# **Summary**

# **Q1: Theory and Practice Topics**

# 1. Query Planning

 Definition: The process of deciding the most efficient way to execute a database query.

#### Key Concepts:

- Cost-Based Optimization: Database chooses the most efficient query execution plan based on statistics (e.g., index usage, table size).
- Steps in Query Planning: Parsing, Planning, Statistics, Execution.
- **Plan Types:** Sequential scans, index scans, joins (nested-loop, hash join, merge join).

#### **▼ Query Plans and Operations**

# 1. Sequential Scan

- What it does: Scans all rows of a table one by one.
- When used: No suitable index exists, or the query needs most/all rows.
- **Efficiency:** Inefficient for large datasets but optimal for small tables or when retrieving most rows.

#### 2. Index Scan

- What it does: Uses an index to quickly locate specific rows based on conditions (e.g., WHERE clauses).
- When used: A matching index exists, and the query targets a small subset of rows.
- **Efficiency:** Faster than a sequential scan for selective queries but can be slower if fetching many rows.

# 3. Nested Loop Join

- What it does: For each row in the outer table, scans rows in the inner table to find matches.
- When used: Small datasets or when the join condition includes indexed columns.
- **Efficiency:** Simple but can be slow for large datasets.

### 4. Hash Join

- What it does: Creates a hash table of one table and probes it with rows from the other table.
- When used: Equality joins on large datasets.
- **Efficiency:** Faster than nested loops for large, unordered datasets, but requires memory for the hash table.

### 5. Merge Join

- What it does: Merges two sorted datasets by scanning them sequentially and matching rows.
- When used: Both tables are sorted on the join key.
- **Efficiency:** Efficient for large datasets with sorted input but requires sorting if data is unsorted.

# 6. Aggregation

- What it does: Groups rows and computes summary values (e.g., SUM, AVG, COUNT).
- When used: Queries with GROUP BY or aggregate functions.
- **Efficiency:** Performance depends on dataset size and indexes but can use parallel processing.

# 7. Sorting

- What it does: Orders rows based on specified columns (e.g., ORDER BY).
- When used: Queries that require ordered results.
- **Efficiency:** Sorting large datasets can be resource-intensive but is optimized with indexes.

#### **▼** Subplans and Subquery Scans

# 1. Subplans

• **Definition:** A subplan is a part of the overall query execution plan that handles a **subquery**. It is created when the query planner decides to execute a subquery separately and integrate its results into the main query.

### How they work:

- The main query references the subplan like a virtual table.
- Subplans are used when the subquery cannot be directly optimized into a join or inline operation.

#### • Example:

```
SELECT Name FROM Employees
WHERE Salary > (SELECT AVG(Salary) FROM Employe
es);
```

 The subplan will compute AVG(Salary) and provide the result to the outer query.

### When Used:

- Scalar subqueries (returning a single value).
- Correlated subqueries (dependent on the outer query).
- Independent subqueries that cannot be flattened.

# 2. Subquery Scans

• **Definition:** A subquery scan occurs when the planner treats a subquery as a derived table and scans its output rows.

#### How they work:

- The subquery is treated as a temporary result set or table.
- The outer query scans this result set to retrieve rows.

#### • Example:

```
SELECT e.Name, sub.AvgSalary
FROM Employees e
JOIN (SELECT DeptID, AVG(Salary) AS AvgSalary F
ROM Employees
GROUP BY DeptID) sub
ON e.DeptID = sub.DeptID;
```

 Here, (SELECT DeptID, AVG(Salary)...) is a subquery. The planner uses a **subquery scan** to fetch rows from this temporary result.

# **Key Differences**

Feature	Subplans	Subquery Scans
Purpose	Executes subqueries separately.	Scans the output of a subquery as a derived table.
Execution	Can execute independently of the main query.	Treated like a table within the outer query.
Example Usage	Scalar and correlated subqueries.	Subqueries in FROM clause (common table expressions).

# **Optimization Notes**

- Subplans and subquery scans can be inefficient if the subquery is executed multiple times.
- The query planner may flatten subqueries into joins or other optimized operations to improve performance where possible.

#### • Improving Query Performance:

- Use indexes appropriately.
- Optimize SQL queries (e.g., avoid SELECT \*; use WHERE clause).
- Partitioning and clustering tables.

# 2. Stored Procedures, Functions, Triggers

#### • Definitions:

- Stored Procedures: Precompiled collections of SQL statements executed as a unit.
- Functions: Similar to stored procedures but must return a value.
- Triggers: Code executed automatically in response to specific database events (INSERT, UPDATE, DELETE).

#### Use Cases:

- Automating repetitive tasks (triggers for audit logs).
- Encapsulating business logic in stored procedures.
- Reusable calculations in functions.

#### 3. SQL vs NoSQL

#### • SQL:

- Relational, structured schemas.
- Use cases: Banking, Business Analytics.

#### NoSQL:

- Flexible, schema-less design, document-based (e.g., MongoDB).
- Use cases: Big data, real-time analytics, IoT.

#### When to Use:

- SQL for structured data, strong ACID compliance.
- NoSQL for high scalability and unstructured data.

# 4. Creating Schema

#### Scenario-Based Schema Design:

- Analyze the use case (e.g., e-commerce site, inventory system).
- Define entities, attributes, and relationships.
- Choose between SQL (relational model) or NoSQL (document model) based on the scenario.

# **Q2: Scenario-Based Questions**

#### 1. Files and Schemas

- Design Steps:
  - Identify entities and their attributes.
  - Normalize the schema to reduce redundancy.
  - For NoSQL: Decide on collections, documents, and nesting levels.

### 2. MongoDB Basics

- MongoDB Advantages:
  - Horizontal scalability.
  - Schema-less data storage.
- Key Differences with SQL:
  - MongoDB stores JSON-like documents; SQL uses tables.
  - No Joins in MongoDB (aggregation pipelines instead).

### 3. Relational Systems and Normalization

- 1NF (First Normal Form): No repeating groups or arrays.
- **2NF (Second Normal Form):** 1NF + no partial dependency (all non-key attributes depend on the whole primary key).
- **3NF (Third Normal Form):** 2NF + no transitive dependency.

# 4. ERD (Entity-Relationship Diagram)

- Key Components:
  - Entities (e.g., tables).
  - Relationships (1:1, 1:N, M:N).
  - Attributes (columns).

# 5. PostgreSQL

Practice SQL queries:

```
CREATE TABLE Customers (

ID SERIAL PRIMARY KEY,

Name VARCHAR(100),
```

```
Email VARCHAR(100) UNIQUE
);

INSERT INTO Customers (Name, Email) VALUES ('John Doe',
'john@example.com');
SELECT * FROM Customers;
```

# **Q3: Advanced Topics**

### 1. Types of NoSQL Databases

- Document Databases: store data in flexible JSON-like documents, ideal for applications with complex and varying data structures.
- **Key-Value Stores:** key-value pair model, optimised for fast data retrieval and caching and session management.
- **Column-Family Stores:** organise data by columns for big data and analytical workloads that require efficient querying of large datasets.
- **Graph Databases:** focus on relationships between data, use nodes and edges to represent entities and connections, for social networks.

**CAP Theorem:** Consistency, Availability, Partition Tolerance. Impossible to achieve all three.

- NoSQL focus on AP (Availability + Partition Tolerance)
- BASE Properties:
  - Basic Availability: system available most of the time.
  - **Soft State:** data can be in intermediate state (unsynchronized changes).
  - Eventual Consistency: data will eventually become consistent.

# 2. Query Planning in Detail

- Sequential Scans: Reads the entire table row by row.
- Sub Plans: Break queries into smaller, reusable pieces.
- Index Scans: Use indexes to locate data faster.

#### 3. Transactions

- ACID Properties:
  - Atomicity: All-or-nothing.
  - Consistency: Maintain integrity (from a valid state to another).
  - Isolation: Concurrent transactions don't interfere.
  - **Durability:** Changes persist even after crashes.

#### Isolation Levels:

- Read Uncommitted, Read Committed, Repeatable Read, Serializable.
- Implement using SET TRANSACTION ISOLATION LEVEL.

#### 4. Deadlocks

- **Definition:** Two transactions wait indefinitely for each other.
- Prevention in PostgreSQL:
  - Use timeouts or ordered access to resources.

### 5. MongoDB vs SQL Queries

SQL Insert:

```
INSERT INTO Customers (Name, Email) VALUES ('John Doe',
'john@example.com');
```

• MongoDB Insert:

```
db.Customers.insertOne({ Name: "John Doe", Email: "john@
example.com" });
```

# 6. Subqueries vs Joins

- Subquery:
  - Nested query inside another.
  - Used when you need a temporary result that depends on another query.
  - When to Use: for aggregate or filtering data that's difficult to express as a join.

```
SELECT Name FROM Employees
WHERE Salary > (SELECT AVG(Salary) FROM Employees);
```

#### • Join:

- Combines rows from two tables.
- Combines data from multiple tables based on a condition.

```
SELECT A.Name, B.Department
FROM Employees A
JOIN Departments B ON A.DeptID = B.ID;
```

# 7. Query Refinement

#### Methods:

- Add indexes.
- Use LIMIT to restrict rows.
- Optimize WHERE clauses (e.g., avoid LIKE '%abc').

# **Procedures, Functions, Triggers and Cursors**

#### 1. Procedure

 A procedure is a block of SQL code stored on the database server and executed as a unit.

#### Syntax:

```
CREATE OR REPLACE PROCEDURE procedure_name (param1 datatyp
e, param2 datatype)
LANGUAGE plpgsql AS $$
BEGIN
    -- Procedure logic here
END;
$$;
```

#### • Example:

```
CREATE OR REPLACE PROCEDURE add_employee(p_name VARCHAR, p_
salary INT)
LANGUAGE plpgsql AS $$
BEGIN
    INSERT INTO employees (name, salary) VALUES (p_name, p_
salary);
END;
$$;
```

### 2. Trigger

 A trigger is code that runs automatically when an event (INSERT, UPDATE, DELETE) occurs on a table.

#### Syntax:

```
CREATE OR REPLACE FUNCTION trigger_function_name()
RETURNS TRIGGER AS $$
BEGIN
-- Trigger logic here
RETURN NEW; -- or OLD for DELETE
$$ LANGUAGE pgplsql;

CREATE TRIGGER trigger_name
AFTER INSERT ON table_name
FOR EACH ROW
EXECUTE FUNCTION trigger_function_name();
```

### • Example:

```
CREATE OR REPLACE FUNCTION log_insert()
RETURNS TRIGGER AS $$
BEGIN
    INSERT INTO auditLog (tableName, operation) VALUES ('Em ployees', 'INSERT');
    RETURN NEW;
$$ LANGUAGE pgplsql;
```

```
CREATE TRIGGER after_employee_insert

AFTER INSERT ON Employees

FOR EACH ROW

EXECUTE FUNCTION log_insert();
```

### 3. Function

A function is similar to a procedure but must return a value.

#### • Syntax:

```
CREATE OR REPLACE FUNCTION function_name (param1 datatype)
RETURNS return_datatype AS $$
LANGUAGE plpgsql AS $$
BEGIN
-- Function logic here
END;
$$ LANGUAGE plpgsql;
```

#### • Example:

```
CREATE OR REPLACE FUNCTION calculate_bonus (salary INT)
RETURNS INT AS $$
BEGIN
RETURN salary * 0.1;
END;
$$ LANGUAGE plpgsql;
```

#### 4. Cursor

A cursor allows row-by-row processing of query results.

### • Syntax:

```
-- 1. Declare cursor: define cursor and the query to execut
e

DECLARE cursor_name CURSOR FOR query;
-- 2. Open cursor: automatically done after declaration
```

```
-- 3. Fetch data from cursor: retrieve row or set of rows
FETCH cursor_name INTO variable;
-- 4. Close cursor: release cursor and free resources
CLOSE cursor_name;
```

### **Transactions**

- **Definition:** a transaction groups multiple SQL operations into a single logical unit. It is all-or-nothing: either all changes are committed or none are applied.
- Syntax:

```
BEGIN;
-- SQL statements
COMMIT; -- or ROLLBACK;
```

#### • Example:

```
BEGIN;
    INSERT INTO Orders (CustomerID, ProductID, Quantity) VA
LUES (1, 101, 3);
    UPDATE Inventory SET Stock = Stokc - 3 WHERE ProductID
= 101;
COMMIT;
```

#### **Deadlocks and Deadlock Levels**

#### **Deadlocks**

- **Definition:** a deadlock occurs when two or more transactions are waiting for each other to release locks, preventing them from proceeding.
- Example Scenario:
  - 1. Transaction A locks Table1 and waits for Table2.
  - 2. Transaction B locks Table 2 and waits for Table 1.

#### **How to Prevent Deadlocks:**

- Always access tables in the same order.
- Minimize transaction scope.
- · Set timeouts.

#### **Isolation Levels**

- **Definition:** control the visibility of changes made by one transaction to other concurrent transactions.
- Levels (from least to most strict):
  - 1. **Read Uncommitted:** dirty reads are allowed (seeing uncommitted changes).
  - 2. Read Committed: only committed data is visible.
  - 3. **Repeatable Read:** prevents non-repeatable reads (two equal reads obtain different results) but allows phantom reads (new rows added by other transactions).
  - 4. **Serializable:** full isolation, acts like transactions are executed sequentially.

# **NoSQL Code Examples**

#### **Insert Document**

```
db.Employees.insertOne({
    Name: "John Doe",
    Salary: 50000,
    Department: "HR"
});
```

#### **Find Documents**

```
db.Employees.find({ Salary: { $gt: 40000 } });
```

#### **Update Document**

#### **Delete Document**

```
db.Employees.deleteOne({ Name: "John Doe" });
```

#### **Aggregation Example**

# **Stored Procedure**

A stored procedure is a named set of SQL and procedural logic that can be executed explicitly by calling it.

- Must be invoked using the CALL statement.
- Does not necessarily return a value.
- Used for performing operations like updating multiple tables, managing transactions, or executing complex business logic.
- Can contain transaction control commands (COMMIT, ROLLBACK).
- · Can have side effects like modifying data.

```
CREATE OR REPLACE PROCEDURE update_inventory(stock_id INT, quantity INT)
LANGUAGE plpgsql AS $$
BEGIN
```

```
UPDATE inventory
SET stock = stock + quantity
WHERE inventory_id = stock_id;

END;
$$;
-- To execute the stored procedure
CALL update_inventory(1, 10);
```

### **Stored Function**

A function is a set of SQL and procedural code that performs a specific task ad returns a value.

- Invoked with SQL statements (SELECT, INSERT, UPDATE).
- Must return a value.
- Used for calculations, data transformations or returning query results.
- Functions cannot contain transaction control commands (COMMIT, ROLLBACK).
- Operations without side effects, but can update data in some cases.

```
CREATE OR REPLACE FUNCTION calculate_square(num INT)
RETURNS INT AS $$
BEGIN

RETURN num * num;

END;
$$ LANGUAGE plpgsql;

-- Use the function
SELECT calculate_square(5);
```

# **Trigger**

A trigger is an automatic mechanism that executes a function in response to certain database events (INSERT, UPDATE, DELETE) on a table.

- Automatically executed before or after specified database events.
- Does not return a value explicitly to the user but can return NEW or OLD records internally for table operations.
- Used for enforcing business rules, auditing changes or automating data updates.
- Operates within the transaction of the operation that fires the trigger.
- Can modify the data being acted upon.