# FTP\_Alg Quick Sort and Counting Sort

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HS2024

### Quicksort

#### Divide

Partition (rearrange) the array A[p..r] into two (possibly empty) subarrays A[p..q-1] and A[q+1..r] such that each element of A[p..q-1] is less than or equal to A[q], which is, in turn, less than or equal to each element of A[q+1..r]. Compute the index q as part of this partitioning procedure.

#### Conquer

Sort the two subarrays A[p..q-1] and A[q+1..r] by recursive calls to quicksort.

#### Combine

Because the subarrays are already sorted, no work is needed to combine them: the entire array A[p..r] is now sorted.

```
 \begin{array}{ll} \text{QUICKSORT}(A,p,r) \\ 1 & \text{if } p < r \\ 2 & q = \text{PARTITION}(A,p,r) \\ 3 & \text{QUICKSORT}(A,p,q-1) \\ 4 & \text{QUICKSORT}(A,q+1,r) \end{array}
```

#### Quicksort

The key to the algorithm is the PARTITION procedure, which rearranges the subarray A[p..r] in place.

```
PARTITION(A, p, r)

1 x = A[r]

2 i = p - 1

3 for j = p to r - 1

4 if A[j] \le x

5 i = i + 1

6 exchange A[i] with A[j]

7 exchange A[i + 1] with A[r]

8 return i + 1
```

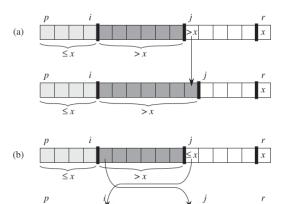
In this version of quicksort we have q = r. Lines 3–6, the following invariant must hold true:

1. If 
$$p \le k \le i$$
, then  $A[k] \le x$ .

2. If 
$$i + 1 \le k \le j - 1$$
, then  $A[k] > x$ .

3. If 
$$k = r$$
, then  $A[k] = x$ .

## Quicksort: the two cases of PARTITION



▶ if A[j] > x: increment j

 $\leq x$ 

▶ if  $A[j] \le x$ : increments i, swap A[i] and A[j], increments j.

> x

# Quicksort Step by Step



	p,i			j				r
(d)	2	8	7	1	3	5	6	4

#### Worst-Case Partitioning

We have the worst case, when at each partitioning of n elements, the subroutine produces one subproblem with n-1 elements and one with 0 elements. Since the partitioning costs  $\Theta(n)$ , we have

$$T(n) = T(n-1) + T(0) + \Theta(n).$$

One can see (Exercise) that  $T(n) = \Theta(n^2)$ .

#### **Best-Case Partitioning**

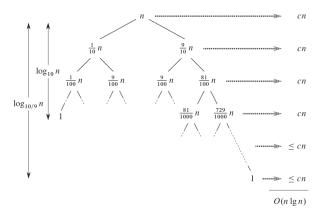
We have the best case, when at each partitioning the two subproblems have no more than n/2 elements (by partitioning n elements). In this case we have

$$T(n) = 2T(n/2) + \Theta(n)$$

and we have already seen that in this case we have  $T(n) \in O(n \log n)$ .

## **Balanced Partitioning**

We present this following example where the partition algorithm produces a 9-to-1 proportional split.



From this pictures one sees that  $T(n) = O(n \log n)$ . The same reasoning can be also done for a 99-to-1 proportional partitioning!

## Intuition for Average-Case

In average partititon produces "bad" and "good" splits.



Suppose that good and bad split alternate. A bad split followed by a good split produce three subarrays of size 0,  $\frac{n-1}{2}-1$  and  $\frac{n-1}{2}$  so the combined partition cost is

$$\Theta(0) + \Theta\left(\frac{n-1}{2} - 1\right) + \Theta\left(\frac{n-1}{2}\right) = \Theta(n).$$

We can say that in average "good splits compensate bad splits".

## A Randomized Version of Quicksort

Instead of always taking as pivot in quicksort the element A[r], we could randomize the process by calling a random choice of the index i for choosing the pivot A[q] = A[i].

One can see that we have the worst case, when the randomly chosen i is such that A[i] is the highest value in the array and so the partitioning produce a split with a n-1 subproblem. As we have seen if this happens to each iteration, the running time is  $\Theta(n^2)$ .

## Expected running time

- ► We could repeat the same reasoning that we have considered in the slide "Intuition for Average-Case".
- If we had only good splits the height of the tree of the subproblems would be  $O(\log n)$ , where at each level we will have cost  $\Theta(n)$ .
- Now in a "generic" situation we could assume that we add some extremely unbalanced split among the good ones.
- ▶ By considering the block of balanced split with the successive unbalance we will have something, which costs  $\Theta(n)$ , and we will have at most  $O(\log n)$  of such blocks.
- Thus the expected running time is  $\Theta(n \log n)$ . One can prove it by using the expected value on the random variable **running** time (e.g. see the book "Introduction to Algorithms"...)
- ightharpoonup One can calculate explicitly the constants coming out in T(n) in the expected case and see that they are quite small in comparison with merge sort.

## Sorting in linear time

- So far we have revised some sorting algorithms that use some comparison arguments for sorting the objects (merge sort, heapsort, quicksort).
- We have seen that in all these above procedures, there exist cases where the running time is  $\Theta(n)$ , with n the number of elements to be sorted.
- It is possible to prove that every sorting algorithms that use comparison methods, there exist cases where the running time is  $\Theta(n)$ .
- ▶ There exist some sorting algorithms, that run in linear time, i.e. in O(n), where we use some extra information for sorting problem. Here we present **counting sort**.
- We apply counting sort, when we know that the inputs elements are n integers between 0 and k, for a known positive integer k.
- ▶ When k = O(n), counting sort runs in  $\Theta(n)$  time.



```
COUNTING-SORT (A, B, k)

1 let C[0..k] be a new array

2 for i = 0 to k

3 C[i] = 0

4 for j = 1 to A.length

5 C[A[j]] = C[A[j]] + 1

6 \#C[i] now contains the number of elements equal to i.

7 for i = 1 to k

8 C[i] = C[i] + C[i - 1]

9 \#C[i] now contains the number of elements less than or equal to i.

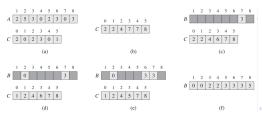
10 for j = A.length downto 1

11 B[C[A[j]]] = A[j]

12 C[A[j]] = C[A[j]] - 1
```

The procedure starts by creating an array C that tell us the number of elements having key i for each  $0 \le i \le k$ . The step after is to change C, which now tells us the number of elements having key < i for each 0 < i < k.

Then the procedure creates the array B containing the sorted list by using the info in C.



## Counting Sort: Running Time

- ▶ The for loop of lines 2-3 takes  $\Theta(k)$  time.
- ▶ The for loop of lines 4-5 takes  $\Theta(n)$  time.
- ▶ The for loop of lines 7-8 takes  $\Theta(k)$  time.
- ▶ The for loop of lines 10-12 takes  $\Theta(n)$  time.
- The other lines are comments (or the input), thus the running time is  $\Theta(k+n)$ .
- If the procedure is applied with  $k = \Theta(n)$ , the running time is  $\Theta(n)$  (so in linear time with respect n).