

# Assignment5

May 25, 2020

## 1 Character-based convolutional encoder for NMT

(a) No, CNN architectures depends on the length of input since its filters will scan through the input, then the size of the output of the conv layer would depend on the length of input. And it affects the next layer's parameter shape.

(b) Padding size will be 1. Then  $x'_{reshaped} \in \mathbb{R}^{m_{word}+2+(2*padding)}$ . The minimum sentence size is 1. In this case we can scan it through at least 1 window only when there are 4 positions around it on each side. Considering that there are 2 tokens for start and for end, then the padding size should be  $4 - 2 - 1 = 1$ .

(c) It makes the model more flexible, which could both prefer lower level information( $x_{conv\_out}$ ) and higher level information( $x_{proj}$ ). For initialization, we should choose positive  $b_{gate}$ , which will lead to a larger value of  $x_{gate}$  in order to let the model prefer to directly use the  $x_{conv\_out}$  thus training easier.

(d) Pros of transformer: 1) Faster training by getting rid of recurrent structure. 2) Could capture higher level interaction between distant words.

(f) For verifying the correctness of the highway model, we tried a random  $w_{proj}$  and  $w_{gate}$ :

$$[[-0.0484, -0.0198, -0.2165], [0.1328, -0.3303, -0.1018], [0.2238, 0.5419, 0.1360]]$$

$$[[0.2357, 0.0661, 0.2262], [0.5599, -0.2397, -0.0204], [0.1328, -0.0038, -0.0553]]$$

Bias  $b_{proj}$  and  $b_{gate}$ :  $[-0.1959, 0.0554, -0.0647]$  and  $[-0.5109, -0.4980, -0.5195]$  With input:

$$[[0.1, 0.2, 0.3], [0.4, 0.5, 0.6]]$$

The output is:

$$[[0.0600, 0.1243, 0.2281], [0.2247, 0.3001, 0.5160]]$$

We copied the parameters into the *test\_highway.py* file and computed the formula manually, and we got the same output.

(g) We choose to use a simple input: *torch.ones*(3, 2, 10) which means the batch size is 3, padded word length is 2(for each word it only has 2 chars), and char embedding size is 10. The CNN output channel is set to be 3. Since the convolutional filter size is 5 and padding length is 1, the convolutional output is  $\mathbb{R}^{3,3,(10+2*1-(5-1))} = \mathbb{R}^{3,3,8}$ . So we should set max pooling layer's window size to be 8. After the maxpooling layer the final output is  $\mathbb{R}^{3,3,1}$ . And we checked the intermediate output are matching the calculated value.

(j) BLEU score: 99.66941696422141

## 2 Character-based LSTM decoder for NMT

(d) 99.66941696422141

(e)

## 3 Analyzing NMT Systems

(a) "traduzco": 43988

"traduce": 7844

"traducir": 4579

Other forms are not showing up. For word-level NMT, it only learns from the shown 3 forms. If there are the other 3 forms showing up in test set, the model will mark these words as out-of-vocab words. If we use the new character-aware NMT model, since it learns the word embedding from character level, it may capture the information of these words since they share very similar character distributions.

(b) i. financial: economic, business, markets, banking, finance

neuron: neural, cells, brain, nervous, receptors

Francisco: san, jose, diego, antonio, california

naturally: occurring, readily, humans, arise, easily

ii. For character-level embedding:

financial: vertical, informal, physical, electrical, cultural

neuron: Newton, George, NBA, Delhi, person

Francisco: France, platform, tissue, Foundation, microphone

naturally: practically, typically, significantly, mentally, gradually

iii. For word level embedding, the similarity is semantic and syntactic similarity. For character level embedding, the similarity is more like word-formation similarity.

expectation: norms, assumptions, policies, inflation, confidence