

## Security Questionnaire and Requirements for Potential Employees Requiring a Personnel Security Clearance

Please review and complete the form below, which you are receiving because you are interviewing for a position which requires a Personnel Security Clearance (PCL) from the U.S. Department of Defense (DOD) or other US Government Agencies. The Applied Physics Laboratory (APL) has no involvement in the government's investigative or decision-making process related to the issuance or denial of a security clearance. The form below is intended to enable the APL Security Department to estimate the likely duration of the government investigation process. Any information disclosed below to the APL Security Department will not be communicated outside the Security Department. If you have any questions concerning the federal Table of Adjudicative Guidelines and/or the PCL process and would like to speak directly with one of APL's security professionals to discuss any of the questions you are asked to respond to below, please contact the Personnel Security Section at 443-778-8903 or 240-228-8903.

Obtaining a clearance is predicated upon the individual meeting personnel security guidelines. The government's adjudicative process consists of the careful weighing of a number of variables using the "whole person concept." Available, reliable information about the person, past and present, favorable and unfavorable, will be considered by the adjudicator in reaching a determination. In evaluating the relevance of an individual's conduct, the adjudicator considers the following factors:

- a. The nature, extent, and seriousness of the conduct;
- b. The circumstances surrounding the conduct, to include knowledgeable participation;
- c. The frequency and recentness of the conduct;
- d. The individual's age and maturity at the time of the conduct;
- e. The voluntariness of participation;
- f. The presence or absence of rehabilitation and other pertinent behavioral changes;
- g. The motivation for the conduct;
- h. The potential for pressure, coercion, exploitation, or duress; and
- i. The likelihood of continuation or recurrence.

The DOD provides further information concerning the personnel security process and appeals process on the following web sites:

[http://www.dss.mil/psmo-i/indus\\_psmo-i\\_process\\_applicant.html](http://www.dss.mil/psmo-i/indus_psmo-i_process_applicant.html)

[http://www.dss.mil/psmo-i/ps\\_faqs.html](http://www.dss.mil/psmo-i/ps_faqs.html)

<http://www.defenselink.mil/dodgc/doha/isp-o.html>

<http://www.defenselink.mil/dodgc/doha/pap.html>

Finally, some clearance levels require completion of a polygraph examination. For positions requiring these clearance levels, continued employment is dependent on the successful completion of any required polygraph examination.

The following table and associated questions represent the adjudicative guidelines used by the US government to determine suitability for a PCL. This information is protected under the Privacy Act of 1974. If you would like to speak directly with one of APL's security professionals to discuss any item, please contact our Personnel Security Section at 443-778-8903 or 240-228-8903.

**I. Table of Adjudicative Guidelines**

<b>Category and Descriptive Narrative</b>
<p><b>Allegiance to the United States</b></p> <p>An individual must be of unquestioned allegiance to the United States. If your willingness to safeguard classified information is in doubt, or if your allegiance is questioned, a security clearance likely will be denied.</p>
<p><b>Foreign influence, including citizenship of family members</b></p> <p>Foreign contacts and interests may be a security concern if the applicant for a security clearance: has divided loyalties or foreign financial interests; may be manipulated or induced to help a foreign person, group, organization, or government in a way that is not in the interests of the United States; or is vulnerable to pressure or coercion by any foreign interest. An individual whose immediate family members are not citizens of the United States may pose a security risk based on the possibility of foreign influence or control. A security clearance likely will be denied. Immediate family members include: parents (including step parents); children (including stepchildren and adopted children); siblings (including step and half siblings); spouse, cohabitant (a person of the same or opposite sex in a spouse-like relationship with you); and any other relative(s) residing with you (including in-laws).</p>
<p><b>Foreign preference</b></p> <p>Exercising dual citizenship and/or the use of a foreign passport may result in denial of a security clearance. Relatives or business interests in a foreign country also may cause a security clearance to be denied.</p>
<p><b>Sexual behavior</b></p> <p>Sexual behavior that involves a criminal offense, indicates a personality or emotional disorder, reflects a lack of judgment or discretion, or which may subject the applicant for a security clearance to undue influence or coercion, exploitation, or duress can raise questions about an individual's reliability, trustworthiness, and ability to protect classified information. Such behavior may result in the denial of a security clearance. No adverse inference may be raised solely on the basis of the sexual orientation of the individual.</p>
<p><b>Personal conduct</b></p> <p>Conduct involving questionable judgment, lack of candor, dishonesty, or unwillingness to comply with rules and regulations can raise questions about an individual's reliability, trustworthiness, and ability to protect classified information. A security clearance may be denied.</p>
<p><b>Financial considerations</b></p> <p>Failure or inability to live within one's means, satisfy debts, or meet financial obligations may indicate poor self-control, lack of judgment, or unwillingness to abide by rules and regulations. Such conduct may raise questions about an individual's reliability, trustworthiness, and ability to protect classified information. An individual who is financially overextended, or who has affluence that is not explained by known sources of income, may be denied a security clearance.</p>
<p><b>Alcohol consumption</b></p> <p>Excessive alcohol consumption may lead to the exercise of questionable judgment or the failure to control impulses, and can raise questions about an individual's reliability and trustworthiness. A security clearance may be denied.</p>

An individual who currently is using or has a history of abusing drugs may be considered an unacceptable security risk. Drug abuse is the illegal use of a drug, or use of a legal drug in a manner that deviates from approved medical direction. A security clearance may be denied.

The fact that an individual suffers from or has a history of emotional, mental, or personality disorders is not by itself a disqualifying condition for a security clearance. However, a security clearance may be denied in instances where the possibility of future unreliable or dysfunctional behavior is indicated by either abnormal behavior or the opinion of a qualified mental health practitioner. When a psychological condition (or the side effects of medication) adversely affects a person's judgment and behavior a security clearance may be denied.

A history or pattern of criminal activity creates doubt about a person's judgment, reliability, and trustworthiness. A security clearance may be denied.

Deliberate or negligent failure to comply with rules and regulations for protecting classified or other sensitive information raises doubt about an individual's trustworthiness, judgment, or willingness and ability to safeguard such information and is a serious security concern. A security clearance may be denied.

An individual's involvement in certain types of outside employment or activities, such as activities with a foreign country or a foreign national, is a security concern if the activities pose a conflict of interest with the individual's security responsibilities and could create an increased risk of unauthorized disclosure of classified information. A security clearance may be denied.

Noncompliance with rules, procedures, guidelines, or regulations pertaining to information technology systems, may raise security concerns about an individual's reliability and trustworthiness, calling into question the willingness or ability to properly protect classified systems, networks, and information. A security clearance may be denied.

1.	Do you currently possess an active security clearance granted by a US Govt. agency? Details - Agency: Level:	Yes	No
2.	Have you ever had a security clearance denied, revoked, or suspended? Details -	Yes	No
3.	What is your citizenship/residency status?		

4. Please indicate country/countries of any Non-U.S. Citizenship(s) (or N/A)
5. Do you currently possess a foreign passport? Yes No  
Details- Country: Expiration Date:  
Are you willing to destroy or surrender the passport? \* Yes N/A  
***\*Performing either action does not serve as renouncing your citizenship***
6. Are any of your immediate family members citizens of a country other than the US or does anyone in your immediate family claim dual citizenship? Yes No  
Details - What Countries?
7. Do you or your spouse/cohabitant have any close or continuing contact in person, phone, or social networking with citizens of another country bound by affection, influence, common interests and/or obligation, or any financial interest or assets in another country? Yes No  
Details –
8. In the last 7 years have you had any bills referred to a collection agency, had your wages garnished, had any tax liens or filed bankruptcy? Yes No  
Details –
9. In the last 7 years, have you used or participated in the purchase or sale of any illegal drugs or controlled substances as defined by federal law regardless if that drug is legalized within any of the states or outside of the country? Yes No  
Details –

Again, the Johns Hopkins University Applied Physics Laboratory LLC (APL) has no involvement in the government's investigative or decision-making process related to the issuance or denial of a security clearance. **If you do not receive the necessary clearance within a reasonable period of time as determined by APL, if you are denied a clearance, or if you lose your clearance at a later date, APL is unlikely to have another position for you and your employment may be terminated.**

I certify that I have read and understand the information provided concerning the US government's adjudicating guidelines and that I have answered the questions honestly and to the best of my ability. I also understand that if I have any questions concerning the guidelines and/or the PCL process and would like to speak directly with one of APL's security professionals to discuss any item, I may contact the Personnel Security Section at 443-778-8903 or 240-228-8903. Please return this completed and signed form by emailing it to APL-Security-Prescreen@jhuapl.edu. If you have difficulties you may also fax the completed form to 443-778-0169 or 240-228-0169 .

Printed Name:

Date: