# CS2102 Database Systems

Slides adapted from Prof. Chan Chee Yong

LECTURE 03 SQL #1

### Relational data model

#### Relation schema

- Each relation has a definition called a relation schema
  - Schema specifies attributes and data constraints
  - Data constrains include domain constraints
  - Each row in a relation is called a tuple/record

#### Relations

A relation is defined as a set of <u>tuples</u>

#### Relational database schema

- A relational database schema consists of a set of schemas
- Relational database schema
  - = relational schemas + data constraints

#### Relational database

A relational database is a collection of <u>tables</u>

# Integrity constraints (ICs)

#### **Definitions**

- Integrity constraint
  - A condition that <u>restricts</u> the data that can be stored in database instance

### **Types**

- Domain constraints
  - Restrict attribute values of relations
- Key constraints
- Foreign key constraints
- Other general constraints

## Key constraints

### Superkey

- A superkey is a subset of attributes in a relation that <u>uniquely</u> <u>identifies</u> its tuples
  - No two distinct tuples of relation have the same values in all attributes of superkey

### Key

- A key is a superkey that satisfies the additional property
  - Not null & no <u>proper subset</u> of a key is a superkey
  - Minimal subset of attributes that uniquely identifies its tuples
- A relation can have <u>multiple keys</u>
  - These are called candidate keys
  - One of the candidate keys is then selected as the primary keys

# Foreign key constraints

### Foreign key

- A subset of attributes in a relation is a foreign key if it <u>refers to</u> <u>the primary key of a second relation</u>
- Foreign key constraints
  - Each foreign key value in referencing relation must either
    - Appear as primary key value in referenced relation, or
    - Be a null value
  - Referencing & referenced relations could be the same relation
  - Also called referential integrity constraints

# Relational algebra

Selection:  $\sigma_c$ 

•  $\sigma_c(R)$  selects tuples from relation R that satisfies selection condition c

Projection:  $\pi_{\ell}$ 

•  $\pi_{\ell}(R)$  projects attributes given by a list  $\ell$  of attributes from relation R

Renaming:  $\rho_{S(B_1,B_2,...,B_n)}(R)$ 

- $\circ \rho_{S(B_1,B_2,\ldots,B_n)}(R)$  renames  $R(A_1,A_2,\ldots,A_n)$  to  $S(B_1,B_2,\ldots,B_n)$ 
  - When the attributes are not renamed  $\rho_S(R)$
  - When the table is not renamed  $ho_{(B_1,B_2,...,B_n)}(R)$

# Relational algebra

Union:  $R \cup S$ 

• Returns a relation containing all tuples that occur in R, S, or both

#### Intersection: $R \cap S$

Returns a relation containing all tuples that occur in both R and S

#### Set-difference: R - S

- Returns a relation containing all tuples that occur in R but not in S
- ❖ Union (∪), intersection (∩), and set-difference (—) operators require input relations to be union compatible

### **Cross-product:** ×

- Consider a relation  $R_1(A, B, C)$  and  $R_2(X, Y)$
- $R_1 \times R_2$  returns a relation with schema (A, B, C, X, Y) defined as follows:

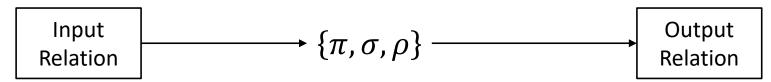
$$R_1 \times R_2 = \{(a, b, c, x, y) \mid (a, b, c) \in R_1, (x, y) \in R_2\}$$

Also known as cartesian product

# Closure properties

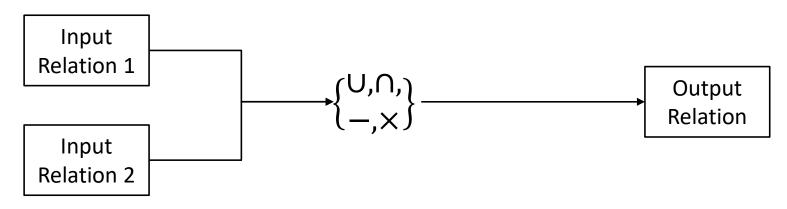
Closure of relation under unary operators (as diagrams)

 Unary operator takes in a <u>relation as input</u> and gives a <u>relation</u> as <u>output</u>



Closure of relation under binary operators (as diagrams)

 Binary operator takes in two <u>relations as inputs</u> and gives a <u>relation as output</u>



Null values

Create/drop table

Table modification

Constraint checking

#### Queries

Simple queries

### Overview

**Null values** 

Create/drop table

Table modification

Constraint checking

Queries

Simple queries

### Structured Query Language

#### Introduction

- Designed by D. Chamberlin & R. Boyce (IBM Research) in 1974
  - Original name: SEQUEL (Structured English QUEry Language)
- SQL is not a general-purpose language but a domain-specific language (DSL)
  - Designed for computations on relations
- Unlike relational algebra which is a <u>procedural language</u>, SQL is a <u>declarative language</u>
  - Focuses on <u>what</u> to compute instead of <u>how</u> to compute

### **Using SQL**

- Directly write SQL statements
  - Command line interface
    - PostgreSQL's psql
  - Graphical user interface
    - PostgreSQL's pgAdmin
- Included SQL in application programs
  - Statement-level interface (SLI)
    - Embdded SQL
    - Dynamic SQL
  - Call-level interface (CLI)
    - JDBC (Java DataBase Connectivity)
    - ODBC (Open DataBase Connectivity)

#### **About SQL**

- Current ANSI/ISO standard for SQL is called SQL:2016
  - Different DBMSs may have minor variations in SQL syntax
- SQL consists of two main parts:
  - Data Definition Language (DDL)
    - Used to create/delete/modify schemas
  - Data Manipulation Language
    - Used to ask queries/insert/delete/modify <u>data</u>

### Three-valued logic system

- TRUE
- FALSE
- UNKNOWN

X	у	x AND y	x OR y	NOT x
FALSE FALSE FALSE	FALSE UNKNOWN TRUE			
UNKNOWN UNKNOWN UNKNOWN	FALSE UNKNOWN TRUE			
TRUE TRUE TRUE	FALSE UNKNOWN TRUE			

### Three-valued logic system

- TRUE
- FALSE
- UNKNOWN

### **Operation**

- Result of comparison operation involving null value is <u>unknown</u>
- Result of arithmetic operation involving null value is <u>null</u>

**Example:** assume that the value of x is null

```
^{\circ} X < 100 \rightarrow
```

$$\circ$$
 x = null  $\rightarrow$ 

$$\circ$$
 x  $\leftrightarrow$  null  $\rightarrow$ 

$$\circ$$
 x + 20  $\rightarrow$ 

### Three-valued logic system

- TRUE
- FALSE
- UNKNOWN

#### Check

- How to check if a value is <u>equal</u> to null?
- Use the IS NULL comparison predicate

x	x IS NULL	x IS NOT NULL
null		
non-null		

### Three-valued logic system

- TRUE
- FALSE
- UNKNOWN

#### Check

- How to treat null values as ordinary values for comparison?
- Use the IS DISTINCT FROM comparison predicate

X	У	x IS DISTINCT FROM y
null		
null		
non-null		
non-null		

## **SQL** syntax

#### **Comments**

- Comments in SQL are preceded by two hyphens
- -- this is a single-line comment

### **C-style comments**

- SQL also supports C-style comments
- /\* this is also a comment
  and can be multi-line \*/

#### Grammar

- Keywords are in UPPERCASE, variables are in lowercase
- Optional components are in [ square brackets ]
- Choices are separated by |
- Non-optional choices are in { curly brackets }
- Possibly infinite repetitions are denoted by . . .

### **Create table syntax**

### **Drop table syntax**

```
DROP TABLE [ IF EXISTS ] table_name
```

Reference: https://www.postgresql.org/docs/11/sql-droptable.html

### **Examples**

```
CREATE TABLE Students (
    -- column1 data_type1
    -- column2 data_type2
    -- column3 data_type3
    -- etc
);
```

```
DROP TABLE Students;
```

### **Specifying constraints**

Domain constraints

### **Specifying constraints**

- Domain constraints
  - Built-in data types
  - Domain of each includes a special value null
  - Reference: <a href="https://www.postgresql.org/docs/11/datatype.html">https://www.postgresql.org/docs/11/datatype.html</a>

Data Type	Values
boolean	false/true
integer	signed four-byte integer
float8	double-precision floating-point number (8 bytes)
numeric	arbitrary-precision floating-point number
numeric(p,s)	maximum total of p digits with maximum of s in fractional part
char(n)	fixed-length string consisting of n characters
varchar(n)	variable-length string up to n characters
text	variable-length character string
date	calendar date (year, month, day)
timestamp	date and time

### **Examples**

```
CREATE TABLE Students (
   studentID     integer,
   name         varchar(100),
   birthDate     date
);
```

#### students

studentID	name	birthDate
3118	Alice	1999-12-25
3118	Trudy	1999-12-25
1423	Bob	2000-05-27
5609	Carol	1999-06-11

### **Specifying constraints**

Key constraints

### **Specifying constraints**

- Key constraints
  - Recap:
    - Superkey uniquely identifies its tuples
      - No two distinct tuples of relations have the same values in all attributes of superkey
    - Key minimal superkey
    - Candidate key if there are multiple keys
    - Primary key
       one is selected as primary key
      - Keyword: PRIMARY KEY

### **Examples**

```
CREATE TABLE Students ( -- column constraints
  studentID     integer PRIMARY KEY, -- one PK
  name         varchar(100),
  birthDate     date
);
```

#### **Students**

<u>studentID</u>	name	birthDate
3118	Alice	1999-12-25
3118	Trudy	1999-12-25
1423	Bob	2000-05-27
5609	Carol	1999-06-11

#### **Students**

<u>studentID</u>	name	birthDate
3118	Alice	1999-12-25
1423	Bob	2000-05-27
5609	Carol	1999-06-11

### **Examples**

```
CREATE TABLE Enrolls ( -- table constraints
    sid integer,
    cid integer,
    grade char(2),
    PRIMARY KEY(sid, cid) -- one or more PK
);
```

#### **Enrolls**

<u>sid</u>	<u>cid</u>	grade
3118	112	3.8
1423	101	4.0
1423	311	3.8
3118	112	4.0

#### **Enrolls**

<u>sid</u>	<u>cid</u>	grade
1423	101	4.0
1423	311	3.8
3118	112	4.0

### **Specifying constraints**

Foreign key constraints

### **Specifying constraints**

- Foreign key constraints
  - Recap:
    - Foreign key refers to primary key of second relation
      - Each foreign key value in referencing relation must either
        - Appear as primary key value in referenced relation, or
        - Be a null value
      - Referencing & referenced relations could be the same relation
      - Also called referential integrity constraints
      - Keyword: FOREIGN KEY and REFERENCES

#### **Examples**

#### **Students**

<u>studentID</u>	••	• •
3118	• •	• •
1423	••	••
5609	••	••

sid	cid	grade
1423	101	4.0
1423	311	3.8
3118	112	4.0
null	313	4.5
5609	null	5.0
null	null	0.0
1111	312	1.2

#### Courses

<u>courseID</u>	••	••
101	• •	• •
312	••	• •
112	••	••

### **Examples**

```
CREATE TABLE Enrolls (
        integer, -- assume Student_Course table
  sid
  cid
        integer, -- is defined
  FOREIGN KEY(sid,cid) REFERENCES
   Student_Course(studentID, courseID)
```

### );

#### **Student Course**

<u>studentID</u>	<u>courseID</u>
3118	112
1423	101
1423	312
5609	112

#### **Enrolls**

sid	cid	grade
1423	101	4.0
1423	311	3.8
3118	112	4.0
null	313	4.5
5609	null	5.0
null	null	0.0
1111	312	1.2

# **Constraint checking**

### Foreign key constraint violation

- Deletion/update of a referenced tuple could violate a foreign key constraint
- Specify action to deal with violation as part of a foreign key constraint declaration

```
FOREIGN KEY(...) REFERENCES ...

[ ON DELETE action ] [ ON UPDATE action ]
```

#### **Students**

<u>studentID</u>	• •	• •
3118	• •	• •
1423	••	••
5609	••	••

#### **Enrolls**

sid	cid	grade
1423	101	4.0
3118	112	4.0
5609	312	1.2

## **Constraint checking**

### Foreign key constraint violation

```
FOREIGN KEY(...) REFERENCES ...
[ ON DELETE action ] [ ON UPDATE action ]
```

#### **Actions**

• NO ACTION <u>rejects</u> delete/update if it violates

constraint (default option)

• RESTRICT similar to NO ACTION except that constraint

checking can't be deferred

• CASCADE <u>propagate</u> delete/update to referencing

tuple

SET DEFAULT <u>updates</u> foreign keys of referencing

tuples to some default value

• SET NULL <u>updates</u> to null value

## **Constraint checking**

### Foreign key constraint violation

```
FOREIGN KEY(...) REFERENCES ...
```

ON DELETE **SET NULL** ON UPDATE **CASCADE** 

- -- delete 3118
- -- change 1423 to 1444

#### **Students**

<u>studentID</u>	• •	• •
1444	••	• •
5609	• •	• •

#### **Enrolls**

sid	cid	grade
1444	101	4.0
null	112	4.0
5609	312	1.2

### **Specifying constraints**

Other general constraints

### **Specifying constraints**

- Other general constraints
  - Not-null constraints keyword: NOT NULL
  - Unique constraints keyword: UNIQUE
    - Check any two records  $x, y \in \text{table}$  such that x. column <> y. column
  - General constraints keyword: CHECK
  - Constraint is violated if it evaluates to FALSE
- Default values
  - Specify default values keyword: DEFAULT

### **Examples: not-null**

```
CREATE TABLE Students (
  studentID
               integer,
               varchar(100) NOT NULL,
  name
  birthDate date
);
CREATE TABLE Students (
  studentID
               integer,
               varchar(100),
  name
               date,
  birthDate
  CHECK (name IS NOT NULL)
```

### **Examples: unique**

<u>studentID</u>	name	birthDate
3118	Alice	1999-12-25
3119	Alice	1999-12-25
1423	Bob	2000-05-27
5609	Carol	1999-06-11

### **Examples:** unique

```
CREATE TABLE Students (
  studentID integer,
  name varchar(100),
  birthDate date,
  UNIQUE (studentID, name)
);
```

<u>studentID</u>	name	birthDate
3118	Alice	1999-12-25
3119	Alice	1999-12-25
1423	Bob	2000-05-27
1423	Bob	1999-06-11

### **Examples:** general

```
CREATE TABLE Students (
  studentID integer,
  name varchar(100),
  birthDate date,
  CHECK (studentID > 2000)
);
```

<u>studentID</u>	name	birthDate
3118	Alice	1999-12-25
3119	Alice	1999-12-25
1423	Bob	2000-05-27
5609	Carol	1999-06-11

### **Examples: default values**

#### **Assertions**

- Complex constraints
  - Multi-table constraints
  - Example:
    - Every student in students table must be enrolled in at least two and at most five courses in enrolls table
  - Limitation
    - Create assertion statement is not implemented in many DBMSs
    - We can use triggers to enforce complex constraints
      - Lecture 06

### **Insert into syntax**

```
INSERT INTO table_name
[ ( column_name [, ...] ) ]
VALUES ( { expression | DEFAULT } [, ...] );
Delete from syntax
DELETE FROM table_name
[ WHERE condition ];
Update syntax
UPDATE table name
SET column_name = { expression | DEFAULT }
[ WHERE condition ];
```

### **Example**

```
CREATE TABLE Students (
   studentID
                integer PRIMARY KEY,
                varchar(100),
   name
                varchar(20) DEFAULT 'CS'
   dept
 INSERT INTO Students VALUES (12345, 'Alice', 'Eng');
 INSERT INTO Students (studentID) VALUES (23456);
INSERT INTO Students (studentID) VALUES (12345);
```

<u>studentID</u>	name	dept
12345	Alice	Eng
23456	null	CS

#### **Example**

```
INSERT INTO Students VALUES (34567, 'Bob', 'Eng');
INSERT INTO Students (dept, name, studentID)
VALUES ('Maths', 'Carol', 45678);
DELETE FROM Students WHERE dept='Eng';
DELETE FROM Students; -- remove all
INSERT INTO Students VALUES (12345, 'Alice', 'Eng');
INSERT INTO Students (studentID) VALUES (23456);
```

<u>studentID</u>	name	dept
12345	Alice	Eng
23456	null	CS
<del>34567</del>	Bob	<del>Eng</del>
<del>45678</del>	Carol	Maths

#### **Example**

```
UPDATE Students SET name = 'Bob' WHERE dept = 'CS';
UPDATE Students SET studentID = studentID + 1;
-- update all
```

#### students

<u>studentID</u>	name	dept
12346	Alice	Eng
23457	Bob	CS

## Schema modification

#### Alter table

- Add/remove/modify columns
  - ALTER TABLE Students ALTER COLUMN dept DROP DEFAULT;
  - ALTER TABLE Students DROP COLUMN dept;
  - ALTER TABLE Students ADD COLUMN faculty varchar(20);
  - etc
- Add/remove constraints
- etc

### Structured Query Language

Null values

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#### Queries

Simple queries

### Queries

### **Basic syntax**

Basic form of SQL query consists of <u>three clauses</u>

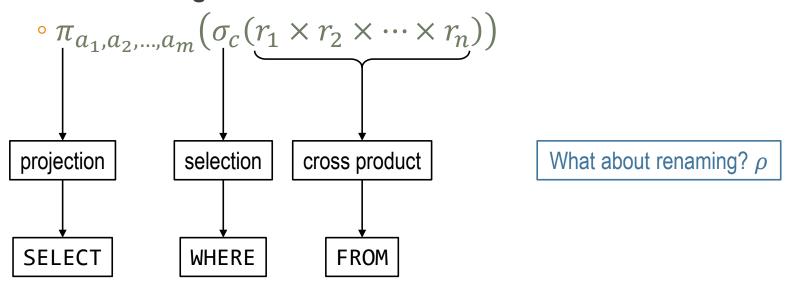
- Output: relation generated from from\_list containing attributes based on select\_list that satisfies condition
  - Output relation could contain duplicate record if DISTINCT is not used in the SELECT clause

### **Basic syntax**

Basic form of SQL query consists of <u>three clauses</u>

```
SELECT DISTINCT a_1, a_2, ..., a_m -- select clause FROM r_1, r_2, ..., r_n -- from clause c -- where clause
```

Relational algebra form

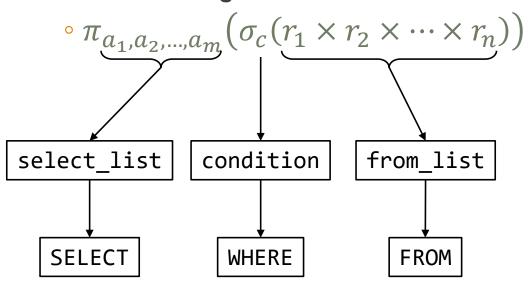


### **Basic syntax**

Basic form of SQL query consists of <u>three clauses</u>

```
SELECT DISTINCT a_1, a_2, ..., a_m -- select clause FROM r_1, r_2, ..., r_n -- from clause c -- where clause
```

Relational algebra form



### **Example**

- Find the names of restaurants, the pizzas that they sell, and their prices, where the price is under \$20
- Deconstruct problem
  - Find what?
  - From which relation?
  - What condition?
- Construct query

#### Output

<u>rname</u>	<u>pizza</u>	price
Corleone Corner	Margherita	19
Gambino Oven	Siciliana	16
Pizza King	Diavola	17

#### **Sells**

<u>rname</u>	pizza	price
Corleone Corner	Diavola	24
Corleone Corner	Hawaiian	25
Corleone Corner	Margherita	19
Gambino Oven	Siciliana	16
Lorenzo Tavern	Funghi	23
Mamma's Place	Marinara	22
Pizza King	Diavola	17
Pizza King	Hawaiian	21

### **Example**

- Find the names of restaurants, the pizzas that they sell, and their prices, where the price is under \$20
- Construct query

```
SELECT rname, pizza, price FROM Sells
WHERE price < 20;
```

Alternative query

```
SELECT *
FROM Sells
WHERE price < 20;
```

#### Output

<u>rname</u>	pizza	price
Corleone Corner	Margherita	19
Gambino Oven	Siciliana	16
Pizza King	Diavola	17

#### **Sells**

<u>rname</u>	pizza	price
Corleone Corner	Diavola	24
Corleone Corner	Hawaiian	25
Corleone Corner	Margherita	19
Gambino Oven	Siciliana	16
Lorenzo Tavern	Funghi	23
Mamma's Place	Marinara	22
Pizza King	Diavola	17
Pizza King	Hawaiian	21

#### **Example**

- Find all restaurants, the pizzas that they sell, and their prices, where (1) either the price is under \$20 or the pizza is "Marinara", and (2) the pizza is not "Diavola"
- Construct query

```
SELECT rname, pizza, price -- or simply *
```

FROM Sells

WHERE (price < 20 OR pizza = 'Marinara')

Sells

AND pizza <> 'Diavola';

#### **Output**

<u>rname</u>	<u>pizza</u>	price
Corleone Corner	Margherita	19
Gambino Oven	Siciliana	16
Mamma's Place	Marinara	22

<u>rname</u>	<u>pizza</u>	price
Corleone Corner	Diavola	24
Corleone Corner	Hawaiian	25
Corleone Corner	Margherita	19
Gambino Oven	Siciliana	16
Lorenzo Tavern	Funghi	23
Mamma's Place	Marinara	22
Pizza King	Diavola	17
Pizza King	Hawaiian	21

#### **Removing duplicates**

- o q1: SELECT a, c FROM r;
- q2: SELECT DISTINCT a, c FROM r;

r		
а	b	С
10	1	2
10	7	2
20	3	Null
20	9	Null
30	3	2
30	5	9

q1		
а	c	
10	2	
10	2	
20	Null	
20	Null	
30	2	
30	9	

q2		
а	С	
10	2	
20	Null	
30	2	
30	9	

- Two tuples  $(a_1, a_2, ..., a_n)$  and  $(b_1, b_2, ..., b_n)$  are considered to be distinct if the following evaluates to TRUE:
  - $(a_1 \text{ IS DISTINCT FROM } b_1)$  or  $(a_2 \text{ IS DISTINCT FROM } b_2)$  or ... or  $(a_n \text{ IS DISTINCT FROM } b_n)$

### Renaming column

o q: SELECT item, price \* qty AS cost FROM Orders;

**Orders** 

item	price	qty
Α	2.50	100
В	4.00	100
С	7.50	100

\_\_\_

item	Cost
Α	250.00
В	400.00
С	750.00

#### **Renaming values**

Sells

<u>rname</u>	<u>pizza</u>	price
Corleone Corner	Diavola	24
Corleone Corner	Hawaiian	25
Corleone Corner	Margherita	19
Gambino Oven	Siciliana	16
Lorenzo Tavern	Funghi	23
Pizza King	Hawaiian	21

q

menu	
Price of	Diavola is 18 USD
Price of	Hawaiian is 19 USD
Price of	Margherita is 15 USD

## **Summary**

SQL is the standard query language for relational DBMS ☐ Table creation CREATE TABLE table\_name Table removal DROP TABLE table\_name Modification ALTER TABLE table\_name Insert INSERT INTO table\_name VALUES ( .. ) Delete DELETE FROM table\_name WHERE .. ☐ Update UPDATE table\_name SET .. WHERE .. Queries SELECT DISTINCT  $a_1, a_2, ..., a_m$ FROM  $r_1, r_2, \dots, r_n$ WHERE  $\square \pi_{a_1,a_2,\dots,a_m} (\sigma_c(r_1 \times r_2 \times \dots \times r_n))$