

#### Hochschule Bonn-Rhein-Sieg University of Applied Sciences



# Analysis of Active Learning Mechanism Applied to Language Models for Computer Assisted Short Answer Grading

September 27, 2022

Elanton Fernandes

Advisors

Prof. Dr. Paul G. Plöger, M.Sc Tim Metzler

## **Table of Contents**

- 1. Motivation
- 2. Problem Statement
- 3. State of the Art
- 4. Approach
- 5. Evaluation
- 6. Results
- 7. Summary
- 8. Future Work
- 9. Extra Slides







## **Motivation**

In universities with an increase in number of student every semester, the number of tests conducted also increases. This means that:

- The professor spends more time in correcting student exams than preparing for lectures.
- If students are not assigned full scores for on a test, they expect a meaningful feedback from the professor.



### **Motivation**

#### Consider the following dummy scenario:

- 80 students enrolled in a class.
- Tests are conducted bi-weekly.
- Professor requires 15 minutes to evaluate one student test.
- Total time spent by the professor to evaluate all tests per week is 10 hours.



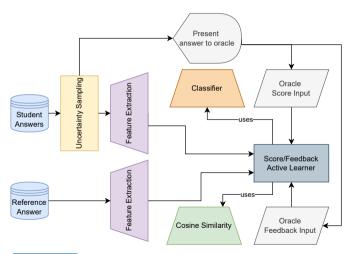


## **Problem Statement**

- To automate the evaluation of student tests while still keeping the oracle/professor in the loop.
- Allow the assignment of meaningful feedback to student answers indicating their mistakes.



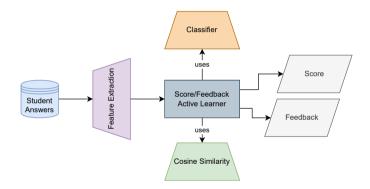
## Training cycle







## Prediction cycle





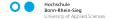


Uncertainty Sampling

Uncertainty sampling is a query strategy that queries the instances about which it is least certain how to label. We use uncertainty sampling variant might query the instance whose prediction is the least confident:

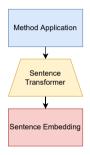
$$x_{LC} = argmin_x P(\hat{y}|x;\theta) \tag{1}$$

Where x is the feature, y is the class label prediction, and  $\hat{y} = argmax_y P(y|x;\theta)$  is the class label that has the largest posterior probability using model  $\theta$ .





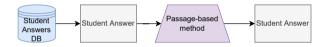
#### Feature Extraction: Overview





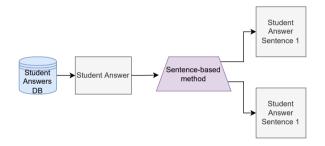


Feature Extraction: Passage-based method





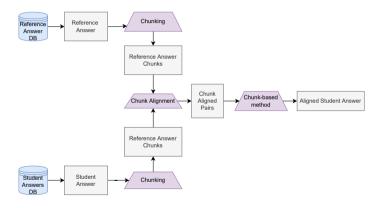
#### Feature Extraction: Sentence-based method







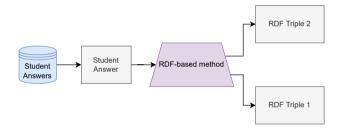
#### Feature Extraction: Chunk-based method







Feature Extraction: RDF-based method







#### Language Models

| Language Model:               | Base model                        | Number          |
|-------------------------------|-----------------------------------|-----------------|
|                               |                                   | Training tuples |
| all-mpnet-base-v2[ <b>?</b> ] | microsoft/mpnet-base.             | 1.17B           |
| all-distilroberta-v1[?]       | distilroberta-base                | 1.12B           |
| all-MiniLM-L12-v2[?]          | microsoft/MiniLM-L12-H384-uncased | 1.17B           |
| multi-qa-distilbert-cos-v1[?] | distilbert-base                   | 214M            |
| all-MiniLM-L6-v2[?]           | nreimers/MiniLM-L6-H384-uncased   | 1.17B           |

Table 1: Displays pre-trained language models with their base model used in training and number of training tuples used[?].





## **Evaluation**

Score

Pearsons Correlation

$$\rho(y, \hat{y}) = \frac{cov(y, \hat{y})}{\sigma_y \sigma_{\hat{y}}} \tag{2}$$

RMSE Score

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (\hat{y}_i - y_i)^2}$$
 (3)

Where y represents actual grade and  $\hat{y}$  represents predicted grade with  $\sigma_y$  and  $\sigma_{\hat{y}}$  computed as the standard deviation of y and  $\hat{y}$ 





## **Evaluation**

#### Feedback

| Question         | What is a variable?                                    |
|------------------|--|
| Reference Answer | A location in memory that can store a value.           |
| Student Answer   | a value/word that can assume any of a set of values    |
| Feedback A       | correct  |
| Feedback B       | missing keywords: location in memory                   |
| Feedback C       | A variable is a location in memory that stores a value |

Table 2: Presented survey to participants.

$$Agreement\ Score = \tfrac{Model\ generated\ most\ rated\ feedback}{Total\ Number\ of\ Participants}$$





#### **Notations**

| Method                | Notation |
|-----------------------|----------|
| Passage-based Methods | M1       |
| Sentence-based Method | M2       |
| Chunk-based Method    | М3       |
| RDF-based Method      | M4       |

| Language Model             | Notation |
|----------------------------|----------|
| all-mpnet-base-v2          | LM1      |
| all-distilroberta-v1       | LM2      |
| all-MiniLM-L12-v2          | LM3      |
| multi-qa-distilbert-cos-v1 | LM4      |
| all-MiniLM-L6-v2           | LM5      |



Score: Pearson Correlation (Methods)

| Dataset     | M1    | M2    | M3    | M4    |
|-------------|-------|-------|-------|-------|
| Mohler []   | 0.826 | 0.791 | 0.816 | 0.782 |
| NN Exam []  | 0.941 | 0.828 | 0.561 | 0.846 |
| AMR Exam [] | 0.658 | 0.458 | 0.640 | 0.428 |

(a)

| Dataset     | M1    | M2    | M3    | M4    |
|-------------|-------|-------|-------|-------|
| Mohler []   | 0.689 | 0.627 | 0.687 | 0.792 |
| NN Exam []  | 0.889 | 0.791 | 0.638 | 0.664 |
| AMR Exam [] | 0.622 | 0.474 | 0.593 | 0.428 |

Table 3: Comparison of Pearson Correlation between Random Forest (a) and AdaBoost (b) classifiers. Where M1: Passage-based, M2: Sentence-based, M3:Chunk-based, and M4: RDF-based method.





Score: Pearson Correlation (Language Models)

| Dataset     | LM1   | LM2   | LM3   | LM4   | LM5   |
|-------------|-------|-------|-------|-------|-------|
| Mohler []   | 0.802 | 0.797 | 0.796 | 0.796 | 0.789 |
| NN Exam []  | 0.732 | 0.670 | 0.705 | 0.755 | 0.760 |
| AMR Exam [] | 0.453 | 0.518 | 0.525 | 0.523 | 0.503 |

(a)

| Dataset     | LM1   | LM2   | LM3   | LM4   | LM5   |
|-------------|-------|-------|-------|-------|-------|
| Mohler []   | 0.659 | 0.673 | 0.211 | 0.544 | 0.499 |
| NN Exam []  | 0.614 | 0.653 | 0.704 | 0.698 | 0.605 |
| AMR Exam [] | 0.502 | 0.440 | 0.430 | 0.508 | 0.467 |

Table 4: Comparison of Pearson Correlation between Random Forest (a) and AdaBoost (b) classifiers with language models (LM).





Score: Root Mean Square Error (Methods)

| Dataset     | M1    | M2    | МЗ    | M4    |
|-------------|-------|-------|-------|-------|
| Mohler []   | 0.893 | 0.949 | 0.920 | 0.942 |
| NN Exam []  | 0.296 | 0.520 | 0.433 | 0.522 |
| AMR Exam [] | 0.596 | 0.716 | 0.596 | 0.736 |

(a)

| Dataset     | M1    | M2    | МЗ    | M4    |
|-------------|-------|-------|-------|-------|
| Mohler []   | 1.218 | 1.226 | 1.169 | 0.920 |
| NN Exam []  | 0.405 | 0.571 | 0.495 | 0.741 |
| AMR Exam [] | 0.616 | 0.707 | 0.630 | 0.741 |

Table 5: Comparison of RMSE score between Random Forest (a) and AdaBoost (b) classifiers with methods (M).





Score: Root Mean Square Error (Language Models)

| Dataset     | LM1   | LM2   | LM3   | LM4   | LM5   |
|-------------|-------|-------|-------|-------|-------|
| Mohler []   | 0.931 | 0.941 | 0.941 | 0.941 | 0.956 |
| NN Exam []  | 0.484 | 0.591 | 0.558 | 0.490 | 0.492 |
| AMR Exam [] | 0.735 | 0.680 | 0.676 | 0.684 | 0.698 |

(a)

| Dataset     | LM1   | LM2   | LM3   | LM4   | LM5   |
|-------------|-------|-------|-------|-------|-------|
| Mohler []   | 1.182 | 1.163 | 1.667 | 1.278 | 1.363 |
| NN Exam []  | 0.632 | 0.582 | 0.587 | 0.587 | 0.650 |
| AMR Exam [] | 0.692 | 0.748 | 0.718 | 0.682 | 0.736 |

(b)

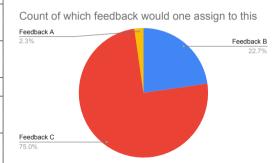
Table 6: Comparison of RMSE score between Random Forest (a) and AdaBoost (b) classifiers with language models (LM).





Feedback: Survey Results

| Question         | What is a variable?           |  |  |
|------------------|-------------------------------|--|--|
| Reference Answer | A location in memory          |  |  |
|                  | that can store a value.       |  |  |
| Student Answer   | a value/word that can         |  |  |
|                  | assume any of a set of values |  |  |
| Feedback A       | correct                       |  |  |
| Feedback B       | missing keywords:             |  |  |
|                  | location in memory            |  |  |
| Feedback C       | A variable is a location      |  |  |
|                  | in memory that stores a value |  |  |







Feedback: Agreement Scores (Methods)

|                      | M1    | M2    | М3    | M4    |
|----------------------|-------|-------|-------|-------|
| Mean Agreement Score | 60.00 | 22.73 | 31.82 | 35.91 |

(a)

|                      | M1    | M2    | МЗ    | M4    |
|----------------------|-------|-------|-------|-------|
| Mean Agreement Score | 60.00 | 22.73 | 31.82 | 35.91 |

Table 7: Mean agreement scores for Random Forest (a) and AdaBoost Classifier (b) with methods.





Feedback: Agreement Scores (Models)

| Classifier    | LM1   | LM2   | LM3   | LM4   | LM5   |
|---------------|-------|-------|-------|-------|-------|
| Random Forest | 25.11 | 26.82 | 24.66 | 37.05 | 21.25 |
| AdaBoost      | 25.11 | 26.82 | 24.66 | 37.05 | 21.25 |

Table 8: Mean agreement scores for Random Forest and AdaBoost Classifier with Language Models.



Summary: Scores

| Dataset  | Method | Model | CL |
|----------|--------|-------|----|
| Mohler   | M1     | LM1   | RF |
| NN Exam  | M1     | LM5   | RF |
| AMR Exam | M1     | LM3   | RF |

Table 9: Pearson Correlation Performance Summary

| Dataset  | Method Model |          | CL |
|----------|--------------|----------|----|
| Mohler   | M3           | LM1& LM4 | RF |
| NN Exam  | M1           | LM2      | RF |
| AMR Exam | M1& M3       | LM3      | RF |

Table 10: RMSE Score Performance Summary





#### Feedback

| Dataset | Method | Model | Method-Model | Classifier |
|---------|--------|-------|--------------|------------|
| Mohler  | M1     | LM4   | M1-LM4       | RF         |

Table 11: Results of feedback evaluation



## **Summary**

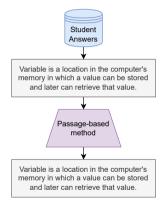
 Passage-based method and multi-qa-distilbert-cos-v1 model worked best for feedback assignment.





# **Approach: Extra Slides**

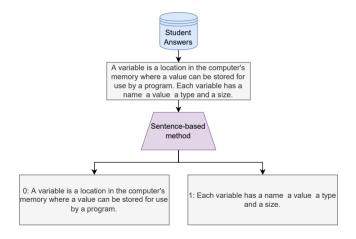
Feature Extraction: Passage-based method







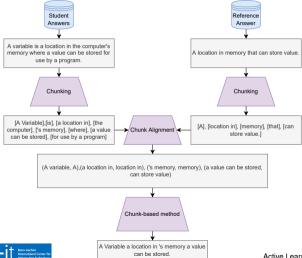
#### Feature Extraction: Sentence-based method







#### Feature Extraction: Chunk-based method







#### Feature Extraction: RDF-based method

