

FTP_Alg_Week 5: Exercises

jungkyu.canci@hslu.ch

17 October 2023

Exercise 1 We apply $BUILDKDTREE(P, 0)$ (the pseudocode given in Lecture 8) to the following set P of points of the plane:

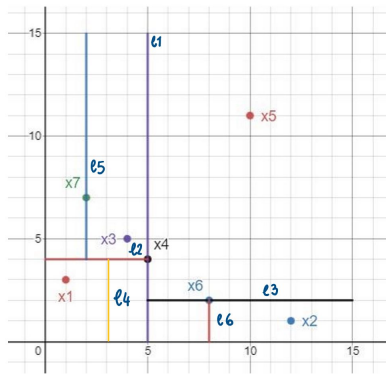
$$P = \{(1, 3), (12, 1), (4, 5), (5, 4), (10, 11), (8, 2), (2, 7)\}$$

1. Give the height of the tree
2. How many leafs there are?
3. The second leaf (starting from left) is the point with first coordinate the number ...

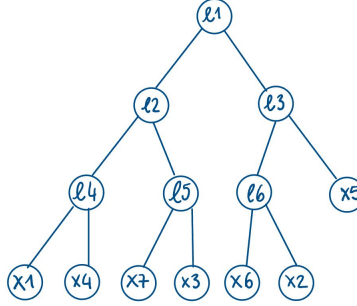
Solution: We denote by

$$x1 = (1, 3), x2 = (12, 1), \dots, x7 = (2, 7)$$

and we consider the splitting lines as defined in the BUILDKDTREE algorithm



The Kd-Tree in the output is the following one



Thus the tree has height 3, 7 leaves (i.e. the number of points) and the second left leaf is the point x_4 .

Exercise 2 ((It is enough to give an intuitive idea)) *Prove that the BUILD-KDTREE for a set of n points has running time $O(n \log n)$ and uses $O(n)$ storage*

Solution: (Here we give a proper proof) Each splitting line divide the set of points in two equal parts (up to a unity). Therefore we divide the sets until lines divide two points in two parts. Therefore if the number of points is $n = 2^k$ then we need $2^k - 1$ lines (which are the parents in the tree, i.e. the internal nodes)

Indeed for splitting 2
, therefore the number of nodes (parents and leaves) is $2^k + 2^{k-1} = n + n/2 = 3n/2 < 3 \cdot n$.

If n is not a power of 2, then there exists t such that $2^{t-1} < n < 2^t$. Therefore the number n_p of internal node (i.e. parents) is bounded by $2^{t-2} < n_p < 2^{t-1}$ (see above justification in the case of power of 2). Therefore the number of nodes (parents+leafs) satisfies

$$3 \cdot 2^{t-2} < n + n_p < 3 \cdot 2^{t-1}$$

therefore we have $n + n_p < 3 \cdot 2^{t-1} < 3 \cdot n$.

This show that the number of nodes is a $O(n)$. Now the statement about the storage is verified because each node uses $O(1)$ storage, so in total we need $O(1) \cdot O(n) = O(n)$ storage.

Now we consider the running time of BUILDKDTREE. It is a recursive process, where at each recursion a set of n points is divided in two subsets of $n/2$ elements each (up to a unity). The cost of the split is linear in n because we have to find the median, either with respect the x -coordinate or with respect the y -coordinate. Thus the building time $T(n)$ satisfies the recurrence

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ 2T(n/2) + O(n) & \text{if } n > 1 \end{cases}$$

Now we can apply Master Theorem, as done in the case of Merge-Sort, and obtain that $T(n)$ is $O(n \log n)$.

Exercise 3 (Optional) In Lecture 10 we have seen the recursive formula for the expected running time $E[T(n)]$ for Randomized-Select

$$E[T(n)] = \frac{2}{n} \sum_{k=\lceil n/2 \rceil}^{n-1} (E[T(k)] + O(n)).$$

Prove by induction that $E[T(n)] = O(n)$.

Solution: The calculations are written at page 218 and page 219 of the book “Introduction to Algorithms” by Cormen et al.

Exercise 4 (Optional) In Lecture 10 we have seen the recursive formula for the running time $T(n)$ for SELECT:

$$T(n) \leq \begin{cases} O(1) & \text{if } n < 140 \\ T(\lceil n/5 \rceil) + T(7n/10 + 6) + O(n) & \text{if } n \geq 140 \end{cases}$$

Prove by induction that the running time $T(n)$ is in $O(n)$

Solution: The calculations are written at page 222 of the book “Introduction to Algorithms” by Cormen et al.