

Impulsive noise in UWB systems and its suppression

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Abstract In this paper we investigate the robustness of mixed impulse radio and UWB-OFDM systems under impulsive noise being typical in UWB multiuser environments. We propose a new receiver structure suppressing iteratively the impulse noise without use of an adaptive clipper. Hence, the delicate problem of tracking a (sub)optimal threshold is avoided. The performance of this structure in comparison with a conventional UWB-OFDM receiver is illustrated.

1. Introduction

Several appealing features, such as high data rates, spectrum reusability, and license-free transmission make UWB systems attractive not only for research but also for future wireless indoor communication systems. In the past decade, a multitude of contributions on UWB have appeared. Beside the impulse radio technology described e.g. in [1, 2], a multi-carrier system based on orthogonal frequency division multiplexing (UWB-OFDM) is also under intensive discussion for a standardized UWB communication system [3, 4].

In contrast to carrier based wireless systems, where different users can be separated by assigning different frequency slots, an UWB system will always be faced with multi-user interference (MUI), which is similar to a CDMA system. This presence of multiple simultaneous users adversely affects the overall performance. The MUI is often considered

as an additional additive Gaussian noise component, which is summarized under the key word “Standard Gaussian Assumption,” or SGA for short. This assumption has been investigated in detail with special focus on Time-Hopping (TH) Pulse Position Modulation (PPM) based UWB systems. In [5–13], it has been shown that the SGA results in an over-optimistic approximation of the bit error ratio (BER). Recently, the authors have analytically shown [14, 15] the impulsive behavior of the MUI by determining its probability density function (pdf). It was found that the distribution of the MUI is approximated well by the so-called *Middleton class A model (MCA)*, which is often used for modelling impulsive noise [16–18]. The quality of this closed-form approximation was proven by analytic means and further substantiated by simulations. This proven impulsive behavior is described shortly in the first section followed by an introduction of the MCA model.

Taking into further consideration that IR-UWB systems as well as OFDM (Orthogonal Frequency Division Multiplex) based UWB systems will likely coexist in the future commercial mass market, their mutual influence is worth being studied in further detail. Due to the coexistence of both systems, the impulsiveness of the IR-UWB-MUI adversely affects the performance of the conventional UWB-OFDM receiver as shown in Section 4.

Traditionally, impulsive noise suppression in multi-carrier systems are based on signal processing in time domain before demodulation using a clipper [19] and the system performance suffers from it. Another algorithm allowing a satisfactory level of performance is also suggested in [19] suppressing impulsive noise in frequency domain. However, this receiver operates on the complete received vector. Considering the fact that OFDM-reception requires subsets of the receive signal, this structure suppressing impulsive

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noise is less suitable for UWB-OFDM. Furthermore, this receiver requires a continuous adaption of the threshold value to the noise level.

In this contribution, the major motivation is to avoid the use of a clipper because of a typically *time variant* signal-to-noise ratio (SNR), which requires a continuous adaption of a delicate threshold value. The Sections 5 and 6 describe two new iterative receiver structures suppressing impulsive noise to a large extent. Those receivers are called *UWB-OFDM-IN* (*UWB-OFDM Receiver for Impulsive Noise*) and *UWB-OFDM-IIN* (*Iterative UWB-OFDM Receiver for Impulsive Noise*), respectively. After studying their performance in the case of a deterministic two-path channel, the impact of the IEEE channel model is closer investigated by numerical simulations. A conclusion finishes this contribution.

2. Impulsive behavior of the MUI resulting from IR-UWB systems

Assuming that N_u users transmit their binary data $d^{(u)}(k)$, $u = 1, \dots, N_u$, simultaneously over N_u time-invariant single-path channels with delays $\tau^{(u)}$, $u = 1, \dots, N_u$, and attenuations $\alpha^{(u)}$, $u = 1, \dots, N_u$, then the received signal $r(t)$ can be written as

$$r(t) = \underbrace{\sum_{l=-\infty}^{+\infty} \sum_{j=lN_s}^{(l+1)N_s-1} \alpha^{(1)} p_T(t - \tau^{(1)} - jT_f - c_j^{(1)}T_c - \delta d_l^{(1)})}_{\text{desired signal}} + \underbrace{\sum_{u=2}^{N_u} \sum_{l=-\infty}^{+\infty} \sum_{j=lN_s}^{(l+1)N_s-1} \alpha^{(u)} p_T(t - \tau^{(u)} - jT_f - c_j^{(u)}T_c - \delta d_l^{(u)})}_{\text{multiuser interference } n_{\text{MUI}}(t)} + n_G(t), \quad (1)$$

where $p_T(t)$ represents the transmitted pulse shape and is often modelled according to [20] as the normalized second Gaussian derivative

$$p_T(t) = K_p \left[1 - 4\pi \left(\frac{t}{\tau_p} \right)^2 \right] \exp \left(-2\pi \left(\frac{t}{\tau_p} \right)^2 \right). \quad (2)$$

K_p is a normalization factor yielding a unit-energy pulse. N_s is the number of pulses used to transmit a single information bit, T_f is the duration of one frame. The bit duration is $T_b = N_s T_f$, T_c is the chip duration, $c_j^{(u)}$ represents the random TH code of the u -th user, δ describes the time shift associated with the PPM, and τ_p is the time normalization factor determining the width of the transmit pulse. All parameters are described in detail in [1, 2].

The value of the output variable Z of an over-all correlator at the receiver side used by the TH-PPM-UWB system de-

pends on the position of the interfering signal. By studying its behavior, an analytical expression of the MUI-pdf is given by [14, 15]

$$f_X^{\text{MUI}}(x) = \sum_{\mu=0}^n \frac{A^\mu e^{-A}}{\mu! \sqrt{2\pi\sigma_\mu^2}} e^{-\frac{x^2}{2\sigma_\mu^2}}, \quad (3)$$

which describes the mentioned MCA noise model [16–18]. A is the so-called *impulsiveness parameter*, and it depends on all system parameters given above (see [14, 15] for the exact relation and the detailed discussion of all parameters).

For a better understanding of the exact behavior of the MUI, a short description of the MCA model is given in the following.

3. Middleton class a noise

3.1. Definitions and properties

The MCA model [16–18] has been found to provide good fits to a variety of noise and interference measurements [16, 21]. Actually, the MCA noise model is used to describe *narrowband* noise as its *envelope*.

The pdf $f_{\text{MCA}}(x)$ of this noise model is defined as an “infinite weighted sum of Gaussian densities with decreasing weights for GAUSSIAN densities with increasing variances.” $f_{\text{MCA}}(x)$ is given by (4) containing a GAUSSIAN component and an independent additive interference component originating from a POISSON mechanism

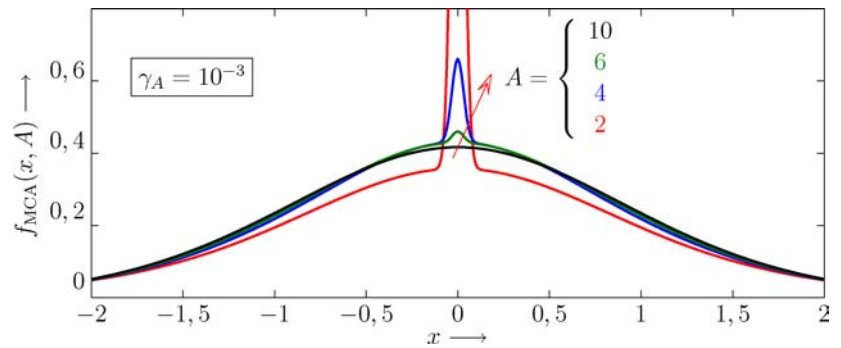
$$f_{\text{MCA}}(x) = \sum_{m=0}^{\infty} \frac{e^{-A} A^m}{m!} \frac{1}{\sqrt{2\pi\sigma_m^2}} e^{-\frac{x^2}{2\sigma_m^2}}. \quad (4)$$

The major appeal of this model is that its parameters can be directly physically interpreted. The parameter A is called the *impulsive index* and describes the *impulsiveness* of the noise. A small value of A implies a highly impulsive interference (see Fig. 1). The variances σ_m^2 are functions of the parameter γ_A , defined as the ratio of the power in the Gaussian noise component (σ_G^2) to the power of the interfering Poisson process (σ_P^2) [22]

$$\sigma_m^2 = \frac{\frac{m}{A} + \gamma_A}{1 + \gamma_A} \quad \text{with} \quad \gamma_A = \frac{\sigma_G^2}{\sigma_P^2}. \quad (5)$$

The pdf is illustrated in Fig. 1 for different values of A . In Fig. 2, the impact of γ_A is illustrated.

Fig. 1 Middleton Class A model (A variable, $\gamma_A = 10^{-3}$)



The impact of the parameter A on the behavior of the pdf can be summarized as follows:

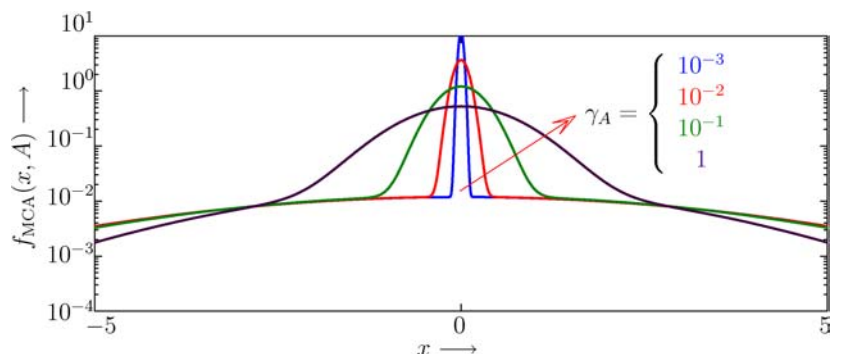
- For large A , the variance σ_m^2 does not depend on m . According to Eq. (5) we get

$$\lim_{A \rightarrow \infty} \sigma_m^2 = \lim_{A \rightarrow \infty} \frac{\frac{m}{A} + \gamma_A}{1 + \gamma_A} = \frac{\gamma_A}{1 + \gamma_A} \neq f(m).$$

Consequently, the pdf $f_{\text{MCA}}(x)$ approaches the Gaussian density presenting the limiting case of the MCA model and Eq. (4) reduces to

$$\begin{aligned} \lim_{A \rightarrow \infty} f_{\text{MCA}}(x) &= \lim_{A \rightarrow \infty} \sum_{m=0}^{\infty} \frac{e^{-A} A^m}{m!} \frac{e^{-\frac{x^2}{2\sigma_m^2}}}{\sqrt{2\pi\sigma_m^2}} \\ &= \lim_{A \rightarrow \infty} \frac{e^{-A}}{\sqrt{2\pi \frac{\gamma_A}{1+\gamma_A}}} e^{-\frac{x^2}{2 \frac{\gamma_A}{1+\gamma_A}}} \sum_{m=0}^{\infty} \frac{A^m}{m!} \\ &= \lim_{A \rightarrow \infty} \underbrace{e^A e^{-A}}_{=1} \frac{1}{\sqrt{2\pi \frac{\gamma_A}{1+\gamma_A}}} e^{-\frac{x^2}{2 \frac{\gamma_A}{1+\gamma_A}}} \\ &= \frac{1}{\sqrt{2\pi \frac{\gamma_A}{1+\gamma_A}}} e^{-\frac{x^2}{2 \frac{\gamma_A}{1+\gamma_A}}}. \end{aligned}$$

Fig. 2 Middleton Class A model (γ_A variable, $A = 10^{-1}$)



- For small A , the MCA pdf becomes more impulsive due to larger “tails”. Hence, only a small number of terms in Eq. (4) remains, since the factor $p_m = \frac{A^m}{m!}$ tends to zero for small A and $m \geq 3$. It can be shown [23] that the pdf can be approximated by piecewise defined function

$$f_{\text{sMCA}}(x, A) = \max_{m=0,1,2} \left[\frac{e^{-A} A^m}{m! \sqrt{2\pi\sigma_m^2}} e^{-\frac{x^2}{2\sigma_m^2}} \right], \quad (6)$$

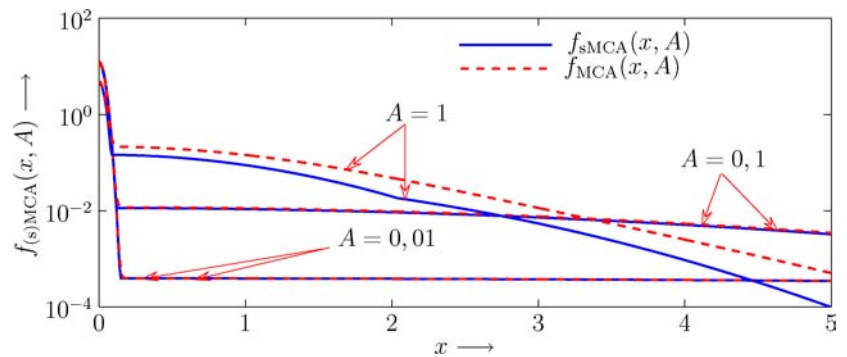
where the notation “sMCA” stands for *simplified* MCA model. It follows [23, 24]

$$f_{\text{sMCA}}(x, A) = \begin{cases} \frac{e^{-A}}{\sqrt{2\pi\sigma_0^2}} e^{-\frac{x^2}{2\sigma_0^2}} & \text{for } 0 \leq |x| < a = \sqrt{\frac{2\sigma_0^2\sigma_1^2}{\sigma_0^2 - \sigma_1^2} \ln\left(\frac{\sigma_0}{\sigma_1} A\right)} \\ \frac{e^{-A} A}{\sqrt{2\pi\sigma_1^2}} e^{-\frac{x^2}{2\sigma_1^2}} & \text{for } a \leq |x| < b = \sqrt{\frac{2\sigma_1^2\sigma_2^2}{\sigma_1^2 - \sigma_2^2} \ln\left(\frac{\sigma_1}{2\sigma_2} A\right)} \\ \frac{e^{-A} A^2}{2\sqrt{4\pi\sigma_2^2}} e^{-\frac{x^2}{2\sigma_2^2}} & \text{for } b \leq |x| \end{cases}$$

with the variances $\sigma_0^2 = \frac{\gamma_A}{1+\gamma_A}$, $\sigma_1^2 = \frac{1/A+\gamma_A}{1+\gamma_A}$ and $\sigma_2^2 = \frac{2/A+\gamma_A}{1+\gamma_A}$.

The quality of approximation for small A is confirmed by the curves shown in Fig. 3.

Fig. 3 Simplified pdf of the MCA model ($\gamma_A = 10^{-3}$)



3.2. Noise consideration

In the following we neglect for simplicity the thermal noise mainly because the MCA model already contains a Gaussian noise component as mentioned above. Moreover, both discussed receiver structures can be compared by signal-to-interference ratio (SIR) on behalf of signal to interference plus noise ratio only. Note that the definition of E_b/N_0 remains the same as in case of pure additive Gaussian noise, since the variance of the MCA is finite.¹

4. Impact of additive impulsive noise

4.1. General consideration

The impact of impulsive noise can be studied by comparing the performance of an AWGN- with that one of an *AWIN-channel* (additive white impulsive noise) in terms of the BER. In the first case we get

$$\text{BER}_{\text{AWGN}} = \frac{1}{2} \text{erfc} \left(\sqrt{\frac{E_b}{N_0}} \right).$$

Considering an AWIN-channel with additive impulsive noise described by the MCA model we obtain [14]

$$\text{BER}_{\text{AWIN}} = \frac{1}{2} \sum_{m=0}^{+\infty} \frac{e^{-A} A^m}{m!} \text{erfc} \left(\sqrt{\frac{A\gamma_A + A E_b}{A\gamma_A + m N_0}} \right).$$

The parameters used here are as defined in the previous section. The behavior of both equations, is illustrated in Fig. 4 for different impulsiveness parameters.

According to the impulsiveness of the additive noise, the BER_{AWIN} is already for small SNR values lower than BER_{AWGN} . Furthermore, it has a lower decay for higher SNR values. This behavior results directly from the properties of

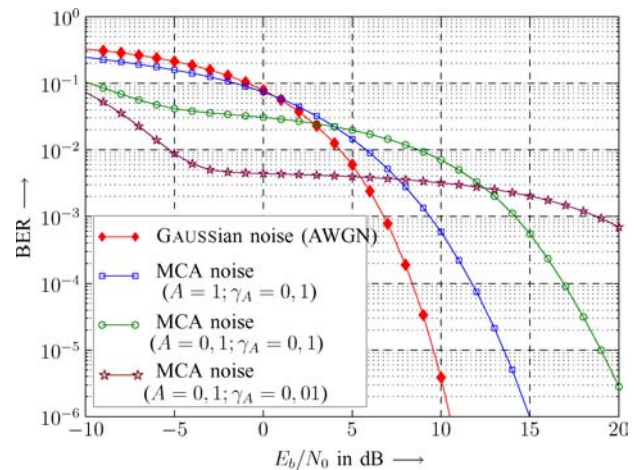


Fig. 4 BER in case of an AWGN- and an AWIN-channel

impulsive noise which is characterized by a certain number of “impulses” with higher amplitudes. This number depends directly from the impulsiveness of the noise: the higher this impulsiveness, the higher are the amplitudes of the impulses and the smaller is their number. Therefore, more transmit power is required in order to get a lower BER.

Due to reflections, scattering, and dispersion effects in real-world environments, the channels are not well described by a pure AWGN- or AWIN-model. Hence, for more reliable results the multipath propagation has to be taken adequately into account. This is done in the following section by considering a conventional UWB-OFDM system.

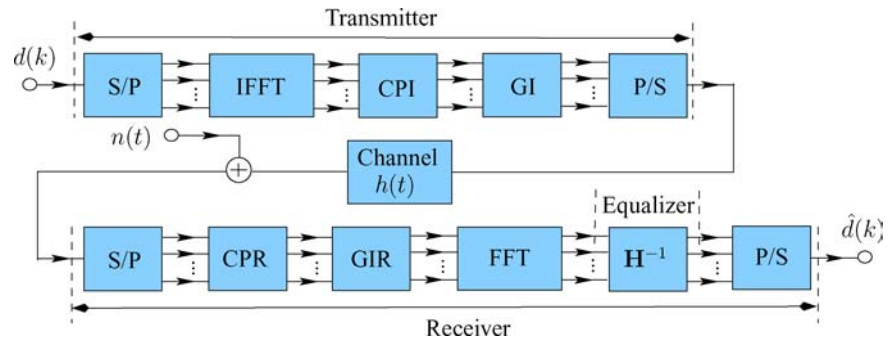
4.2. Conventional UWB-OFDM system

In order to study the robustness of a UWB-OFDM receiver against impulsive noise we briefly revisit the conventional receiver first. Further details are given in [25].

The transceiver structure is illustrated in Fig. 5. Note that for sake of simplicity, the QPSK modulation scheme used in this system is not shown explicitly. According to one of the major proposal for standardization [25], a 128 points FFT (IFFT) is deployed in the receiver (transmitter), respectively.

¹ Some impulsive noise models show infinite variance, then the definition of an SIR etc. becomes rather delicate.

Fig. 5 Conventional UWB-OFDM transceiver; CPI: Cyclic Prefix Insertion, GI: Guard Interval, P/S: Parallel-to-Serial, S/P: Serial-to-Parallel, CPR: Cyclic Prefix Removal, GIR: Guard Interval Removal



The further parameters of an uncoded UWB-OFDM system are summarized in Table 1. The robustness of UWB-OFDM in presence of impulsive noise can be numerically investigated by adequate modelling of the additive noise, i.e. by the MCA model. A deterministic two-path channel with the path loss coefficients $\alpha_0 = 1$ and $\alpha_1 = j0.5$ is applied in the following (see also the discussion in Section 5). The performance of the conventional receiver is shown in Fig. 6 for different types of noise: the BER decreases with increasing impulsiveness of the noise, which is in good agreement with the definition of the MCA distribution tending to be GAUSSIAN for larger

In order to suppress impulsive noise, a clipper is often used in communication systems. However, as mentioned earlier, tracking of the threshold is a rather delicate task. Thus, it is recommended to develop receiver architectures that do not employ a clipper but allow alternative impulsive noise suppression schemes. In the following, we propose a “clipper-free” UWB-OFDM receiver for Impulsive Noise (UWB-OFDM-IN).

5. UWB-OFDM-IN

5.1. Description

The proposed UWB-OFDM-IN is depicted in Fig. 7. Note that although our new receiver requires blockwise processing, we will use a scalar notation for reasons of simplicity.

Table 1 Parameters of an uncoded UWB-OFDM system

Bandwidth	528 MHz
Number of Total Subcarriers	128
Number of Data subcarriers	100
Information Duration	242,4 ns
Length of the CP	60,6 ns
Length of the GI	9,5 ns
Symbol Duration	312,5 ns (242, 4s + 60, 6s + 9, 5s)
Modulation	QPSK
Bitrate	640 Mb/s

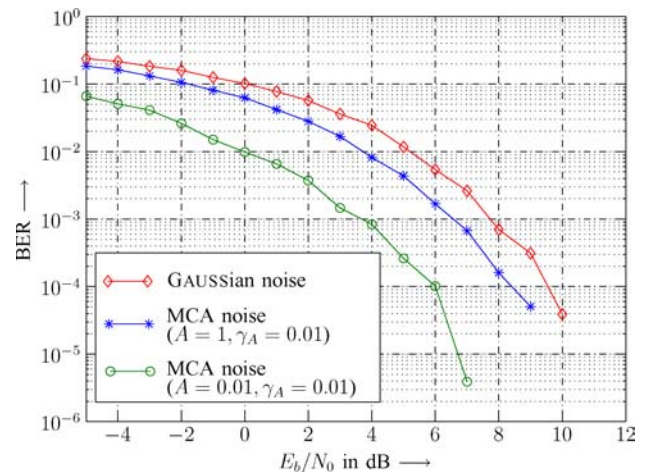


Fig. 6 Performance of a conventional UWB-OFDM receiver in an impulsive environment

The blockwise processing causes some inherent delay and can be realized by a suitable designed memory but does not mean any loss of generality. Our approach works as follows.

Let $d(n) \in [\pm 1, \pm j]$, $n = 0, \dots, N - 1$ be the QPSK-modulated complex user data. The output of the conventional OFDM modulator (Fig. 5, after IFFT), denoted as $s(k)$, is given by

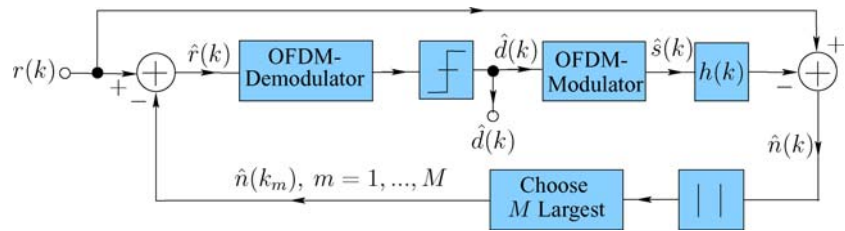
$$s(k) = \frac{1}{N} \sum_{n=0}^{N-1} d(n) e^{j2\pi nk/N}. \quad (8)$$

The received signal² $r(k) = h(k) * s(k) + n(k)$ is demodulated by a conventional UWB-OFDM demodulator (Fig. 5). The output of this receiver is denoted by $\hat{d}(n)$, $n = 0, \dots, N - 1$.

In order to combat the impulsiveness without use of a clipper, the conventional structure is extended by the following processing steps: The (demodulated) data $\hat{d}(k)$ is remodulated by an OFDM modulator (Fig. 7). The resulting signal $h(k) * \hat{s}(k)$ is then subtracted from the original

² CPI, GI, ..., are omitted here to keep the notation simple.

Fig. 7 UWB-OFDM receiver for impulsive noise



received data synchronously. In such a way, we obtain a noise estimate $\hat{n}(k) = r(k) - h(k) * \hat{s}(k)$. The largest M values are then fed back to the input of the demodulator, subtracted synchronously from the received signal, and then demodulated again. The choice of M is investigated later. This subtraction allows the partial replacement of the high noise amplitudes by lower ones yielding the desired noise suppression.

The following investigations are carried out for two cases: For sake of simplicity we start with a simple deterministic two-path transmission channel and the path loss coefficients $\alpha_0 = 1$ and $\alpha_1 = j0.5$. Then, we extend the study to a dense multipath environment [26], which is typical for UWB transmission.

Let us briefly discuss how to mathematically handle the number M of fed back values. If the M largest values are fed back into the demodulator, the signal samples

$$r(k_m) - \hat{n}(k_m) = \hat{r}(k_m), \quad m = 1, \dots, M,$$

are obtained. Thus, the M large noise amplitudes are replaced by lower ones, which realizes the suppression process. The modified received signal can be written as

$$\hat{r}(k) = \begin{cases} \hat{r}(k_m) & \text{for } m = 1, \dots, M \\ r(k) & \text{for } k \neq k_m. \end{cases}$$

5.2. Simulations

The performance of the UWB-OFDM-IN receiver is evaluated by a comparison with a conventional UWB-OFDM receiver. The impulsive noise is generated by the MCA model with the parameters $A = 0.01$ and $\gamma_A = 0.01$ and M is set to $M = 10$. The corresponding simulation results are given in Fig. 8, where also the performance of the conventional UWB-OFDM receiver in a Gaussian environment is shown. As it can be seen, the proposed receiver outperforms the conventional one with a gain of more than 3 dB at a BER of 10^{-3} .

Due to the involved nonlinearities and iterations, the proposed receiver structure can not be mathematically handled in closed form. Hence, we have to investigate the impact of M by simulations only. Observe first, that the higher the impul-

siveness, the larger M . Second, in order to gain more insight, the BER is evaluated for different values of the signal-to-noise ratio as a function of M . In such a way the optimal M can be found. The result of this experiment is given in Fig. 9, where the noise remains unchanged.

It can be observed that the optimal M for the chosen channel lies in the range between 10 and 13. This constant interval is due to the fact that the parameters of the noise are kept unchanged. The independency of M on E_b/N_0 is explained by the fixed parameters of the impulsive

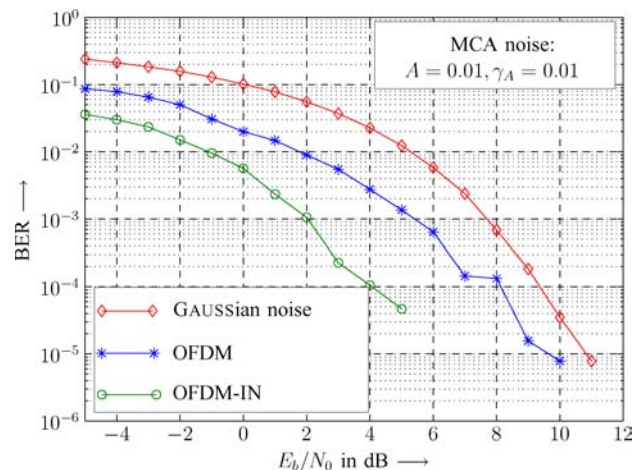


Fig. 8 Performance of UWB-OFDM-IN in comparison with a conventional receiver ($M = 10$)

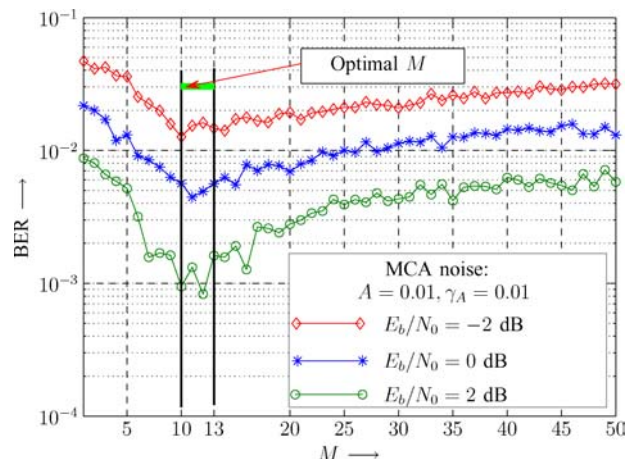


Fig. 9 BER as a function of M

noise implying a constant ratio γ_A between the Gaussian background noise and the impulsive component as described by the MCA model (see Section 2).

In order to determine this range for different noise parameters, the experiment has to be repeated. Further simulations have shown that the optimal range of M is shifted to higher values with increasing the impulsiveness. This means that the number M has to be determined in an adaptive way for a time-variant multiuser scenario and is therefore—similar to the time variant SNR threshold—difficult to analyze and to track. Hence, we propose a further approach. The basic idea is to choose M relatively small and keep it fixed in order to minimize the numerical effort. To achieve the desired suppression, the UWB-OFDM-IN is repeated iteratively. Doing this, we obtain a new receiver with the same basic structure, so we call it *Iterative UWB-OFDM receiver for impulsive noise* (UWB-OFDM-IIN) and is closer investigated in the following.

6. UWB-OFDM-IIN

In order to demonstrate the improvement of this iterative structure, the previous experiment is repeated. The results are shown in Fig. 10 confirming that the UWB-OFDM-IIN receiver outperforms all other structures: In a case of small $M = 5$ and only 3 iterations we note an additional gain of approximately 2 dB in comparison to UWB-OFDM-IN. This results in a total gain of approximately 8 dB compared to the conventional UWB-OFDM receiver under Gaussian noise environments as discussed in the UWB-OFDM proposal for standardization.

The previous results were obtained in case of a simple deterministic two-path channel. For a realistic UWB environment, the standardized channel model [26] characterizes

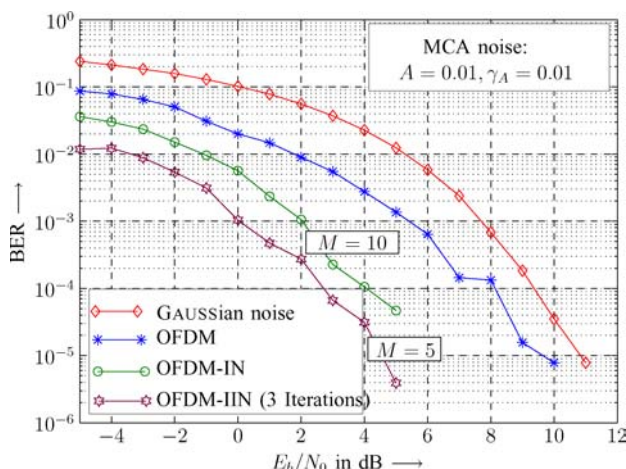


Fig. 10 Performance of the proposed iterative receiver compared with the non-iterative and conventional UWB-OFDM receiver

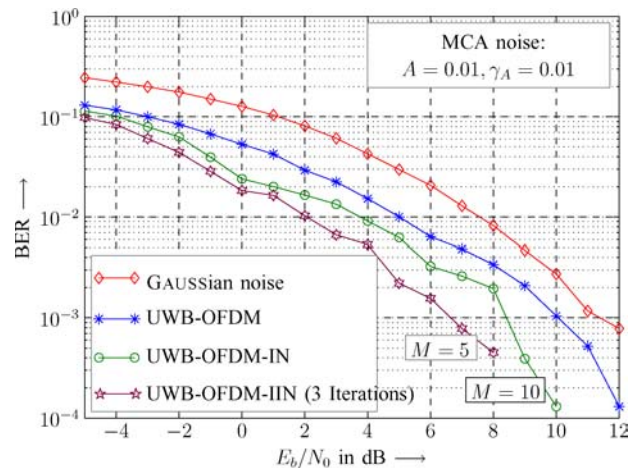


Fig. 11 Performance of the UWB-OFDM-IN and UWB-OFDM-IIN receivers in comparison with a conventional UWB-OFDM receiver under impulsive noise in a typical dense multipath UWB environment (channel Model CM1)

the dense multipath adequately and is therefore taken into account. Its impulse response $h(t)$ is based on the so-called Saleh-Valenzuela model (SV model) [27] and is composed by L clusters containing K rays each [26, 28, 29]

$$h(t) = \sum_{l=1}^L \sum_{k=1}^K \alpha_{k,l} \delta(t - T_l - \tau_{k,l}). \quad (9)$$

The constants $\alpha_{k,l}$ and $\tau_{k,l}$ are the path loss and channel delay coefficients, respectively. Note that 4 models—CM1, CM2, CM3 and CM4—are introduced representing different environments. For example, a dense multipath environment with line of sight (LOS) is characterized by CM1 while CM2 describes the same without LOS (non-line of sight, NLOS).

In this contribution, we show the simulation results obtained for the CM1 channel model. In case of other environments the same principle behavior was noticed. Furthermore, we assume that the channel coefficients needed for the equalization (see Fig. 5) are known at the receiver. This can be achieved by conventional algorithms for channel estimation [30].

Figure 11 shows the performance of the proposed receivers in comparison with the conventional one, where the noise follows again the MCA model. The results confirm a slightly lower total gain of approximately 5 dB in comparison with the conventional UWB-OFDM receiver in a Gaussian environment. In case of impulsive noise, a gain of approximately 3 dB can be achieved.

7. Conclusion

In this contribution, the conventional UWB-OFDM receiver structure has been extended by taking into account the

impulsiveness of impulse radio based multiuser interferences. In conventional receiver structures, a clipper is usually deployed for combating impulsive distortions. Its threshold value has to be continuously adapted to the time variant noise level, which turns out to be rather delicate in practical scenarios. Here, we propose alternative “clipper-free” UWB-OFDM receivers. A gain of approximately 6 dB is observed for an unrealistic two-path channel and approximately of 3 dB even in a dense multipath environment. Future work will cover the impact of interleaving and coding, where the latter is not only of relevance for OFDM but especially for iterative noise suppression schemes.

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