMARY KEY,  
3   faculty VARCHAR(128) NOT NULL);
```

$$\{department\} \rightarrow \{faculty\}$$

department	
department	faculty
computer science	computing
information systems and analytics	computing
computer engineering	engineering
physics	science
mathematics	science
pharmacy	medecine

The new proficiency table has an department column but no faculty column. We make the department column in the new proficiency table a **foreign key** referencing the primary key of the department table.

proficiency				
name	userid	domain	department	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	C++
...				

```
1 CREATE TABLE proficiency (  
2   ...  
3   department VARCHAR(128) REFERENCES department(department);  
4   ...);
```

## Deletion Anomaly

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R

```
1 DELETE FROM proficiency WHERE userid='ami' AND domain='med.sut.edu';
```

## Deletion Anomaly

When Amirah Moktar (ami@med.sut.edu) graduates, we may forget that we have a department of pharmacy and a faculty of medicine.

This cannot happen if we store the departments and their faculties in a separate table.

```
1 CREATE TABLE department (  
2   department VARCHAR(128) PRIMARY KEY,  
3   faculty VARCHAR(128) NOT NULL);
```

department		
department	faculty	
computer science	computing	
information systems and analytics	computing	
computer engineering	engineering	
physics	science	
mathematics	science	
pharmacy	medecine	

## Insertion Anomaly

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
null	null	null	pharmacy	medecine	null

## Insertion Anomaly

We cannot record the department of pharmacy and the faculty of medicine as there is no student from this department and faculty and we cannot have null values for name, userid, and domain, and language because they should be NOT NULL (some are prime attributes part of the PRIMARY KEY).



The solution , as we have seen, is to modify the proficiency table and create a separate department table.

department	
department	faculty
computer science	computing
information systems and analytics	computing
computer engineering	engineering
physics	science
mathematics	science
pharmacy	medecine

We could use an outer join<sup>a</sup> to combine the two tables and recreate the original table with null values that we intended.

---

<sup>a</sup>Do we need a full, left, or right outer join?

## Insertion Anomaly

```
1 SELECT *
2 FROM proficiency p FULL OUTER JOIN department d ON p.department = d.department;
```

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
null	null	null	pharmacy	medecine	null

Verify that we have similar anomalies with the other non-trivial functional dependencies.

The purpose of **normal forms** is to recognise designs that enforce **functional dependencies** by means of the main **SQL constraints** (primary key, unique, not null, and foreign key constraints) and thus protect the data against **anomalies**.

The purpose of **normalisation** is to transform (**decompose**) a poor design into a design that enforces functional dependencies by means of the main **SQL constraints**.

The candidate key is  $\{userid, domain, language\}$ , yet some attributes, like *name* and *department* depend only on a **proper subset of a candidate key** (they are not **fully dependent** on the primary key):

$$\{userid, domain\} \rightarrow \{name, department\}$$

The candidate key is  $\{userid, domain, language\}$ , yet some attributes, like *faculty*, also depend on **other attributes** (they are **transitively dependent** on the primary key):

$$\{department\} \rightarrow \{faculty\}$$

These attributes describe the student and the department and do not depend on or inform us about the relationship with the programming language. We are mixing several entities and relationships in the same table.

“A non-key field must provide a fact about the key[s], the whole key[s], and nothing but the key[s], [so help me Codd.]”,  
W. Kent in “A Simple Guide to Five Normal Forms in Relational Database Theory”,  
Communication of the ACM, Volume 26, Number 2 (1983).

## Theorem

A relation  $R$  with a set of functional dependencies  $\Sigma$  is in **BCNF** if and only if for every functional dependency  $X \rightarrow \{A\} \in \Sigma^+$ :

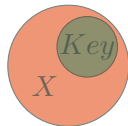
- $X \rightarrow \{A\}$  is trivial or
- $X$  is a superkey.

It is sufficient to look at  $\Sigma$ .





For some candidate key, we must have one of the following:



$X$  is a superset of the  
candidate key  
( $X$  is a **superkey**).



$X$  is the candidate key  
( $X$  is a **superkey**).

## The Case

proficiency					
name	userid	domain	department	faculty	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	computing	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	computing	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	computing	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	science	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	science	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	medecine	R

The candidate key is  $\{userid, domain, language\}$ .

$\{department\} \rightarrow \{faculty\}$  is **non-trivial**, its left-hand side is **not a superkey**. It does **violate the two conditions** of the theorem.

We have found a **culprit**. The table is not in **BCNF**.

The solution to avoid anomalies is to **decompose** the table into fragments..

proficiency				
name	userid	domain	department	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	Python
Goh Jin Wei	go	comp.sut.edu	information systems and analytics	Python
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	C++
Tan Hee Wee	tanhw	eng.sut.edu	computer engineering	Fortran
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	C++
Bjorn Sale	bjorn	eng.sut.edu	computer engineering	Fortran
Tan Hooi Ling	tanh	sci.sut.edu	physics	Julia
Tan Hooi Ling	tanh	sci.sut.edu	physics	Fortran
Roxana Nassi	rox	sci.sut.edu	mathematics	R
Amirah Mokhtar	ami	med.sut.edu	pharmacy	R

department	
department	faculty
computer science	computing
information systems and analytics	computing
computer engineering	engineering
physics	science
mathematics	science
pharmacy	medecine

A solution is again to **decompose** the table into two.

proficiency				
name	userid	domain	department	language
Tan Hee Wee	tanh	comp.sut.edu	computer science	JavaScript
Tan Hee Wee	tanh	comp.sut.edu	computer science	Python
Tan Hee Wee	tanh	comp.sut.edu	computer science	C++
Stanley Georgeau	stan	comp.sut.edu	computer science	Python
...			s	

department	
department	faculty
computer science	computing
information systems and analytics	computing
computer engineering	engineering
physics	science
mathematics	science
pharmacy	medecine

We now need to study the two new tables and their (projected) functional dependencies. (Verify that they are in BCNF.)

A **decomposition** of a table  $R$  is a set of tables  $\{R_1, \dots, R_n\}$  such that  $R = R_1 \cup \dots \cup R_n$ .

A **binary decomposition** of a table  $R$  is a pair of tables  $\{R_1, R_2\}$  such that  $R = R_1 \cup R_2$ .

A binary decomposition is **lossless-join** if and only if the full outer natural join of its two **fragments** (the two tables resulting from the decomposition) equals the initial table (otherwise it is **lossy**.)

```
1 SELECT p.name, p.userid, p.domain, p.department, p.faculty, p.language
2 FROM proficiency p FULL OUTER JOIN department d ON p.department = d.department;
3
```

## Theorem

*A binary decomposition of  $R$  into  $R_1$  and  $R_2$  is lossless-join if  $R = R_1 \cup R_2$  and  $R_1 \cap R_2 \rightarrow R_1$  or  $R_1 \cap R_2 \rightarrow R_2$ .*

Note that if  $R_1 \cap R_2$  is the primary key of one of the two tables, then it can be a foreign key in the other referencing the primary key.

## Theorem

*A decomposition is lossless-join if there exists a sequence of binary lossless-join decomposition that generates that decomposition.*



Consider a relation  $R$  with a set of functional dependencies  $\Sigma$ . A set  $\Sigma'$  of **projected functional dependencies** on  $R'$  from  $R$  with  $\Sigma$ , where  $R' \subset R$ , is the set of functional dependencies equivalent to the set of functional dependencies  $X \rightarrow Y$  in  $\Sigma^+$  such that  $X \subset R'$  and  $Y \subset R'$ .

## Projected Functional Dependencies

$$R = \{A, B, C, D, E\}$$

$$\Sigma = \{\{A, B\} \rightarrow \{C, D, E\}, \{A, C\} \rightarrow \{B, D, E\}, \{B\} \rightarrow \{C\}, \{C\} \rightarrow \{B\}, \{C\} \rightarrow \{D\}, \{B\} \rightarrow \{E\}, \{C\} \rightarrow \{E\}\}$$

What is a set of projected functional dependencies  $\Sigma'$  on  $R' = \{A, B, D, E\}$  from  $R$  with  $\Sigma$ ?

## Projected Functional Dependencies

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What is a set of projected functional dependencies  $\Sigma'$  on  $R' = \{A, B, D, E\}$  from  $R$  with  $\Sigma$ ?

$$\Sigma' = \{\{A, B\} \rightarrow \{D, E\}, \{B\} \rightarrow \{E\}, \{B\} \rightarrow \{D\}\}$$

A decomposition of  $R$  with  $\Sigma$  into  $R_1 \cdots R_n$  with the respective sets of projected functional dependencies  $\Sigma_1 \cdots \Sigma_n$  is **dependency preserving** if and only if  $\Sigma^+ = (\Sigma_1 \cup \cdots \cup \Sigma_n)^+$ .

$R = \{A, B, C\}$  with  $\Sigma = \{\{C\} \rightarrow \{B\}\}$  (the candidate key is  $\{A, C\}$ ) is decomposed into a **dependency preserving** lossless-join decomposition  
 $R_1 = \{A, C\}$  with the set of projected functional dependencies  $\Sigma_1 = \emptyset$  and  
 $R_2 = \{B, C\}$  with the set of functional dependencies  $\Sigma_2 = \{\{C\} \rightarrow \{B\}\}$ .

$R = \{A, B, C\}$  with  $\Sigma = \{\{C\} \rightarrow \{B\}, \{A, B\} \rightarrow \{C\}\}$  (the candidate keys are  $\{A, C\}$  and  $\{A, B\}$ ) is decomposed into a **non dependency preserving** lossless-join decomposition

$R_1 = \{A, C\}$  with the set of functional dependencies  $\Sigma_1 = \emptyset$  and

$R_2 = \{B, C\}$  with the set of functional dependencies  $\Sigma_2 = \{\{C\} \rightarrow \{B\}\}$ .

The functional  $\{A, B\} \rightarrow \{C\}$  is **lost**!

## Dependency Preserving Decomposition

$R = \{A, B, C\}$  with  $\Sigma = \{\{A\} \rightarrow \{B\}, \{B\} \rightarrow \{C\}, \{A\} \rightarrow \{C\}\}$  (the candidate key is  $\{A\}$ ) is decomposed into a **dependency preserving** lossless-join decomposition  $R_1 = \{A, B\}$  with the set of functional dependencies  $\Sigma_1 = \{\{A\} \rightarrow \{B\}\}$  and  $R_2 = \{B, C\}$  with the set of functional dependencies  $\Sigma_2 = \{\{B\} \rightarrow \{C\}\}$ .  
The functional  $\{A\} \rightarrow \{C\}$  is **not lost**!

When a relation is not in BCNF <sup>a</sup>, we can pick one of the functional dependencies violating the BCNF definition and use it to **decompose the relation** into two relations. We continue decomposing until every fragment is in BCNF.

---

<sup>a</sup>The same algorithm work for other normal forms.

The decomposition algorithm is guaranteed to find a **lossless decomposition** in **BCNF**.

The decomposition may not be **dependency preserving**.



## Decomposition

Let  $X \rightarrow Y$  be a functional dependency in  $\Sigma$  that violates the BCNF definition (it is not trivial and  $X$  is not a superkey). We use it **decompose**  $R$  into the following two relations  $R_1$  and  $R_2$ .

- $R_1 = X^+$ ,
- $R_2 = (R - X^+) \cup X$ .

We must now check whether  $R_1$  and  $R_2$  with the respective sets of **projected functional dependencies**  $\Sigma_1$  and  $\Sigma_2$  are in BCNF and **continue the decomposition** if they are not.

## Example

$$R = \{A, B, C, D, E\}$$

$$\Sigma = \{\{A, B\} \rightarrow \{C, D, E\}, \{A, C\} \rightarrow \{B, D, E\}, \{B\} \rightarrow \{C\}, \{C\} \rightarrow \{B\}, \{C\} \rightarrow \{D\}, \{B\} \rightarrow \{E\}, \{C\} \rightarrow \{E\}\}$$

$$\Sigma'' = \{\{B\} \rightarrow \{C\}, \{C\} \rightarrow \{B, D, E\}\}$$

Is  $R$  with  $\Sigma$  in BCNF?

## Example

$$R = \{A, B, C, D, E\}$$

$$\Sigma = \{\{A, B\} \rightarrow \{C, D, E\}, \{A, C\} \rightarrow \{B, D, E\}, \{B\} \rightarrow \{C\}, \{C\} \rightarrow \{B\}, \{C\} \rightarrow \{D\}, \{B\} \rightarrow \{E\}, \{C\} \rightarrow \{E\}\}$$

The two **candidate keys** are  $\{A, B\}$  and  $\{A, C\}$ .

$\{C\} \rightarrow \{D\}$  is **non-trivial** and  $\{C\}$  is not **a superkey key**. Therefore it is not in BCNF.

## Example

$$R = \{A, B, C, D, E\}$$

$$\Sigma = \{\{A, B\} \rightarrow \{C, D, E\}, \{A, C\} \rightarrow \{B, D, E\}, \{B\} \rightarrow \{C\}, \{C\} \rightarrow \{B\}, \{C\} \rightarrow \{D\}, \{B\} \rightarrow \{E\}, \{C\} \rightarrow \{E\}\}$$

Let us decompose  $R$  with  $\Sigma$  into a lossless decomposition in BCNF.

## Example

$\{B\} \rightarrow \{C\}$  violates the BCNF definition (it is non-trivial and  $\{B\}$  is not a superkey).

We decompose into two fragments.

$R_1 = \{B\}^+ = \{B, C, D, E\}$  with the projected functional dependencies  
 $\Sigma_1 = \{\{B\} \rightarrow \{C, D, E\}, \{C\} \rightarrow \{B\}\}.$

$R_2 = (R - \{B\}^+) \cup \{B\} = \{A, B\}$  with the projected functional dependencies  $\Sigma_2 = \emptyset.$

Are  $R_1$  with  $\Sigma_1$  and  $R_2$  with  $\Sigma_2$  in BCNF?

Yes they are in **BCNF**. We can stop here. Otherwise we would have to continue decomposing whichever fragments are not in BCNF.

Is the decomposition lossless?

The decomposition is guaranteed to be **lossless** (by properties of the algorithm).

Have we lost any functional dependency?

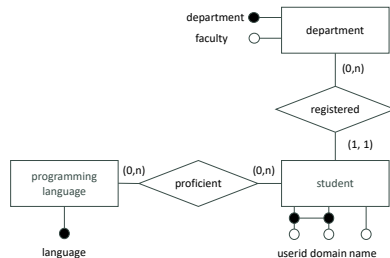
No, we can recover all the functional dependencies in  $\Sigma$  from  $\Sigma_1 \cup \Sigma_2$ . The decomposition is **dependency preserving**.



Can we choose another dependency to decompose and reach a different result?

Yes ... do it.

## What about our case?



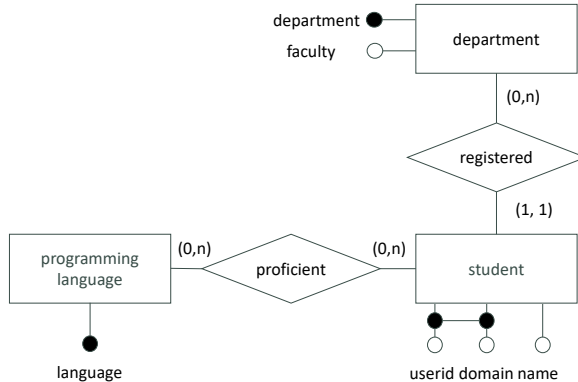
What about our case?

Let us call the table  $R$  (proficiency) and the columns  $A$  (name),  $B$  (userid),  $C$  (domain),  $D$  (department),  $E$  (faculty), and  $F$  (language), respectively.

$$R = \{A, B, C, D, E, F\}$$

$$\Sigma = \{\{B, C\} \rightarrow \{A\}, \{B, C\} \rightarrow \{D\}, \{D\} \rightarrow \{E\}, \{B, C, F\} \rightarrow \{A, B, C, D, E, F\}\}$$

Our Case



We get the following lossless dependency preserving BCNF decomposition.

$R_{1.1} = \{B, C, F\}$  with  $\Sigma_{1.1} = \emptyset$ ,

$R_{1.2} = \{A, B, C, D\}$  with  $\Sigma_{1.2} = \{\{B, C\} \rightarrow \{A, D\}\}$ ,

$R_2 = \{D, E\}$  with  $\Sigma_2 = \{\{D\} \rightarrow \{E\}\}$ .

With  $A$  (name) ,  $B$  (userid),  $C$  (domain),  $D$  (department),  $E$  (faculty), and  $F$  (language), respectively.



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