

## Lecture NUMBER — December 4th, 2018

Lecturer G. Hanrot, E. Kirshanova

Scribe: François Pitois

## 1 Remainder on $(p - 1)$ method

Recall that in  $(p - 1)$  method, the idea is to find stuff that appends modulo  $p$  but not modulo  $N$ .

Consider the following quantity:

$$X(B) = \prod_{p \leq B} p^{\lfloor \frac{\log B}{\log p} \rfloor}$$

For  $a \in \mathbb{Z}/N\mathbb{Z}$ , if  $\gcd(a, N) = 1$ , then compute  $\gcd(a^{X(B)} - 1, N)$ .

A sufficient condition for some  $q|N$  to divide also  $a^{X(B)} - 1$  is that  $q - 1 | X(B)$ , meaning that  $q - 1$  has only small prime power factors. The algorithm can be improved with a “second phase” to deal with the case where  $q - 1$  might have ONE prime factor.

**Observation 1.** “Second phase” deals with the case where  $q - 1$  might have one prime factor within  $[B, B^2]$ . The idea is to compute all  $\gcd(a^{lX(B)} - 1, N)$  for  $l$  prime in  $[B, B^2]$ , and compute their product modulo  $N$ .

We use the fact that if  $l < l'$  are two such consecutive primes,  $a^{lX(B)} = a^{l'X(B)} a^{(l-l')X(B)}$ . If  $\beta = a^{X(B)} \pmod{N}$ ,  $\beta^l = \beta^{l'} \beta^{(l-l')}$ . Then, pre-compute all possible values of  $\beta^{(l-l')}$  to compute all  $a^{lX(B)} - 1$  fast. Recall that  $(l - l') = \mathcal{O}(\log^2(B))$ .

## 2 $(p + 1)$ method

This method is due to Hugh C. Williams in 1982 [Wil82].

Let  $G_d(N) = \{(a, b) \in \mathbb{Z}/N\mathbb{Z} \mid a^2 + db^2 = 1 \pmod{N}\} \subseteq (\mathbb{Z}/N\mathbb{Z})\sqrt{-d}$ .

**Claim 2.** There is a group structure on  $G_d(N)$  if  $N$  is prime, where:

- the neutral element is  $(1, 0)$ ,
- product is defined as  $(a, b) \times (a', b') = (aa' - dbb', ab' + a'b)$ .

The idea here is to think of  $(a, b)$  as  $a + b\sqrt{-d}$ .

**Claim 3.** Let  $p$  be a prime. If  $-d$  is a square modulo  $p$ , then  $\#G_d(p) = p - 1$ . If  $-d$  is not a square modulo  $p$ , then  $\#G_d(p) = p + 1$ .

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**Algorithm 1**  $p + 1$  Algorithm

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**Input:**  $N$ **Output:** A prime factor of  $N$ , or **fail**

- 1: Pick  $a, b \in \mathbb{Z}/N\mathbb{Z}$  randomly
  - 2: Put  $d = \frac{1-a^2}{b^2} \mod N$
  - 3: Compute  $(u, v) = (a, b)^{X(B)}$  in  $G_d(N)$   $\triangleright$  Is  $(u, v) == (1, 0) \mod p$  for some  $p \mid N$ ?
  - 4: **return**  $\gcd(u - 1, v, N)$
- 

The success condition can be:

- $-d$  is a square, thus  $p - 1 \mid X(B)$
- $-d$  is not a square, thus  $p + 1 \mid X(B)$

We are in the second case.

### 3 ECM (Elliptic Curve Method)

This method is due to Lenstra Jr and Hendrik W in 1987 [LJ87].

An Elliptic Curve parametrized with  $a$  and  $b$  is based on the ground set:

$$E_{a,b}(N) = \{(x, y) \mid y^2 = x^3 + ax + b\} \cup \{\infty\}.$$

For the curve not to be singular, we assume that  $(4a^3 + 27b^2, N) = 1$ .

**Claim 4.** *When  $p$  is prime, there is a group structure over  $E_{a,b}(p)$ , defined by:*

- *three aligned points sum to zero (counted with multiplicities),*
- *neutral elements is  $\infty$ .*

**Theorem 5** (Hasse). *If  $p$  is prime,  $|\#E_{a,b}(p) - (p + 1)| \leq 2\sqrt{p}$ .*

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**Algorithm 2** ECM Algorithm

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**Input:**  $N$ **Output:** A prime factor of  $N$ , or **fail**

- 1: Pick  $(x, y) \in \mathbb{Z}/N\mathbb{Z}$ , pick  $a$  and  $b = y^2 - x^3 - ax \mod N$
  - 2: Check that  $\gcd(4a^3 + 27b^2, N) = 1$
  - 3: Compute  $(u, v) = X(B) \cdot (a, b)$
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During the computation, we hope that at some point an inverse  $\mod N$  (slope of  $(PQ)$  or of tangent at  $T$ ) will be impossible, meaning that the number we are trying to invert is not coprime to  $N$  ( $\Rightarrow$  often get a factor of  $N$ ).

Sufficient condition of success is that for some  $p \mid N$ ,  $\#E_{a,b}(p) \mid X(B)$ .

**Heuristic 6** (False). *For random  $x, y, a$  as in the algorithm, the probability that  $E_{a,b}(p)$  is  $B$ -smooth is the same as for a random integer in  $[p/2, 3p/2]$ , namely*

$$p_{B\text{-smooth}} \approx \frac{1}{u^u}, \text{ where } u = \frac{\log p}{\log B}.$$

The expected number of curves to get a success is one over this probability, i.e.  $u^u$ . The cost of testing one curve is  $\log(X(B)) \approx Bx \text{poly}(\log N)$ . Hence, the total cost is  $Bu^u \text{poly}(\log N)$ . The goal is now to estimate the optimal  $B$ . Let's consider the log of this cost:

$$\log(Bu^u) = \log B + \frac{\log p}{\log B} \log \frac{\log p}{\log B}$$

Let  $x = \frac{\log p}{\log B}$ . Then

$$\log(Bu^u) = \frac{1}{x} \log p + x \log x \quad \text{and} \quad (\log(Bu^u))' = -\frac{1}{x^2} \log p + \log x + 1$$

Hence, the optimal value is obtained when  $x^2(1 + \log x) = \log p$ . For convenience, let's look for an  $x$  such that  $x^2 \log x = \log p$ .

$$x = \sqrt{\frac{\log p}{\log x}} = \sqrt{\frac{\log p}{\frac{1}{2} \log \frac{\log p}{\log x}}} = \sqrt{2 \frac{\log p}{\log \log p - \log \log x}} \approx \sqrt{2 \frac{\log p}{\log \log p - 0}}$$

$$\log B = \frac{\log p}{x} = \sqrt{\frac{1}{2} \log p \log \log p}$$

Hence, based on the false heuristic 6, the total cost of ECM is  $\mathcal{O}\left(\exp\left(\sqrt{\frac{1}{2} \log p \log \log p}\right) \times \text{poly}(N)\right)$ .

## 4 Congruence-based methods

**Idea 7.** Find  $(x, y)$  with  $x \not\equiv \pm y \pmod{N}$ , and  $x^2 \equiv y^2 \pmod{N}$ . Then  $N$  can be factorized as  $N = \gcd(x - y, N) \times \gcd(x + y, N)$ , hoping that both gcd are not 1 neither  $N$ .

**Example 8.** Let  $N = 143$ . To find  $x$  and  $y$ , one idea is to find some  $x^2$  that are congruent modulo  $N$  to a small number (i.e. lower than  $B$  for some  $B$ ). To find it, let's check all first  $x$ , and consider  $B = 5$  for example.

$x$	$x^2$	$x \pmod{N}$
$\vdots$	$\vdots$	$\vdots$
13	169	26
14	196	53
15	225	82
16	256	30
17	289	3
$\vdots$	$\vdots$	$\vdots$

Doing so, we find that  $17^2 = 3 \pmod{N}$ . Thus, it would be great to find  $y$  such that  $y^2 = 3 \pmod{N}$ , or even of the form  $y^2 = 3 \times k^2 \pmod{N}$  for some  $k$ . Let's continue to explore the table:

$x$	$x^2$	$x \pmod{N}$
$\vdots$	$\vdots$	$\vdots$
15	225	82
16	256	30
17	289	3
18	324	38
19	361	$75 = 3 \times 5^2$
$\vdots$	$\vdots$	$\vdots$

Finally, we found that  $17^2 \times 19^2 = 3 \times (3 \times 5^2) = 15^2 \pmod{N}$ , which means that  $37^2 = 15^2 \pmod{N}$ . Hence, we can easily factorize  $N = 143$ : we have  $37 - 15 = 22$  and  $\gcd(22, N) = 11$ ; and  $37 + 15 = 52$  and  $\gcd(52, N) = 13$ . Thus,  $N = \gcd(22, N) \times \gcd(52, N) = 11 \times 13$ .

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### Algorithm 3 Meta-Algorithm

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**Input:**  $N$

**Output:** A prime factor of  $N$ , or **fail**

- 1:  $B$  a bound,  $\mathcal{B} = \{p \leq B\}$   $\triangleright \mathcal{B}$  is the factor base
  - 2:  $i \leftarrow 0$
  - 3: **while**  $i \leq \#\mathcal{B}$  **do**
  - 4:   Pick  $x_i$
  - 5:   If  $x^2 \pmod{N}$  factors as  $\prod_{p_j \in \mathcal{B}} p_j^{u_{i,j}}$ , then increment  $i$
  - 6: Solve the linear system  $u_{i,j}^t \times v = 0 \pmod{2}$
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**Proposition 9.** *If we have:*

$$Y = \prod_i x_i^{v_i} \pmod{N} \quad \text{and} \quad Z = \prod_j p_j^{\frac{1}{2} \sum_j u_{i,j} v_i} \pmod{N}$$

then  $Y^2 = Z^2 \pmod{N}$ .

*Proof.* Indeed,

$$Y^2 = \prod_i (x_i^2)^{v_i} = \prod_i \left( \prod_j p_j^{u_{i,j}} \right)^{v_i} = \prod_j p_j^{\sum_i u_{i,j} v_i} = Z^2 \pmod{N}$$

□

## 4.1 Dixon's algorithm

Let specify this meta-algorithm. In Dixon's algorithm [Dix81],  $x_i$ 's are picked randomly, factorizing  $x_i^2 \pmod{N}$  is done by trial division, and solving the linear system is done by Gaussian elimination.

To analyze Dixon's algorithm, let's make two assumptions:

**Assumption 10.** Suppose that  $x_i^2 \lesssim N^\alpha$  for some  $\alpha$ .

**Assumption 11.** Suppose the cost of factorizing  $x_i^2$  is roughly  $B^\theta$ .

The number of relations needed is approximatively  $\#\mathcal{B} \approx B^{1+o(1)}$ , and the cost of trying one is  $x_i = B^\theta$ .

**Heuristic 12.**  $x_i^2 \bmod N$  behaves as a random integer in  $[0, N^\alpha]$ . Hence, probability of success for one  $x_i$  is

$$p_{\text{success}} = \frac{1}{u^u}, \text{ with } u = \frac{\log N^\alpha}{\log B}.$$

Thus, the total cost is  $\max(u^u B^\theta B^{1+o(1)}, B^3)$ , where  $B^3$  comes from linear algebra solving.

This is optimal when:

$$\log B = \sqrt{\frac{\alpha}{2(1+\theta)} \log N \log \log N}$$

Finally, the total cost is:

$$\max \left( B^3, \exp \left( \sqrt{2\alpha(1+\theta) \log N \log \log N} \right) \right)$$

In Dixon's algorithm, we take the values  $\alpha = 1$  and  $\theta = 1$ , which leads to a total cost of:

$$\max \left( B^3, \exp \left( 2\sqrt{\log N \log \log N} \right) \right).$$

One can be smarter in the choice of  $\alpha$  and  $\theta$ . In the Quartic Sieve algorithm, we choose  $\alpha = 1/2$  and  $\theta = 0$ . Hence,

$$\log B = \sqrt{\frac{1}{4} \log N \log \log N}$$

and the total cost becomes

$$\max \left( \exp \left( \sqrt{\log N \log \log N} \right), \exp \left( \sqrt{\log N \log \log N} \right) \right)$$

where the first argument of the max comes from linear algebra, and the second one comes from previous relations.

Good News: the matrix of the linear system is sparse! At most  $\mathcal{O}(\log N)$  nonzero coefficients per rows for  $\exp \left( \sqrt{\log N \log \log N} \right)$  columns  $\Rightarrow$  linear algebra can be done in  $B^{2+o(1)}$  instead of  $B^3$

Introduce  $P(X) = (X + \lfloor \sqrt{N} \rfloor)^2 - N$ . If  $i \ll N$ , then,  $P(i) \approx 2i\sqrt{N}$ . So if  $i = N^{o(1)}$ ,

$$P(i) \approx N^{1/2+o(1)}$$

(we are still in the case where  $\alpha = 1/2$ ).

One can use  $P(i)$  for  $x_i$ . The number of  $x_i$  used by the algo is

$$u^u B^\theta = \exp \left( c\sqrt{\log N \log \log N} \right) = N^{o(1)}.$$

## References

- [Dix81] John D Dixon. Asymptotically fast factorization of integers. *Mathematics of computation*, 36(153):255–260, 1981.
- [LJ87] Hendrik W Lenstra Jr. Factoring integers with elliptic curves. *Annals of mathematics*, pages 649–673, 1987.
- [Wil82] Hugh C Williams. A  $p+1$  method of factoring. *Mathematics of Computation*, 39(159):225–234, 1982.