One solution to the problem of external fragmentation is **compaction**. The goal is to shuffle the memory contents so as to place all free memory together in one large block. Compaction is not always possible, however. If relocation is static and is done at assembly or load time, compaction cannot be done. It is possible only if relocation is dynamic and is done at execution time. If addresses are relocated dynamically, relocation requires only moving the program and data and then changing the base register to reflect the new base address. When compaction is possible, we must determine its cost. The simplest compaction algorithm is to move all processes toward one end of memory; all holes move in the other direction, producing one large hole of available memory. This scheme can be expensive.

Another possible solution to the external-fragmentation problem is to permit the logical address space of the processes to be noncontiguous, thus allowing a process to be allocated physical memory wherever such memory is available. Two complementary techniques achieve this solution: segmentation (Section 8.4) and paging (Section 8.5). These techniques can also be combined.

Fragmentation is a general problem in computing that can occur wherever we must manage blocks of data. We discuss the topic further in the storage management chapters (Chapters 10 through and 12).

## 8.4 Segmentation

As we've already seen, the user's view of memory is not the same as the actual physical memory. This is equally true of the programmer's view of memory. Indeed, dealing with memory in terms of its physical properties is inconvenient to both the operating system and the programmer. What if the hardware could provide a memory mechanism that mapped the programmer's view to the actual physical memory? The system would have more freedom to manage memory, while the programmer would have a more natural programming environment. Segmentation provides such a mechanism.

#### 8.4.1 Basic Method

Do programmers think of memory as a linear array of bytes, some containing instructions and others containing data? Most programmers would say "no." Rather, they prefer to view memory as a collection of variable-sized segments, with no necessary ordering among the segments (Figure 8.7).

When writing a program, a programmer thinks of it as a main program with a set of methods, procedures, or functions. It may also include various data structures: objects, arrays, stacks, variables, and so on. Each of these modules or data elements is referred to by name. The programmer talks about "the stack," "the math library," and "the main program" without caring what addresses in memory these elements occupy. She is not concerned with whether the stack is stored before or after the Sqrt() function. Segments vary in length, and the length of each is intrinsically defined by its purpose in the program. Elements within a segment are identified by their offset from the beginning of the segment: the first statement of the program, the seventh stack frame entry in the stack, the fifth instruction of the Sqrt(), and so on.

**Segmentation** is a memory-management scheme that supports this programmer view of memory. A logical address space is a collection of segments.

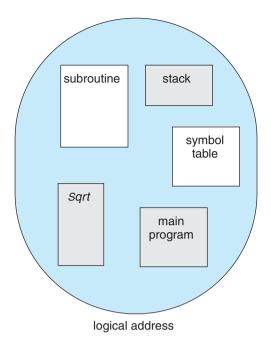


Figure 8.7 Programmer's view of a program.

Each segment has a name and a length. The addresses specify both the segment name and the offset within the segment. The programmer therefore specifies each address by two quantities: a segment name and an offset.

For simplicity of implementation, segments are numbered and are referred to by a segment number, rather than by a segment name. Thus, a logical address consists of a *two tuple*:

<segment-number, offset>.

Normally, when a program is compiled, the compiler automatically constructs segments reflecting the input program.

A C compiler might create separate segments for the following:

- 1. The code
- 2. Global variables
- 3. The heap, from which memory is allocated
- 4. The stacks used by each thread
- 5. The standard C library

Libraries that are linked in during compile time might be assigned separate segments. The loader would take all these segments and assign them segment numbers.

### 8.4.2 Segmentation Hardware

Although the programmer can now refer to objects in the program by a two-dimensional address, the actual physical memory is still, of course, a onedimensional sequence of bytes. Thus, we must define an implementation to map two-dimensional user-defined addresses into one-dimensional physical

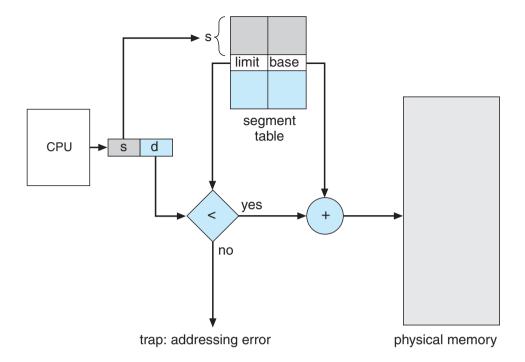


Figure 8.8 Segmentation hardware.

addresses. This mapping is effected by a **segment table**. Each entry in the segment table has a **segment base** and a **segment limit**. The segment base contains the starting physical address where the segment resides in memory, and the segment limit specifies the length of the segment.

The use of a segment table is illustrated in Figure 8.8. A logical address consists of two parts: a segment number, s, and an offset into that segment, d. The segment number is used as an index to the segment table. The offset d of the logical address must be between 0 and the segment limit. If it is not, we trap to the operating system (logical addressing attempt beyond end of segment). When an offset is legal, it is added to the segment base to produce the address in physical memory of the desired byte. The segment table is thus essentially an array of base–limit register pairs.

As an example, consider the situation shown in Figure 8.9. We have five segments numbered from 0 through 4. The segments are stored in physical memory as shown. The segment table has a separate entry for each segment, giving the beginning address of the segment in physical memory (or base) and the length of that segment (or limit). For example, segment 2 is 400 bytes long and begins at location 4300. Thus, a reference to byte 53 of segment 2 is mapped onto location 4300 + 53 = 4353. A reference to segment 3, byte 852, is mapped to 3200 (the base of segment 3) + 852 = 4052. A reference to byte 1222 of segment 0 would result in a trap to the operating system, as this segment is only 1,000 bytes long.

# 8.5 Paging

Segmentation permits the physical address space of a process to be non-contiguous. Paging is another memory-management scheme that offers this advantage. However, paging avoids external fragmentation and the need for

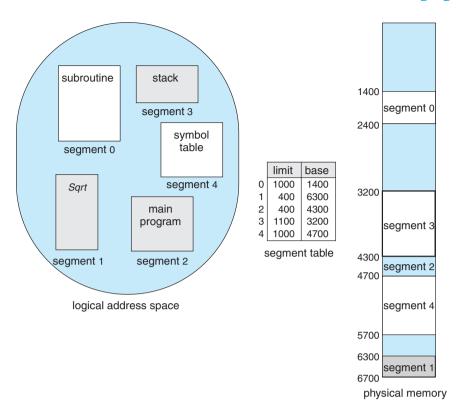


Figure 8.9 Example of segmentation.

compaction, whereas segmentation does not. It also solves the considerable problem of fitting memory chunks of varying sizes onto the backing store. Most memory-management schemes used before the introduction of paging suffered from this problem. The problem arises because, when code fragments or data residing in main memory need to be swapped out, space must be found on the backing store. The backing store has the same fragmentation problems discussed in connection with main memory, but access is much slower, so compaction is impossible. Because of its advantages over earlier methods, paging in its various forms is used in most operating systems, from those for mainframes through those for smartphones. Paging is implemented through cooperation between the operating system and the computer hardware.

### 8.5.1 Basic Method

The basic method for implementing paging involves breaking physical memory into fixed-sized blocks called **frames** and breaking logical memory into blocks of the same size called **pages**. When a process is to be executed, its pages are loaded into any available memory frames from their source (a file system or the backing store). The backing store is divided into fixed-sized blocks that are the same size as the memory frames or clusters of multiple frames. This rather simple idea has great functionality and wide ramifications. For example, the logical address space is now totally separate from the physical address space, so a process can have a logical 64-bit address space even though the system has less than 2<sup>64</sup> bytes of physical memory.

The hardware support for paging is illustrated in Figure 8.10. Every address generated by the CPU is divided into two parts: a page number (p) and a page