

# Reverse Engineering of Intel's Branch Prediction

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INSTITUT FÜR IT-SICHERHEIT

## Background

Attacker Scenario  
Evolution of Branch Predictors

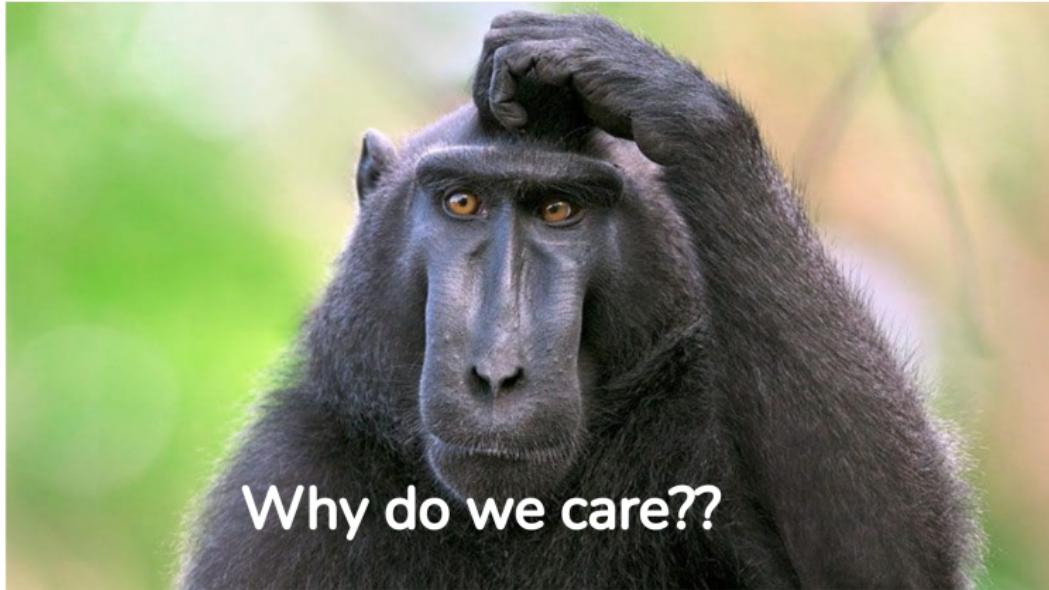
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What we know  
Reverse the Hash Function

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Why do we care??

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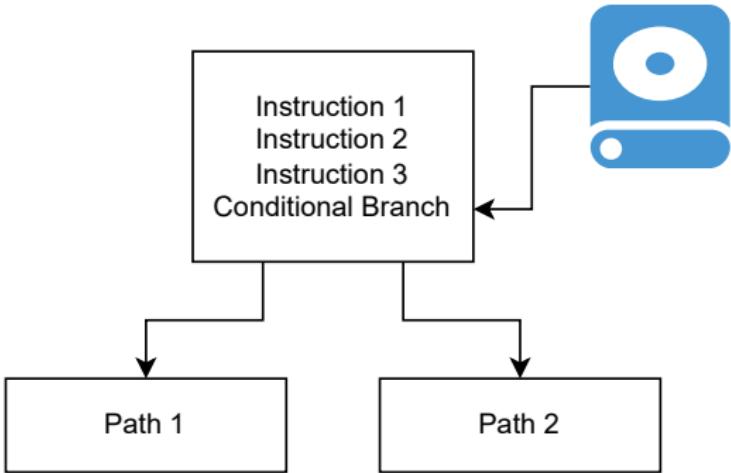
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**Figure:** High level graph representation of a conditional branch.

# Speculative Execution

Branch Predictors

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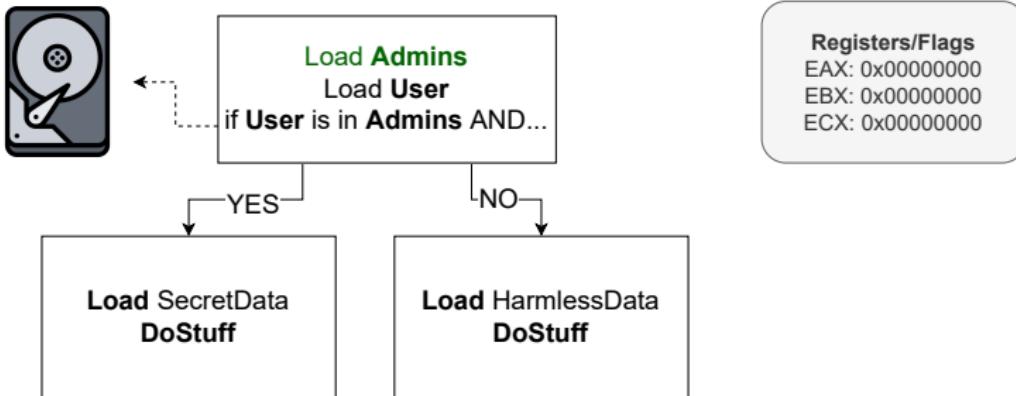
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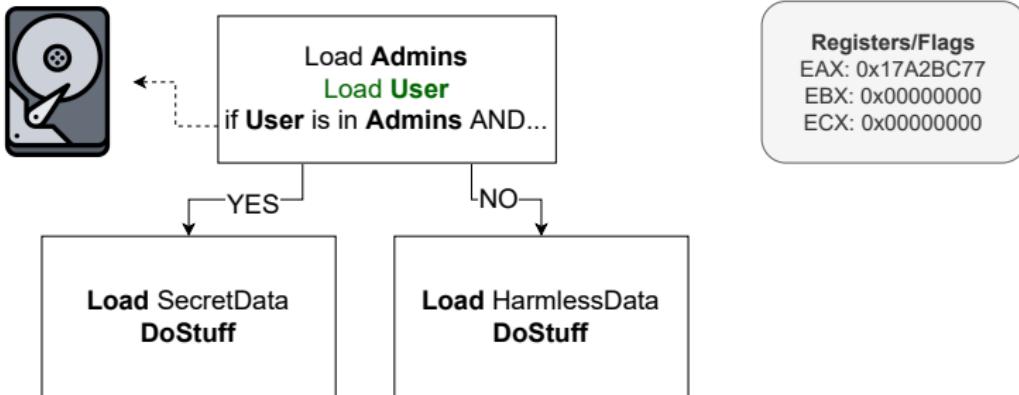
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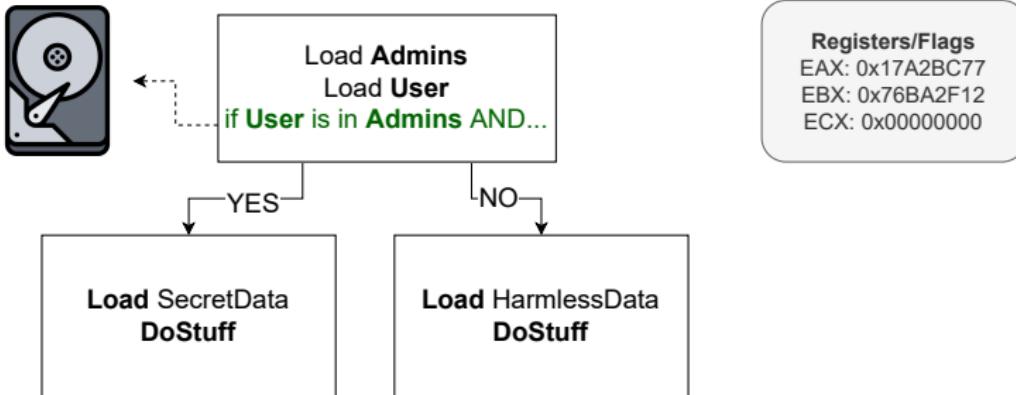
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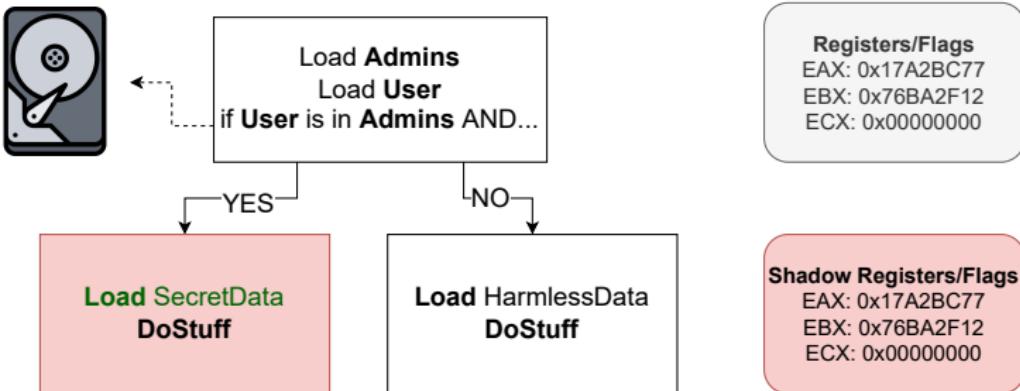
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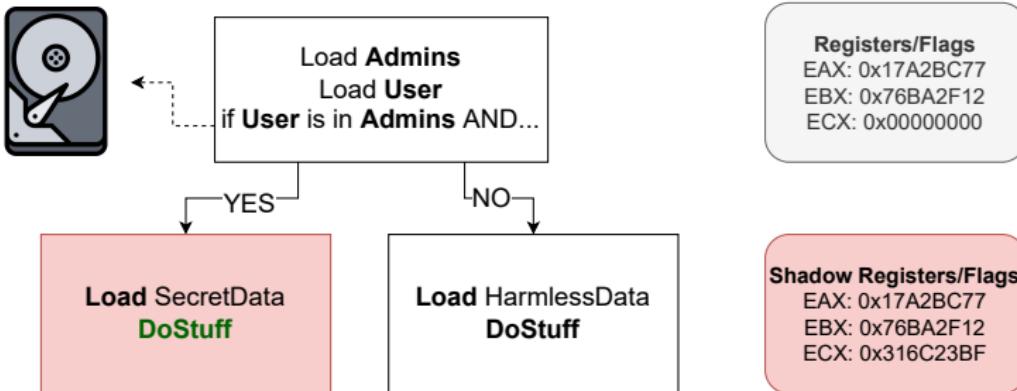
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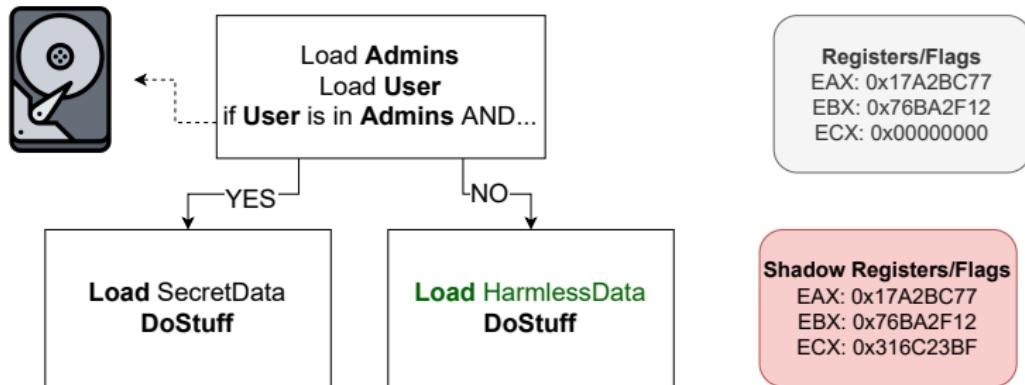
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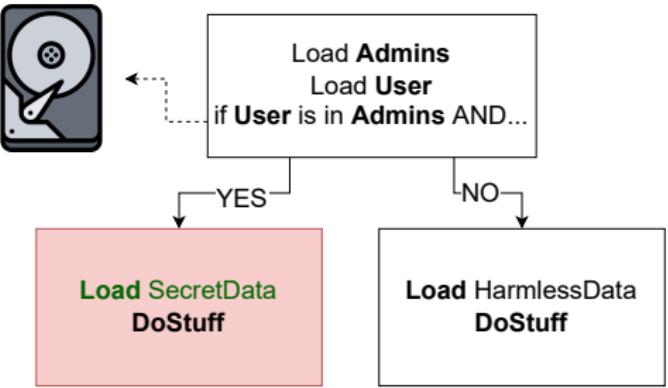


# Speculative Execution

Branch Predictors

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1



Registers/Flags  
EAX: 0x17A2BC77  
EBX: 0x76BA2F12  
ECX: 0x00000000

Shadow Registers/Flags  
EAX: 0x17A2BC77  
EBX: 0x76BA2F12  
ECX: 0x00000000

Cache  
Admins  
User

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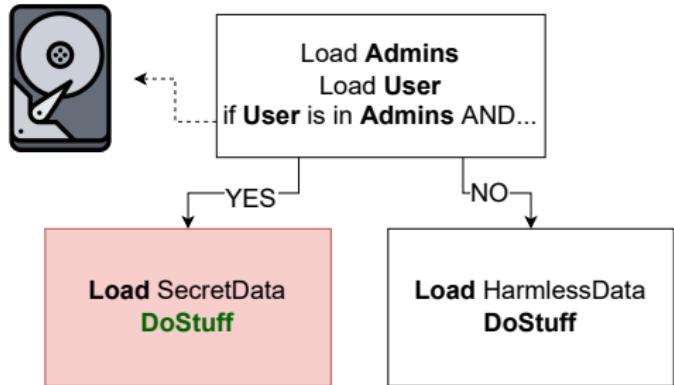
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# Speculative Execution

Branch Predictors

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**Registers/Flags**  
EAX: 0x17A2BC77  
EBX: 0x76BA2F12  
ECX: 0x00000000

**Shadow Registers/Flags**  
EAX: 0x17A2BC77  
EBX: 0x76BA2F12  
ECX: 0x316C23BF

Cache  
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User  
SecretData

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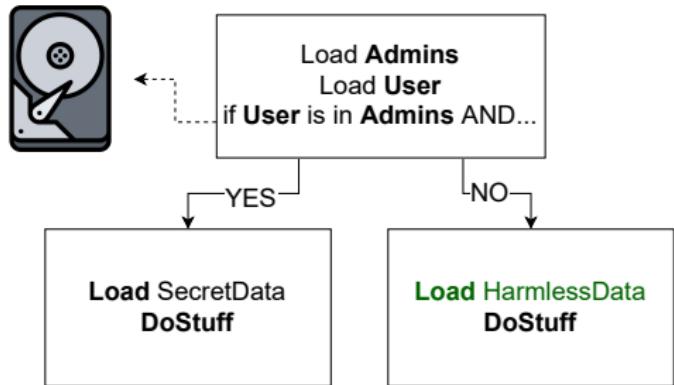
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# Speculative Execution

Branch Predictors

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**Registers/Flags**  
EAX: 0x17A2BC77  
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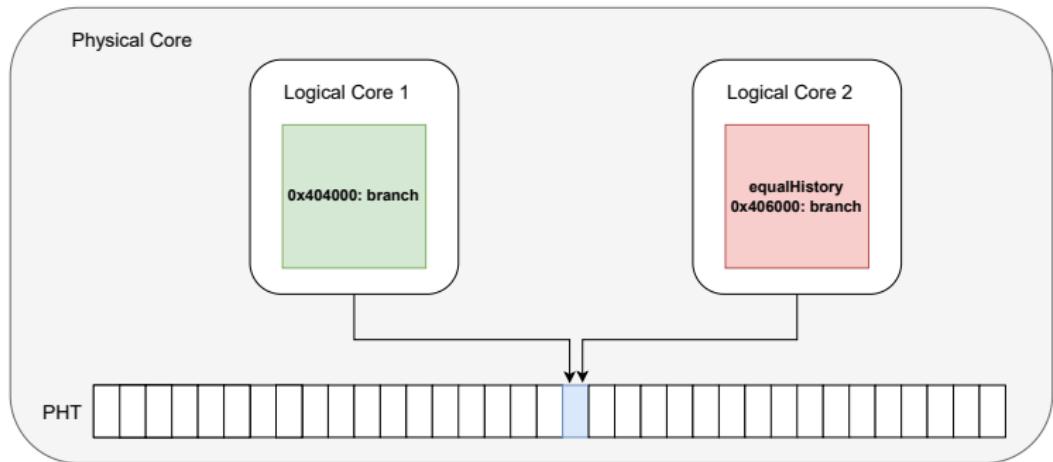
What we know

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**Figure:** Attack scenario [CBS<sup>+</sup>19, KHF<sup>+</sup>19].

# PHT Indexing

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Injector & Target Setup

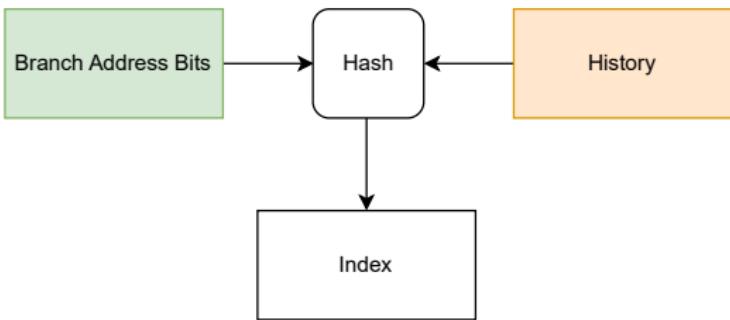
What we know

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**Figure:** High level hash function for PHT indexing

# Injector & Target Setup

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---

## Algorithm 1: Target program that gets mis-trained by the *injector*

---

```
1 setCore(6)
2 while experimentOn do
3     normalizeHistory()
4     //Not taken
5     if True then
6         nop
```

---

---

## Algorithm 2: Injector program

---

```
1 setCore(14)
2 while experimentOn do
3     normalizeHistory()
4     //Taken
5     if False then
6         nop
```

---

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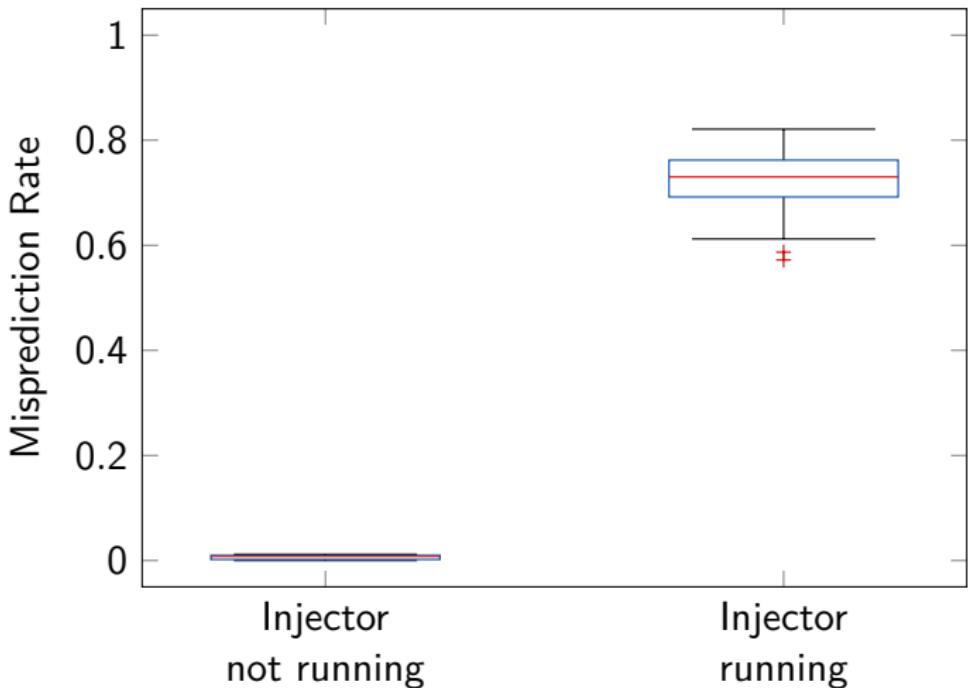
Reverse the Hash Function

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# Result



**Figure:** The mispredicts of the spy branch in the *target* go up when the *injector* runs. Thus, it successfully mistrains the *target's* branch.

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# What we know:

- ▶ Each PHT entry has a 2-bit/3-bit saturating counter
- ▶ Logical cores on the same physical core share branch prediction units
- ▶ A history impacts PHT indexing
- ▶ All branches affect the history except for not taken conditional branches
- ▶ The history stores the last 93 branches [YTN<sup>+</sup>23]

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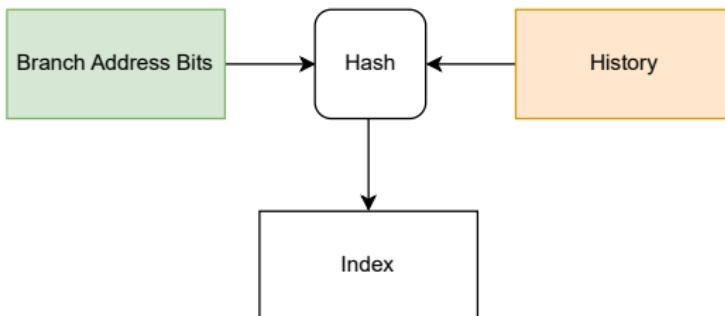
## References

# Reverse the Hash Function

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- ▶ Normalize history
- ▶ Increase address of injector branch by one each iteration
- ▶ Collect all colliding branches



**Figure:** High level hash function for PHT indexing

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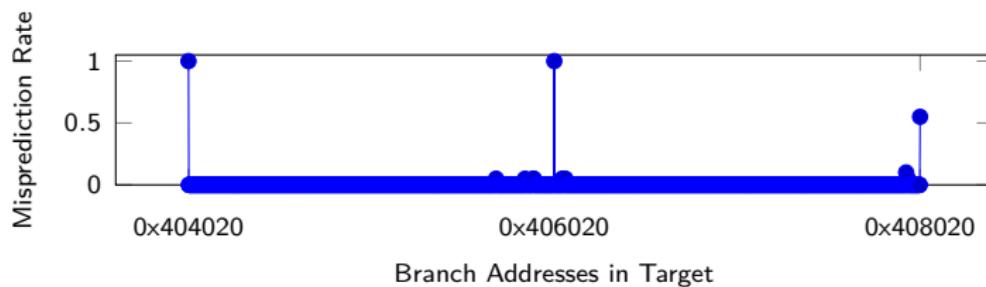
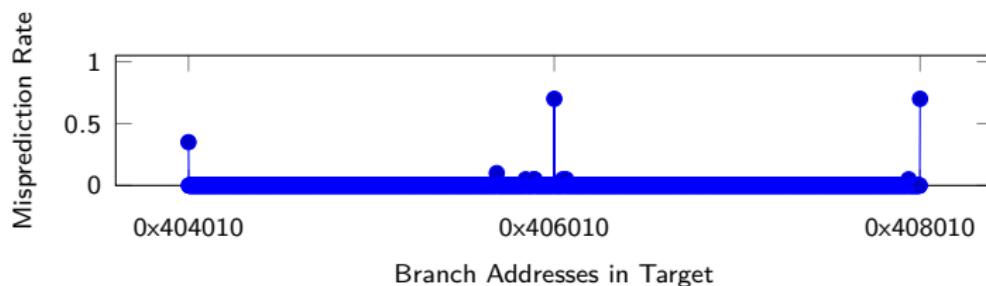
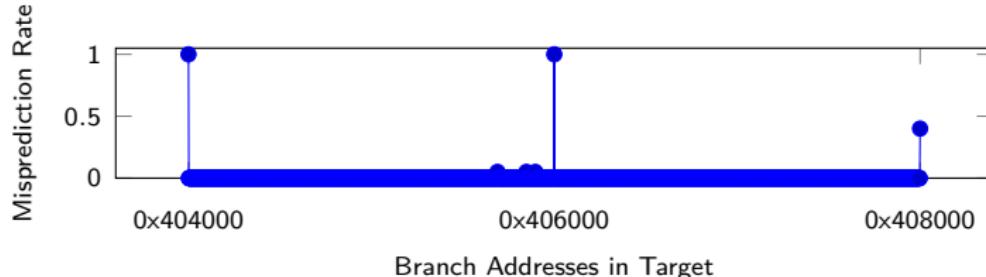
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# Spectre PoC (Proof of Concept)

Branch Predictors

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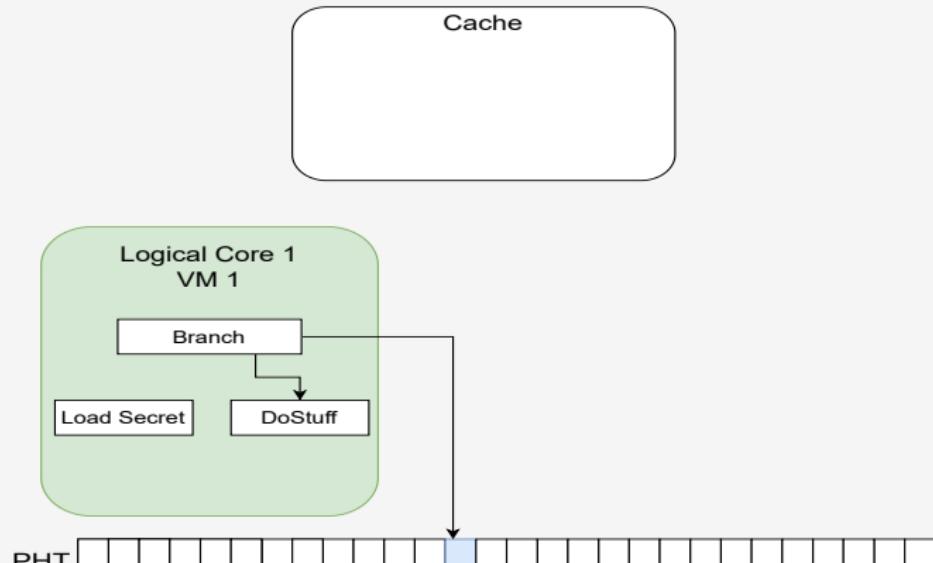
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**Figure:** Spectre PoC (Active)

# Spectre PoC (Proof of Concept)

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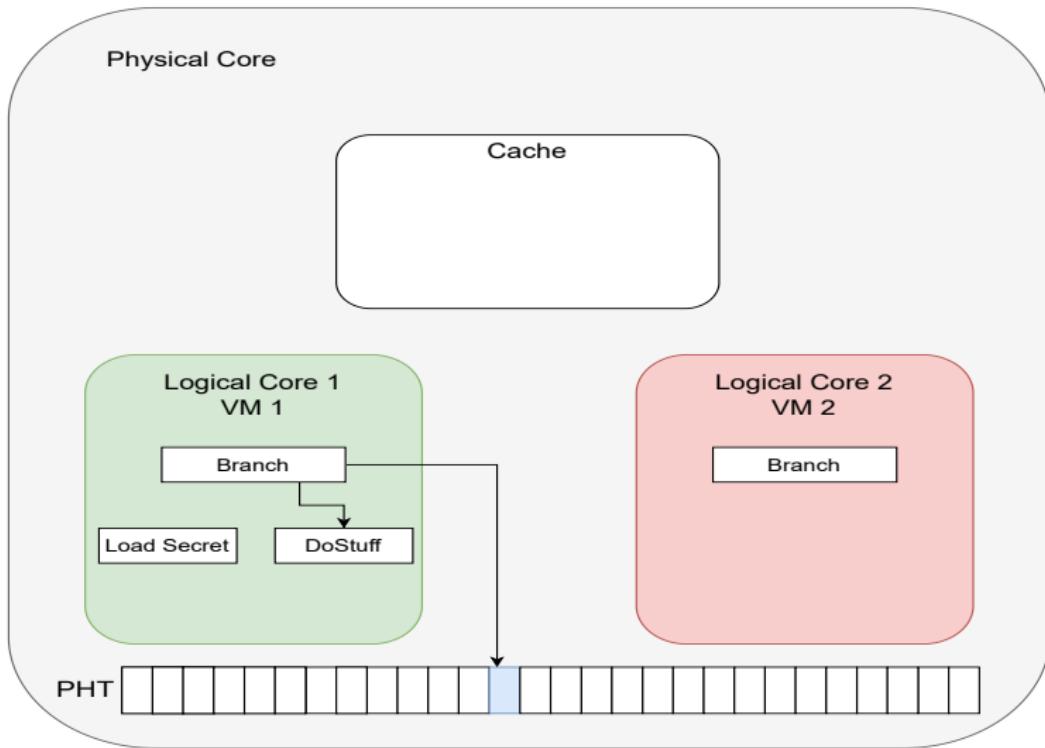
What we know

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**Figure:** Spectre PoC (Active)

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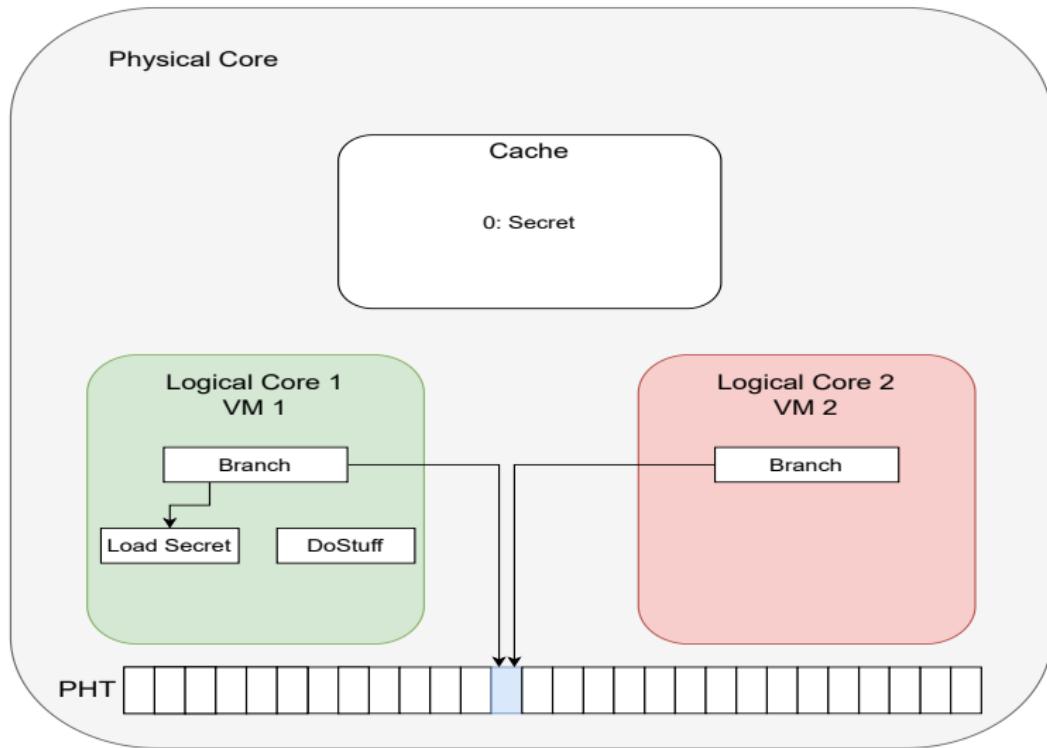
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**Figure:** Spectre PoC (Active)

# Leakage on the same logical core

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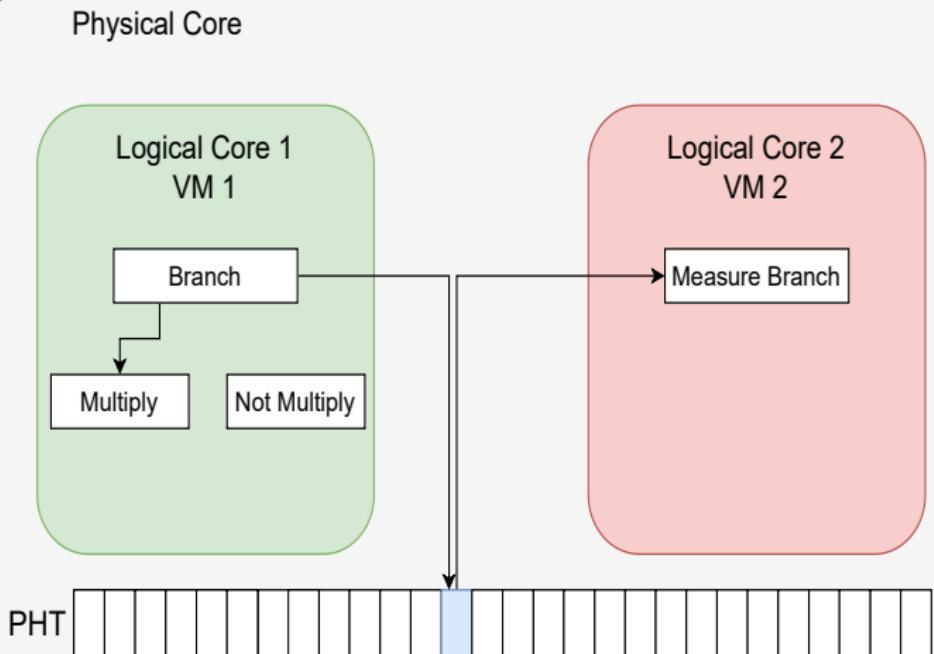
What we know

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**Figure:** Spectre PoC (Passive)

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- ▶ Attacks mostly theoretical yet
- ▶ Learning: Avoid shared resources
- ▶ Knowledge on branch prediction can help with defense measures

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- ▶ Attacks mostly theoretical yet
- ▶ Learning: Avoid shared resources
- ▶ Knowledge on branch prediction can help with defense measures



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# References I

-  Claudio Canella, Jo Van Bulck, Michael Schwarz, Moritz Lipp, Benjamin von Berg, Philipp Ortner, Frank Piessens, Dmitry Evtyushkin, and Daniel Gruss.  
A systematic evaluation of transient execution attacks and defenses.  
In Nadia Heninger and Patrick Traynor, editors, *28th USENIX Security Symposium, USENIX Security 2019, Santa Clara, CA, USA, August 14-16, 2019*, pages 249–266. USENIX Association, 2019.
-  Paul Kocher, Jann Horn, Anders Fogh, Daniel Genkin, Daniel Gruss, Werner Haas, Mike Hamburg, Moritz Lipp, Stefan Mangard, Thomas Prescher, Michael Schwarz, and Yuval Yarom.  
Spectre attacks: Exploiting speculative execution.

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# References II

Nick Mahling

In *2019 IEEE Symposium on Security and Privacy, SP 2019, San Francisco, CA, USA, May 19-23, 2019*, pages 1–19. IEEE, 2019.



André Seznec.

The L-TAGE branch predictor.

*J. Instr. Level Parallelism*, 9, 2007.



André Seznec.

A new case for the TAGE branch predictor.

In Carlo Galuzzi, Luigi Carro, Andreas Moshovos, and Milos Prvulovic, editors, *44rd Annual IEEE/ACM International Symposium on Microarchitecture, MICRO 2011, Porto Alegre, Brazil, December 3-7, 2011*, pages 117–127. ACM, 2011.

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André Seznec and Pierre Michaud.

A case for (partially) tagged geometric history length branch prediction.

*J. Instr. Level Parallelism*, 8, 2006.



Hosein Yavarzadeh, Mohammadkazem Taram, Shravan Narayan, Deian Stefan, and Dean Tullsen.

Half&Half: Demystifying Intel's directional branch predictors for fast, secure partitioned execution.

In *44th IEEE Symposium on Security and Privacy, SP 2023, San Francisco, CA, USA, May 22-26, 2023*, pages 1220–1237. IEEE, 2023.

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# Non-branch Instructions

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Appendix

---

## Algorithm 3: Target program

---

```
1 setCore(6)
2 i ← 0
3 while experimentOn do
4     normalizeHistory()
5     instructions_1
6     //Always not taken
7     if True then
8         nop
9     i ← i + 1
10    nop_pattern...
```

---

---

## Algorithm 4: Injector program

---

```
1 setCore(14)
2 i ← 0
3 while experimentOn do
4     normalizeHistory()
5     instructions_2
6     //Always taken
7     if False then
8         nop
9     i ← i + 1
```

---

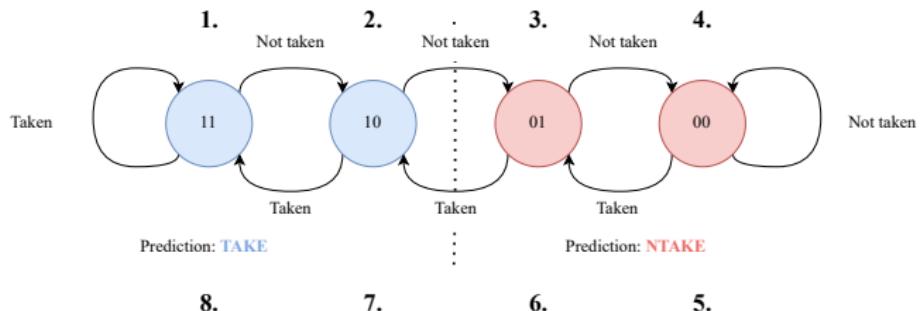
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## Algorithm 5: Experiment pseudocode to test what kind of saturating counter is used.

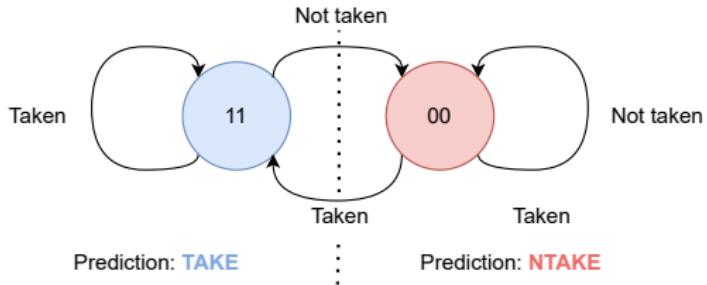
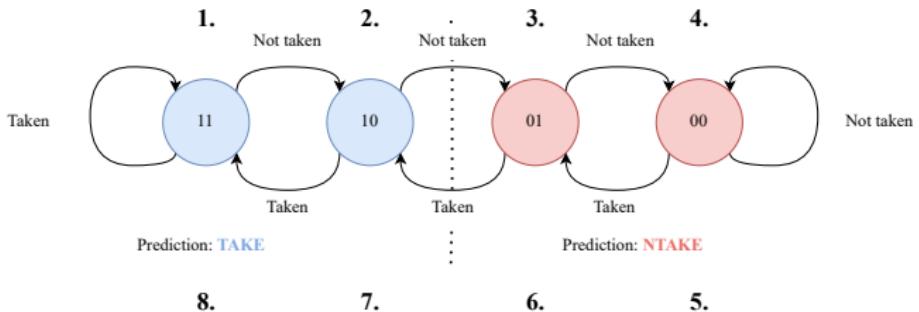
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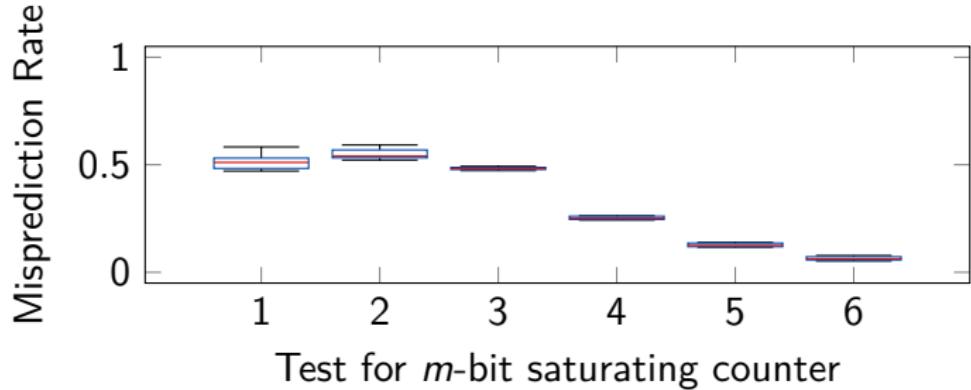
```
1 param1 ← args[0] //Assign first passed argument
2 i ← 0
3 while experimentOn do
4     normalizeHistory() //Ensures same history each iteration
5     //Cycling around the saturating counter
6     if ⌊i/param1⌋ mod 2 then
7         |
8             nop
9     i ← i + 1
```

---

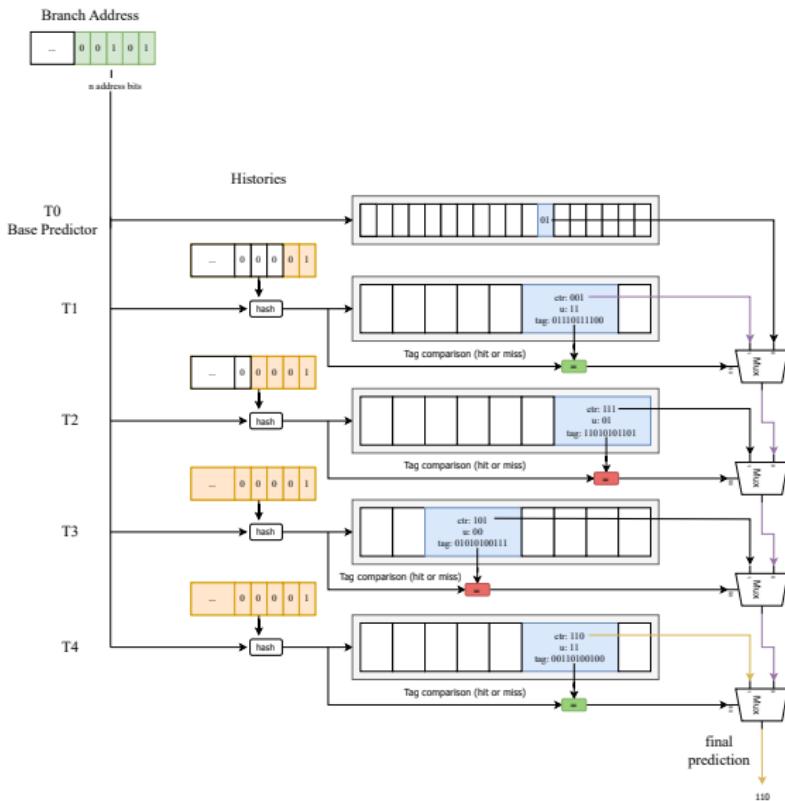


**Figure:** 2-bit saturating that we cycle around

**Figure: 1 Bit Counter****Figure: 2-bit saturating that we cycle around**



**Figure:** Results to show which saturating counter is used. They indicate a 3-bit saturating counter as results have a misprediction rate of around 0.5 for the first three values and then halve.



**Figure:** TAGE predictor illustration. Used by AMD Zen 2 processors (2019) e.g. [SM06, Sez07, Sez11]