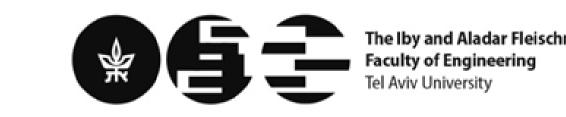


Facial Recognition Biometric System



Project Number: 21-0-203

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Advisor: Yaniv Julian

Introduction

Development

The Facial Recognition Biometric System is a final project in Tel Aviv University's Faculty of Engineering. It was developed in collaboration with Amdocs. In this project we developed a biometric system that uses a facial recognition algorithm we developed.

The system was designed to be a real-time attendance system for a large corporation.

The facial recognition algorithm is used to identify the employees as they come to the office and register their attendances.

If a face didn't pass the facial recognition threshold, the live feed GUI allows the employee to identify manually using his personal details.

The system contains 3 types of employees: standard employees, admins and a CTO. Each employee has different credentials for accessing the REST API.

The system's main functionalities are:

1. Live feed that recognized employees and registers their attendances to the database, The live feed uses the facial recognition algorithm.

OpenCV 2. REST API that enables the system to be interacted with by sending HTTP requests and get information about the employees, their images, their attendances and the facial recognition algorithm

The dataset chosen for this project is "Labeled Faces in the Wild" which includes 13,233 images of 5,749 people. Images of the 2 developers, Elad Gashri and Yonatan Jenudi, were also added to the dataset. The people in the dataset represent the employees of the company. The preprocessing on the dataset was done with Python, Numpy, Pandas and

The facial recognition algorithm was developed with Python and PyTorch. The algorithm was embedded in Java using "Deep Java Library" (DJL).

The database was designed as a NoSQL document oriented database and was developed using MongoDB. The database includes 3 collections: employees, images and attendances. Each document in the images and attendances collections includes a reference to a document in the employees collection: employee id.

The Live Feed was developed with Java. The GUI that interacts with the employee in the Live Feed was developed with the Java library Swing. The REST API was developed with Java, Spring Boot and Spring Security.

id: String employee id: Integer employee number: Integer employee id: Intege name: String date: Date ace indexes: images directory path: String 0: Integer branch: Integer Integer 3: Integer employee type: Integer number of images: Integer ecognized by model: Boolean Model class: Integer uploaded: hour: Integer included in model: Boolean minute: Integer date: Date

minutes: Integer

seconds: Integer

Camera

Stand

LiveFeedStand

LifeFeedStandGUI

(MVC)

Live Feed

The live feed contains to 2 parts: camera stand and facial recognition algorithm stand. There could be multiple camera stands and there is always 1 algorithm stand. a camera stand sends an identification requests to the algorithm stand, which returns the identification results. The communication is based on the UDP protocol. UDP was chosen a live feed requires low-latency and can tolerate a packets losses, because many frames are taken.

The camera stand code was designed for there to be minimal hardware requirements. A camera standshould be equipped with a camera, an internet connection and a device that can perform simple face detection. The Facial recognition algorithm stand was designed to be multithreaded in order to be able to handle requests from multiple camera stands simultaneously. It includes 2 thread pools.

When an employee approaches the camera his face will be detected. A CapturedFrame object will be initialized with his frame. CapturedFrame is the class that is consumed by the algorithm. The CapturedFrame object is then send via UDP to the algorithm stand.

When the UDP packets arrive to the algorithm stand they are enqueued to a multithreaded queue. FramesAnalyzer threads dequeue them. The FramesAnalyzer thread performs facial identification using the algorithm, sets the results to the object (face either recognized or not) and sends the CapturedFrame object back to the camera stand it came from. the camera stand displays the result with a GUI that was implemented with the MVC architecture.

The FramesAnalyzer thread also enqueues the CapturedFrame object to another multithreaded queue from which EntryRegister thread dequeue. The EntryRegister thread checks if a face has been identified, and if so, the thread registers the employee attendance to the database.

If a face has been recognized the employee entry is registered to the database

LifeFeedStandGUI

(MVC)

sets GUI if a face was recognized or

an identification by ID is needed

Facial Recognition Algorithm

OpenCV. For the augmentation process we used the Albumentations library.

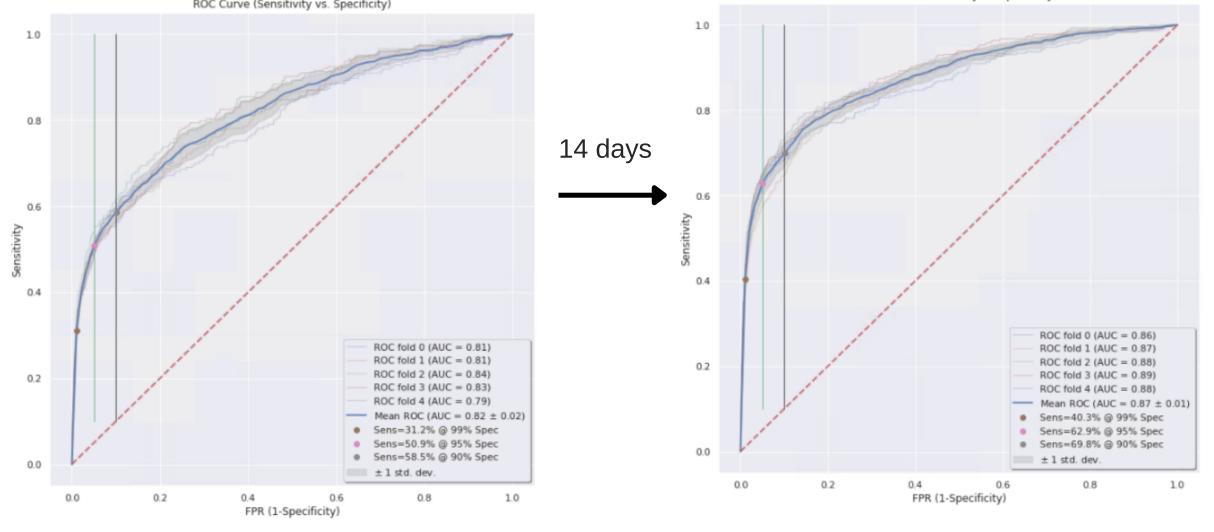
We used the pre-trained inception Resnet algorithm that was trained on VGGFace2 dataset. The model is based on the Inception architecture and uses a variety of different layers to optimize its recognition capabilities: Convolutional layers (CNN), Max-pool layers, Dropout layers and fully connected layers.

The model is designed to get better over time. We implemented a few algorithms that make sure its learning capabilities will be effective on their own:

- 1. The model trains from scratch Every night the model queries all the images from the database. These contain old images of employees who were already recognized by the model and new images of people the model did not recognize. The model trains on these images, and by the morning, the new trained model will be set to the system while keeping a high specificity.
- 2. Reduce on the plateau -The model knows on its own when to reduce its learning rate and optimize its performance.
- 3. Early stopping The model knows when to stop the learning process and to save the state when his performance is at its best.
- 4. Weighted Random Sampler The model knows how to handle data imbalance (a problem where one employee has more images than others) and by doing so avoids over-fitting over one employee.
- 5. Augmentation When the model learns, it will never see the same image more than once. We invested a lot of time choosing various augmentations techniques to ensure it will know what matters when trying to recognize an employee.

All these features ensure that the system can run independently without someone having to manually maintain the system.

We can see in these ROC-AUC curves how to model improved after 14 days:



REST API

The API allows performing CRUD operations on the system's database, receiving an attendance report for each employee in a chosen date range and interacting with the facial recognition algorithm.

A standard employee can only access data about themselves from the API. An admin can access data about all the employees. The CTO can also get a prediction from the facial recognition algorithm and train the algorithm.

The API was designed with the 3 layer architecture. Each endpoint was implemented with 3 classes:

- 1. Controller the presentation layer. It is responsible for correctly routing each HTTP request to the correct Service Recognition method and returning the correct information and HTTP status codes.
- 2. Service the business logic layer.
- 3. Repository the data access layer. It includes access to the database for retrieving, inserting, updating and deleting data.

The REST API endpoints are:

Login: POST /login

Identify employee in image: POST /model/identification

Train the model: POST /model/training

Get personal details: GET /personal

Get personal images: GET /personal/images

<u>Get a personal image</u>: *GET /personal/images/{image-id}*

Get branch employees: GET /branch-employees

Get attendance report: GET /attendances?employee-number={employee-number}&start-date={start-date}&end-date={end-date}

Add or edit entry: PUT /attendances/entry Add or edit exit: PUT /attendances/exit

Get employee: GET /employees/{employee-number} <u>Search employee</u>: GET /employees/search?name={name}

<u>Verify employee</u>: *GET /employees/verification?id={id}&employee-number={employee-number}*

Add employee: POST /employees

<u>Update employee</u>: *PUT /employees/{employee-number}*

<u>Get employee images</u>: *GET /employees/{employee-number}/images*

<u>Delete employee</u>: *DELETE /employees/{employee-number}*

Get employee image: GET /employees/{employee-number}/images/{image-id}

Add image: POST /employees/{employee-number}/images <u>Delete image</u>: DELETE /employees/{employee-number}/images/{image-id}

Facial EntryRegister EntryRegister EntryRegister EntryRegister Thread Pool **Algorithm** Stand The CapturedFrame object is enqueued to a multithreaded queue and dequeued by an EntryRgister thread Identification by algorithm FramesAnalyzer FramesAnalyzer FramesAnalyzer FramesAnalyzer Thread Pool Received packets are enqueued to a multithreaded queue and dequeued by a FramesAnalyzer thread If a face is detected a CapturedFrame Camera Camera Stand Stand

LifeFeedStandGUI

(MVC)