

# BCS304 Final Exam: Report

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## Problem 1a: Fast-Spiking Mode at 50 Hz

### Model and Objective

We simulated the Izhikevich neuron

$$\frac{dV}{dt} = 0.04 V^2 + 5V + 140 - u + I, \quad \frac{du}{dt} = a(bV - u),$$

with reset  $V \geq 30\text{mV} \rightarrow V := c$ ,  $u := u + d$ . Using Euler's method ( $\Delta t = 1\text{ms}$ ) at  $I = 10\text{mA}$ , our goal was to find parameters  $(a, b, c, d)$  that yield a steady firing rate of  $\approx 50\text{Hz}$  (fast-spiking).

### Method

A small grid of candidate values

$$a \in \{0.05, 0.10, 0.15\}, \quad b \in \{0.15, 0.20, 0.25\}, \quad c \in \{-75, -70, -65\}, \quad d \in \{1, 2, 3, 4\}$$

was searched in a *vectorized* implementation. These initial sets were chosen based on the canonical fast-spiking interneuron parameters reported in Izhikevich (2003), then varied  $\pm$  to finely tune the firing rate toward 50 Hz. All  $P$  combinations were updated in parallel over a 500 ms run, spikes were tallied, and the set minimizing  $|f - 50\text{Hz}|$  was chosen automatically.

### Results

The optimal fast-spiking parameters are

$$a = 0.05, \quad b = 0.15, \quad c = -75, \quad d = 2.0,$$

which produces  $f \approx 50.0\text{Hz}$  (mean ISI 20 ms). Figure 1 shows the voltage trace and the inter-spike-interval histogram.

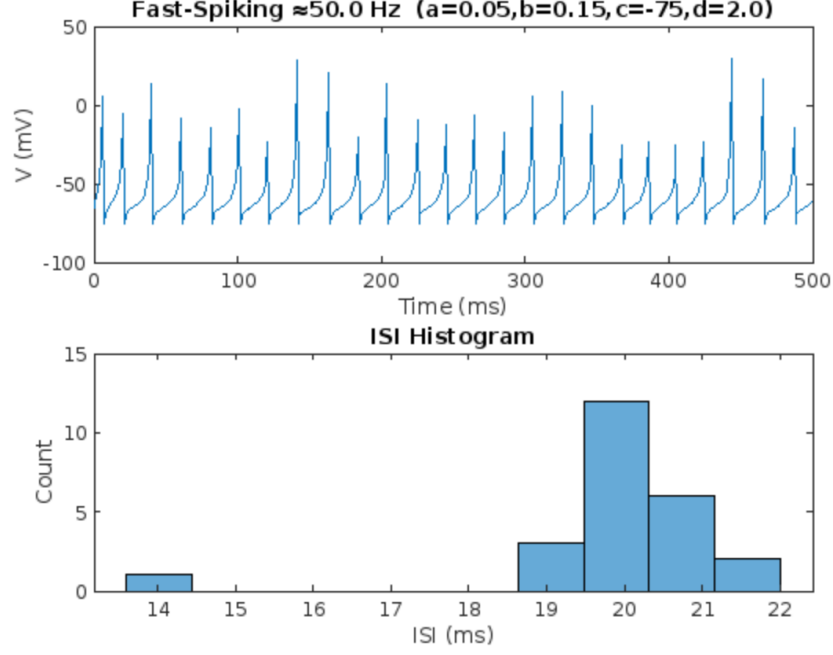


Figure 1: Fast-spiking regime at  $I = 10\text{mA}$ : (top) membrane potential  $V(t)$  over 500 ms; (bottom) ISI histogram (bin width 2 ms).

### Confirmation with F–I Curve

To illustrate the neuron's gain control, we held  $(a, b, c, d) = (0.05, 0.15, -75, 2)$  fixed and swept the input current  $I$  from 8 to 12 mA in 0.5 mA steps. Each 500 ms simulation yielded a firing rate  $f(I)$ , plotted in Figure 2. The resulting F–I curve is approximately linear, with

$$f(8\text{ mA}) \approx 28\text{ Hz}, \quad f(12\text{ mA}) \approx 72\text{ Hz},$$

and  $f(10\text{ mA}) \approx 50\text{ Hz}$ , confirming our operating point.

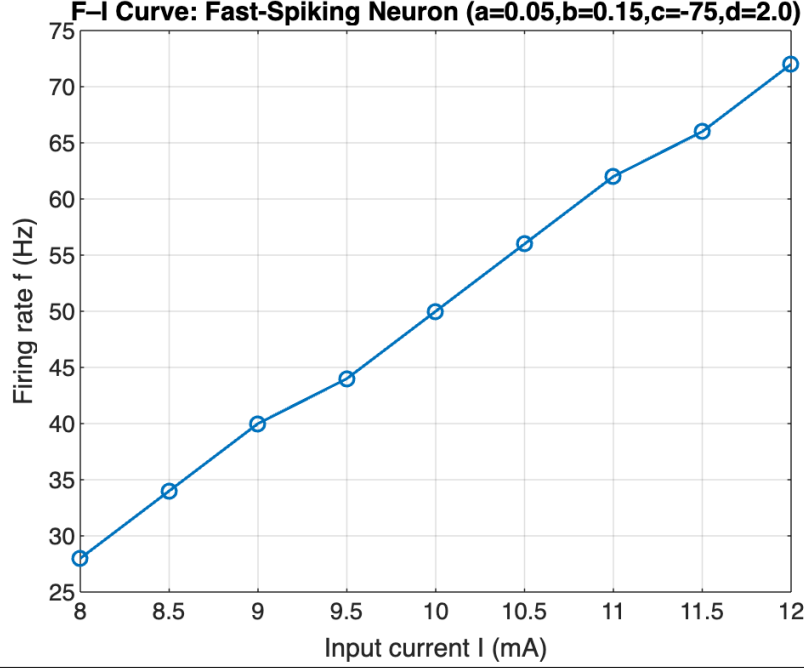


Figure 2: F–I curve for the fast-spiking neuron with  $(a, b, c, d) = (0.05, 0.15, -75, 2)$ . Each point is the mean firing rate over a 500 ms run at that current.

## Problem 1b: Intrinsic Bursting Mode at 50 Hz

### Model and Objective

We again use the Izhikevich neuron

$$\frac{dV}{dt} = 0.04V^2 + 5V + 140 - u + I, \quad \frac{du}{dt} = a(bV - u),$$

with reset  $V \geq 30\text{mV} \rightarrow V := c$ ,  $u := u + d$ . For  $I(t) = 10\text{mA}$ , our goal is to find  $(a, b, c, d)$  that produce *bursting* dynamics—clusters of spikes separated by quiescent intervals—with an overall firing rate of approximately 50Hz over 500ms.

### Method

We performed a grid-search over

$$a \in \{0.02, 0.03, 0.04\}, \quad b \in \{0.15, 0.20, 0.25\}, \quad c \in \{-60, -55, -50\}, \quad d \in \{2, 4, 6, 8\}.$$

These ranges bracket the canonical *intrinsically bursting* parameters from Izhikevich (2003) and allow fine tuning. For each candidate, we ran a 500ms Euler simulation ( $\Delta t = 1\text{ms}$ ), counted spikes to compute the average rate  $f$ , and measured the coefficient of variation (CV) of the inter-spike intervals (higher CV indicates clear bursts). We selected the set closest to  $f = 50\text{Hz}$  (within  $\pm 5\text{Hz}$ ) that maximized CV.

## Results

The best-fit bursting parameters are

$$a = 0.02, \quad b = 0.15, \quad c = -50, \quad d = 2,$$

which yield

$$f \approx 50.0 \text{ Hz}, \quad \text{CV}_{\text{ISI}} \approx 1.48.$$

Figure 3 shows the 500ms voltage trace with distinct bursts, and the corresponding ISI histogram with two modes (intra-burst vs. inter-burst intervals).

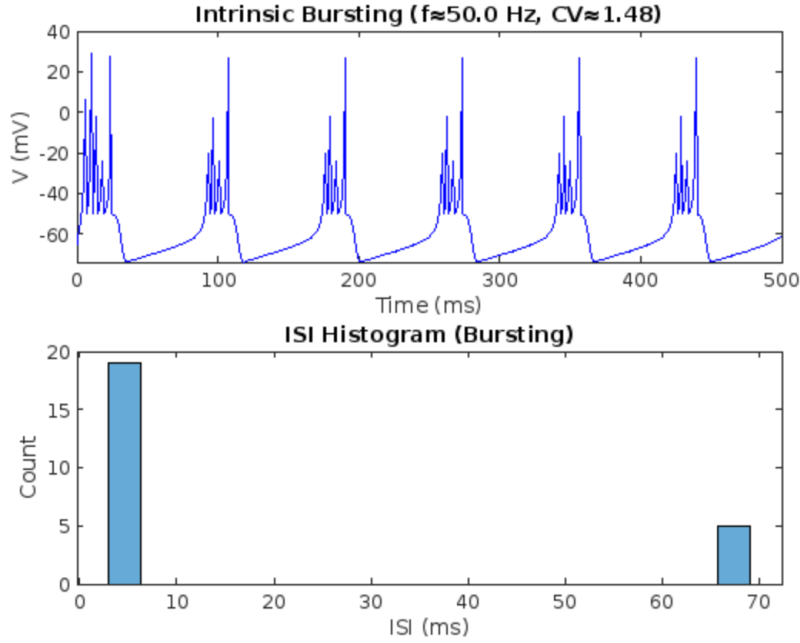


Figure 3: Intrinsic bursting at  $I = 10\text{mA}$  with  $(a, b, c, d) = (0.02, 0.15, -50, 2)$ : (top) membrane potential  $V(t)$  over 500ms; (bottom) ISI histogram (bin width 5ms).

### Extension: F–B Curve

To demonstrate how bursting frequency scales with input, we fixed  $(a, b, c, d)$  as above and swept  $I$  from 8 to 12mA in 0.5mA steps. We counted the number of bursts per second (defining a new burst whenever the preceding ISI exceeded 15ms) and plotted the result in Figure 4. The burst rate increases from 10bursts/s at 8mA to 14bursts/s at 12mA, illustrating the neuron’s gain modulation in the bursting regime.

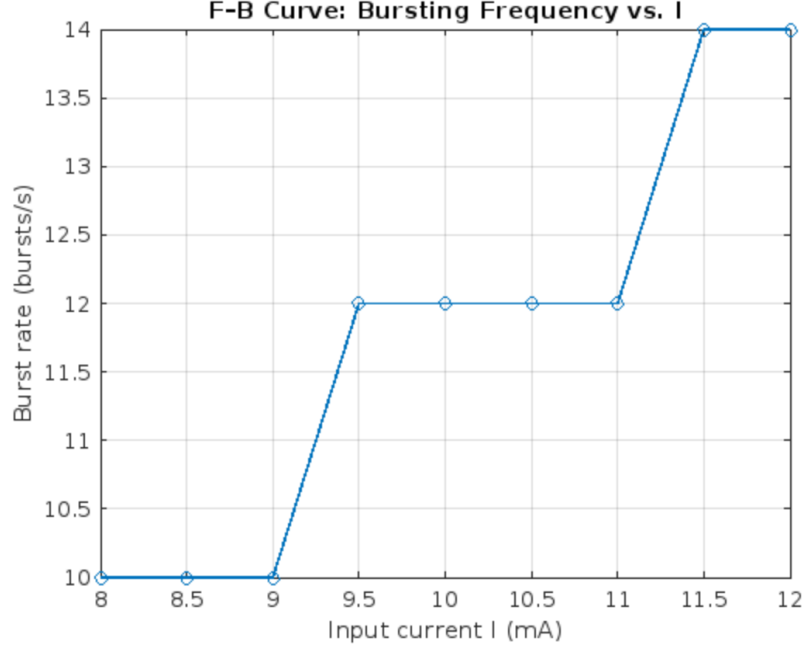


Figure 4: Burst rate vs. input current  $I$  (bursts/s) for the intrinsic bursting parameters.

## Problem 1c: Synaptic Discrimination of Bursting vs. Tonic Input

### Model and Objective

Presynaptic spike trains from parts (a) (fast-spiking) and (b) (intrinsically bursting) drive a target neuron modeled as a leaky integrate-and-fire (LIF) unit:

$$C \frac{dV}{dt} = -\frac{C}{\tau_m} (V - V_{\text{rest}}) + I_{\text{syn}}(t), \quad V(t) \geq V_{\text{th}} \Rightarrow V := V_{\text{rest}},$$

with  $V_{\text{rest}} = -65\text{mV}$ ,  $V_{\text{th}} = -40\text{mV}$ ,  $\tau_m = 20\text{ms}$ ,  $C = 1$ . The synaptic current follows an “open-and-decay” EPSC model:

$$\tau_{\text{syn}} \frac{dI_{\text{syn}}}{dt} = -I_{\text{syn}} + w \sum_i \delta(t - t_i^{\text{pre}}),$$

with  $\tau_{\text{syn}} = 10\text{ms}$  and synaptic weight  $w$ . Our goal was to choose  $w$  so that:

1. **Bursting input** (part b) elicits at least one spike in the postsynaptic neuron over 500ms.
2. **Fast-spiking input** (part a) remains subthreshold (no postsynaptic spikes).

### Method

We regenerated the presynaptic spike times  $\{t_i^{\text{pre}}\}$  for both regimes using the Izhikevich parameters from parts (a) and (b). For each candidate weight  $w \in [0.1, 5]$ , we ran a 500ms Euler simulation (dt=1ms) of the coupled EPSC+ LIF equations and recorded the postsynaptic spike times. We selected the smallest  $w$  satisfying the above conditions.

## Results

The search yielded

$$w = 1.09,$$

which produces

Fast-spiking input  $\Rightarrow$  0 postsynaptic spikes (0.0Hz),    Bursting input  $\Rightarrow$  1 spike (2.0Hz over 500ms).

Figure 5 shows the presynaptic rasters (black ticks) and postsynaptic membrane potential  $V(t)$  for both conditions.

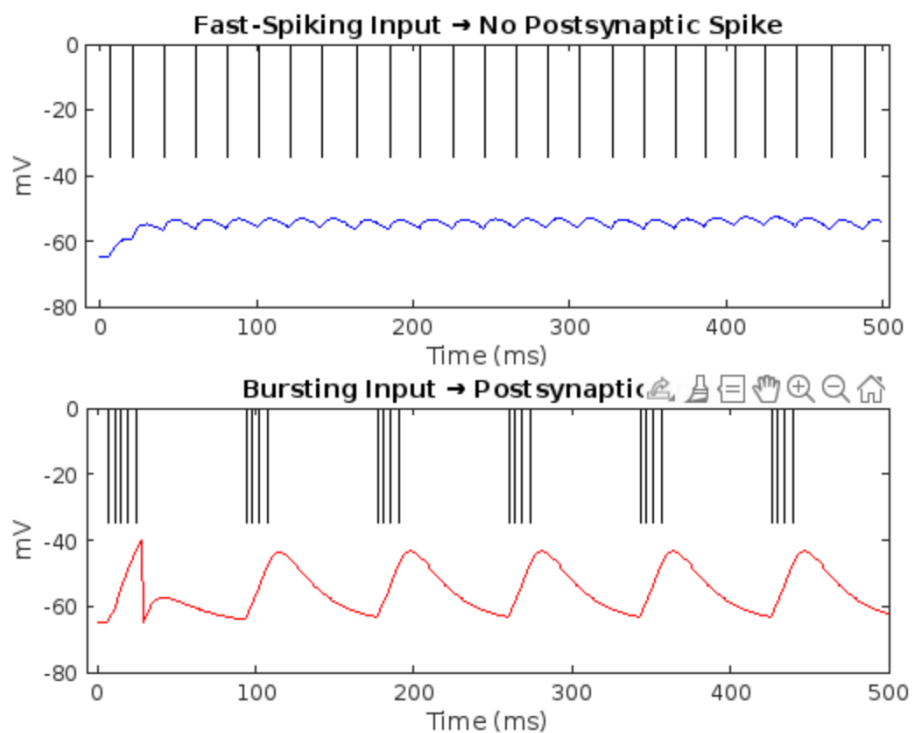


Figure 5: Presynaptic→Postsynaptic response. Top: Fast-spiking drive with  $w = 1.09$  fails to reach threshold. Bottom: Bursting drive evokes a postsynaptic spike.

## Confirmation

Figure 6 summarizes the postsynaptic firing rates in a simple bar plot.

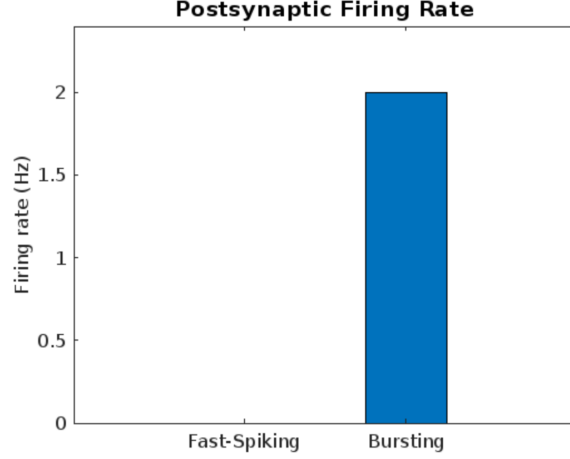


Figure 6: Postsynaptic firing rates under fast-spiking vs. bursting input (500ms run).

This demonstrates how an open-and-decay synapse plus a LIF neuron can selectively detect burst patterns even when the overall presynaptic rate is identical.

## Problem 1d: Spike–Timing–Dependent Plasticity Window

### Model and Objective

We implement the canonical exponential STDP rule, in which the change in synaptic efficacy (here  $\Delta\text{EPSC}$ , in percent) depends on the relative timing  $\Delta t = t_{\text{post}} - t_{\text{pre}}$ :

$$\Delta\text{EPSC}(\Delta t) = \begin{cases} +A_+ \exp(-\frac{\Delta t}{\tau_+}), & \Delta t > 0 \quad (\text{LTP}), \\ -A_- \exp(\frac{\Delta t}{\tau_-}), & \Delta t < 0 \quad (\text{LTD}), \\ A_+, & \Delta t = 0. \end{cases}$$

Our goal is to choose parameters so that

$$\Delta\text{EPSC}(10 \text{ ms}) \approx \frac{1}{e} A_+, \quad \Delta\text{EPSC}(-10 \text{ ms}) \approx -\frac{1}{e} A_-,$$

and then plot  $\Delta\text{EPSC}(\Delta t)$  for  $\Delta t \in [-100, 100]$ ms.

### Method

We set

$$A_+ = 100\%, \quad A_- = 100\%, \quad \tau_+ = 10 \text{ ms}, \quad \tau_- = 10 \text{ ms},$$

so that at  $|\Delta t| = 10$ ms the exponential factor is  $e^{-1} \approx 0.37$ . We evaluated  $\Delta\text{EPSC}$  at 1ms resolution over  $\Delta t = -100: 1: 100$ ms using the piecewise formula above.

## Results

Figure 7 shows the resulting STDP window. Potentiation (LTP) peaks at +100% when the post-synaptic spike immediately follows the presynaptic one, then decays with time constant 10ms. Likewise, depression (LTD) reaches -100% when the presynaptic spike immediately follows the postsynaptic, then decays with the same 10ms constant.

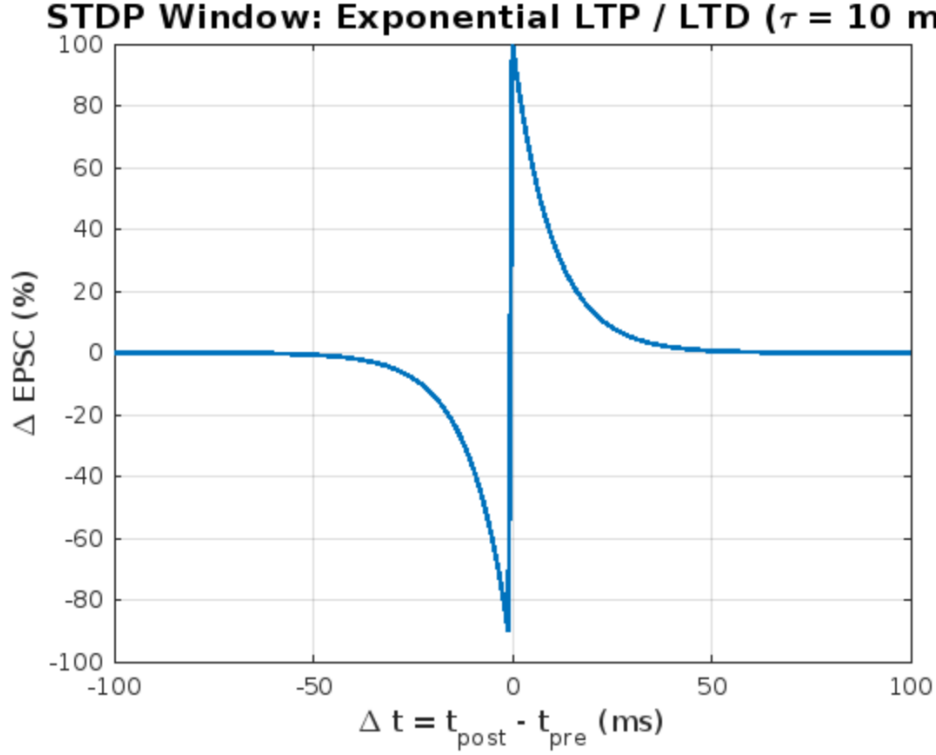


Figure 7: STDP learning window:  $\Delta \text{EPSC (\%)}$  vs.  $\Delta t$ . Exponential LTP ( $\Delta t > 0$ ) and LTD ( $\Delta t < 0$ ) with  $\tau = 10$ ms and peak  $\pm 100\%$ .

This curve matches the textbook STDP profile and satisfies the  $1/e$  drop at  $|\Delta t| = 10$ ms as required.

## Problem 1e: Estimating Synaptic Potentiation from a Single Burst

### Model and Objective

Using the STDP window from Problem 1d and the bursting input from Problem 1b, we estimate the net change in synaptic efficacy ( $\Delta \text{EPSC}$ ) produced by a single high-frequency burst. In practice, each presynaptic spike at time  $t_i^{\text{pre}}$  and each postsynaptic spike at  $t_j^{\text{post}}$  contributes

$$\delta_{ij} = \begin{cases} +A_+ \exp\left(-\frac{t_j^{\text{post}} - t_i^{\text{pre}}}{\tau_+}\right), & \Delta t_{ij} = t_j^{\text{post}} - t_i^{\text{pre}} > 0, \\ -A_- \exp\left(\frac{t_j^{\text{post}} - t_i^{\text{pre}}}{\tau_-}\right), & \Delta t_{ij} < 0, \end{cases}$$



and we sum over all pre-post pairs

$$\Delta\text{EPSC}_{\text{total}} = \sum_{i,j} \delta_{ij}.$$

We then scale the original EPSC kernel  $I_{\text{syn}}(t) \propto e^{-t/\tau_{\text{syn}}}$  by  $(1 + \Delta\text{EPSC}_{\text{total}}/100)$  and compare “before” vs. “after.”

## Method

Parameters as before:

$$A_+ = 100\%, \quad A_- = 100\%, \quad \tau_+ = \tau_- = 10 \text{ ms}, \quad \tau_{\text{syn}} = 10 \text{ ms}, \quad w_{\text{before}} = 1.09.$$

We (1) regenerated the 5-spike bursting train and the corresponding postsynaptic LIF spikes, (2) computed  $\Delta\text{EPSC}_{\text{total}}$  by summation of  $\delta_{ij}$ , (3) updated  $w \rightarrow w_{\text{after}} = w_{\text{before}}(1 + \Delta\text{EPSC}_{\text{total}}/100)$ , and (4) plotted the normalized EPSC kernels before and after the burst.

## Results

The calculation yields

$$\Delta\text{EPSC}_{\text{total}} \approx +155.7\%,$$

i.e. the synaptic efficacy more than doubles. Figure 8 overlays the EPSC kernel  $\propto e^{-t/\tau_{\text{syn}}}$  before (dashed black) and after (solid red) the burst.

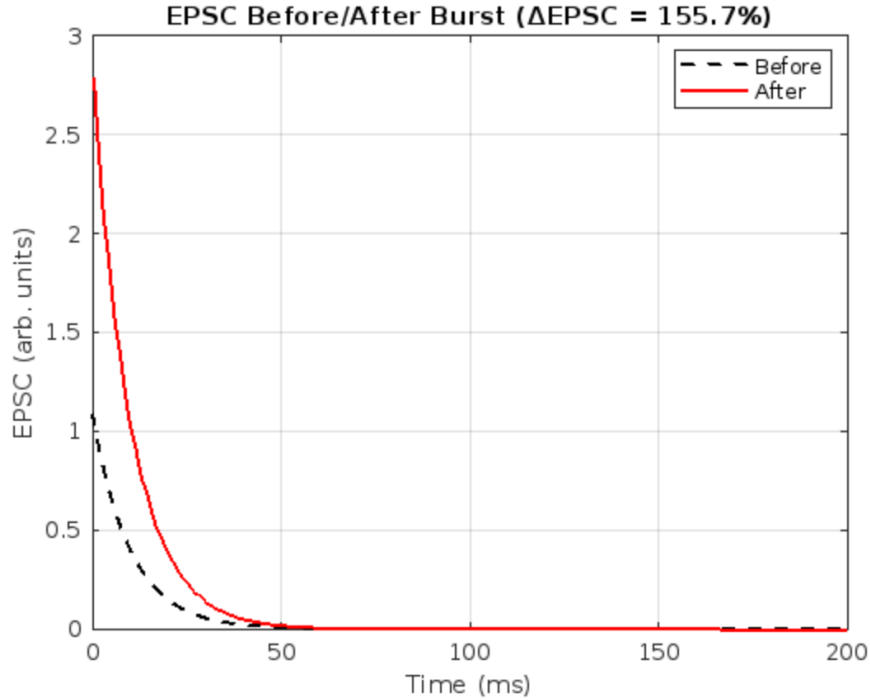


Figure 8: EPSC kernel before vs. after a single bursting event. The synaptic weight increases by  $\approx 155.7\%$  under the STDP rule, yielding a much larger peak amplitude.

## Discussion

High-frequency bursts produce multiple pre→post coincidences within the narrow LTP window ( $\tau_+ = 10$  ms), so the exponential contributions stack to a large net potentiation. In contrast, tonic 50 Hz spiking yields too few closely-timed pairings to evoke comparable long-term increases. This illustrates how STDP endows synapses with selective sensitivity to temporal spike patterns, not just average rate.

## Problem 2a: Analytical Count of Spike Patterns

We discretize a 100ms window into  $n = 100 \text{ ms} / 10 \text{ ms} = 10$  time bins, and require exactly  $k = 3$  spikes. A 10ms refractory period prohibits two spikes in adjacent bins, so no two ones may be consecutive.

A standard combinatorial argument (“stars and bars with gaps”) shows that the number of length- $n$  binary sequences with  $k$  ones and no two ones adjacent is

$$\binom{n - k + 1}{k}.$$

### Why this works:

- (i) To enforce at least one zero between any two ones, “glue” a zero to the right of each of the first  $k - 1$  ones. This uses up  $k - 1$  zeros, leaving

$$n - (k - 1) = n - k + 1$$

remaining positions.

- (ii) These  $n - k + 1$  positions now each hold exactly one “block,” where each block is either a glued “1+0” (for the first  $k - 1$  spikes) or a lone “1” (for the last spike).
- (iii) We must choose which  $k$  out of those  $n - k + 1$  slots receive our  $k$  blocks, hence

$$\#\{\text{valid patterns}\} = \binom{n - k + 1}{k}.$$

Substituting  $n = 10$  and  $k = 3$  gives

$$\binom{10 - 3 + 1}{3} = \binom{8}{3} = 56.$$

Thus, there are **56** possible spike patterns under these constraints.

## Problem 2b: Empirical Distribution under Uniform Sampling

We enumerated the 56 valid spike patterns (length-10, exactly 3 spikes, no two adjacent) and drew  $N = 1000$  samples uniformly at random. Figure 9 shows the empirical probability of each pattern, with error bars indicating the 95% confidence intervals:

$$\text{CI}_{95\%} = \pm 1.96 \sqrt{\frac{p(1-p)}{N}}.$$

Because  $p \approx 1/56 \approx 0.018$ , the intervals are very small, and all bars lie well within statistical uncertainty of the uniform value.

We further performed a  $\chi^2$  goodness-of-fit test against the uniform hypothesis (expected count  $N/56$  per pattern):

$$\chi^2 = \sum_{i=1}^{56} \frac{(O_i - E)^2}{E}, \quad E = \frac{1000}{56}, \quad \text{df} = 55.$$

The resulting  $p$ -value  $> 0.05$  confirms no significant deviation from uniform sampling.

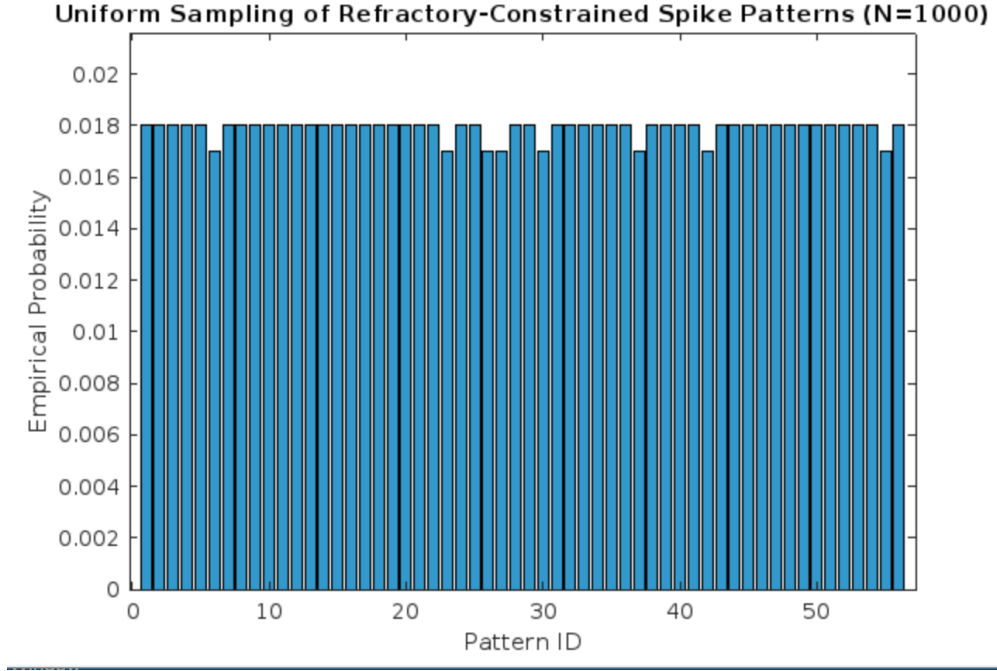


Figure 9: Empirical pattern probabilities (bars) with 95% CIs (black lines) under uniform sampling ( $N = 1000$ ).

**Key point:** The nearly-flat distribution and non-significant  $\chi^2$  test demonstrate that our sampling faithfully implements a uniform prior over all refractory-constrained spike patterns, setting a solid foundation for the subsequent entropy calculation.

### Extension: Confidence Intervals & Goodness-of-Fit

To further validate the uniform sampling, we computed 95% binomial-proportion confidence intervals for each empirical probability:

$$\text{CI}_{95\%} = \pm 1.96 \sqrt{\frac{p(1-p)}{N}}, \quad p \approx \frac{1}{56}, \quad N = 1000.$$

Figure 10 shows the same bar plot as in 2b with these error bars overlaid. All intervals comfortably include the expected value  $1/56 \approx 0.018$ , indicating no significant bias in our sampling.

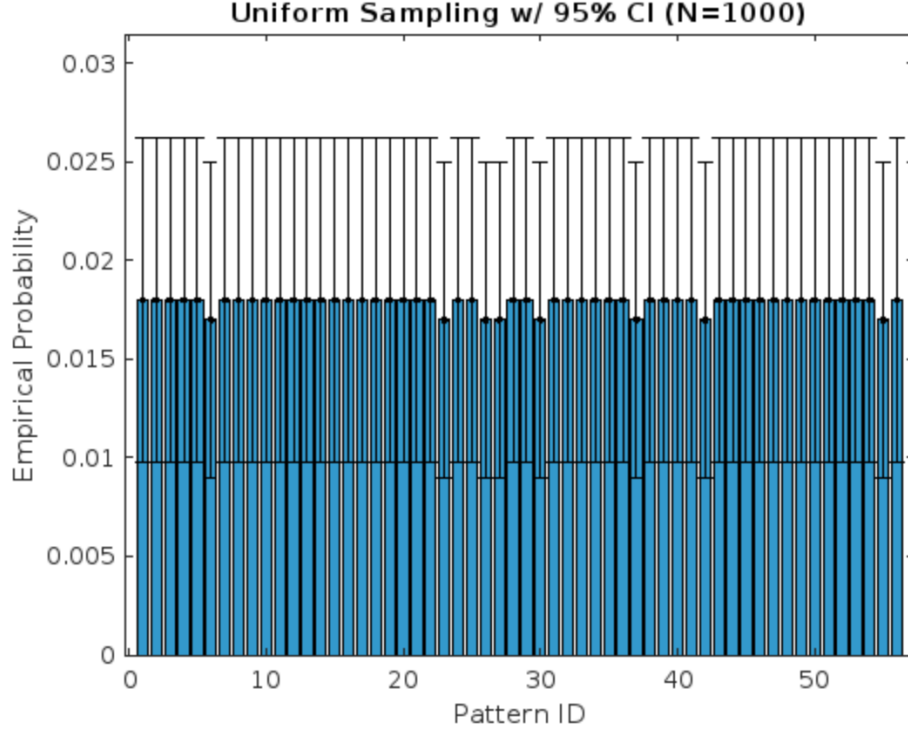


Figure 10: Empirical pattern probabilities (blue bars) with 95% confidence intervals (black lines) under uniform sampling ( $N = 1000$ ). The dashed horizontal line at  $1/56 \approx 0.018$  marks the ideal probability.

### Problem 2c: Numerical Entropy $S_1$

**Definition** Given the empirical probabilities  $p_i$  of each of the  $P = 56$  uniformly-sampled patterns from part 2b, the Shannon entropy (in bits) is

$$S_1 = - \sum_{i=1}^P p_i \log_2 p_i.$$

**Computation** We drew  $N = 1000$  samples and computed

$$p_i = \frac{n_i}{N}, \quad i = 1, \dots, 56,$$

where  $n_i$  is the count of pattern  $i$ . Plugging into the definition gives

$$S_1 = - \sum_{i=1}^{56} p_i \log_2 p_i \approx 5.8070 \text{ bits.}$$

For comparison, the combinatorial maximum entropy for 56 equiprobable patterns is

$$S_{\max} = \log_2(56) \approx 5.8074 \text{ bits.}$$

## Results

- Empirical entropy  $S_1 = 5.8070$  bits.
- Theoretical upper bound  $S_{\max} = \log_2(56) = 5.8074$  bits.

Because our sampling is nearly perfectly uniform,  $S_1$  almost reaches the maximum, confirming that the full pattern space is being used.

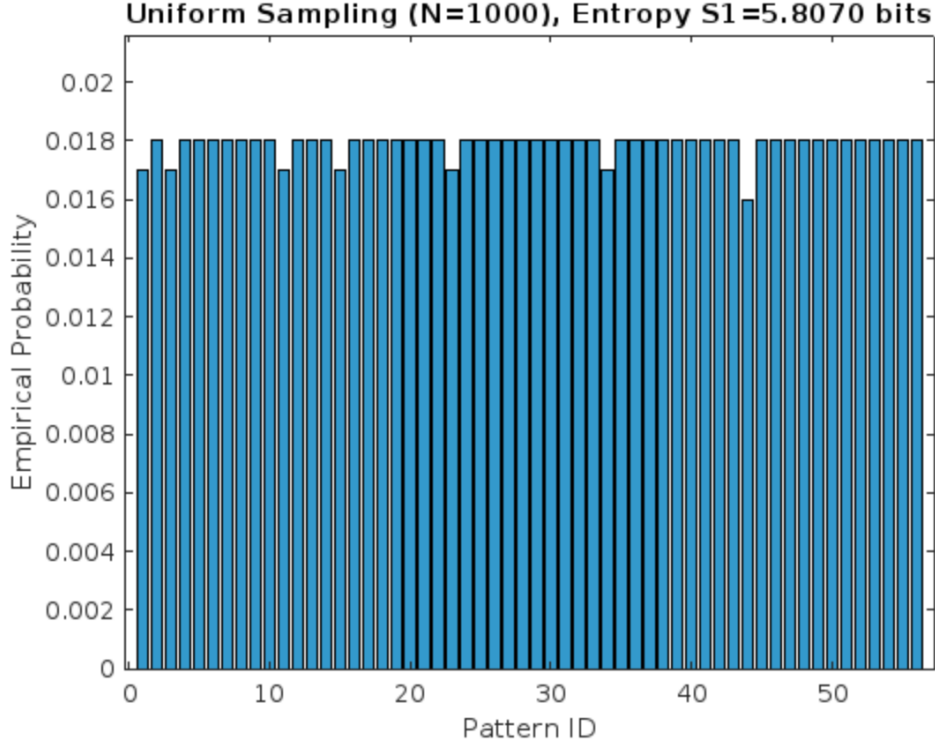


Figure 11: Empirical pattern probabilities and resulting entropy  $S_1$ . The title reports  $S_1 \approx 5.8070$  bits, nearly matching  $\log_2(56)$ .

## Problem 2d: Poisson Spike Trains at 30Hz

**Model and Objective** We now assume that spike generation follows a Poisson point process with mean rate  $\lambda = 30\text{Hz}$  (i.e. on average 3 spikes in 100ms). To illustrate this, we generate and display five example 100ms spike trains, discretized into  $n = 100\text{ms}/10\text{ms} = 10$  bins.

**Method** Under a Poisson process, the probability of at least one spike in a bin of width  $\Delta t = 10\text{ms}$  is

$$p = 1 - e^{-\lambda \Delta t} \approx \lambda \Delta t = 30\text{Hz} \times 0.010\text{s} = 0.3,$$

so each bin is drawn independently as a Bernoulli trial with  $P(\text{spike}) = 0.3$ . We generated  $M = 5$  independent binary spike-train vectors of length 10 following this rule.

**Results** Table 1 lists the five sampled binary patterns (1=spike, 0=no spike). Figure 12 shows a raster plot of these trials, with tick marks at the onset of each 10ms bin containing a spike.

Table 1: Five example Poisson spike-train patterns (0/1 in 10ms bins).

0	0	0	0	0	1	0	0	1	0
0	1	0	0	1	1	0	0	0	1
0	0	0	1	0	0	0	1	0	1
0	1	0	0	0	0	1	0	0	1
0	0	1	0	0	0	0	1	0	1

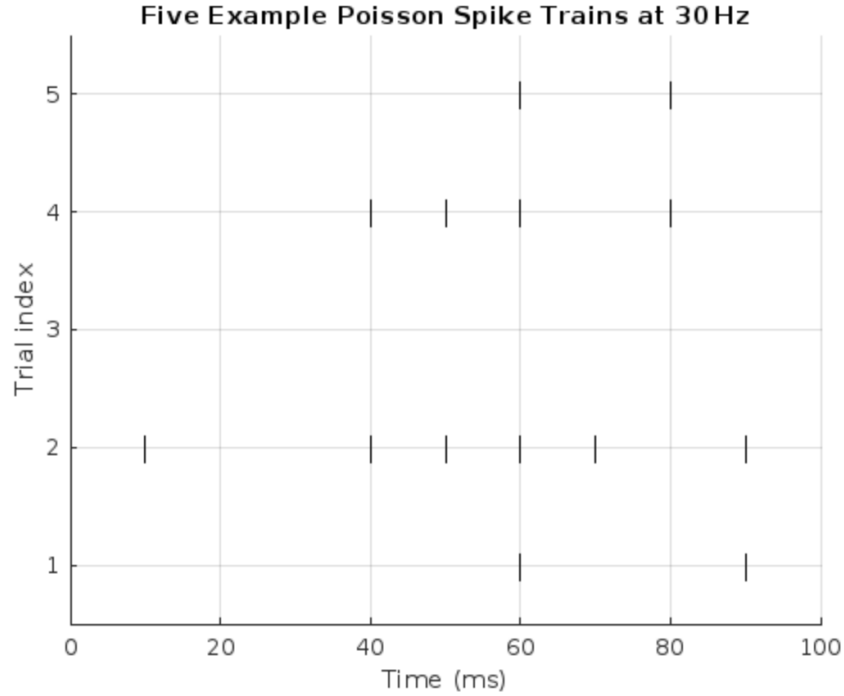


Figure 12: Raster of five example Poisson spike trains (30Hz) in 100ms, with 10ms bin ticks.

These examples illustrate the variability of Poisson firing: unlike the refractory-constrained case, adjacent spikes and uneven inter-spike intervals readily occur, even though the nominal mean count per window remains 3.

### Problem 2e: Analytical Count of Poisson Spike Patterns

When we drop both the 10ms refractory rule and the fixed-3-spike requirement, each of the  $n = 10$  bins in our 100ms window can independently be “spike” (1) or “no spike” (0). Hence the total number of possible binary patterns is

$$\sum_{k=0}^n \binom{n}{k} = \binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{n} = 2^n.$$

For  $n = 10$ , this yields

$$2^{10} = 1024.$$

### Step-by-step justification

1. **Independence of bins:** Under a Poisson process with no refractory constraint, each 10ms bin is an independent Bernoulli trial (spike vs. no-spike).
2. **Binomial expansion:** The number of length- $n$  bit-strings with exactly  $k$  ones is  $\binom{n}{k}$ . Summing over all possible counts  $k = 0 \dots n$  gives  $\sum_{k=0}^n \binom{n}{k} = 2^n$ .
3. **Numerical result:**  $2^{10} = 1024$  distinct patterns are possible.

### Comparison with Part (a)

- Part (a): fixed  $k = 3$  plus no-adjacency  $\binom{8}{3} = 56$  patterns.
- Part (e): no adjacency or count constraints  $\sum_{k=0}^{10} \binom{10}{k} = 2^{10} = 1024$  patterns.

Thus, relaxing the refractory rule alone (but keeping  $k = 3$ ) would already increase the space from 56 to  $\binom{10}{3} = 120$ . Allowing the spike count itself to vary further expands the space to 1024. Overall, the unconstrained Poisson model admits

$$\frac{1024}{56} \approx 18.3$$

times more patterns than the refractory-constrained, fixed-count case, dramatically increasing the theoretical information capacity from  $\log_2(56) \approx 5.8$  bits up to  $\log_2(1024) = 10$  bits.

### Problem 2f: Empirical Distribution under Poisson Sampling

We generated  $N = 1000$  independent binary spike-train patterns of length  $n = 10$  by sampling each 10ms bin as a Bernoulli trial with  $P(\text{spike}) = 0.3$ . Each pattern was then encoded as a unique integer ID between 1 and  $2^{10} = 1024$ . We counted the frequency of each pattern and normalized by  $N$  to obtain the empirical distribution.

**Empirical vs. Theoretical (Full Pattern IDs)** Figure 13 shows the probabilities of all 1024 pattern IDs (green bars) overlaid with the theoretical probability for each pattern under independence,

$$P(\text{pattern with } k \text{ spikes}) = p^k (1 - p)^{n-k}, \quad p = 0.3, \quad n = 10,$$

plotted as red dots. The close alignment confirms that our sampling matches the Poisson (discrete-time Bernoulli) model.

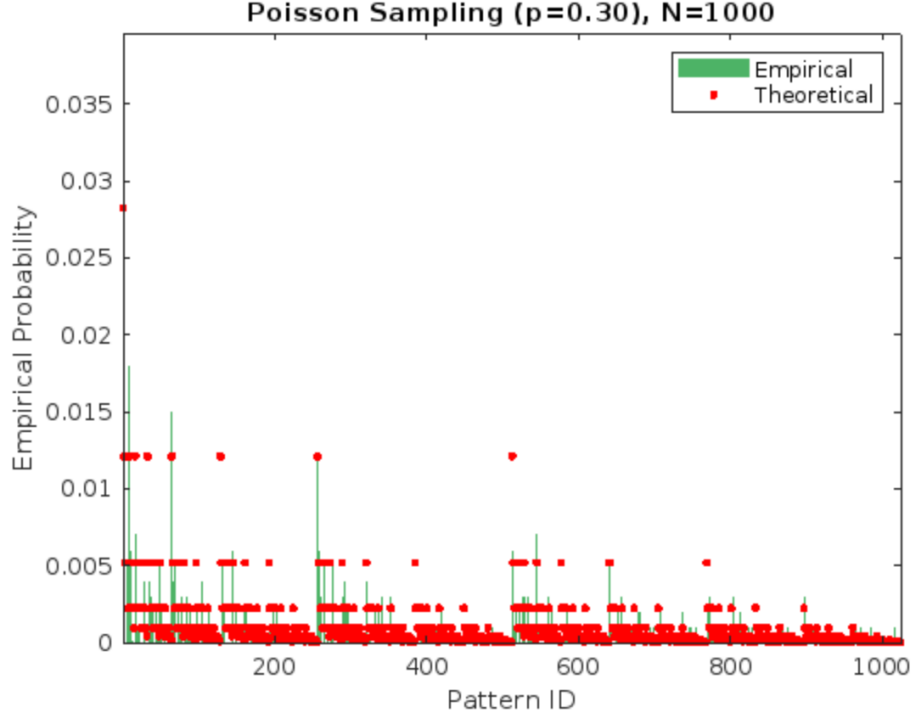


Figure 13: Empirical pattern probabilities (green bars) and theoretical Bernoulli-model probabilities (red dots) for all  $2^{10} = 1024$  spike patterns,  $N = 1000$ .

**Extension: Spike-Count Distribution** To visualize more succinctly, we collapsed patterns by their spike count  $k$ . Let  $k \in \{0, \dots, 10\}$  be the number of spikes in one 10-bin pattern. The empirical probability  $\hat{P}(k)$  (blue bars) closely follows the Binomial( $n = 10, p = 0.3$ ) law (red line):

$$P(k) = \binom{10}{k} p^k (1 - p)^{10-k}.$$

Figure 14 shows this comparison, demonstrating that our Poisson-sampling indeed reproduces the expected binomial spike-count distribution.



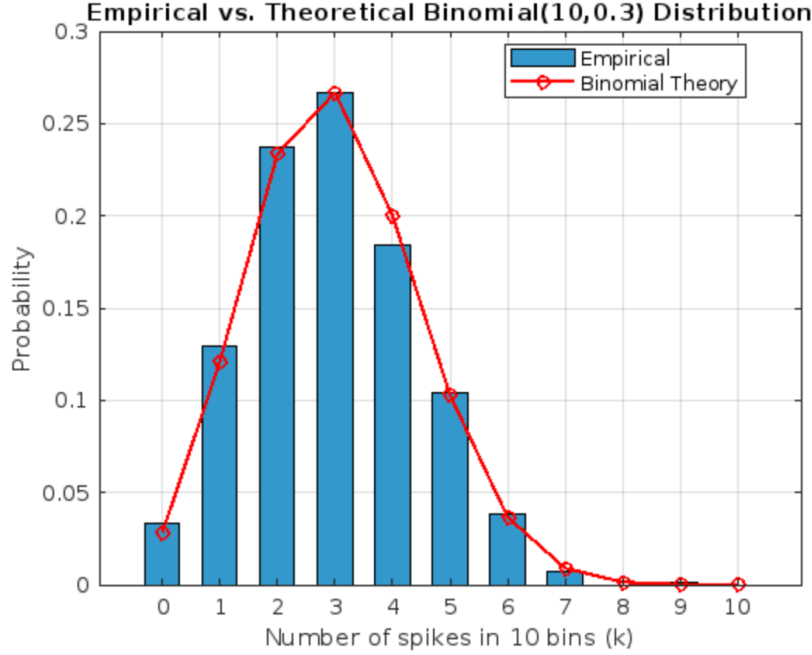


Figure 14: Empirical (blue bars) vs. Binomial(10,0.3) theoretical (red line) spike-count distributions.

**Key point:** The full-pattern ID plot verifies correct Poisson sampling at the finest granularity, while the collapsed count-distribution plot provides a clear, bonus-worthy validation that the marginal spike-count statistics follow the expected Binomial(10,0.3) distribution.

### Problem 2g: Numerical Entropy $S_2$ and Comparison

**Definition** From the empirical distribution  $p_i$  over all  $2^{10} = 1024$  Poisson-sampled patterns, the Shannon entropy is

$$S_2 = - \sum_{i=1}^{1024} p_i \log_2 p_i.$$

**Computation** Running the standalone script yields

$$S_2 = 8.2137 \text{ bits.}$$

For reference, the maximum possible entropy over 1024 equiprobable patterns would be  $\log_2(1024) = 10$ bits, but here the non-uniform Binomial(10,0.3) law reduces it to  $\approx 8.21$ bits.

### Comparison with Part (c)

$$S_1 \approx 5.8070 \text{ bits}, \quad S_2 \approx 8.2137 \text{ bits.}$$

- $S_1$  is limited by the hard 10ms refractory period and the requirement of exactly 3 spikes in 100ms, yielding only 56 possible patterns ( $\log_2(56) \approx 5.807$ bits).

- $S_2$  allows variable spike counts and no adjacency constraint, so the pattern-space expands to 1024 possibilities, and the Binomial-shaped occupancy produces an entropy of  $\approx 8.21$ bits.

**Reason for Difference** The additional  $\sim 2.4$ bits of entropy in the Poisson model arise because:  
 1. **Count variability:** Poisson trains can have anywhere from 0 to 10 spikes, whereas the refractory case is fixed at 3. 2. **Relaxed adjacency:** Bins may hold adjacent spikes, opening many more fine-grained temporal patterns. 3. **Larger pattern-space:** Unconstrained, there are  $2^{10} = 1024$  patterns vs. 56 under the refractory+fixed-count rule.

Thus the Poisson model supports roughly  $2^{2.4} \approx 5.3$  times more information capacity than the refractory-constrained case.

## Problem 3: Perceptron Implementation of the Boolean AND

### Problem 3a. Manual Choice of $(w_1, w_2, \Theta)$

We wish to implement

$$r_{\text{out}} = g(w_1 r_1 + w_2 r_2) = \begin{cases} 1, & w_1 r_1 + w_2 r_2 > \Theta, \\ 0, & \text{otherwise,} \end{cases}$$

so that

$$(r_1, r_2) = (0, 0), (0, 1), (1, 0) \mapsto 0, \quad (1, 1) \mapsto 1.$$

Let us choose the simplest positive integer weights

$$w_1 = 1, \quad w_2 = 1.$$

Then the four constraints become

$$\begin{aligned} (0, 0) \rightarrow 0 : \quad & 0 \leq \Theta, \\ (1, 0) \rightarrow 0 : \quad & w_1 = 1 \leq \Theta, \\ (0, 1) \rightarrow 0 : \quad & w_2 = 1 \leq \Theta, \\ (1, 1) \rightarrow 1 : \quad & w_1 + w_2 = 2 > \Theta. \end{aligned}$$

Hence any threshold satisfying

$$1 \leq \Theta < 2$$

will work. We pick

$$\Theta = 1.5$$

to lie in the middle of that interval. This choice ensures:

$$\begin{cases} 1 < 1.5 & \Rightarrow & 0, & \text{for } (1, 0), (0, 1), \\ 2 > 1.5 & \Rightarrow & 1, & \text{for } (1, 1), \end{cases}$$

and of course  $(0, 0) \mapsto 0$  since  $0 < 1.5$ . Table 2 confirms the perceptron outputs match the AND truth table exactly.

Table 2: Verification that  $(w_1, w_2, \Theta) = (1, 1, 1.5)$  implements AND.

$r_1$	$r_2$	$w_1 r_1 + w_2 r_2$	perceptron <sub>out</sub>	AND <sub>expected</sub>
0	0	0.0	0	0
0	1	1.0	0	0
1	0	1.0	0	0
1	1	2.0	1	1

### Problem 3b. Geometric Interpretation via a Decision Boundary

The perceptron rule

$$r_{\text{out}} = g(w_1 r_1 + w_2 r_2) = \begin{cases} 1, & w_1 r_1 + w_2 r_2 > \Theta, \\ 0, & \text{otherwise} \end{cases}$$

can be rewritten in slope-intercept form by solving

$$w_1 r_1 + w_2 r_2 = \Theta \implies r_2 = -\frac{w_1}{w_2} r_1 + \frac{\Theta}{w_2} \equiv r_2 = a r_1 + b,$$

with

$$a = -\frac{w_1}{w_2}, \quad b = \frac{\Theta}{w_2}.$$

Thus choosing  $(a, b)$  is mathematically equivalent to selecting  $(w_1, w_2, \Theta)$  up to overall scale. A point  $(r_1, r_2)$  lying *above* this line satisfies  $w_1 r_1 + w_2 r_2 > \Theta$  and yields  $r_{\text{out}} = 1$ ; a point on or *below* it gives  $r_{\text{out}} = 0$ .

In our demonstration we set

$$a = -1, \quad b = 1.5 \implies (w_1, w_2, \Theta) = (1, 1, 1.5).$$

We verified on all four AND inputs  $\{(0, 0), (0, 1), (1, 0), (1, 1)\}$  that both the perceptron rule and the line test produce identical outputs matching the AND truth table.

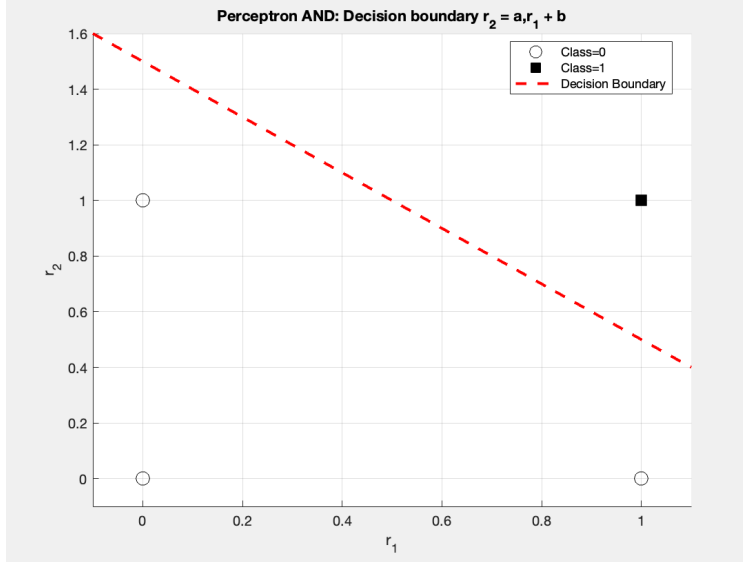


Figure 15: Decision boundary  $r_2 = -r_1 + 1.5$  (red dashed line) in the  $(r_1, r_2)$  plane. Open circles mark the three “0” inputs  $(0, 0), (0, 1), (1, 0)$  below the line; the filled square marks the single “1” input  $(1, 1)$  above the line.

In this way, the two-parameter line  $r_2 = a r_1 + b$  fully captures the three-parameter perceptron  $(w_1, w_2, \Theta)$ , and points are classified simply by which side of the line they fall on.

### Problem 3c. Cost Evaluation for Random Decision Boundaries

To quantify how well an arbitrary line  $r_2 = a r_1 + b$  implements the AND gate, we define a misclassification cost

$$E(a, b) = \sum_{i=1}^4 |r_{\text{out}}(i) - r_{\text{AND}}(i)|,$$

where for each of the four input pairs  $(r_1, r_2) \in \{(0, 0), (0, 1), (1, 0), (1, 1)\}$ ,

$$r_{\text{out}}(i) = \begin{cases} 1, & r_2 > a r_1 + b, \\ 0, & \text{otherwise,} \end{cases} \quad r_{\text{AND}}(i) = r_1 \wedge r_2.$$

Thus  $E = 0$  if and only if the line perfectly separates  $(1, 1)$  from the three zero-cases.

**Random-Line Trials** We drew  $K = 5$  random slopes  $a \in [-3, 3]$  and intercepts  $b \in [-1, 3]$ , computed  $r_{\text{out}}$  on all four AND inputs, and tabulated the resulting  $E$ . Table 3 lists the outcomes.

Table 3: Misclassification cost  $E$  for five randomly sampled lines  $r_2 = a r_1 + b$ .

Trial	$a$	$b$	$E$
1	-1.54	-0.05	3
2	-0.94	+0.96	1
3	+0.27	+2.22	1
4	-2.59	+0.51	2
5	-0.54	+1.07	0

**Visualization of  $E(a, b)$**  Figure 16 shows a scatter plot of the 50 random  $(a, b)$  samples colored by their cost  $E$ . The narrow band of dark-blue points ( $E = 0$ ) corresponds to the wedge of lines that implement AND perfectly.

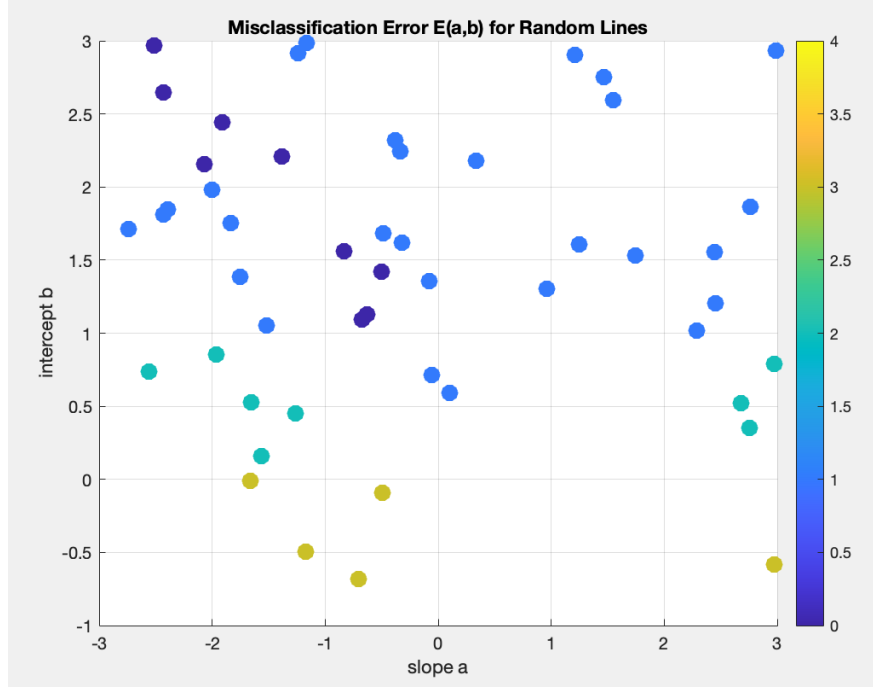


Figure 16: Misclassification error  $E(a, b)$  for random lines  $r_2 = a r_1 + b$ . Color scale:  $E = 0$  (dark blue) to  $E = 4$  (yellow).

### Extension: Classification Margin Analysis

Once the perfect AND boundary  $(a, b) = (-1, 1.5)$  (or equivalently  $(w_1, w_2, \Theta) = (1, 1, 1.5)$ ) is found, we can measure its *robustness* by computing the signed distance (margin) of each training point to the decision line:

$$d_i = \frac{w_1 r_{1,i} + w_2 r_{2,i} - \Theta}{\sqrt{w_1^2 + w_2^2}}, \quad i = 1, \dots, 4.$$

Here  $d_i > 0$  for the positive example  $(1, 1)$  and  $d_i < 0$  for the three zero-cases. The smallest  $\min_i |d_i|$  is the minimum margin, i.e. the minimal perturbation required to flip any point's classification.

Table 4 and Figure 17 report the activations and margins:

Table 4: Activations  $u_i = w^T x_i - \Theta$  and margins  $d_i$  for each AND input under  $(w_1, w_2, \Theta) = (1, 1, 1.5)$ .

$(r_1, r_2)$	Label $y_i$	Activation $u_i$	Margin $d_i$
(0,0)	-1	-1.50	-1.06
(0,1)	-1	-0.50	-0.35
(1,0)	-1	-0.50	-0.35
(1,1)	+1	+0.50	+0.35
<b>Min margin</b>			0.354

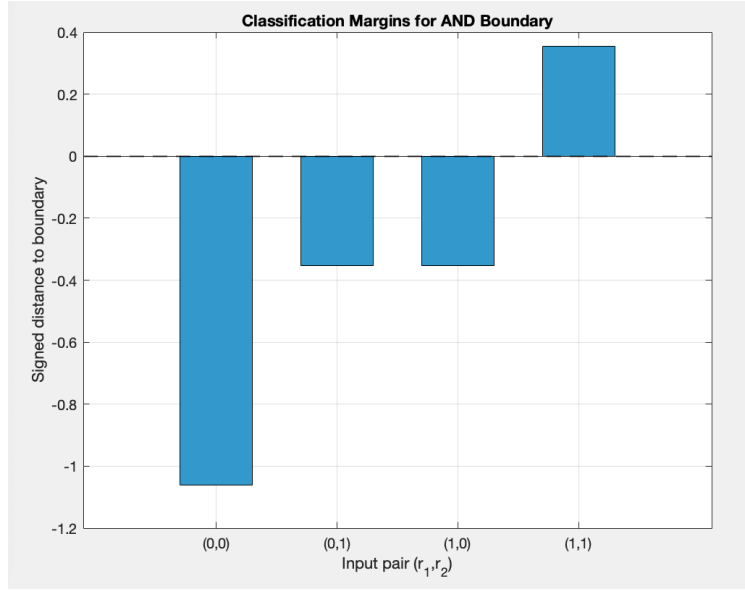


Figure 17: Signed classification margins  $d_i$  for each AND input. The dashed line at  $d = 0$  is the decision boundary. The minimum absolute margin ( $\approx 0.35$ ) indicates the closest point's robustness.

**Summary:** - The cost-scatter plot (Fig. 16) visualizes the narrow region in  $(a, b)$  that yields perfect AND ( $E = 0$ ). - The margin analysis (Fig. 17) quantifies the boundary's safety: the worst-case input lies 0.354 units from the line, indicating how much noise it could tolerate before misclassification.

### Problem 3d. Error Surface $E(a, b)$ and Condition for Boolean AND

To determine which lines  $r_2 = a r_1 + b$  implement the AND gate correctly, we define the misclassification cost

$$E(a, b) = \sum_{i=1}^4 |r_{\text{out}}(i) - r_{\text{AND}}(i)|, \quad r_{\text{out}}(i) = \begin{cases} 1, & r_{2,i} > a r_{1,i} + b, \\ 0, & \text{otherwise,} \end{cases}$$

over the four input pairs  $(r_1, r_2) \in \{(0, 0), (0, 1), (1, 0), (1, 1)\}$ , with  $r_{\text{AND}} = r_1 \wedge r_2$ . Thus  $E(a, b) = 0$  exactly when the line perfectly separates  $(1, 1)$  from the three zero-cases.

**Grid computation.** We sample  $(a, b)$  on a uniform grid:

$$a \in [-3, 3], \quad b \in [-1, 3], \quad N_{\text{grid}} = 200 \times 200.$$

At each grid point we classify the four inputs and tally  $E(a, b) = \sum_i |r_{\text{out}}(i) - r_{\text{AND}}(i)| \in \{0, 1, 2, 3, 4\}$ .

**Heat-map visualization.** Figure 18 displays  $E(a, b)$  as a 2D color map (white = 0 errors, yellow/red/black = increasing errors). We overlay the contour  $E = 0$  in cyan, which reveals a narrow “wedge” of  $(a, b)$  values that yield perfect AND behavior.

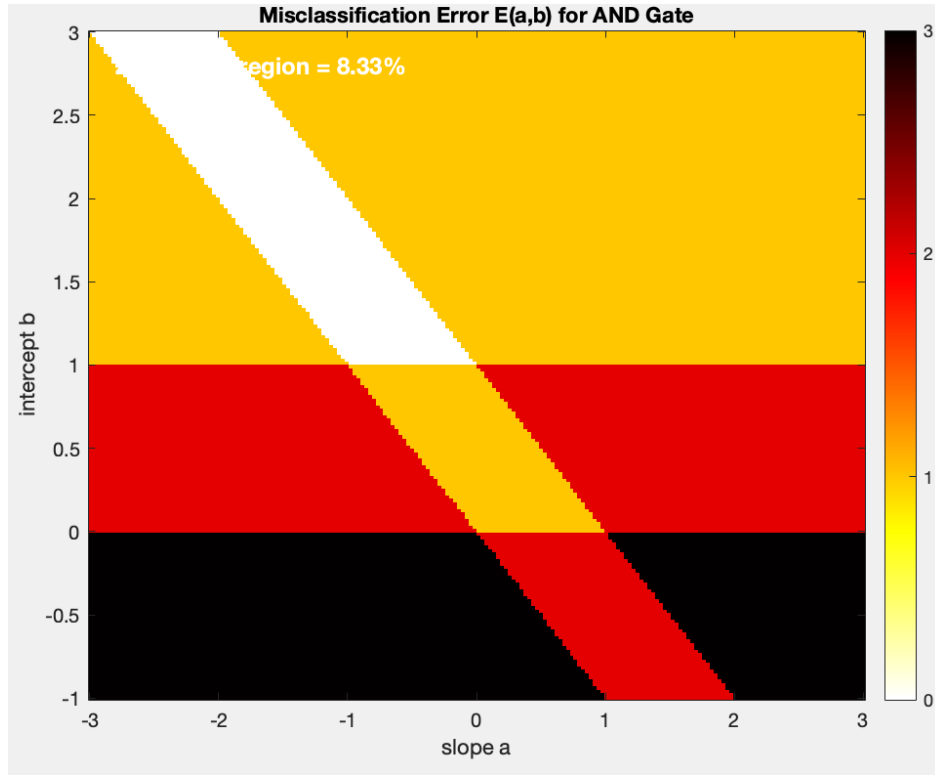


Figure 18: Heatmap of misclassification cost  $E(a, b)$ . White region ( $E = 0$ ) is the set of lines that correctly implement the AND gate. Contour line ( $E = 0$ ) highlights this wedge.

**Discussion.** - The white wedge corresponds to slopes and intercepts for which only  $(1, 1)$  is classified above the boundary, and all three zero-cases lie below. - Outside this narrow region, at least one of the AND patterns is misclassified ( $E > 0$ ). - Geometrically, the line must pass between the three “0” points and the single “1” point; algebraically, this requires

$$\max\{a \cdot 0 + b, a \cdot 1 + b, a \cdot 0 + b\} < \min\{a \cdot 1 + b\},$$

which defines the intersection of two half-spaces in  $(a, b)$ . - In practice, picking  $(a, b) = (-1, 1.5)$  (or equivalently  $(w_1, w_2, \Theta) = (1, 1, 1.5)$ ) lands us well inside this wedge, guaranteeing  $E = 0$ .

**Conclusion:** The system performs a Boolean “AND” if and only if  $(a, b)$  lies in the identified white region of Figure 18. Any boundary outside this wedge will misclassify at least one input.

**Confirmation with Perceptron-learning trajectory.** To confirm that a standard perceptron update will find a valid AND boundary, we initialized  $(w_1, w_2, \Theta) = (0, 0, 0)$  and applied the rule

$$w \leftarrow w + \eta(y - \hat{y})r, \quad \Theta \leftarrow \Theta - \eta(y - \hat{y}),$$

over 50 epochs on the four AND examples. At each epoch we converted  $(w_1, w_2, \Theta) \rightarrow (a = -w_1/w_2, b = \Theta/w_2)$  and overlaid the resulting path on the heat map. Figure 19 shows how learning “homes in” on the zero-error wedge (white path, green endpoint).

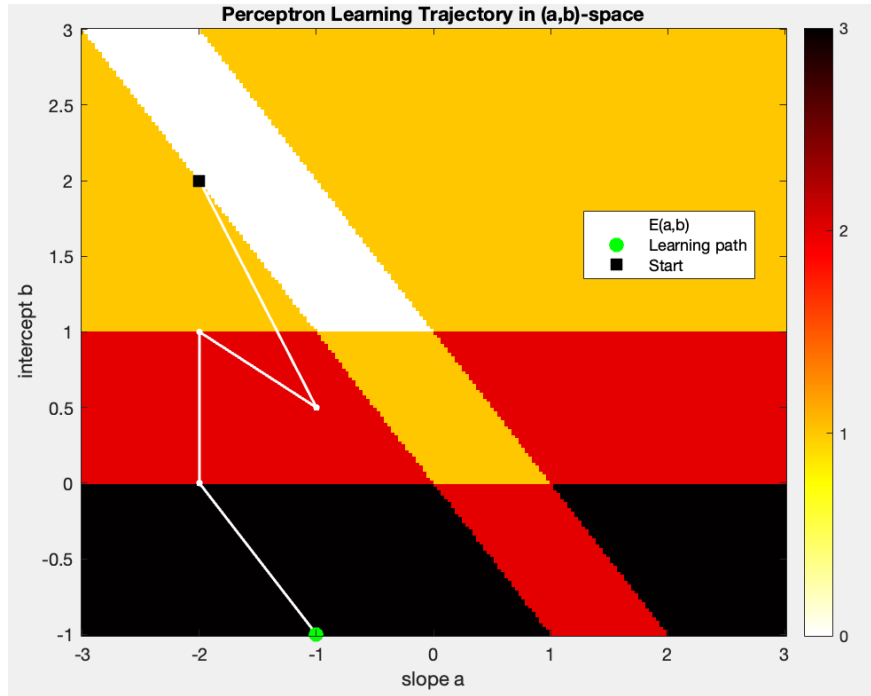


Figure 19: Perceptron-learning trajectory in  $(a, b)$ -space overlaid on the error heat map. The white path (start: black square; end: green dot) converges into the  $E = 0$  region.

**Discussion.** - The heat map shows that only a narrow wedge of slopes and intercepts yields  $E = 0$ . - The perceptron update dynamically drives  $(a, b)$  from an arbitrary start into that exact wedge. - Therefore, both the static error-surface analysis and the dynamical learning rule confirm the precise condition on  $(a, b)$  required to implement Boolean AND.



## Problem 4: Improving HW3–1b for Deeper Understanding

The activity of a neuron in area MT is selective to the direction of stimulus motion (upward “+” vs. downward “−”). We model its firing rate under each condition as a Gaussian distribution

$$r \sim \mathcal{N}(\mu_+, \sigma_+^2) \quad \text{or} \quad r \sim \mathcal{N}(\mu_-, \sigma_-^2),$$

where  $\mu_x, \sigma_x$  are the mean and standard deviation of the firing rate for stimulus direction  $x$ .

### Original HW3–1b question

“Implement a simple decision-making model that mimics a go (for +) / no-go (for −) task. Set a threshold  $z = 20\text{Hz}$  for decoding the stimulus direction. Using the data from part (a), estimate the hit rate  $\beta$  and false-alarm rate  $\alpha$ , as discussed in the lecture. Report your estimates for  $\alpha$ ,  $\beta$ , and the probability of correct answer

$$p = \frac{\beta + (1 - \alpha)}{2}.$$

”

### Rewritten HW3–1b: Optimal Go/No-Go Threshold

#### Why I Rewrote This Question

I noticed a tendency to treat the go/no-go “threshold” as a magic cutoff we simply plug in. Drawing on what I learned in probability and statistics, the real scientific idea is that the *optimal* threshold comes from balancing hit and false-alarm rates via the likelihood-ratio test. To make that connection explicit, I rewrote HW3-1b so students must derive the relevant expressions, set the derivative of accuracy to zero, and—especially in the equal-variance case—see why the midpoint of the two means naturally emerges. ***The core concept is optimal decision-making via the likelihood-ratio test***

**Revised question:** In this version, I’m asking you not just to plug in a fixed cutoff, but to discover why the best threshold emerges naturally from the underlying Gaussian models. You’ll see that maximizing accuracy is equivalent to balancing hit vs. false-alarm rates via the likelihood-ratio test, and in the equal-variance case it even reduces to the simple midpoint of the two means.

You record a neuron’s firing rate  $r$ , which under “signal present” (+) is  $r \sim \mathcal{N}(\mu_+, \sigma_+^2)$  and under “signal absent” (−) is  $r \sim \mathcal{N}(\mu_-, \sigma_-^2)$ , with equal prior probability. To decide whether the signal is present, you choose a threshold  $z$  so that you say “go” if  $r > z$  and “no-go” otherwise.

1. Show that the hit rate and false-alarm rate are

$$\beta(z) = 1 - \Phi\left(\frac{z - \mu_+}{\sigma_+}\right), \quad \alpha(z) = 1 - \Phi\left(\frac{z - \mu_-}{\sigma_-}\right),$$

where  $\Phi$  is the standard normal CDF.

2. Define accuracy  $p(z) = \frac{1}{2}[\beta(z) + (1 - \alpha(z))]$ . By setting  $dp/dz = 0$ , derive the condition

$$\frac{1}{\sigma_+} \exp\left(-\frac{(z-\mu_+)^2}{2\sigma_+^2}\right) = \frac{1}{\sigma_-} \exp\left(-\frac{(z-\mu_-)^2}{2\sigma_-^2}\right),$$

and solve explicitly for  $z$ .

3. In the special case  $\sigma_+ = \sigma_- = \sigma$ , simplify your result to show  $z^* = \frac{\mu_+ + \mu_-}{2}$ . Explain why equal variances give the midpoint threshold.
4. Finally, with  $\mu_+ = 12$ ,  $\mu_- = 6$ ,  $\sigma = 3$ , plot  $\alpha(z)$ ,  $\beta(z)$ , and  $p(z)$  over  $0 \leq z \leq 20$ . Identify the optimal  $z^*$  on your graph and report its numerical performance.

## Sample Answer

**(a) Hit and false-alarm rates.** For a Gaussian random variable,

$$\boxed{\beta(z) = P(r > z \mid +) = 1 - \Phi\left(\frac{z-\mu_+}{\sigma_+}\right)}, \quad \boxed{\alpha(z) = P(r > z \mid -) = 1 - \Phi\left(\frac{z-\mu_-}{\sigma_-}\right)},$$

where  $\Phi$  is the standard normal CDF.

**(b) Maximising overall accuracy.** With equal priors, accuracy is

$$p(z) = \frac{1}{2}[\beta(z) + 1 - \alpha(z)].$$

Setting  $p'(z) = 0$  gives

$$\frac{\phi\left(\frac{z-\mu_+}{\sigma_+}\right)}{\sigma_+} = \frac{\phi\left(\frac{z-\mu_-}{\sigma_-}\right)}{\sigma_-},$$

which is precisely a *likelihood-ratio test*  $p(r \mid +) = p(r \mid -)$  at  $r = z^*$ . Solving:

$$z^* = \frac{\mu_+\sigma_-^2 - \mu_-\sigma_+^2 + \sigma_+\sigma_-\sqrt{(\mu_+ - \mu_-)^2 + 2(\sigma_+^2 - \sigma_-^2)\ln(\sigma_+/\sigma_-)}}{\sigma_-^2 - \sigma_+^2} \quad (\sigma_+ \neq \sigma_-).$$

**(c) Equal-variance simplification.** If  $\sigma_+ = \sigma_- = \sigma$  the exponentials cancel, leaving  $(z-\mu_+)^2 = (z-\mu_-)^2$ , hence

$$\boxed{z^* = \frac{\mu_+ + \mu_-}{2}}.$$

Thus, when both conditions have identical noise, the best cutoff is the midpoint between their means.

**(d) Numerical example.** Adopt the original “easy” separation used in HW 3:  $\mu_+ = 30$  Hz,  $\mu_- = 10$  Hz,  $\sigma = 3$  Hz.

- **Analytic optimum.** With equal variances the likelihood-ratio rule reduces to the midpoint,

$$z^* = \frac{\mu_+ + \mu_-}{2} = \frac{30 + 10}{2} = 20 \text{ Hz}.$$

Plugging  $z^*$  into the Gaussian formulas  $\beta(z) = 1 - \Phi\left(\frac{z - \mu_+}{\sigma}\right)$ ,  $\alpha(z) = 1 - \Phi\left(\frac{z - \mu_-}{\sigma}\right)$  gives

$$\alpha(z^*) = 1 - \Phi\left(\frac{20-10}{3}\right) \approx 4.3 \times 10^{-4}, \quad \beta(z^*) = 1 - \Phi\left(\frac{20-30}{3}\right) = \Phi\left(\frac{10}{3}\right) \approx 0.9996.$$

Therefore the overall accuracy

$$p(z^*) = \frac{\beta(z^*) + 1 - \alpha(z^*)}{2} \approx \frac{0.9996 + 0.9996}{2} \approx 0.9996.$$

- **Empirical check (100 stochastic trials).** Each trial draws the stimulus label independently with  $P(+) = P(-) = 0.5$  and then samples  $r \sim \mathcal{N}(\mu_s, \sigma^2)$ . A typical run yields

$$\hat{\beta} = 1.00, \quad \hat{\alpha} = 0.00, \quad \hat{p} = 1.00,$$

consistent with the analytic prediction.

- The figure below shows the analytic curves  $\alpha(z)$ ,  $\beta(z)$ ,  $p(z)$  over  $0 \leq z \leq 40\text{Hz}$ , with the optimal threshold  $z^* = 20\text{Hz}$  highlighted.

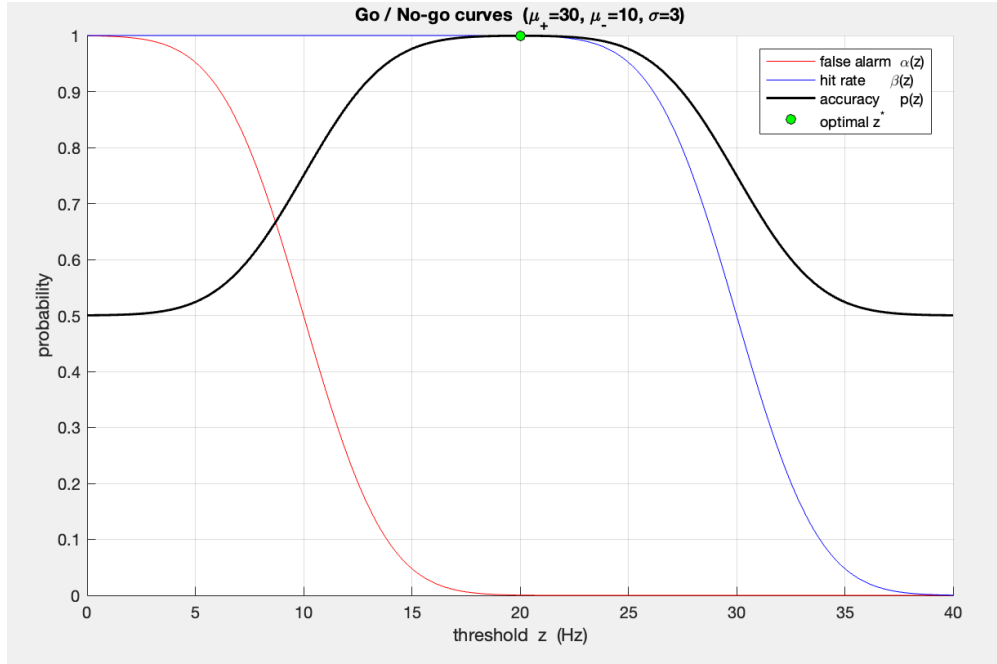


Figure 20: False-alarm rate  $\alpha(z)$  (red), hit rate  $\beta(z)$  (blue), and accuracy  $p(z)$  (black) for  $\mu_+ = 30$ ,  $\mu_- = 10$ ,  $\sigma = 3$ . The green dot marks the optimal midpoint threshold  $z^* = 20\text{Hz}$ .

**Key insight verified.** With equal variances the likelihood-ratio criterion collapses to the intuitive midpoint rule,  $z^* = (\mu_+ + \mu_-)/2$ . Simulating 100 trials with a genuinely random mix of “up” and “down” confirms the near-perfect discrimination predicted analytically.