

Tracking Progress in Style Transfer: from Human to Automatic Evaluation

Eleftheria Briakou

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Internal Report, Center "Leo Apostel", Free University of Brussels, 1999 (© Heylighen & Dewaele, 1999)

Formality of Language: definition, measurement and behavioral determinants

FRANCIS HEYLIGHEN* & JEAN-MARC DEWAELE**

*Center "Leo Apostel", Free University of Brussels, Pleinlaan 2, B-1050 Brussels, Belgium; fheyligh@vub.ac.be;,http://pespmc1.vub.ac.be/HEYL.html

** Birkbeck College, University of London, 43 Gordon Square, WC1H 0PD London, United Kingdom; j.dewaele@french.bbk.ac.uk

ABSTRACT. A new concept of formality of linguistic expressions is introduced and argued to be the most important dimension of variation between styles or registers. Formality is subdivided into "deep" formality and "surface" formality. Deep formality is defined as avoidance of ambiguity by minimizing the context-dependence and fuzziness of expressions. This is achieved by explicit and precise description of the elements of the context needed to disambiguate the expression. A formal style is characterized by detachment, accuracy, rigidity and heaviness; an informal style is more flexible, direct, implicit, and involved, but less informative. An empirical measure of formality, the F-score, is proposed, based on the frequencies of different word classes in the corpus. Nouns, adjectives, articles and prepositions are more frequent in formal styles; pronouns, adverbs, verbs and interjections are more frequent in informal styles. It is shown that this measure, though coarse-grained, adequately distinguishes more from less formal genres of language production, for some available corpora in Dutch, French, Italian, and English. A factor similar to the F-score automatically emerges as the most important one from factor analyses applied to extensive data in 7 different languages. Different situational and personality factors are examined which determine the degree of formality in linguistic expression. It is proposed that formality becomes larger when the distance in space, time or background between the interlocutors increases, and when the speaker is male, introverted or academically educated. Some empirical evidence and a preliminary theoretical explanation for these propositions is



"style is an intuitive notion involving the manner in which something is said"

McDonald and Pustejovsky. 1985

01/26

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Journal of Pragmatics 11 (1987) 689-719 North-Holland 689

GENERATING NATURAL LANGUAGE UNDER PRAGMATIC CONSTRAINTS

Eduard HOVY*

Though much work in natural language generation remains to be done with regard to syntax, the main stumbling block that prevents existing generators from easily producing coherent paragraphs is our lack of understanding of text planning. To remedy this, we should view generations preeminently as a planning task; that is, we should study the goals that underlie text production, the plans that help achieve these goals, and the ways the plans can interact with grammar. A clue to the nature of these goals is the fact that people say the same thing in various ways. They can vary the content and form of their text when they want to convey more information than is contained in the literal meanings of their words. This information expresses the speaker's interpersonal goals toward the hearer and, in general, his perception of the pragmatic aspects of the conversation. This paper identifies goals that arise from pragmatic aspects of the conversation, plans and strategies to achieve them, and how they constrain the decisions a generator has to make during the realization process. To illustrate some of these ideas, a computer program is described which produces stylistically appropriate texts from a single representation under various settings that model pragmatic circumstances.

1. The problem

It is straightforward to write a language generation program that produces impressive text by associating a sentence template (or some equivalent general grammatical form) with each representational item and then using a grammar to realize the template into surface form. Such a program, however, is not sensitive to anything but the input items, and therefore produces the same output to all hearers in all circumstances.

When we produce language, we tailor our text to the hearer and to the situation. This enables us to include more information than is contained in the literal meanings of our words; indeed, the additional information often has a

* Thanks to Larry Birnbaum for discussions, to Rod McGuire for the initial idea, to Michael Factor, Jeff Grossman, Yang-Dong Lee, Steven Lytinen, and Ashwin Ram for discussions and programming help, to Tony Jameson for very detailed comments and helpful suggestions, and to Roger Schank for everything else.

Author's address: E. Hovy, Information Sciences Institute, 4676 Admiralty Way, Marina del Rey, CA 90292-6695, USA.

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"when we produce language, we tailor our text to the hearer/situation"

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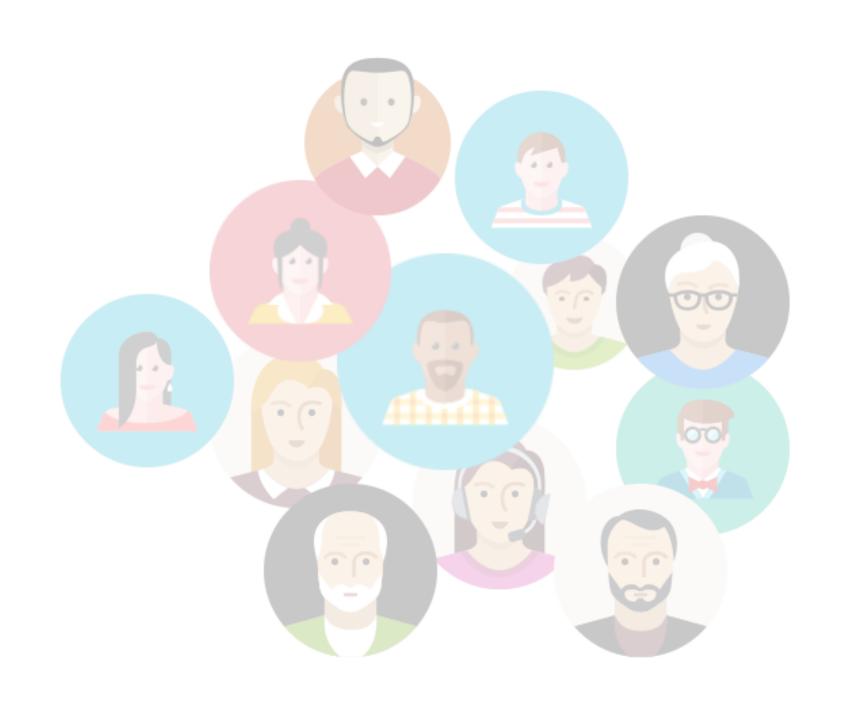




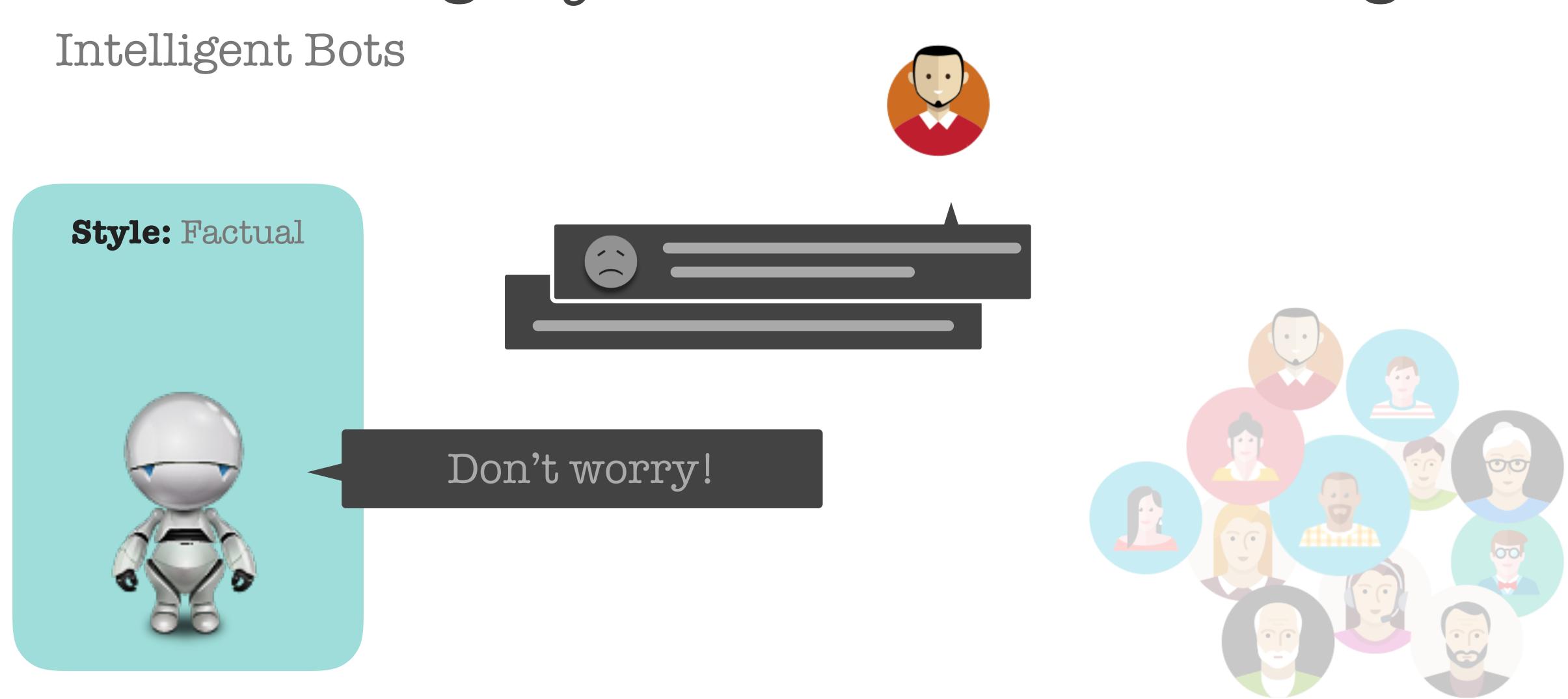


Intelligent Bots

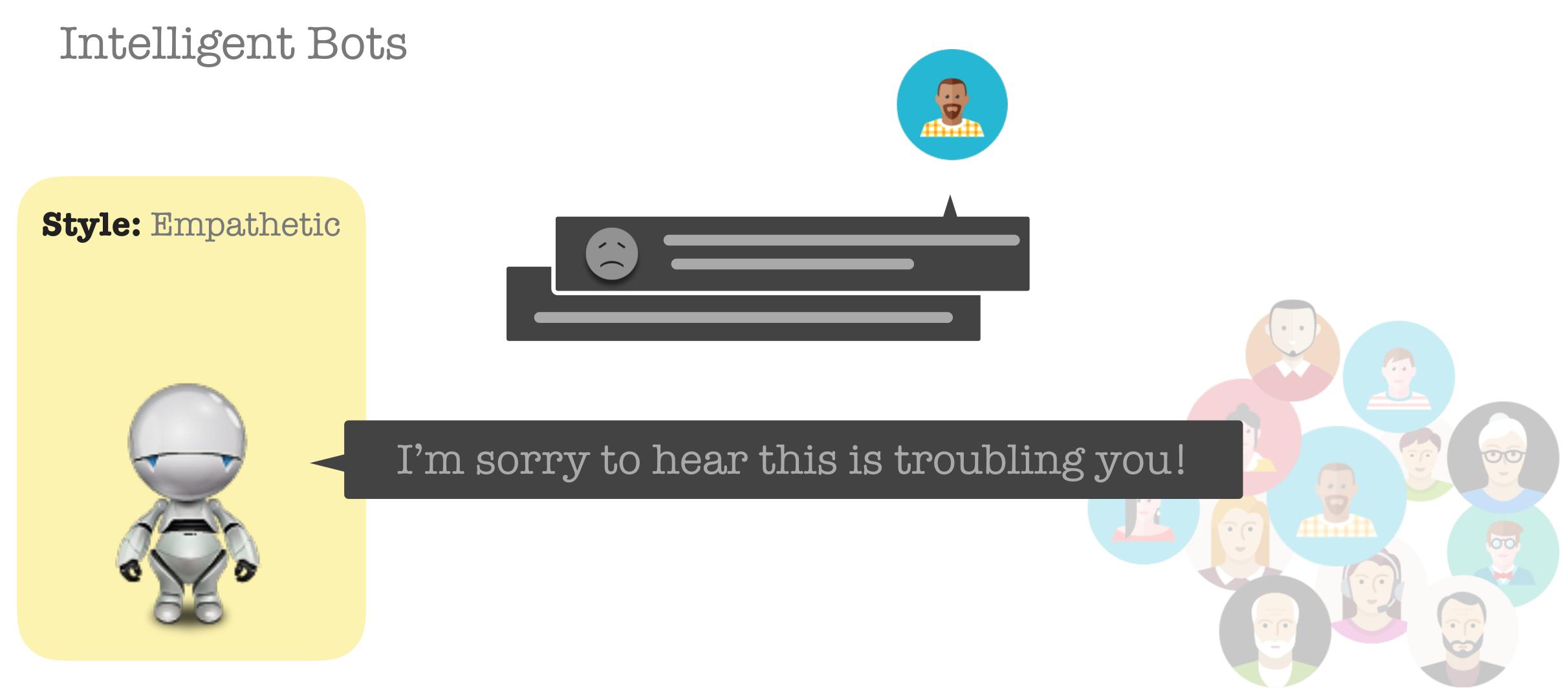














Intelligent Bots

Intelligent Writing/Teaching Assistants

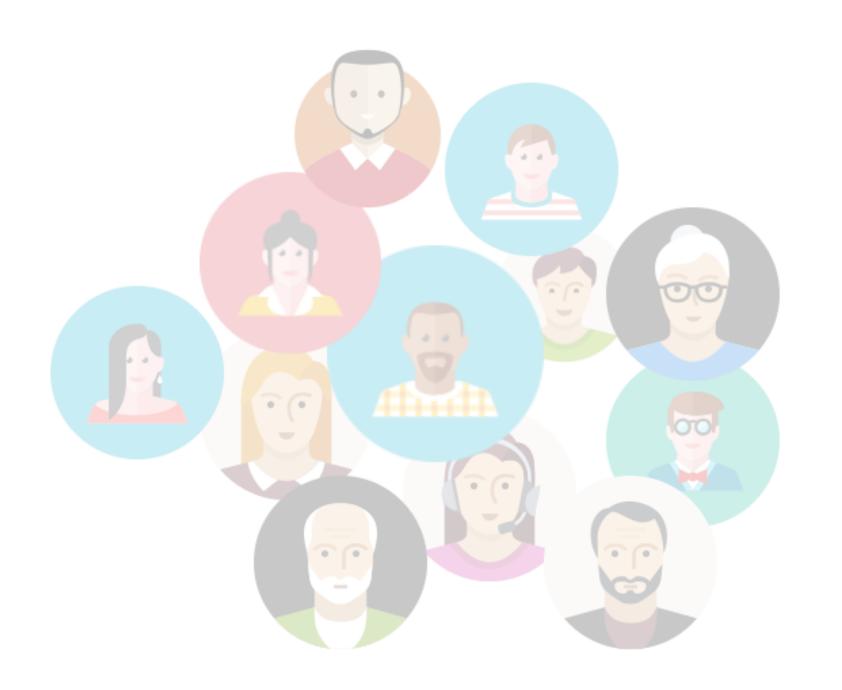


Gotta see both sides of the story

• FORMALITY

Cotta → Have to Must

The use of slang such as *Gotta* may not be appropriate in this context. Consider using a standard word or phrase instead.

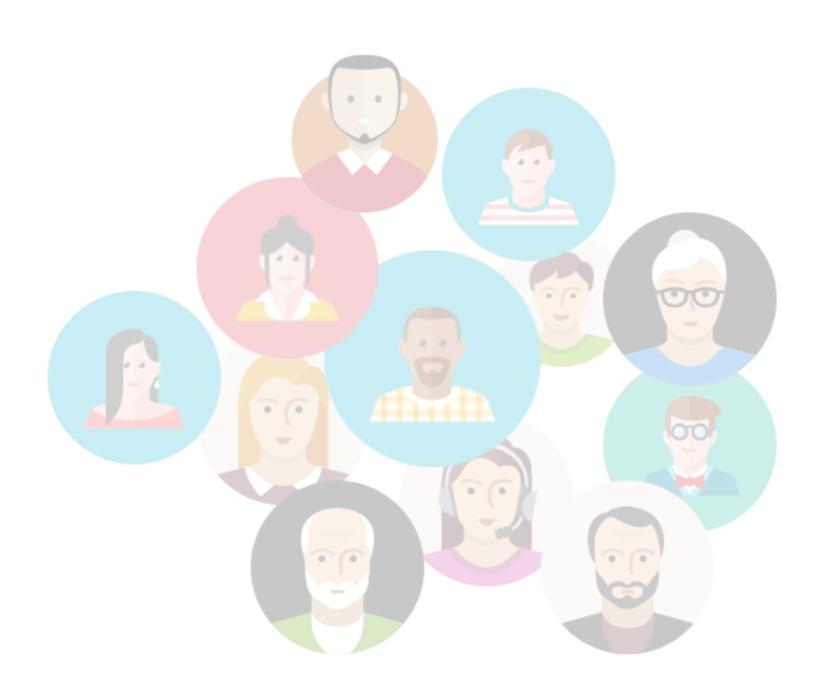




Intelligent Bots

Intelligent Writing/Teaching Assistants

Mitigating Social Issues





Intelligent Bots

Intelligent Writing/Teaching Assistants

Mitigating Social Issues

Fighting offensive languages





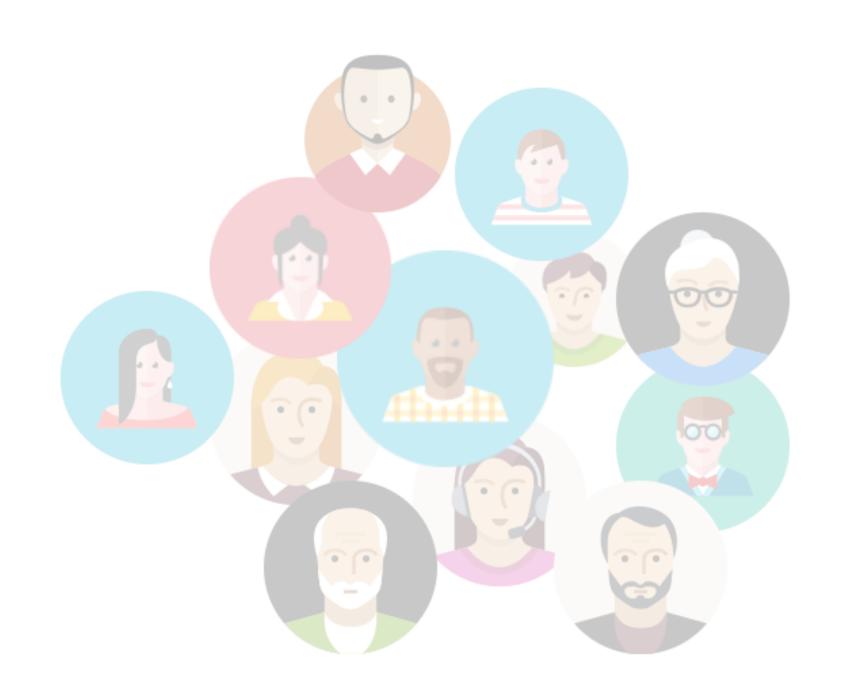
Intelligent Bots

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Intelligent Bots

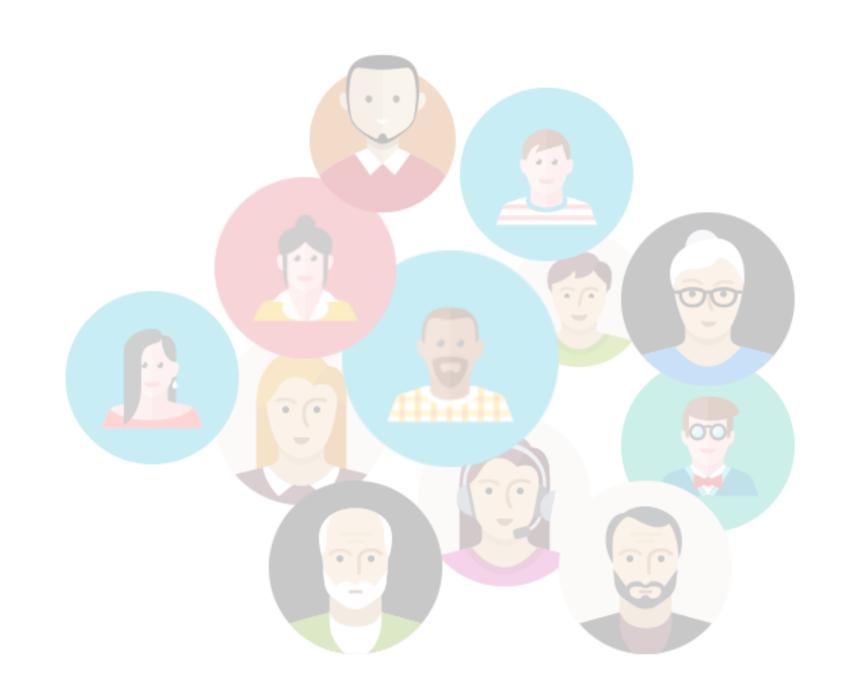
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• • •







Inputs

Sentence Gotta see both sides of the story

Target Style

Formal

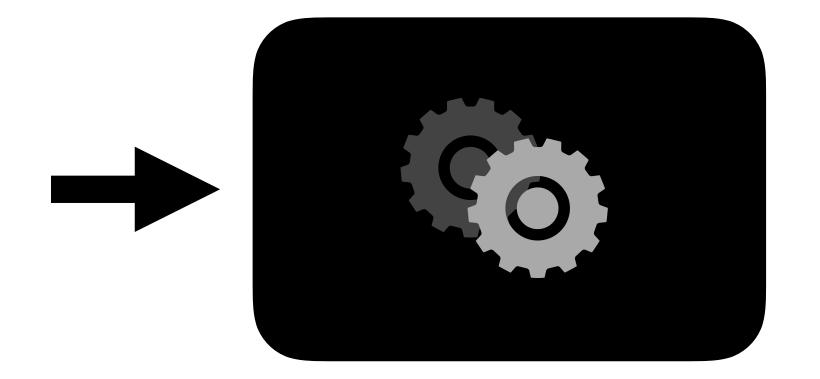


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Sentence Gotta see both sides of the story

Target Style

Formal



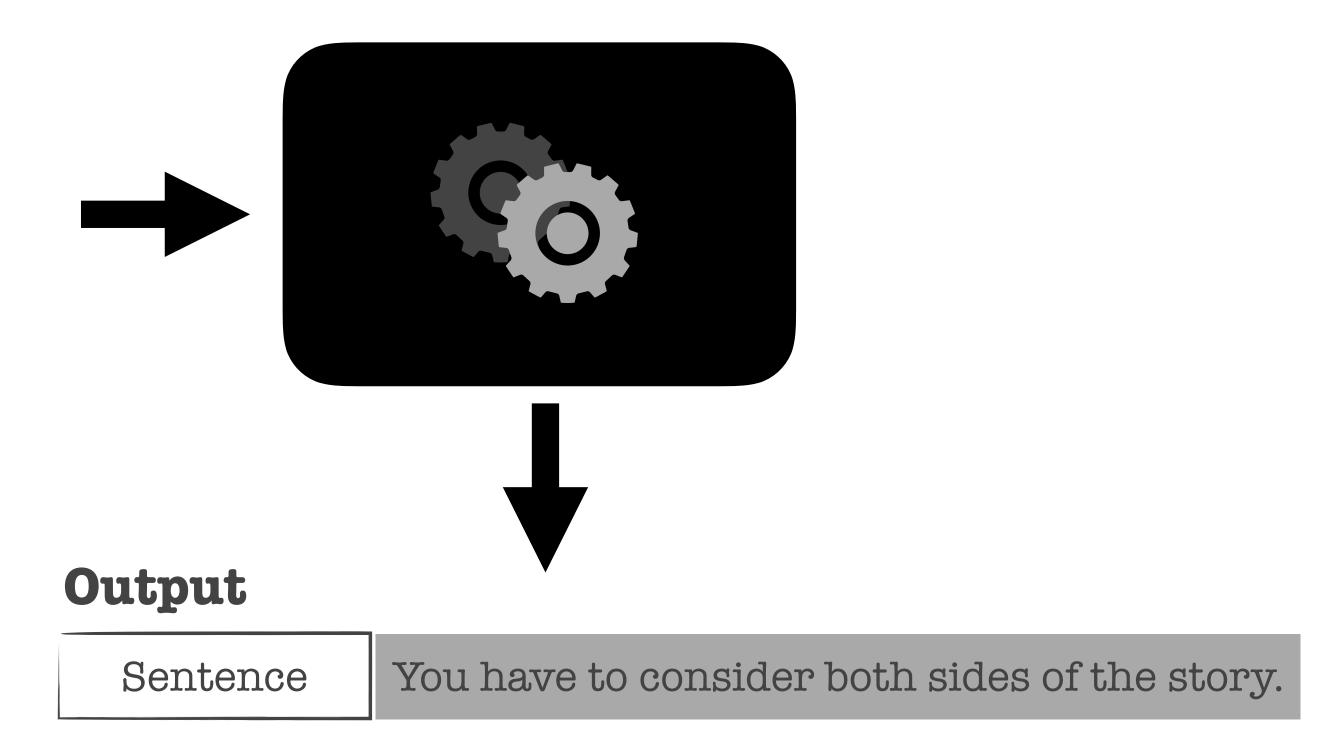


Inputs

Sentence Gotta see both sides of the story

Target Style

Formal







Properties of output:

Evaluation Dimensions:



Properties of output:

well-formed sentence

Evaluation Dimensions:

Fluency

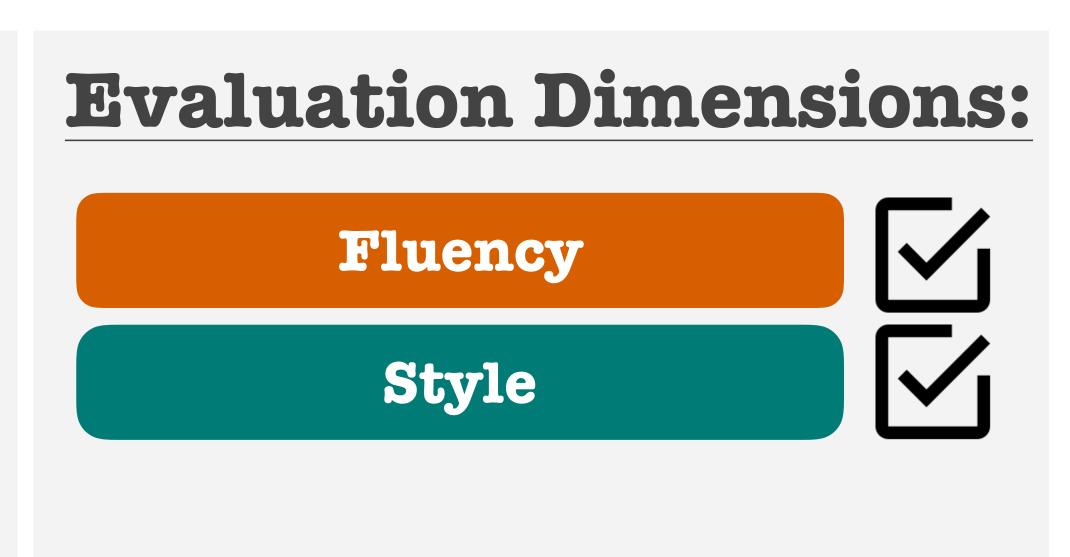




Properties of output:

well-formed sentence

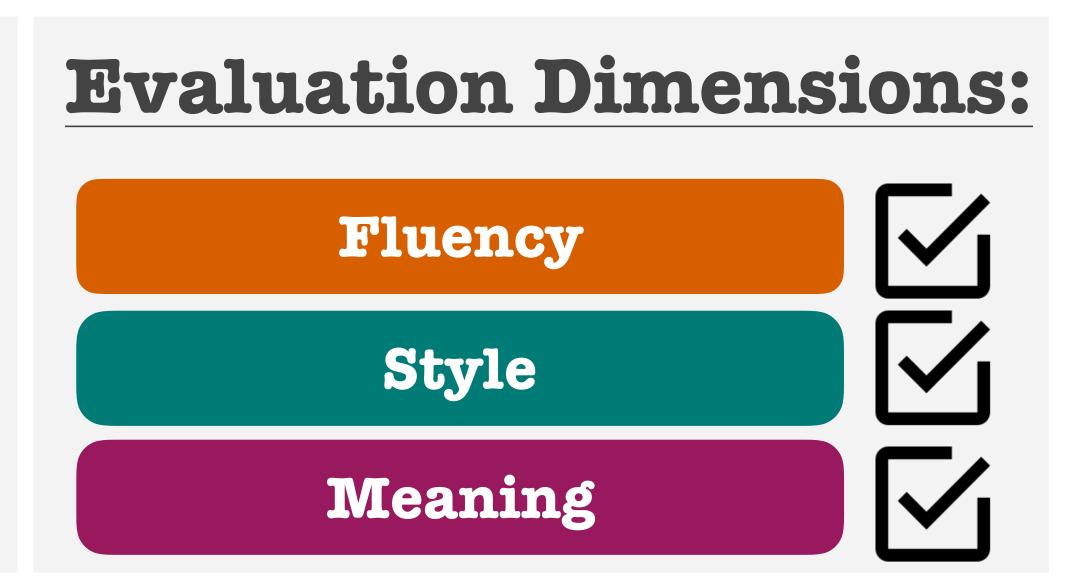
matches a desired stylistic attribute





Properties of output:

well-formed sentence
matches a desired stylistic attribute
preserving the meaning of input





Challenges in Style Transfer **EVALUATION**

Alexey Tikhonov, Viacheslav Shibaev. Aleksander Nagaev, Aigul Nugmanova & Ivan P. Yamshchikov

Style transfer for texts: Retrain, report errors, compare with rewrites.

In proceedings of EMNLP 2019

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Evaluating style transfer for text.

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Unsupervised evaluation metrics and learning criteria for non-parallel textual transfer.

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Ivan P. Yamshchikov, Viacheslav Shibaev, Nikolay Khlebnikov & Alexey Tikhonov

Style transfer and paraphrase: Looking for a sensible semantic similarity metric.

In Proceedings of AAAI 2021



Challenges in Style Transfer **EVALUATION**

Standard metrics for style accuracy & meaning preservation **vary** across reruns!

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Style transfer and paraphrase: Looking for a sensible semantic similarity metric.

In Proceedings of AAAI 2021

Meta-evaluation on semantic similarity shows none of the widely used metrics is close enough to human ratings



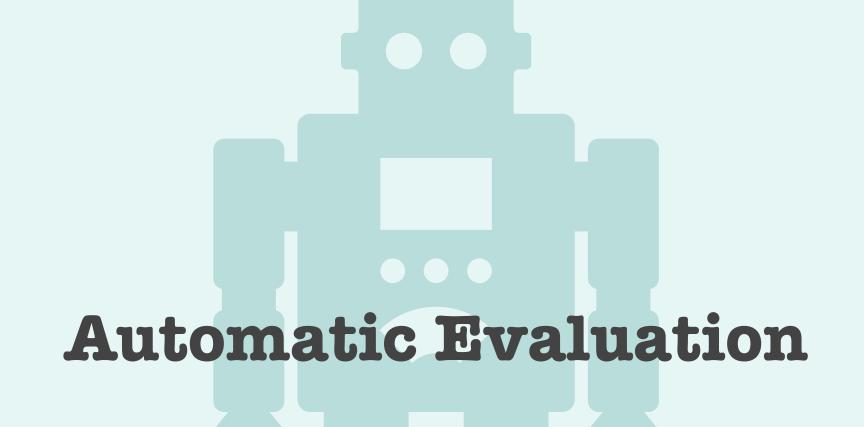


Human Evaluation

Automatic Evaluation



Human Evaluation



Outline:

Review current practices

Identify limitations



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Automatic Evaluation

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Eleftheria Briakou, Sweta Agrawal, Ke Zhang, Joel Tetreault & Marine Carpuat. 2021

A Review of **Human** Evaluation for Style Transfer.

In Proceedings of the First Workshop on Generation Evaluation and Metrics (GEM) at ACL.



A Structured Review of human **EVALUATION** for Style Transfer



A Structured Review of human **EVALUATION** for Style Transfer

Di Jin, Zhijing Jin, Zhiting Hu, Olga Vechotomova & Rada Mihalcea. 2021 Deep Learning for Text Style Transfer: A Survey.





- ▶ 97 style transfer papers
- ▶ 86 from NLP & AI top-tier venues
- ▶ 11 pre-prints

as of March 2021



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☐ fuzhenxin / Style-Transfer-in-Text



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GLOBAL CRITERIA

- \rightarrow Task(s)
- ♣ Presence of human annotation
- ♦ Annotator's details
- ♦ Annotator's compensation
- ♦ Quality control
- ♦ Agreement statistics
- **♦** Evaluated systems
- ♦ Size of evaluated instance set
- ♦ Size of annotations per instance
- **♦** Sampling method
- ◆ Annotations' availability



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DIMENSION-SPECIFIC CRITERIA



Style Meaning

Fluency

- ◆ Presence of human evaluation
- ◆ Quality criterion name
- ◆ Form of response elicitation
- ◆ Details on collected responses
- ♦ Size of rating instrument

Howcroft et al. 2020

Twenty Years of Confusion in Human Evaluation:
NLG Needs Evaluation Sheets and Standardized Definitions
In Proceedings of the 13th International Conference
on Natural Language Generation.

How often do we rely on human **EVALUATION**?



No

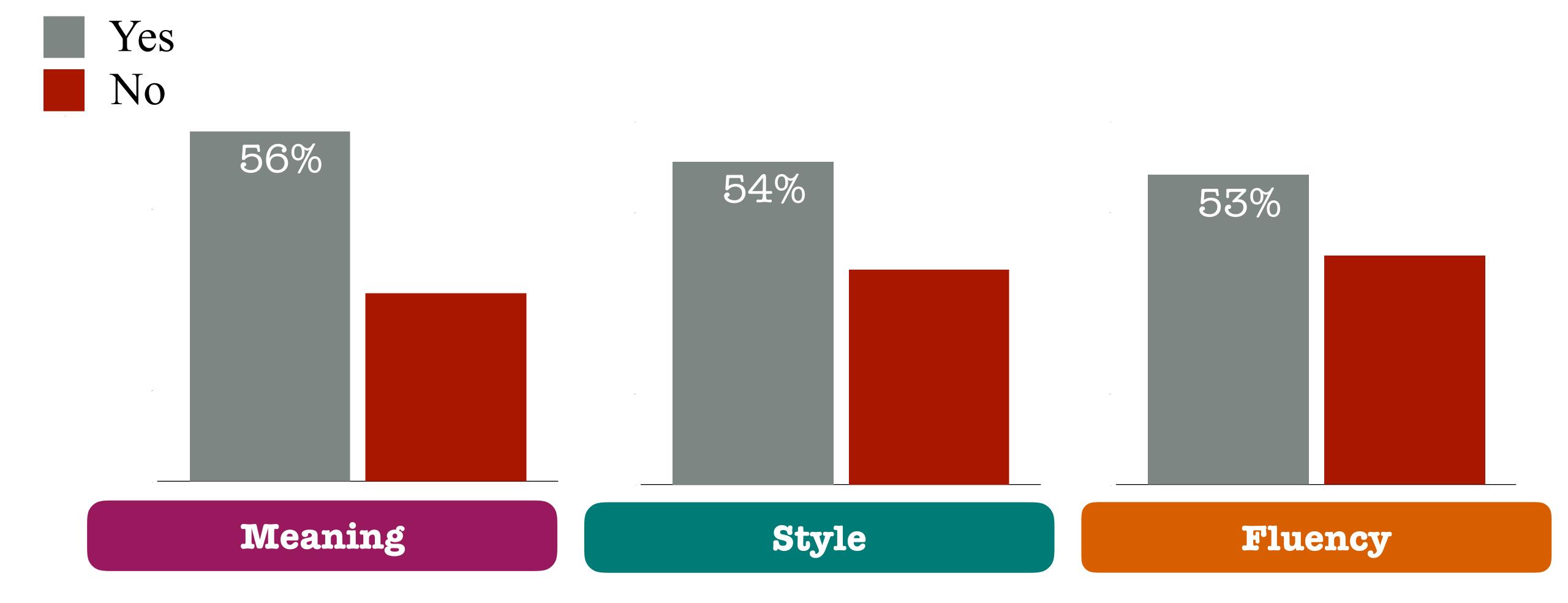
Meaning

Style

Fluency

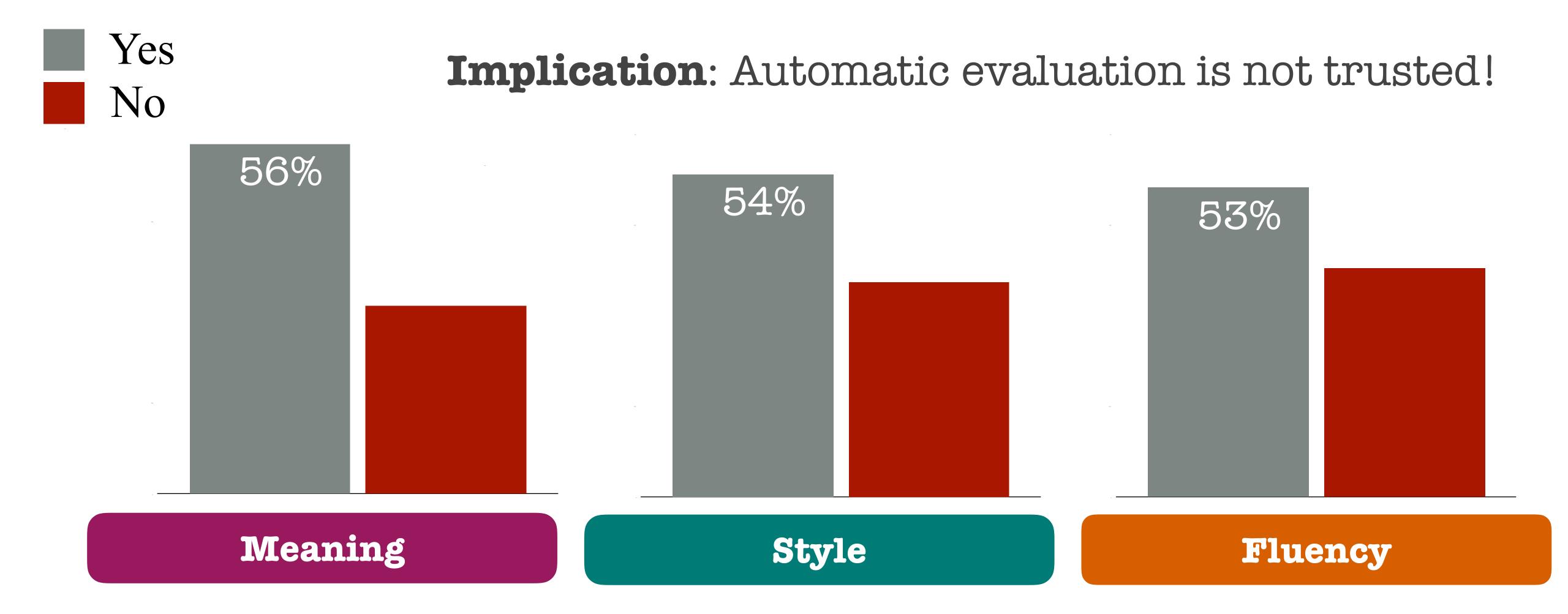


> 50% of papers resort to human **EVALUATION**





> 50% of papers resort to human **EVALUATION**





- Underspecification human annotation design attributes are missing
- Availability do not release the human ratings
- Reliability
 do not give details that can help assess their quality
- Lack of standardization inconsistent annotation protocols across papers





Underspecification

human annotation design attributes are missing



Availability

do not release the human ratings



Reliability

do not give details that can help assess their quality



Lack of standardization

inconsistent annotation protocols across papers



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What are the best **EVALUATION** practices for Style Transfer?

Human Evaluation

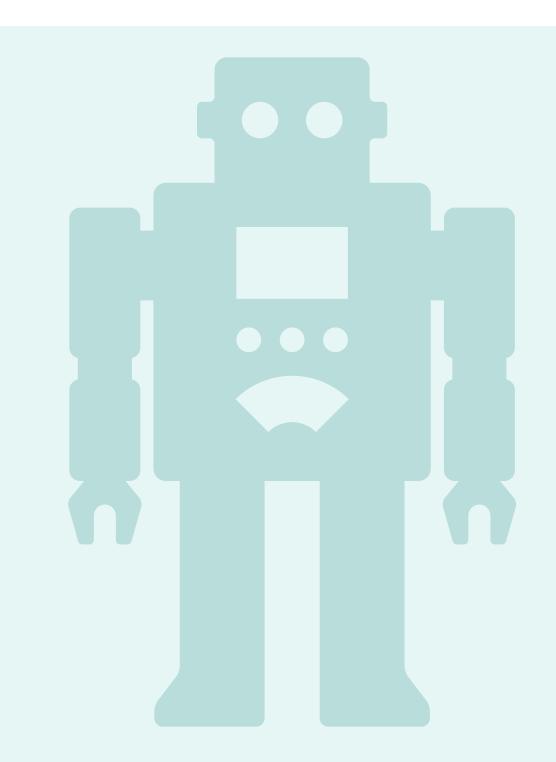






What are the best **EVALUATION** practices for Style Transfer?

Eleftheria Briakou, Sweta Agrawal,
Joel Tetreault & Marine Carpuat. 2021
Evaluating the Evaluation Metrics for Style Transfer:
A Case Study in Multilingual Formality Transfer.
In Proceedings of the 2021 Conference on Empirical
Methods in Natural Language (EMNLP) Processing.





For formality transfer: Data is more standardized, but **EVALUATION** is not

PAPER ID	STYLE			MEANING				FLUENCY		OVERALL	
	metric	arch.		metric	arch.		metric	arch.		metric	
[1]	REG	Linear reg.	X	CLS	CNN	1	REG	Linear reg.	X	r-BLEU	
[2]										r-BLEU	
[3]	CLS	CNN	_	r-BLEU		-				GM(S,M)	
[4]	CLS	CNN	X	r-BLEU		1				GM(S,M)	
[5]	CLS	CNN	X	r-BLEU		1					
[6]	CLS	LSTM	_	CLS	BERT	-				r-BLEU	
[7]										r-BLEU	
[8]	CLS	CNN	_								
[9]	CLS	LSTM	_	EMB-SIM		-	PPL	LM (RNN)	_	F1(S,M)	
[10]	CLS	Roberta	X	EMB-SIM		1	PPL	LM (ROBERTA)	_	J(S,M,F)	
[11]	CLS	CNN	X	r-BLEU		X				F1(S,M)	
[12]	CLS	GRU	X				CLS	Linear reg.	X	r-BLEU	
[13]	CLS	BERT	✓	r-BLEU		1	PPL	LM (KenLM)	X	GM(S,M,F)	
[14]										r-BLEU	
[15]	CLS	FASTTEXT	✓	r-BLEU		1	PPL	LM (GPT)	1		
[16]	CLS	CNN	_	r-BLEU		-	PPL	LM (LSTM)	_		
[17]	CLS	CNN	✓	r-BLEU		1					
[18]	CLS	CNN	✓	r-BLEU		1				GM HM(S,M)	v
[19]	CLS	GRU	_	CLS	BERT	_				r-BLEU	•
[20]	CLS	Roberta	_	r-BLEU		-	PPL	LM (GPT)	_	GM HM(S,M)	
[21]	CLS	CNN	_	r-BLEU		1	PPL	LM (GPT)	✓		
[22]										r-BLEU	
[23]	REG	BERT	Х	s-BLEU		/	PPL	LM (KenLM)	Х	r-BLEU	



Linear regressor CCN classifier CCN classifier CCN classifier LSTM classifier CCN classifier LSTM classifier RoBerta classifier CCN classifier GRU classifier BERT classifier FASTTEXT classifier CNN classifier CNN classifier CNN classifier RoBerta classifier CNN classifier BERT regressor

CCN classifier reference-BLEU reference-BLEU reference-BLEU BERT classifier **Embedding Similarity Embedding Similarity** reference-BLEU reference-BLEU reference-BLEU reference-BLEU reference-BLEU reference-BLEU BERT classifier reference-BLEU reference-BLEU self-BLEU

Linear regressor
RNN-LM perplexity
RoBerta-LM perplexity
Linear regressor
KenLM perplexity
GPT-LM perplexity
GPT-LM perplexity
GPT-LM perplexity
KenLM perplexity





Lack of standardized metrics

Linear regressor CCN classifier CCN classifier CCN classifier LSTM classifier CCN classifier LSTM classifier RoBerta classifier CCN classifier GRU classifier BERT classifier FASTTEXT classifier CNN classifier CNN classifier CNN classifier CNN classifier BERT regressor

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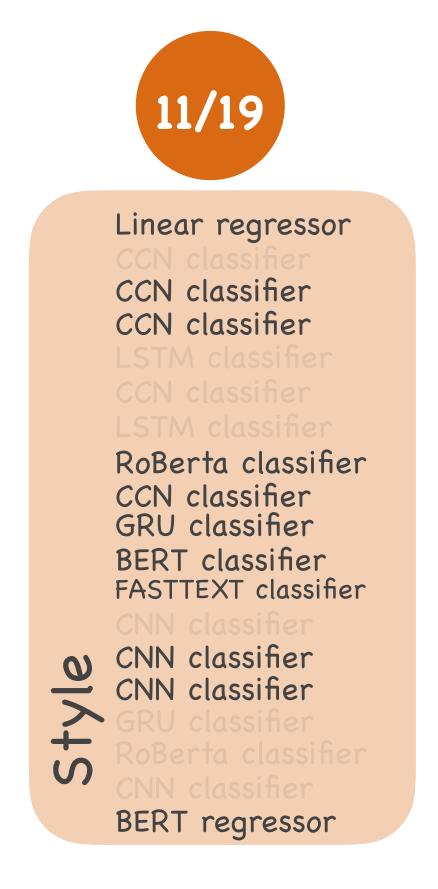


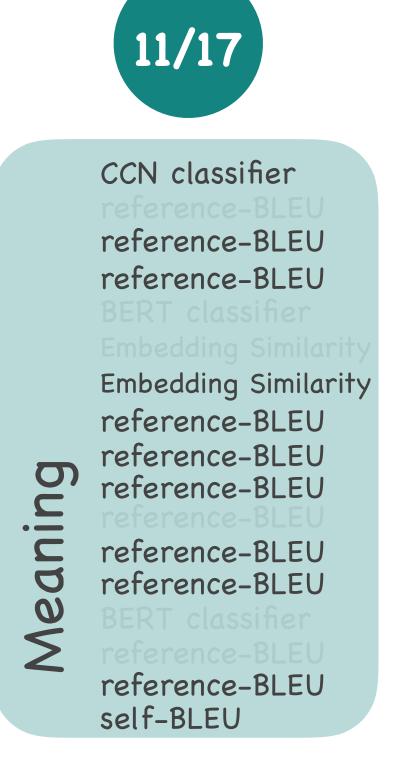
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Lack of standardized metrics



Complemented by human evaluation



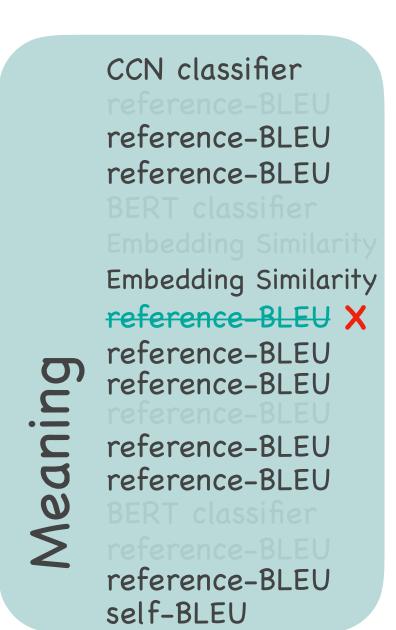


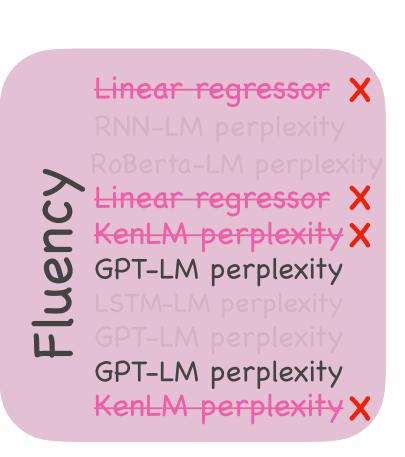




- ! Lack of standardized metrics
- Complemented by human evaluation
- Lack of agreement with human judgments



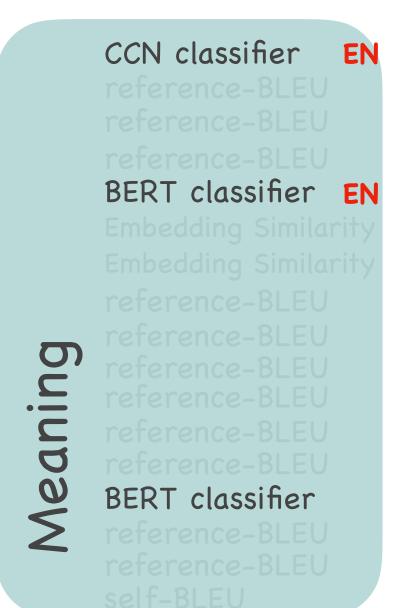


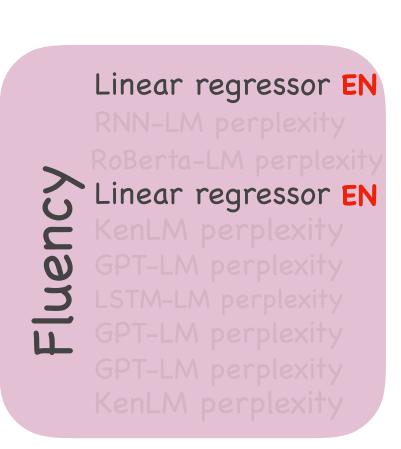




- ! Lack of standardized metrics
- Complemented by human evaluation
- Lack of agreement with human judgments
- Lack of portability to multiple languages











System Outputs



Human Ratings



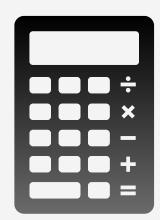


System Outputs

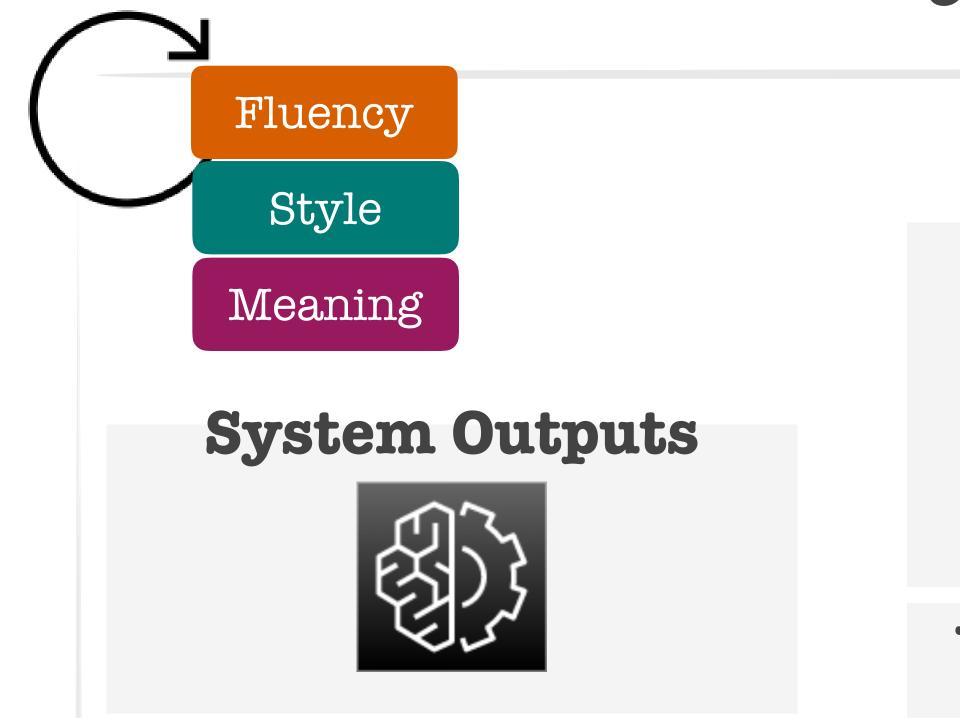




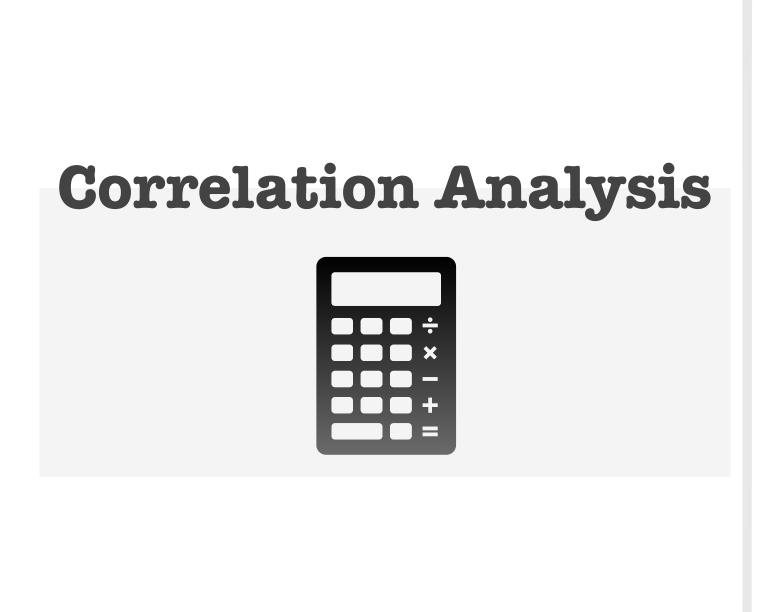
Correlation Analysis













Human Ratings collected consistently across dimensions & languages



Human Ratings collected consistently across dimensions & languages

Sudha Rao & Joel Tetreault. 2018

Dear sir or madam, may I introduce the GYAFC corpus.

In Proceedings of NAACL-HLT.

English (EN)



Human Ratings collected consistently across dimensions & languages

Sudha Rao & Joel Tetreault. 2018

Dear sir or madam, may I introduce the GYAFC corpus.

In Proceedings of NAACL-HLT.

<u>Eleftheria Briakou</u>, Di Lu, Ke Zhang, Joel Tetreault . 2021 Olá, Bonjour, Salve! XFORMAL: A Benchmark for Multilingual Formality Style Transfer. In Proceedings of NAACL-HLT. English (EN)
Brazilian-Portuguese (BR-PT)
Italian (IT)
French (FR)







Style

Approach: Supervised

Models: multilingual pre-trained LMs

Cross-lingual Transfer:

✓ TRANSLATE-TRAIN

TRANSLATE-TEST

ZERO-SHOT



Style

Approach: Supervised

Models: multilingual pre-trained LMs

Cross-lingual Transfer:

✓ TRANSLATE-TRAIN

✓ TRANSLATE-TEST

✓ ZERO-SHOT

Meaning

Approach: Supervised; Unsupervised; String-based

Models: multilingual pre-trained LMs; embedding based

following meta-evaluation of:

Ivan P. Yamshchikov, Viacheslav Shibaev, Nikolay Khlebnikov, Alexey Tikhonov. 2021 Style-transfer and Paraphrase: Looking for a Sensible Semantic Similarity Metric. In Proceedings of AAAI.



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Fluency

Approach: Unsupervised

Models: language models

- kenLM
- mbert
- XLM-R

Metrics: perplexity



Linear regressor

CCN classifier

CCN classifier

CCN classifier

LSTM classifier

CCN classifier

LSTM classifier

RoBerta classifier

CCN classifier

GRU classifier

BERT classifier

FASTTEXT classifier

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CNN classifier

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CNN classifier

BERT regressor



Linear regressor CCN classifier CCN classifier CCN classifier LSTM classifier CCN classifier LSTM classifier RoBerta classifier CCN classifier GRU classifier BERT classifier FASTTEXT classifier CNN classifier CNN classifier CNN classifier GRU classifier RoBerta classifier CNN classifier BERT regressor

Most common practice:

- -Classification: Formal vs. Informal
- -Evaluated on human written testbed



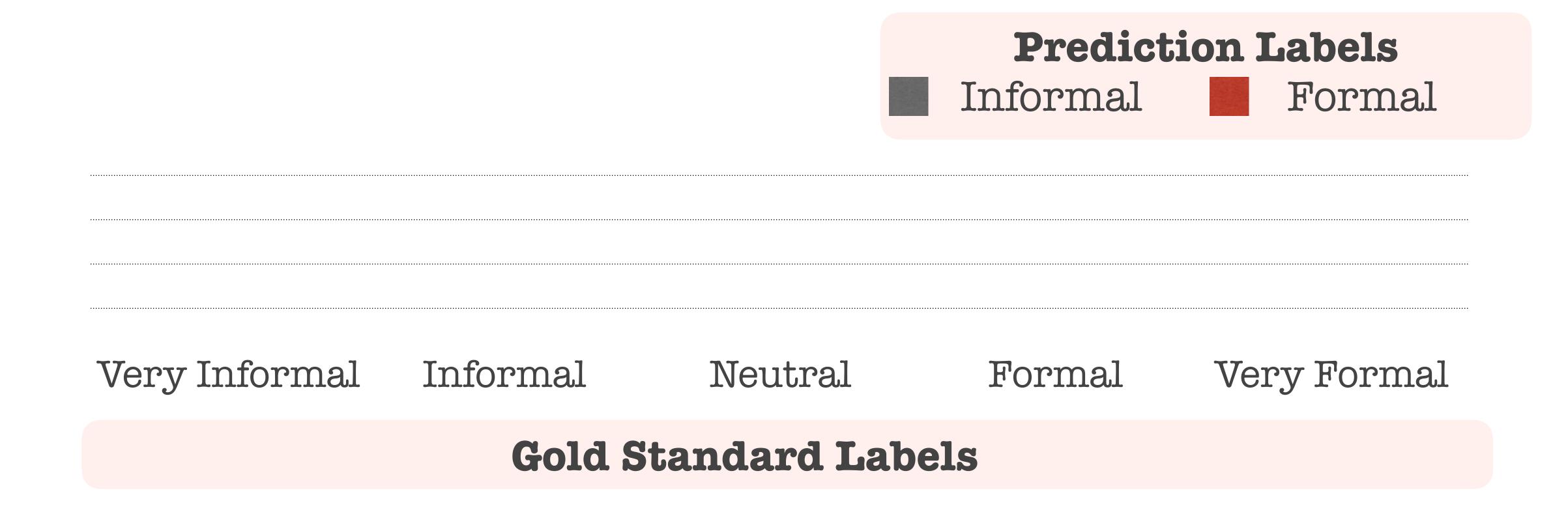
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Most common practice:

- -Classification: Formal vs. Informal
- Evaluated on human written testbed
- -How does it perform on system outputs?

predictions

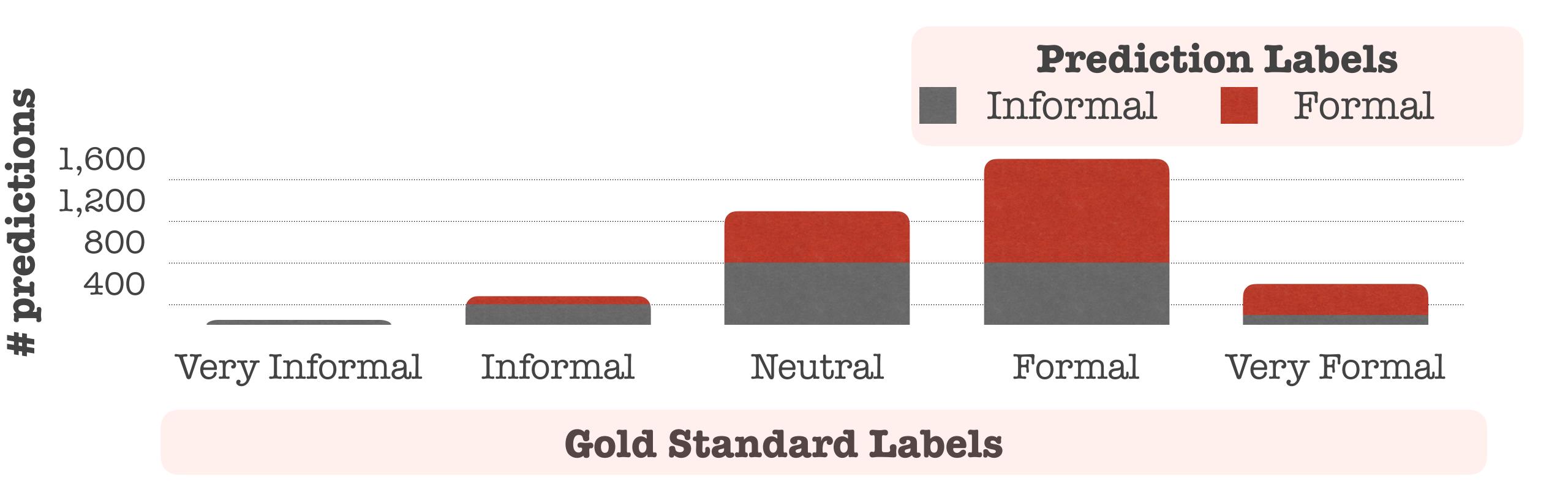
Binary Formality Classifiers



Per bin analysis: Human ratings are given at a fine-grained level!



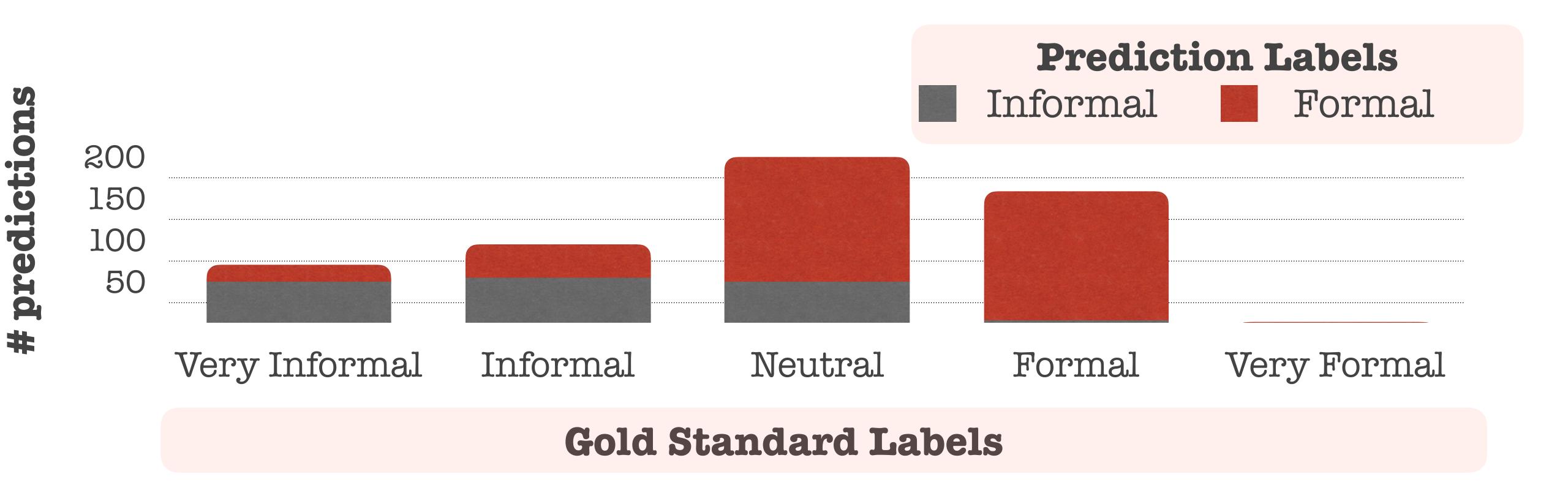
Binary Formality Classifiers Lack sensitivity to different formality levels



English



Binary Formality Classifiers Are biased towards the formal class



French



Linear regressor CCN classifier CCN classifier CCN classifier LSTM classifier CCN classifier LSTM classifier RoBerta classifier CCN classifier GRU classifier BERT classifier FASTTEXT classifier CNN classifier CNN classifier CNN classifier GRU classifier RoBerta classifier CNN classifier BERT regressor

Most common practice:

- -Classification: Formal vs. Informal
- Evaluated on human written testbed
- -How does it perform on system outputs?

Proposed practice:

- -Regression: Fine-grained formality levels
- -Evaluated on system outputs written testbed



Linear regressor CCN classifier CCN classifier CCN classifier LSTM classifier CCN classifier LSTM classifier RoBerta classifier CCN classifier GRU classifier BERT classifier FASTTEXT classifier CNN classifier CNN classifier CNN classifier GRU classifier RoBerta classifier CNN classifier BERT regressor

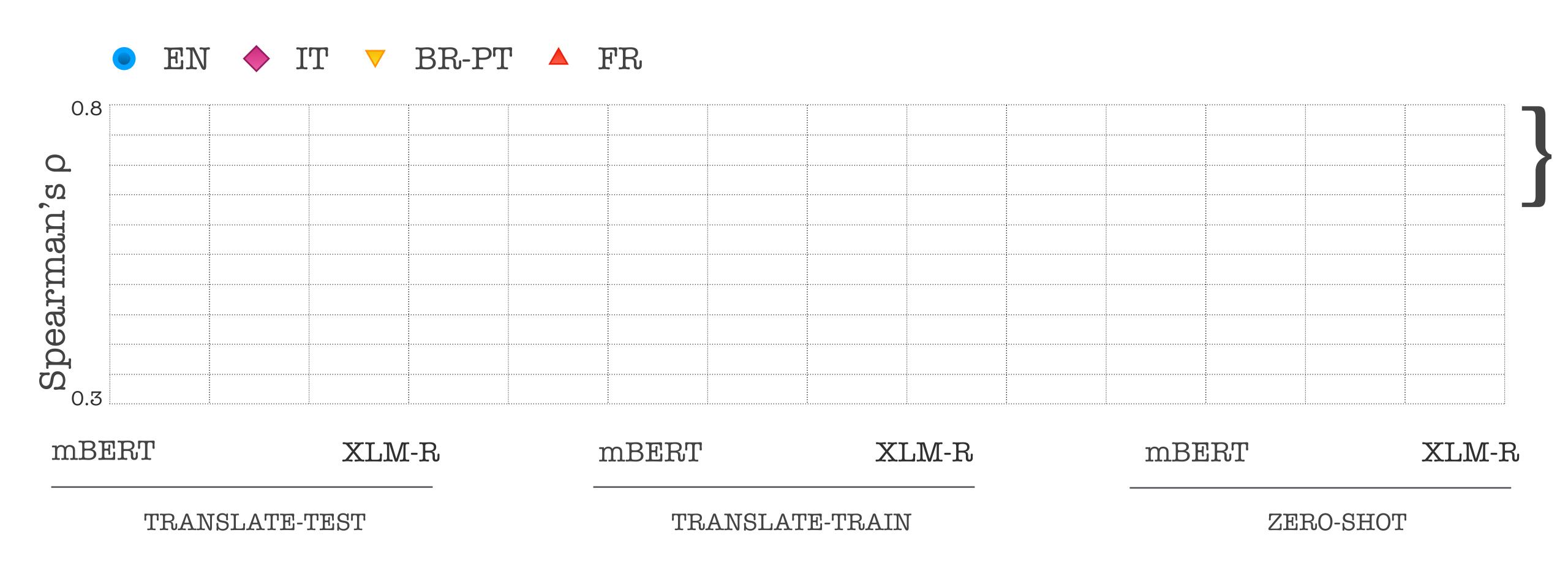
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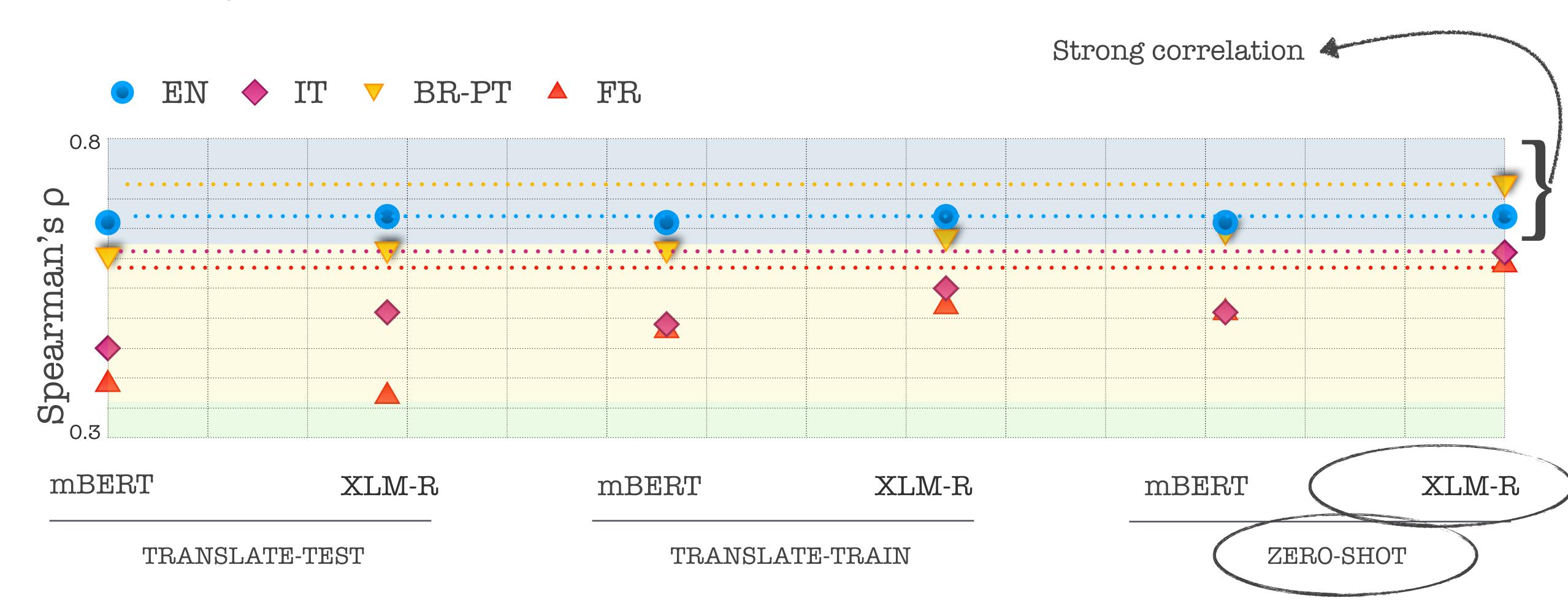
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Best Practice for Formality Evaluation XLM-R yields best correlations across languages



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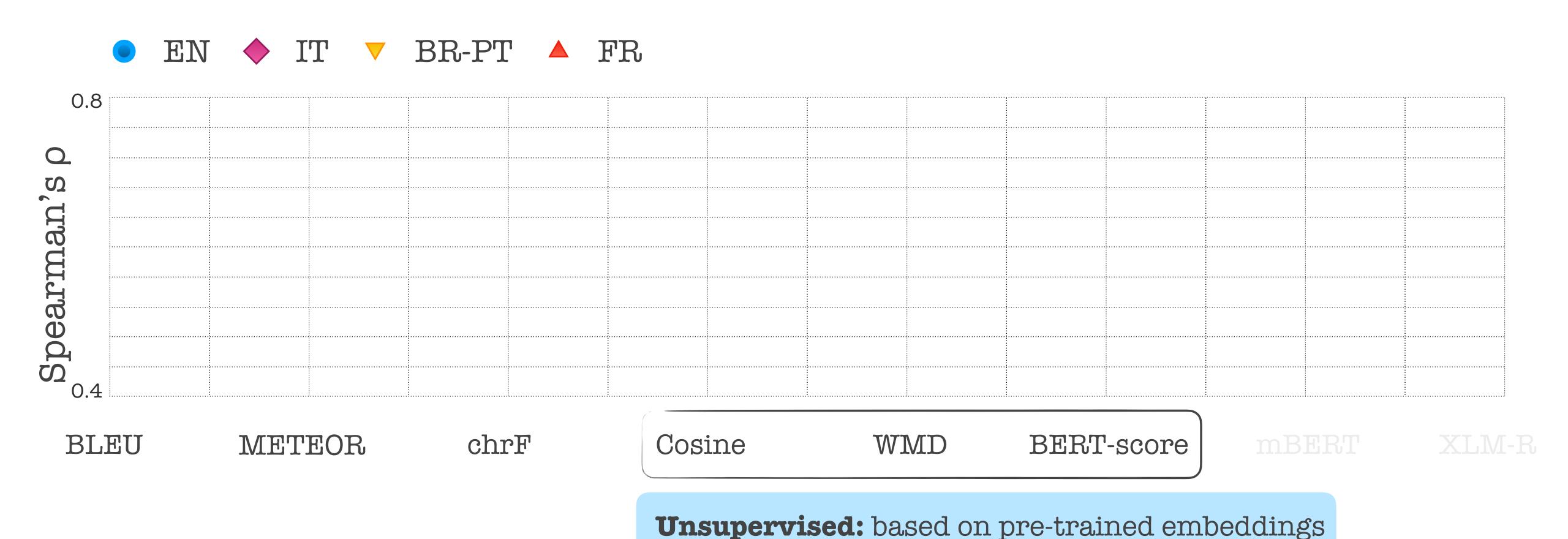






String-based: compare system output with system input

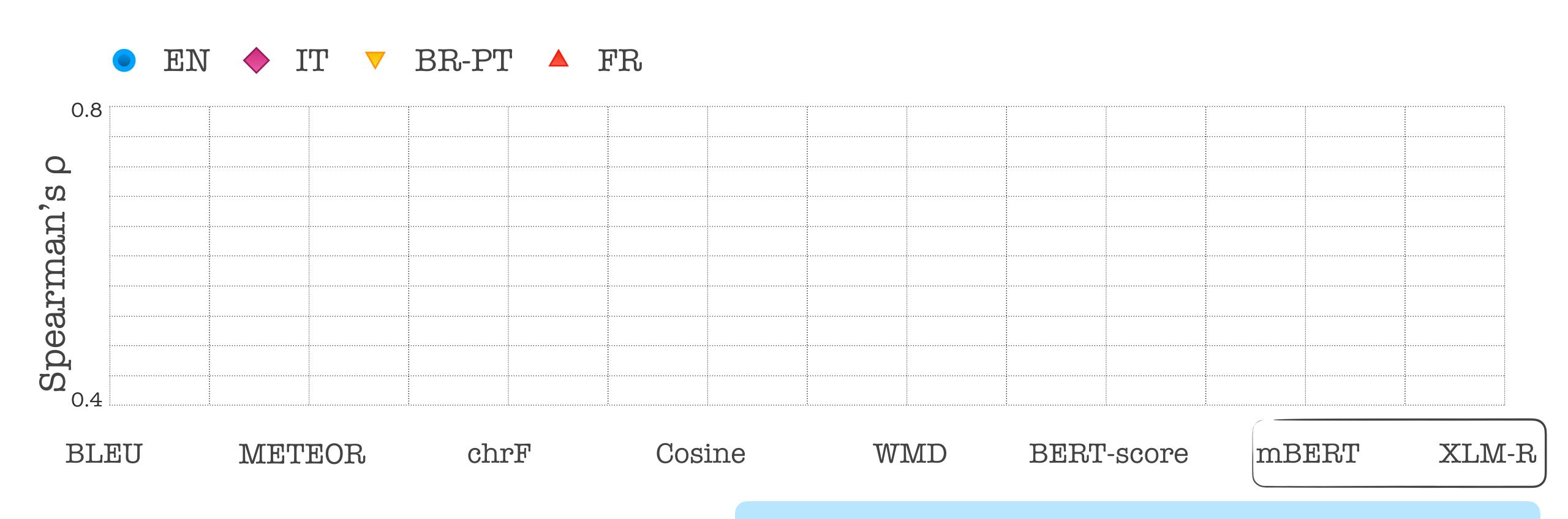




LEYA Research Seminar, Feb 10 2022

Eleftheria Briakou, UMD

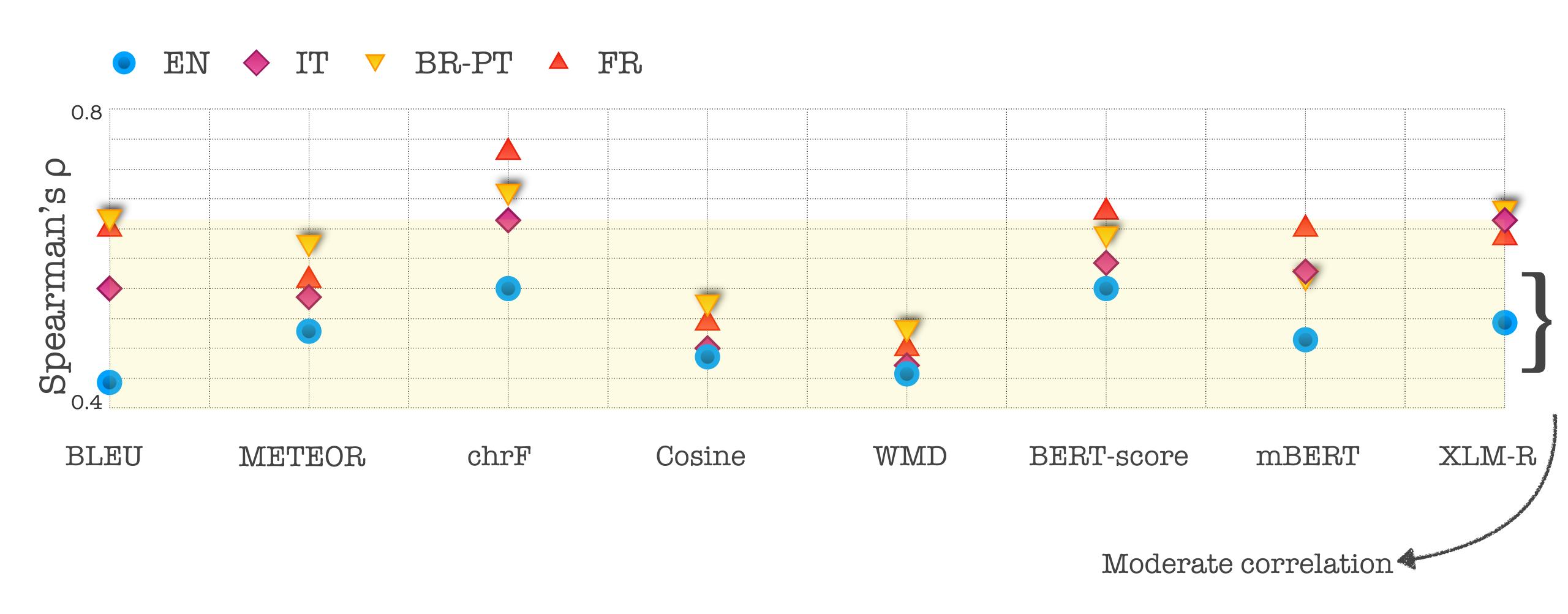




Supervised: trained on Semantic Textual Similarity data

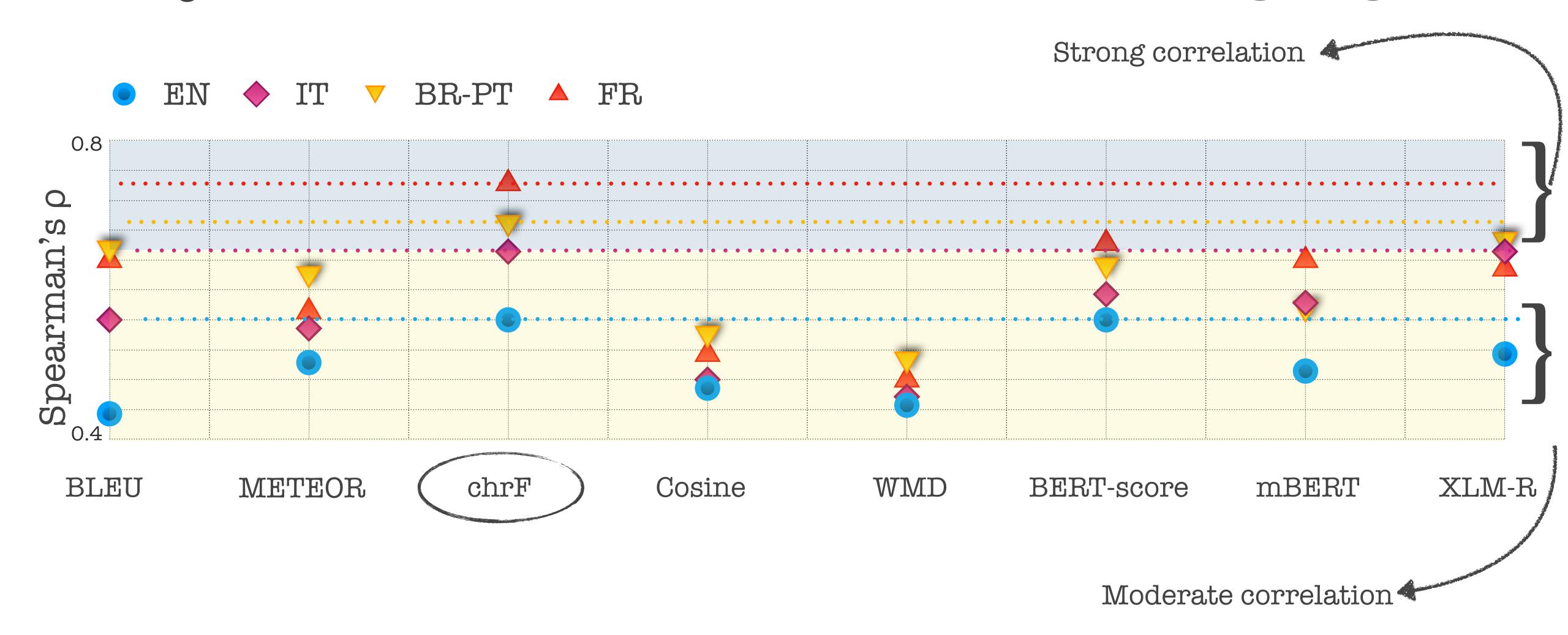


Best Practice for Meaning Evaluation All metrics yield above moderate correlations





Best Practice for Meaning Evaluation chrF yields best correlations across languages



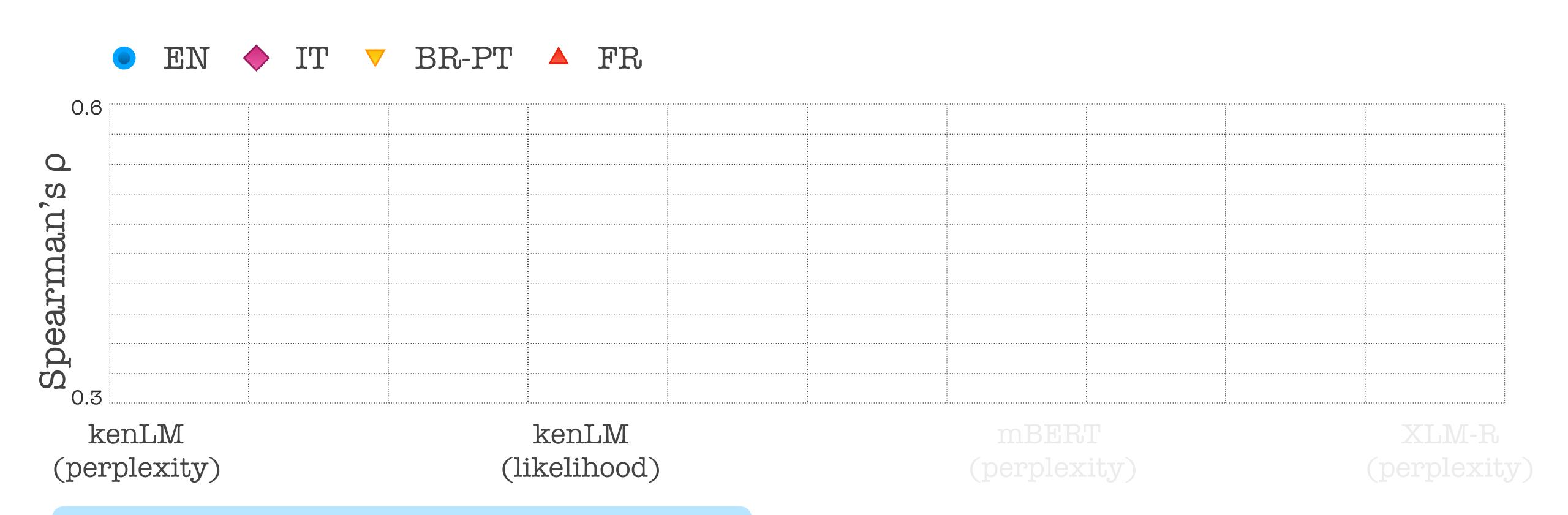


Best Practice for Fluency Evaluation





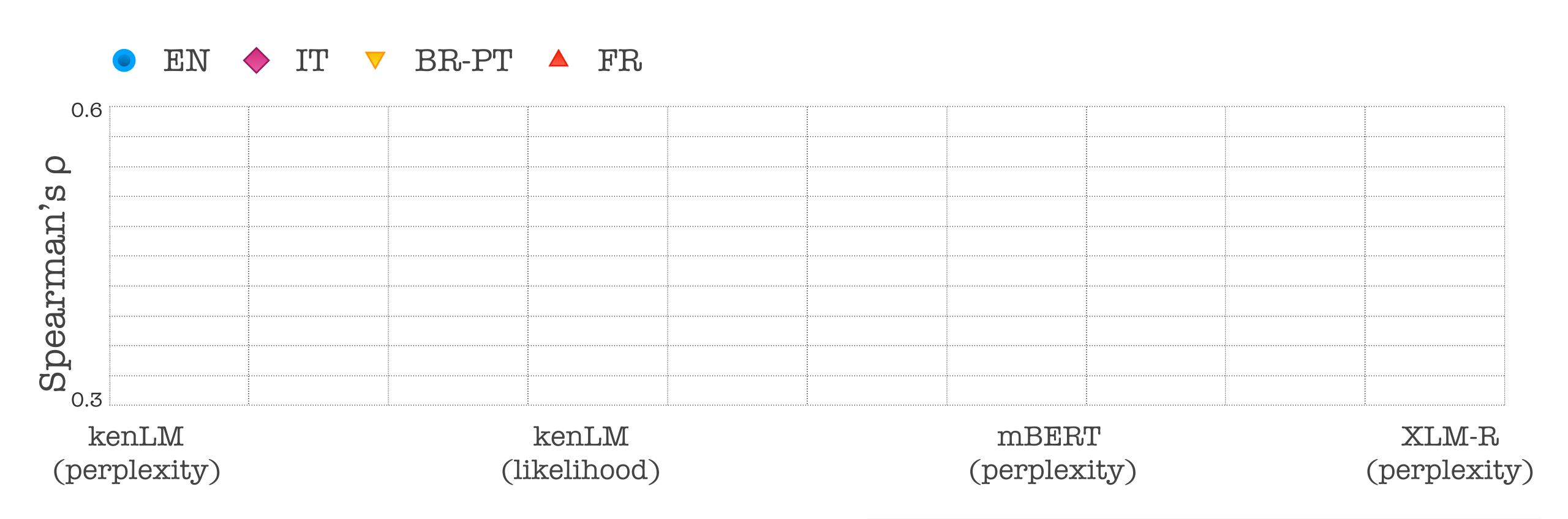
Best Practice for Fluency Evaluation



N-gram based LM: trained on monolingual texts



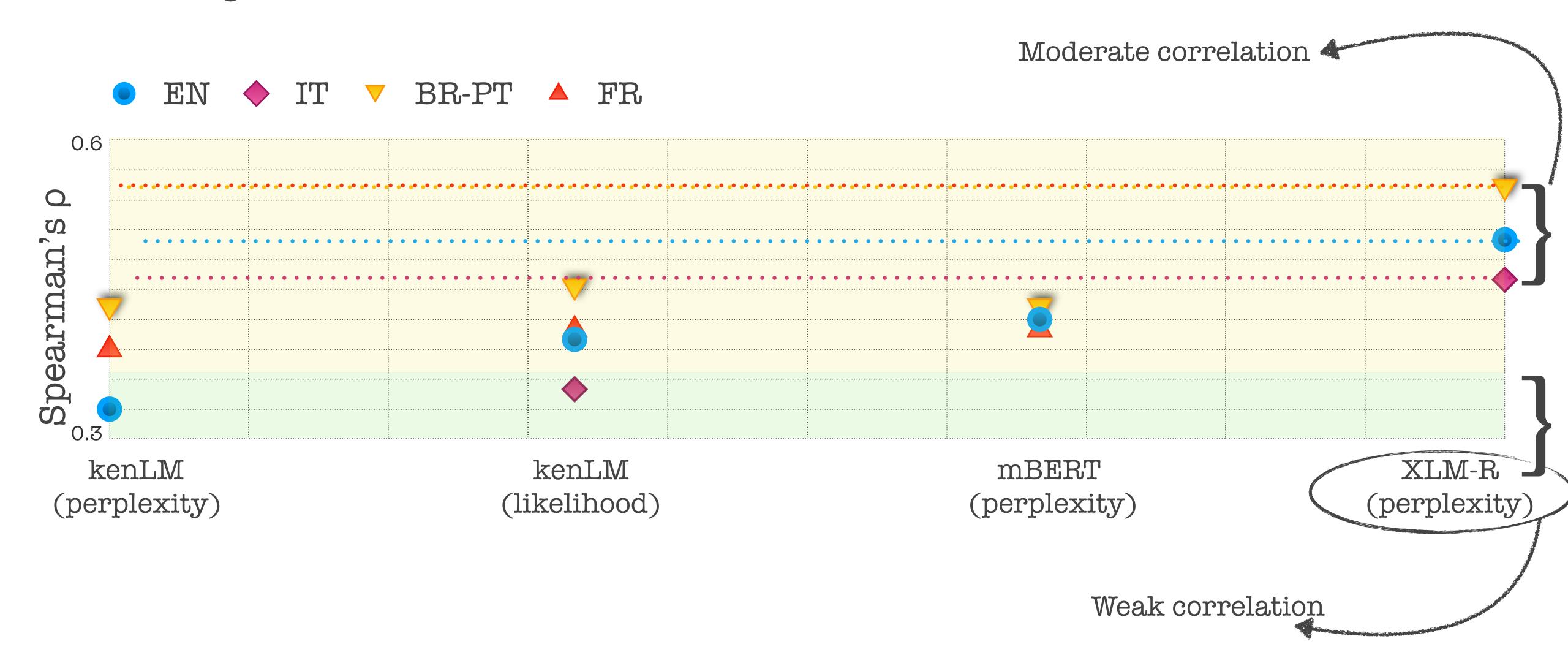
Best Practice for Fluency Evaluation



Pre-trained multilingual LMs: pseudo-perplexity



Best Practice for Fluency Evaluation XLM-R yields best correlations across languages



What are the best **EVALUATION** practices for Style Transfer?

Human Evaluation



- ✓ Describe evaluation protocols
- ✓ Release annotations
- Standardize evaluation protocols

- ✓ **Style** : XLM-R regression (zero-shot)
- ✓ **Meaning:** chRF score with input references
- ✓ Fluency: XLM-R pseudo-perplexity

Open questions on Style Transfer **EVALUATION**

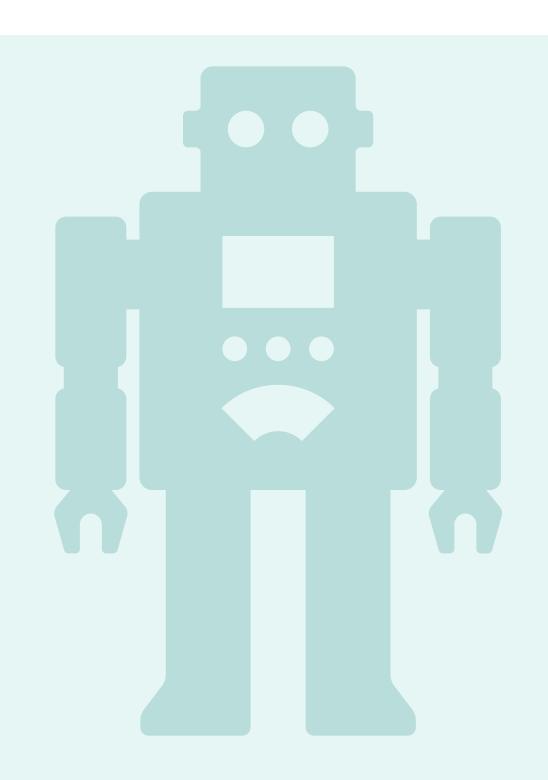


Open questions on Style Transfer Evaluation

What can we learn from human disagreements about the nature of ST tasks?

How can we use annotation disagreements to model ST tasks?

How do different evaluation protocols bias the collected responses?



Open questions on Style Transfer Evaluation

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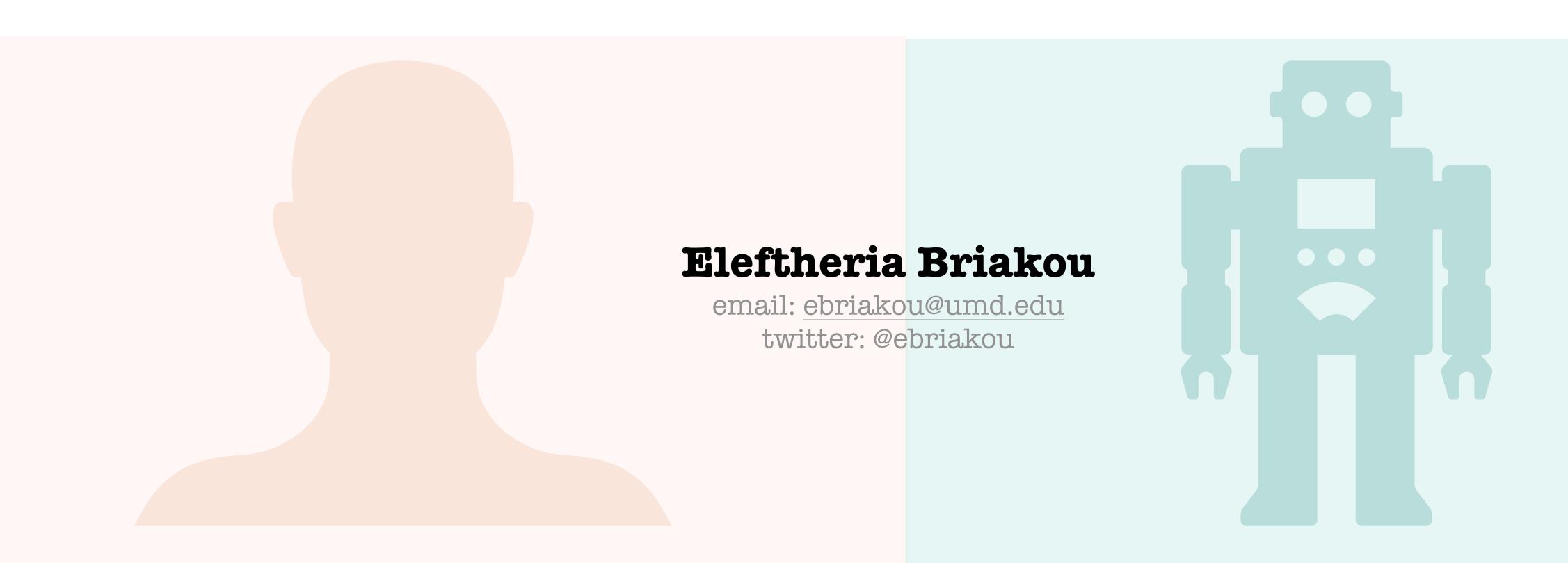
How do different evaluation protocols bias the collected responses?

How do best practices generalize across more diverse languages?

How do best practices generalize across different definitions of style?

How should different metrics be aggregated in a single one?

QUESTIONS?



Eleftheria Briakou, Sweta Agrawal, Ke Zhang, Joel Tetreault & Marine Carpuat. 2021

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In Proceedings of the First Workshop on Generation Evaluation and Metrics (GEM) at ACL.

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Evaluating the Evaluation Metrics for Style Transfer:

A Case Study in Multilingual Formality Transfer.

In Proceedings of the 2021 Conference on Empirical Methods in Natural Language (EMNLP) Processing.

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