

# Relaxed Radix Balanced Trees

Elias Khoury

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- RRB-Trees are part of the ongoing development of the Scala Programming Language
- The goal is to present a tree datastructure which can be concatenated in  $O(\log n)$  time at no cost to other operations

# Application

- RRB-Trees can be used to implement an efficient vector datastructure
- As it is a purely functional datastructure the operations on it can be easily run in parallel[Stucki et al., 2015]
- An application for efficient vector concatenation can be used to generate SQL queries, or even to dynamically generate XML code or HTML code for websites. [Hull]

# Main Concept

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- Multiple variables to control:
  - ▶ Branching factor
  - ▶ Balance of the tree
- The main operations are:
  - ▶ Indexing
  - ▶ Updating
  - ▶ Append to the front or back
  - ▶ Splitting



# The Problem

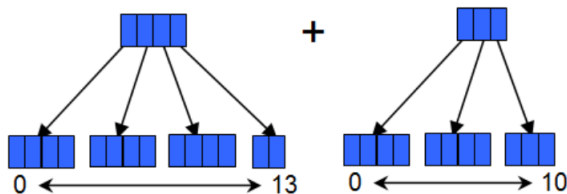
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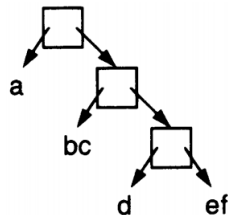
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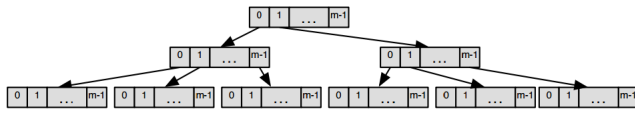
Concatenating Two Vectors



Examples of Ropes

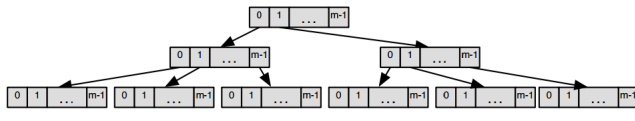
# Radix Search

- With a perfectly balanced tree of branching factor  $m$ , for any particular sub branch, there are exactly  $m^{h-1}$  leaves. Therefore, an index  $i$  can be found using  $\lfloor i/(m^{h-1}) \rfloor$  [Angle et al., 1999]



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eg. For  $m = 4$ . Finding the index 5 using the tree above yields the following calculations:

$$\begin{aligned} \lfloor 5/(4^{3-1}) \rfloor &= 0 & \lfloor 5/(4^{1-1}) \rfloor &= 5 \\ \lfloor 5/(4^{2-1}) \rfloor &= 1 & 5 \% 4 &= 1 \end{aligned}$$

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- The cost this adds is a slight linear lookup when arriving at a node that is unbalanced.

# Branching Factor and Balance

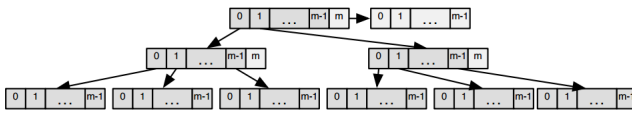
- For a tree of branching factor  $m$ , the height  $h$  is  $\log_m(n)$ . Therefore, given two possible values of  $m$ , the ratio of the heights is  $\frac{\log_{m_{min}}}{\log_{m_{max}}}$

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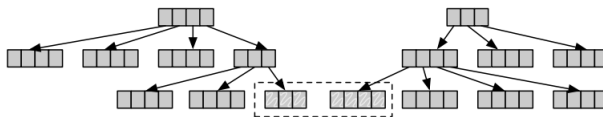
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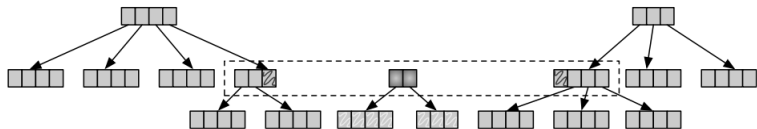


# Concatenation Process



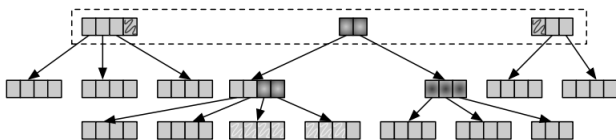
merge the leaf nodes of each tree to create a new tree of height 1

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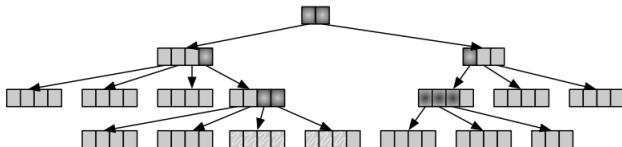


propagate the merge up the tree. Note: At each stage of the merge the tree needs to be rebalanced

# Concatenation Process



Only the highlighted nodes need to be modified. The rest are simply shared.



## A note on branching factor

- For simplicity of the diagrams a branching factor of 4 was chosen
- In practice the most efficient branching factor is actually 32
- Branching factor controls tree height which affects the runtime of the operations
- Need to minimise branching factor without degenerating into a linked list

	RRB-Vector	With $m = 32$
indexing	$\log_m$	eC
update	aC	eC
insert ends	$m \times \log_m$	aC
concat	$m^2 \times \log_m$	L v.s. eC
split	$m \times \log_m$	eC



# Runtime Complexity In Relation To Similar Structures

	RRB-Vector	Finger Tree	Red-Black Tree
indexing	eC	$\log_2$	$\log_2$
update	eC	$\log_2$	$\log_2$
insert ends	aC	aC	$\log_2$
concat	L v.s. eC	$\log_2$	L
split	eC	$\log_2$	$\log_2$

# References

All diagrams are sourced from Bagwell and Rompf [2011] and Stucki et al. [2015]

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