

Algorithms for bioinformatics

Giacomo Fantoni

telegram: @GiacomoFantoni

Github: <https://github.com/giacThePhantom/algorithms-for-bioinformatics>

June 21, 2022

Contents

1	Needleman Wunsch	2
1.1	Introduction	2
1.2	A general method for sequence comparison	2
1.3	Evaluating the significance of the maximum match	3
1.4	Cell values and weighting factors	3
2	Smith Watermann	4
2.1	Introduction	4
2.2	Algorithm	4
3	PAM - a model of evolutionary change in proteins	6
3.1	Accepted point mutation	6
3.2	Mutability of amino acids	6
3.3	Mutation probability matrix for the evolutionary distance of one PAM	6
4	BLOSUM	8
4.1	Introduction	8
4.1.1	Abstrac	8
4.1.2	Introduction	8
4.2	Methods	8
4.2.1	Deriving a frequency table from a data base blocks	8
4.2.2	Computing a logarithm of odds matrix	9
4.2.3	Clustering segments within blocks	9
4.2.4	Constructing blocks data bases	10
4.2.5	Alignments and homology searches	10
4.3	Results	10
4.3.1	Comparison to Dayhoff matrices	10
4.3.2	Performance in multiple alignment of known structures	10
4.3.3	Performance in searching for homology in sequence data banks	10
5	FASTA	11
6	BLAST	12
7	How to BLAST	13

Chapter 1

Needleman Wunsch

1.1 Introduction

Direct comparison of two sequences based on the presence in both of the corresponding amino acids in an identical array is insufficient to establish the full genetic relationship between two proteins. Allowance for gaps multiplies the number of comparisons that can be made but introduces unnecessary and partial comparisons.

1.2 A general method for sequence comparison

The maximum match can be defined as the largest number of amino acids of one protein that can be matched with those of another protein while allowing for all possible deletions. It can be determined by representing in a matrix all possible pair combinations that can be constructed from the amino acid sequences of the protein being compared. So A_j is the j th amino acids of protein A and B_i is the i th amino acids of protein B . A_j are the columns and B_i all the rows of the matrix MAT . Then A_{ij} represent a pair combination with amino acids A_j and B_i . Every possible comparison can be represented by pathway through the matrix. A pathway is signified by a line connecting cells of the array. Complete diagonals contain no gaps. A necessary pathway begins at a cell in the first column of row. Either i or j must increase by only one, while the other may increase by one or more, leading to the next cell in a pathway. This is repeated until i , j or both reach their limiting value. Every partial or unnecessary pathway will be contained in at least one necessary pathway. The values in the matrix are computed as:

$$MAT_{ij} = \max(MAT_{i-1,j-1} + \alpha\delta(A_j, B_i), MAT_{i-j,j} + d, MAT_{i,j-1} + d)$$

Where d is the penalty factor, a number subtracted for every gap made, may be defined as a barrier for allowing the gap. And α can be a function that can represent any theory with the significance of a pair of amino acids. No gap would be allowed in the operation unless the benefit from allowing that gap would exceed the barrier. This method can be expanded for allowing the comparison of n sequences through and n -dimensional matrix. The maximum-match pathway can be obtained by beginning at the terminals of the sequences and proceeding towards the origin, first by adding to the value of each cell possessing indices $i = y - 1$ and or $j = z - 1$. The process is iterated until all cells in the matrix have been operated upon. Each cell in the outer row or column will contain the maximum number of matches that can be obtained by originating any pathway at

that cell and the largest number in that row or column is equal to the maximum match. The cells of the array which contributed to the maximum match may be determined by recording the origin of the number that was added to each cell when the array was operated upon.

1.3 Evaluating the significance of the maximum match

To accomplish the estimate of if a result found differs significantly from a match between random sequences two sets of random sequences can be constructed, each one from the set of amino acid composition of each of the proteins. If the value found for the real proteins is significantly different the difference a function of of the sequences alone and not of the composition.

1.4 Cell values and weighting factors

Cells can be weighted in accordance with the maximum number of corresponding bases in codons of the represented amino acids, to make the comparison more accurate. Also the significance of the maximum match is enhanced by decreasing the weight of those pathways containing a large number of gaps through the penalty factor.

Chapter 2

Smith Watermann

2.1 Introduction

The Smith Watermann algorithm extends the one of Needleman and Wunsch to find a pair of segment, one from each of two long sequences, such that there is no other pair of segments with greater similarity. This similarity measure allows for deletion and insertion of arbitrary length.

2.2 Algorithm

Consider two molecular sequences $A = a_1a_2 \dots a_n$ and $B = b_1b_2 \dots b_m$. Given a similarity $s(a, b)$ of elements of the sequence and W_k the weight of deletions of length k , to find pairs of segments with high degrees of similarity, a matrix H is set up such that:

$$H_{k0} = H_{0l} = 0 \quad \forall 0 \leq k \leq n \wedge 0 \leq l \leq m$$

H_{ij} is the maximum similarity of two segments ending in a_i and b_j . H_{ij} is computed such that:

$$H_{ij} = \max(H_{i-1,j-1} + s(a_i, b_j), \max_{k \geq 1}(H_{i-k,j} - W_k), \max_{l \geq 1}(H_{i,j-l} - W_l), 0)$$

With $1 \leq i \leq n$ and $1 \leq j \leq m$. So H_{ij} is:

- $H_{i-1,j-1} + s(a_i, b_j)$ If a_i and b_j are associated.
- $H_{i-k,j} - W_k$ if a_i is at the end of a deletion of length k .
- $H_{i,j-l} - W_l$ if b_j is at the end of a deletion of length l .
- 0 is used to prevent calculated negative similarity, indicating no similarity up to a_i and b_j .

The pair of segments with maximum similarity is found first by locating the maximum element of H . The other elements are determined sequentially with a traceback procedure ending with an element of H equal to 0. This procedure other than identifying the elements produces their alignment. The parameters where:

$$s(a_i, b_j) = \begin{cases} 1 & a_i = b_j \\ 0 & a_i \neq b_j \end{cases}$$

And

$$W_k = \frac{1}{3}k$$

This algorithm in particular allows for the alignment of sequences that contained both mismatches and internal deletions.

Chapter 3

PAM - a model of evolutionary change in proteins

3.1 Accepted point mutation

An accepted point mutation in a protein is a replacement of one amino acid by another accepted by natural selection. To be accepted the new amino acid usually must function in a similar way to the old one. The likelihood of amino acid X replacing Y is the same as Y replacing X is assumed the same because it depends on the product of the frequencies of occurrence and on their chemical and physical similarity. SO evolution is a vibration around given frequencies.

3.2 Mutability of amino acids

The relative mutability is the probability that each amino acid will change in a given small evolutionary interval. To compute it the number of times that each amino acid has changed in an interval and the number of times that it has occurred in the sequences and thus has been subject to mutation. In calculating this number in for many trees, with sequences of different lengths and evolutionary distance is combined in relative mutabilities. Each relative mutability is a ration between the total number of changes on all branches of all protein trees considered and the total exposure of the amino acid to mutation, or the sum for all branches of its local frequency of occurrence multiplied by the total number of mutation per 100 links of that branch.

3.3 Mutation probability matrix for the evolutionary distance of one PAM

The individual kind of mutations and the relative mutability of the amino acids can be combined into a mutation probability matrix in which M_{ij} gives the probability that the amino acid in column j will be replaced by the amino acid in row i after a given evolutionary period. The non-diagonal elements are computed as:

$$M_{ij} = \frac{\lambda m_j A_{ij}}{\sum_i A_{ij}}$$

3.3. MUTATION PROBABILITY MATRIX FOR THE EVOLUTIONARY DISTANCE OF ONE PAM

Where:

- A_{ij} is an element of the accepted point mutation matrix.
- λ is a proportionality constant.
- m_j is the mutability of the j th amino acid.

The diagonal elements are:

$$M_{jj} = 1 - \lambda m_j$$

The sum of all elements of each column or row is 1. The probability of observing a change is proportional to the mutability of the amino acid in that place. The same proportionality constant λ holds for all columns. $100 \cdot \sum f_i M_{ij}$ gives the number of amino acids that will remain unchanged when a protein 100 links long of average composition is exposed to the evolutionary change. This depends on λ . To change the evolutionary period the matrix is multiplied by itself n times, and with $n \rightarrow \infty$ each column approaches the asymptotic amino acid composition. The percentage of amino acids that will be observed to change on the average in the interval are found by:

$$100(1 - \sum_i f_i M_{ij})$$

The term of the relatedness odds matrix are:

$$R_{ij} = \frac{M_{ij}}{f_i}$$

Or the mutation probability of a change over the probability that i will occur in the second sequence by chance. Each term of this matrix gives the probability of replacement per occurrence of i per occurrence of j . Amino acids with score > 1 replace each other more often as alternative in related sequences than in random sequences.

Chapter 4

BLOSUM

4.1 Introduction

4.1.1 Abstrac

The most used substitution matrix with scores for all possible exchanges of one amino acid is based on the Dayhoff model of evolutionary rates. This work proposes a different approach from blocks of aligned sequence segments, leading to improvement in alignments and in searches.

4.1.2 Introduction

Sequence alignment of proteins provide important insights into gene and protein function. There are different types of alignments:

- Global alignments of pairs related by common ancestry.
- Multiple alignments of members of protein families.
- Alignments made during data base searches to detect homology.

In each case a scoring scheme for estimating similarity is used. The mutation data matrices of Dayhoff are considered the default in alignment and searching programs. However the most common task is the detection of much more distant relationships, which are only inferred from substitution rates in the Dayhoff model.

4.2 Methods

4.2.1 Deriving a frequency table from a data base blocks

Local alignments can be represented as ungapped blocks with each row a different protein segment and each column an aligned residue position. Protomat can be used for obtaining a set of blocks given a group of related proteins. Considering a single block representing a conserved region of a protein family, for a new member a set of score for matches and mismatches that best favours a correct alignment with each of the other segments in the block. For each column of the block, the number of matches and mismatches of each type between the new sequence and every other are

counted. This is repeated for all columns of all blocks and the summed results are stored in a table. The new sequence is then added to the group. For another new sequence the procedure is repeated. Doing so the table in the end will consist of counts of all possible amino acid pairs in a column. Counts of all possible pairs in each column of each block in the data base are summed. If a block has a width w amino acids and a depth of s sequences, contributes $ws\frac{(s-1)}{2}$ amino acids pairs to the count. This results in a frequency table listing the number of times each of the different amino acid pairs occurs among the blocks. This table is used to compute the odds ratio matrix between the observed frequencies and the expected one.

4.2.2 Computing a logarithm of odds matrix

Let the total number of amino acid pairs i, j for each entry of the frequency table be f_{ij} . Then the observed probability of occurrence for each i, j pair is:

$$q_{ij} = \frac{f_{ij}}{\sum_{i=1}^{20} \sum_{j=1}^i f_{iJ}}$$

The expected probability of occurrence for each pair is computed following the occurrence of the i th amino acid in a i, j pair:

$$p_i = q_{ii} + \sum_{j \neq i} \frac{q_{ij}}{2}$$

The expected probability of occurrence e_{ij} for each i, j pair is then $p_i p_j$ for $i = j$ and $p_i p_j + p_j p_i = 2p_i p_j$ for $i \neq j$. An odds ratio matrix is computed such that each entry is $\frac{q_{ij}}{e_{ij}}$. A lod (logarithm of odds) is then calculated in bit units as $s = \log_2 \left(\frac{q_{ij}}{e_{ij}} \right)$. If the observed frequencies are as expected $s_{ij} = 0$, if less $s_{ij} < 0$ or if more $s_{ij} > 0$ / Lod ratios are multiplied by a 2 scaling factor and rounded to the nearest integer value to produce the block substitution matrix BLOSUM. The relative entropy or the average mutual information per amino acid pair is computed:

$$H = \sum_{i=1}^{20} \sum_{j=1}^i -j = 1^i q_{ij} \times s_{ij}$$

And the expected score in bit units:

$$E = \sum_{i=1}^{20} \sum_{j=1}^i p_i \times p_j \times s_{ij}$$

4.2.3 Clustering segments within blocks

To reduce multiple contributions to amino acid pair frequencies from the most closely related members of a family, sequences are clustered within blocks and each cluster is weighted as a single sequence in counting pairs. A clustering percentage in which sequence segments identical for at least that value are clustered is used. The contribution of closely related segments to the frequency table is reduced. Varying the clustering percentage leads to a family of matrices.

4.2.4 Constructing blocks data bases

Protomat was used to build the block data base from 504 non redundant groups of proteins. Protomat uses an amino acid substitution matrix at two phases. The motif program uses a substitution matrix when individual sequences are aligned against sequence segments containing a candidate motif. The Motomat program uses a substitution matrix when a block is extended to either side of the motif region. A unitary substitution matrix was used, next blosum was applied to the blocks and the resulting matrix was used to construct a second database. Then blosum was applied to the second data base and the resulting matrix was used to construct version of blocks data base. The blosum program was applied to the final data base using a series of clustering percentages to obtain a family of lod substitution matrices. Similar matrices were obtained using PAM.

4.2.5 Alignments and homology searches

Global multiple alignments were done using Multalin and to provide a positive matrix each entry was increased by 8. Pearson's RDF2 program was used to evaluate local pairwise alignments. Homology searches were done using Blastp, Fasta and Ssearch. The Swiss-Prot data bank was searched. The first of the longest and most distance sequences in the group was used as a searching query, inferring distance from Protomat results. The results of each search were analysed by considering the sequences used by Protomat to construct blocks for the protein group as the true positive sequences. The number of misses is the nubmer of true positive sequences not reported for blastp. For fasta and ssearch the empirical evaluation criteria of Pearson was used: the number of misses is the number of true positive scores which ranked below the 99.5 percentile of the true negative scores.

4.3 Results

4.3.1 Comparison to Dayhoff matrices

The blosum series based on percent clustering can be compared to the Dayhoff matrices using a measure of average information per residue pair in bit units or relative entropy, which is 0 when the target distribution of pair frequencies is the same as the expected one and increases as they become more different. In the Dayhoff matrices relative entropy decreased when increasing PAM, while in blosum it increased linearly when increasing clustering percentage. Matrices with comparable relative entropy have similar expected scores.

4.3.2 Performance in multiple alignment of known structures

To test sequence alignment accuracy the results obtained to alignments seen in three dimensional structures was used. A simultaneous multiple alignment program MSA was used as a standard. Multalin, a hierarchical multiple alignment program performed worse using PAM matrices, while using blosum better. Therefore blosum matrices produced accurate global alignments of these sequences.

4.3.3 Performance in searching for homology in sequence data banks

The number of misses when searching was averaged in order to assess the overall searching performance of different matrices using blast, fasta and smith-waterman. Blosum matrices performed better than the best PAM matrix. BLOSUM improved detection of members od this family. The test was repeated with similar result of PAM for other protein families.

Chapter 5

FASTA

Chapter 6

BLAST

Chapter 7

How to BLAST