

## Lecture III — 25/09, 2018

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# The Learning Parity with Noise (LPN) Problem, The BKW Algorithm for LPN

## 1 The LPN Problem

**Definition 1.** The  $LPN_n^\tau$  problem asks to find the secret  $s \in \mathbb{Z}_2^n$ , given samples of the form  $(a_i, b_i = \langle a_i, s \rangle \oplus e_i) \in \mathbb{Z}_2^n \times \mathbb{Z}_2$ , where  $a_i \xleftarrow{\$} \mathbb{Z}_2^n$ ,  $e_i$  follows the Bernoulli distribution with parameter  $\tau$  (i.e.  $\Pr(e_i = 1) = \tau$ ), for  $0 < \tau < \frac{1}{2}$ . Such  $e_i$ s are sometimes referred to as “noise”,  $\tau$  being the noise rate. We define the LPN bias  $j = \frac{1}{2} - \tau$ .

**Remark.** 1. The hardness of LPN is defined by two parameters:  $n$  and  $\tau$  (we know  $\tau$ ). In particular:

- $\tau = 0 \implies$  Gaussian elimination
- $\tau = \frac{1}{2} \implies e_i$ s act as a one-time pad. ( $s$  is information theoretically hidden)
- if  $\tau > \frac{1}{2}$ ,  $\forall i$  take  $b_i \oplus 1$ , you will get LPN samples with  $\tau' = \tau - \frac{1}{2}$ .

2.  $(s, e)$  is uniquely defined with high probability given  $\mathcal{O}(n)$  LPN samples.

3. Applications of LPN:

- identification schemes ([HB01])
- collision-resistant hash functions
- encryption ([Ale03])
- light weight crypto

## 2 Useful bounds

Mitzenmacher & Upfal, “Probability and Computing” [MU05]

**Chernoff bound.** Let  $e_1, \dots, e_m$  be independant Bernoulli random variables s.t.  $\forall i, \Pr(e_i = 1) = \tau$ , let  $N = \sum_{i=1}^m e_i$ ,  $\mu = \mathbb{E}(N) = m\tau$ . Then for  $0 < \delta < 1$ ,

$$\Pr(N \geq \mu(1 + \delta)) \leq e^{-\frac{\mu\delta^2}{3}}$$

$$\Pr(N \leq \mu(1 - \delta)) \leq e^{-\frac{\mu\delta^2}{3}}$$

For  $x \in \{0, 1\}^n$ , denote the Hamming weight of  $x$  as  $wt(x) = \#\{i | x_i = 1\}$ .

**Fact 2.** For  $x \in \{0, 1\}^n$  whose entries are iid from  $Ber_\tau$ ,  $wt(x)$  follows the Binomial distribution  $Bin_{n,\tau}$ .

**Corollary 3.** Let  $e$  be the error vector coming from  $m$ -many  $LPN_n^\tau$  samples. Then it holds that, for any  $\delta \in ]0, 1[$ ,  $\Pr(wt(e) > m\tau(1 + \delta)) \leq e^{\frac{-m\tau\delta^2}{3}}$ .

In particular, for all  $\epsilon > 0$ , set  $\delta = m^{\frac{-\epsilon}{2}}$ ,  $\Pr(wt(e) > \tau(m + m^{\frac{-\epsilon}{2}})) \leq e^{\frac{-\tau m^{1-\epsilon}}{3}}$

**Corollary 4** (Majority vote). Fix a bit  $x \in \{0, 1\}$ . Let  $b_i = x \oplus e_i$  where  $e_i \leftarrow Ber_\tau$ . Denote the bias by  $\eta = \frac{1}{2} - \tau$ . Then we can decide  $x$  with probability at least  $1 - e^{-c}$  (where  $c$  is a constant), having  $m \geq \frac{3}{2} \frac{c(1-2\eta)}{\eta^2}$  many  $b_i$ s.

*Proof.*  $x' = \lfloor \frac{1}{m} \sum_{i=1}^m b_i \rfloor = \lfloor \frac{1}{m} \sum_{i=1}^m x + e_i \rfloor = x + \lfloor \frac{1}{m} \sum_{i=1}^m e_i \rfloor$ .

If  $\frac{1}{m} \sum_{i=1}^m e_i < \frac{1}{2}$  then  $x' = x$ .

Use Chernoff bound with  $\delta = \frac{2\eta}{1-2\eta}$ , then  $\Pr(\frac{1}{m}wt(e) > \frac{1}{2}) < e^{-c}$  if  $m \geq \frac{3}{2} \frac{c(1-2\eta)}{\eta^2}$  □

### 3 Algorithms for $LPN_n^\tau$

Assume:

- we have as many samples as we need
- the secret is unique

#### 3.1 Brute-Force

##### 3.1.1 Over $s$

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**Algorithm 1 Input:**  $m$ -many  $LPN_n^\tau$  samples

**Output:**  $s$

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1: for all  $s \in \{0, 1\}^n$  do
2:   if  $Test(s)$  then
3:     return  $s$ 
4:   end if
5: end for

```

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with Test:

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**Test**

**Input:**  $m$ -many  $LPN_n^\tau$  samples  $(a_i, b_i)_{i \leq n}$   
     $s$ : candidate for the solution  
     $\epsilon > 0$

**Output:** Reject / Accept

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1:  $N = 0$ 
2: for  $i \in [m]$  do
3:    $N+ = b_i \oplus \langle a_i, s \rangle$ 
4: end for
5: if  $N > \tau(m + \frac{1}{m^\epsilon})$  then
6:   Reject
7: else
8:   Accept
9: end if
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**Claim 5.** *Test accepts the correct  $s$  with probability  $> 1 - e^{-\frac{\tau m^{1-\epsilon}}{3}}$ , Test rejects a wrong  $s$  with probability  $> 1 - e^{-\frac{m}{3}(1-\tau)^2}$*

*Proof.* The first part of the claim follows from corollary 1. With  $s \neq s^*$ ,  $s[1] = s^*[1]$ ,

$b_i \oplus \langle a_i, s \rangle = \langle a_i, s \oplus s^* \rangle + e_i = a_i[1] + e_i$ .

$\Pr([a_i[1] + e_i] = 1) = \Pr(a_i[1] = 1) \cdot \Pr(e_i = 0) + \Pr(a_i[1] = 0) \cdot \Pr(e_i = 1) = \frac{1}{2}(1 - \tau) + \frac{1}{2}\tau = \frac{1}{2}$ .

In this case,  $N \sim \text{Bin}_{m, \frac{1}{2}}$ . Apply Chernoff bound with  $\tau = \frac{1}{2}$  and  $\delta = 1 - 2\tau(1 + \frac{1}{m^{\frac{\epsilon}{2}+1}})$   $\square$

### 3.1.2 Over $e$

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**Algorithm 2**

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1: for all integers  $t \leq \tau(n + \frac{1}{n^\epsilon})$  do
2:   for all  $e' \in \{0, 1\}^n$  s.t.  $\text{wt}(e') = t$  do
3:     Solve  $A.x = b - e'$  for  $x$  // require  $A \in \mathcal{GL}_n(\mathbb{F}_2)$ 
4:     if  $\text{Test}(x)$  then
5:       return  $x$  (or  $e'$ )
6:     end if
7:   end for
8: end for
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**Theorem 6.** *Algorithm 1 solves the  $LPN_n^\tau$  problem with high probability in time  $T(\text{brute force}) = \tilde{\mathcal{O}}(2^n)$  using  $m = \mathcal{O}(n)$  samples and  $\mathcal{O}(n)$  memory.*

*Algorithm 2 solves the  $LPN_n^\tau$  problem in time  $T = \tilde{\mathcal{O}}(2^{\binom{n}{\tau n}}) = \tilde{\mathcal{O}}(2^{n \cdot H(\tau)})$  using  $\mathcal{O}(n)$  memory samples.*

### 3.2 “Many samples algorithm”

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**Algorithm 3 Goal:** determine  $s_1$

Repeat sampling until you see  $(a_i, b_i)$  where  $a_i = (1, 0, \dots, 0) = \vec{e}_1$  //  $b_i = \langle a_i, s \rangle + e_i = s_1 + e_i$

Repeat until we found  $m$ -many such samples

$\implies$  we can deduce  $s_1$  if  $m \geq \Theta(\frac{1}{(\frac{1}{2}-\tau)^2})$  //cf. Corollary 4 (Majority Vote)

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This algorithm requires  $m \frac{1}{\Pr(a_i = e_i)}$  many repetitions, i.e.  $T = m2^n = \#\text{samples}$ .

Try Gaussian elimination to obtain  $\vec{e}_i$ .

**Problem:** using naive Gaussian elimination, the vector  $\vec{e}_i$  appears as a sum of  $\mathcal{O}(n^2)$   $a_i$ s.

**Lemma 7** (Piling-up lemma). *Let  $e_i$  be iid Bernoulli random variables s.t.  $\Pr(e_i = 1) = \tau \forall i \in [m]$ .*

*Then  $\sum_{i=1}^m e_i \sim \text{Ber}_{\frac{1}{2} - \frac{1}{2}(1-2\tau)^m}$*

*Proof by induction.* 1.  $m = 1 \rightarrow \text{OK}$

2. Assume it holds for  $m - 1$

3.

$$\begin{aligned} \Pr\left(\sum_{i=1}^m e_i = 1\right) &= \Pr\left(\sum_{i=1}^{m-1} e_i = 1\right) \cdot \Pr(e_m = 0) + \Pr\left(\sum_{i=1}^{m-1} e_i = 0\right) \cdot \Pr(e_m = 1) \\ &= (1 - \tau) \left(\frac{1}{2} - \frac{1}{2}(1 - 2\tau)^{m-1}\right) + \tau \left(\frac{1}{2} + \frac{1}{2}(1 - 2\tau)^{m-1}\right) \\ &= \frac{1}{2} - \frac{1}{2}(1 - 2\tau)^m \end{aligned}$$

□

**Remark.** *In the naive Gaussian elimination, we sum up  $\mathcal{O}(n^2)$   $\text{LPN}_n^\tau$  samples, with bias  $\eta = \frac{1}{2} - \tau$ , which results in LPN samples with bias  $\eta' = \frac{1}{2}(1 - 2\tau)^{n^2} = c^{-n^2}$ . One would need  $m \geq c^{n^2}$  many such samples to decide on  $S_1$*

### 3.3 The [BKW00] algorithm

The algorithm consists of two parts:

1. Block Gaussian elimination (aim: produce  $e_1 = \sum_{i \in I} a_i$ ,  $|I| = n^{1-\epsilon}$ )
2. Majority vote

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**Algorithm 4: BKW part 1**


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**Input:** a list  $\mathcal{L}^{(0)} = \mathcal{L} = \{(a_i, b_i) \text{ LPN samples}\}$  of size  $m$   
 $k \in \mathbb{Z}$  block size (assume  $k|n$ )

**Output:**  $I \subseteq [m]$  s.t.  $|I| = 2^{\frac{n}{k}}$  and  $\sum_{i \in I} a_i = \vec{e}_1$

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1: for  $i \in [0, \frac{n}{k} - 2]$  do
2:    $\mathcal{L}^{(i+1)} \leftarrow \{\}$ 
3:   Sort  $\mathcal{L}^{(i)}$  w.r.t last  $k$  nonzero coordinates
4:   for all  $j \in \{0, 1\}^k$  do
5:     choose an element  $a_j \in \mathcal{L}^{(i)}$  whose last  $k$  nonzero coordinates are equal to  $j$ 
6:      $\mathcal{L}^{(i+1)} = \mathcal{L}^{(i+1)} \cup \{(a_j + a'_j, b_j + b'_j) \text{ for all } a'_j \neq a_j \text{ whose last nonzero coordinates are } = j\}$ 
7:   end for
8: end for
9: Sort  $\mathcal{L}^{(\frac{n}{k}-2)}$  w.r.t the last  $k-1$  coordinates.
10: Find a pair of elements  $(x_1, x_2)$  from  $\mathcal{L}^{(\frac{n}{k}-2)}$  s.t.  $x_1[1] + x_2[1] = \vec{e}_1$ 

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**Claim 8.** Taking  $m = |\mathcal{L}| = \Theta(\text{poly}(n) \cdot 2^k)$  suffices for Algorithm 4 to output  $\mathcal{L}^{(\frac{n}{k}-1)}$  of expected size 1 in time  $T(\text{Algorithm 4}) = \tilde{O}(2^k)$  using memory  $M(\text{Algorithm 4}) = \tilde{O}(2^k)$ .

*Proof sketch.* On each step  $i$ , we partition  $\mathcal{L}^{(i)}$  into at most  $2^k$  classes represented by  $j$ . For each non-empty class (i.e.  $\exists$  at least two  $a_j, a'_j$  in  $\mathcal{L}^{(i)}$ ), we discard only one of its representatives.  $\implies |\mathcal{L}^{(i+1)}| > |\mathcal{L}^{(i)}| - 2^k$  provided all (almost all) classes are non-empty. We need  $\Omega(\text{poly}(k) \cdot 2^k)$  elements in  $\mathcal{L}^{(i)}$  for  $(1 - e^{-n})$ -fraction of all classes to contain at least two elements.  $\implies$  we need to start with  $|\mathcal{L}^{(0)}| = \Omega(\frac{n}{k} \text{poly}(k) \cdot 2^k) = \Omega(\text{poly}(n) \cdot 2^k)$  elements. Elements in  $\mathcal{L}^{(i)}$  are uniformly random, conditioned on having zeros on the last  $(i - k)$  coordinates. Indeed, let us denote  $Y = |\mathcal{L}^{(i)}|$  the random variable giving the size of  $\mathcal{L}^{(i)}$ . Cf Markov,  $\Pr(Y > a) \leq \frac{\mathbb{E}(Y)}{a}$ . Taking  $a = \mathbb{E}(Y) \cdot \text{poly}(n)$  gives us a  $1 - \frac{1}{\text{poly}(n)}$  probability on the list bound, and hence a  $\frac{1}{\text{poly}(n)}$  probability on the success of the algorithm. (We could take  $a = \mathbb{E}(Y) \cdot 2^{\epsilon n}$  to have an overwhelming probability. In that case, we need to replace  $\tilde{O}(2^k)$  in the claim by  $2^{\mathcal{O}(k)}$ . This will however not change the next theorem). See [DRX17] for more details. The most expensive part of the algorithm is sorting, it takes time  $\tilde{O}(|\mathcal{L}^{(i)}|)$ .  $\square$

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**Algorithm 5: The BKW algorithm**


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**Input:**  $m$ -many  $\text{LPN}_n^\tau$  samples,  $\epsilon > 0$

**Output:**  $s \in \{0, 1\}^n$

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1: for  $i \in [n]$  do
2:   Run Algorithm 4 with  $k = \frac{n}{(1-\epsilon)\log(n)}$ ,  $N = \Theta((1 - 2\tau)^{n^{1-\epsilon}})$  times to obtain  $(\vec{e}_i, b_j)_{j \leq N}$ .
3:   Run the majority vote algorithm to decide on  $s_i$ .
4: end for

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**Theorem 9.** The BKW algorithm 5 solves the  $\text{LPN}_n^\tau$  problem in time  $T(\text{BKW}) = 2^{\mathcal{O}(\frac{n}{\log(n)})}$  using  $M(\text{BKW}) = 2^{\mathcal{O}(\frac{n}{\log(n)})}$  memory and LPN samples.

*Proof.* Algorithm 4 will output an  $LPN_n^{\tau'}$  sample of the form  $(e_i, b_i)$  in time  $\tilde{O}(2^{\frac{n}{(1-\epsilon)\log(n)}})$ , with  $\tau' = \frac{1}{2} - \frac{1}{2}(1 - 2\tau)^{2^{(1-\epsilon)\log(n)}} = \frac{1}{2} - \frac{1}{2}C^{n^{1-\epsilon}}$  with  $C$  a constant depending on  $\tau$ .

For the majority vote, we would need to repeat Algorithm 4  $\mathcal{O}(2^{n^{1-\epsilon}})$  times to decide on  $s_i$  with constant success probability.  $\square$

**Remark.** 1. A more precise complexity of BKW is:

$$T = M = \#samples = 2^{\frac{n}{\log(\frac{n}{\tau})}(1+o(1))} \text{ cf [EKM17]}$$

2. Given  $m = n^{1+\epsilon}$  many  $LPN_n^\tau$  samples, the BKW algorithm's run-time goes up to  $T(BKW) = 2^{bigO(\frac{n}{\log\log(n)})}$   
(sample “amplification”, cf V. Lyubashevsky in [Lyu05])
3. The “LF2” technique due to Leviel-Fouque [LF06] analyses the BKW algorithm by considering all possible pairs during the zeroizing step.  
Proved by Devadas et al. [DRX17].

## Ring-LPN

Take the ring  $\mathbb{F}_2[X]/(f)$ , with  $f$  a degree  $n$  polynomial.

Ring-LPN sample  $s \in \mathbb{F}_2[X]/(f)$ . ( $a_i \xleftarrow{\$} \mathbb{F}_2[X]/(f)$ ,  $a_i \cdot s_i + e_i \in \mathbb{F}_2[X]/(f)$ .  
 $e_i$ : polynomial with coefficients chosen from  $Ber_\tau$ ).

**Open problem:** find a better algorithm for Ring-LPN than as for “standard” LPN.

## References

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