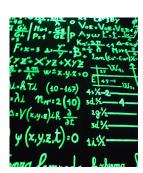
## Open-circuit voltage and state of charge



- Models are (sets of) equations that describe something
- We begin to study battery models by building up behavioral/ phenomenological analogs using common circuit elements
- Resulting "equivalent circuit" models:
  - □ Help give feeling for how cells respond to different usage scenarios, and
  - □ Are the basis for the BMS algorithms studied in this specialization



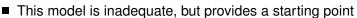
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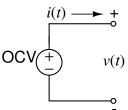
#### Open-circuit voltage



- We start with simplest possible model: ideal voltage source
- In this model, v(t) = OCV
  - Voltage is not a function of current
  - □ Voltage is not a function of past usage
  - □ Voltage is constant... period



- □ Batteries **do** supply a voltage to a load
- □ And, when the cell is unloaded and in complete equilibrium (i.e., "open circuit"), the voltage is fairly predictable
- ☐ An ideal voltage source will be part of our ECM



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2.1.2: How do we model open-circuit voltage (OCV) and state-of-charge (SOC)?

# State of charge and total capacity



- When a cell is fully charged, its open-circuit voltage is higher than when it is discharged
- So, can improve model by including dependence on cell's charge status
- We define the state of charge (SOC) z(t) of a cell to be:
  - $\Box z = 100\%$  when the cell is fully charged
  - $\Box z = 0\%$  when the cell is fully discharged
- Also define total capacity *Q* to be total amount of charge removed when discharging from z = 100 % to z = 0 %
  - Q is usually measured in Ah or mAh.



## Modeling state of charge



■ Can model SOC as (where  $\dot{z} = dz/dt$ )

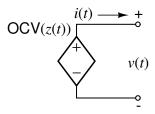
$$\dot{z}(t) = -i(t)/Q$$

$$z(t) = z(t_0) - \frac{1}{O} \int_{t_0}^t i(\tau) d\tau,$$

where the sign of i(t) is positive on discharge

In discrete time, if we assume that current is constant over sampling interval  $\Delta t$ ,

$$z[k+1] = z[k] - \frac{\Delta t}{Q}i[k]$$



$$v(t) = OCV(z(t))$$
  
 $v[k] = OCV(z[k])$ 

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## Coulombic efficiency



■ Cells are not perfectly efficient: can accommodate by

$$\dot{z}(t) = -i(t)\eta(t)/Q$$
  
$$z[k+1] = z[k] - i[k]\eta[k]\Delta t/Q$$

- $\square$  "Coulombic efficiency"  $\eta[k] < 1$  on charge, as some charge is typically lost due to unwanted side reactions
- $\square$  We usually model  $\eta[k] = 1$  on discharge
- Coulombic (or charge) efficiency ≠ energy efficiency
  - $\Box$  Coulombic efficiency = (charge out)/(charge in), often around 99 % in Li-ion
  - $\Box$  Energy efficiency = (energy out)/(energy in), is often closer to 95 \%
    - Energy lost in resistive heating, but charge is not lost

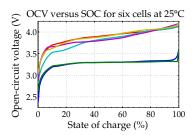
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2.1.2: How do we model open-circuit voltage (OCV) and state-of-charge (SOC)?

# Open-circuit voltage



- OCV plotted vs. SOC for six lithium-ion chemistries
- Note that OCV is also a function of temperature—we can include that in the model as OCV(z(t), T(t)).
- Also note that "depth of discharge" or DOD is the inverse of SOC:
  - $\square$  DOD = 1 SOC if expressed as a fraction
  - $\Box$  Sometimes expressed in Ah: DOD = Q(1 SOC)
- So, can plot OCV curves vs. DOD as well as SOC



#### Summary



- We have started to develop a model of lithium-ion cells
- So far, model has state-of-charge and voltage equations

$$\dot{z}(t) = -i(t)\eta(t)/Q \qquad \text{or} \qquad z[k+1] = z[k] - i[k]\eta[k]\Delta t/Q$$

$$v(t) = \mathsf{OCV}(z(t)) \qquad \qquad v[k] = \mathsf{OCV}(z[k])$$

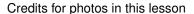
- Capacity Q is amount of charge stored between z = 0% and z = 100%
- Coulombic efficiency  $0 \ll \eta \lesssim 1$ , different from energy efficiency
- Open-circuit voltage (OCV) is at-rest voltage of cell at different SOCs, will depend on chemistry of cell being modeled

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2.1.2: How do we model open-circuit voltage (OCV) and state-of-charge (SOC)?

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