HW07

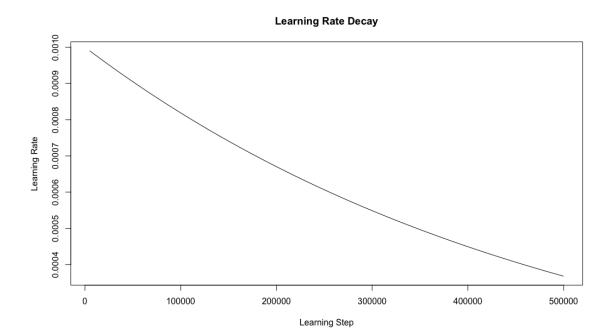
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Problem 2a

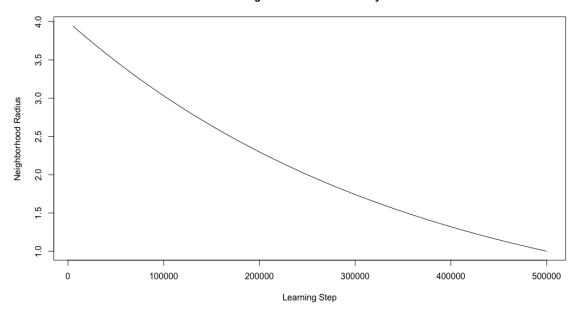
Parameters

- Network Parameters
 - Topology: 64 PEs (8x8 2-dimensional square lattice)
- Learning Parameters
 - Initial Weights: Drawn from U[0, 1]
 - Initial Learning Rate (α_{init}): 0.001
 - Learning Decay Rate: $\alpha_{init} * e^{\frac{-i}{n}}$
 - $*\ i = current\ learning\ step$
 - * n = total number of learning steps
 - Initial Radius (θ_{init}): 4
 - Radius Decay Rate: $\theta_{init} * e^{\frac{-i}{\mu}}$
 - $*\ i = current\ learning\ step$
 - * n = total number of learning steps
 - * $\mu = \frac{n}{log(\theta_{init})}$ Stopping Criteria: 500,000 learning steps
- Input Data
 - 1000 Samples drawn from each (4000 total):
 - * Normal[(7,7), 0.1]
 - * Normal[(0,7), 0.1]
 - * Normal[(7,0), 0.1]
 - * Normal[(0,0), 0.1]

Decay Graphs

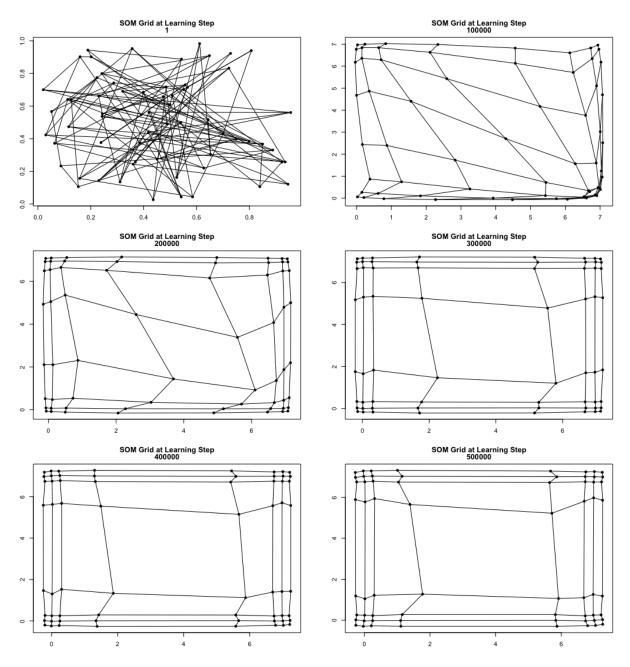


Neighborhood Radius Decay



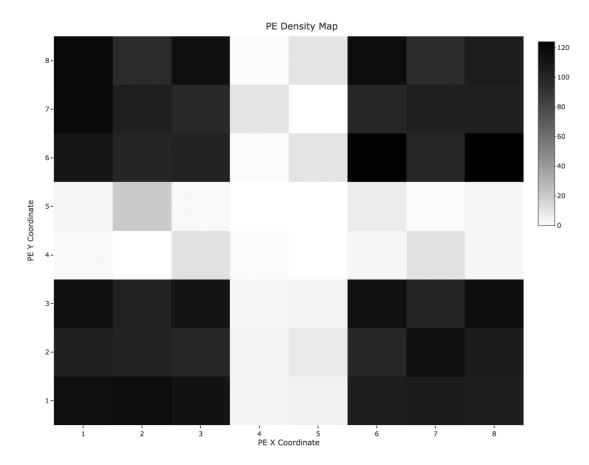
As we can see, we used an exponential decay function to decrease the neighborhood radius and learning rate over time as a function of the numer of learning steps completed; this helped us to obtain very strong network results.

Lattice Learning Graphs



As we can see, we achieved very good results on our data; we can see very clearly at the end of our learning that the prototypes have gravitated to the points (0,0), (0,7), (7,0) and (7,7). We can also see that the "density" of the data at those locations are very similar and almost equal as the prototypes form a symmetry at the four quadrants (this makes sense as our data is equally distributed around those four points). The learning in the first 100,000 steps causes a massive change to the graph showing the prototypes mapped back to the input space, while as the learning continues it changes less drastically, both as a result of our parameter decay and the convergence of the network to our stable solution.

Recalled PE Mapping



Our recalled density mapping shows great results as well. As we can clearly see in this grey-scale heatmap representation of the amount of data points that map to each of our 64 PEs, the clear majority of the data points map to one of the PEs in the corners represented by the prototypes that map to our data epicenters. We have very clear definition in the intermediary PEs showing that very few data points map to them; this is our expected result, since the learning process would have mapped most of our points to the prototypes in the four corners.

Problem 3

2D Weight Vectors Plot

_	_	_	_	/	/	/	/
_	_	_		/	/	/	/
	_	_	_	/	/	/	/
		/	1	/	/	/	/
\	\	\	\	-		_	/
\	\	\	\	/	_	_	_
	\		\	/		_	_
\	\					_	_

Our 2-dimension weight vector plots does an excellent job of showing how well our clustering relations are visualized. Each cell in this 8x8 visualization represents the corresponding PE in our lattice, where the x-axis is the sequential number of dimensions of that PE in in the input space (in this case, two dimension) and the y-axis represents the value at those dimensions. Even without the dead zones marked, we can see very clear and profound delineations between our four clusters.

Problem 4

Blah blah.

Problem 5

Blah blah.

Code Appendix

```
## No scientific notation
options(scipen = 999)
## Load in the necessary libraries
library(plotly)
## Read in the necessary functions
source("~/Documents/Rice_University/Spring_2018/NML502/HW07/build_SOM.R")
source("~/Documents/Rice_University/Spring_2018/NML502/HW07/learn_map.R")
source("~/Documents/Rice_University/Spring_2018/NML502/HW07/plot_SOM.R")
source("~/Documents/Rice_University/Spring_2018/NML502/HW07/recall_PE.R")
##### Problem 2 #####
## Set the SOM lattice dimensions
matrix_dim <- 8
## Set the initial radius of influence on neighbors
radius <- matrix_dim / 2
## Set the number of iterations
num_iter <- 500000</pre>
## Set the initial learning rate
ler_rate <- 0.001</pre>
## Load in the input data
x_1 \leftarrow rnorm(n = 1000, mean = 7, sd = sqrt(0.1))
x_2 \leftarrow rnorm(n = 1000, mean = 7, sd = sqrt(0.1))
x_3 \leftarrow rnorm(n = 1000, mean = 0, sd = sqrt(0.1))
x_4 \leftarrow rnorm(n = 1000, mean = 7, sd = sqrt(0.1))
x_5 \leftarrow rnorm(n = 1000, mean = 7, sd = sqrt(0.1))
x_6 \leftarrow rnorm(n = 1000, mean = 0, sd = sqrt(0.1))
x_7 \leftarrow rnorm(n = 1000, mean = 0, sd = sqrt(0.1))
x_8 \leftarrow rnorm(n = 1000, mean = 0, sd = sqrt(0.1))
```

```
X_1 \leftarrow rbind(x_1, x_2)
X_2 \leftarrow rbind(x_3, x_4)
X_3 \leftarrow rbind(x_5, x_6)
X_4 \leftarrow rbind(x_7, x_8)
X \leftarrow cbind(X_1, X_2, X_3, X_4)
rownames(X) <- NULL</pre>
## Get the size of a typical input
input_size <- length(X[, 1])</pre>
## Build the SOM matrix
SOM_lattice <- build_SOM(input_size, matrix_dim)</pre>
## Perform the learning process
learn_results <- learn_map(SOM_lattice, X, num_iter, radius, ler_rate)</pre>
## Plot the results
par(mfrow = c(3, 2))
par(mar = c(3, 3, 3, 3))
plot_SOM(learn_results)
## Plot the decay of the radius and learning rate
plot(x = param_container[[1]], y = param_container[[2]], type = "l",
     xlab = "Learning Step",
     ylab = "Neighborhood Radius",
     main = "Neighborhood Radius Decay")
plot(x = param_container[[1]], y = param_container[[3]], type = "1",
     xlab = "Learning Step",
     ylab = "Learning Rate",
     main = "Learning Rate Decay")
## Perform the recall
recall_PE(learn_results, X)
##### Problem 3 #####
## Unwrap the final PE prototypes
x_lattice <- learn_results[[length(learn_results)]][[2]][[1]]</pre>
y_lattice <- learn_results[[length(learn_results)]][[2]][[2]]</pre>
```

```
## Scale the PEs down and vectorize for plotting purposes
scale_x <- scale(c(t(x_lattice)))</pre>
scale_y <- scale(c(t(y_lattice)))</pre>
## Generate the frame and add the plots
par(mfrow = c(matrix_dim, matrix_dim))
par(mar = c(0, 0, 0, 0))
for (i in 1:length(x_lattice)) {
    plot(x = 1:input_size,
         y = c(scale_x[i], scale_y[i]),
         type = "1",
         xaxt = "n",
         yaxt = "n",
         ann = FALSE,
         xlim = c(-3, 3),
         ylim = c(-3, 3))
}
## Build the function that learns the mapping
learn_map <- function(SOM_lattice, X, num_iter, radius, ler_rate) {</pre>
    ## Container for the current prototype lattice
    SOM_container <- list()</pre>
    ## Container for learning step, radius and learning rate
    param_container <- list()</pre>
    ler_steps <- numeric()</pre>
    radii <- numeric()</pre>
    ler_rates <- numeric()</pre>
    ## Save the initial parameters
    init_radius <- radius</pre>
    decay_constant <- num_iter / log(init_radius)</pre>
    init_ler_rate <- ler_rate</pre>
    ## Perform the iterated learning
    for (i in 1:num_iter) {
        ## Decay the learning rate
        ler_rate <- init_ler_rate * exp(-i / num_iter)</pre>
```

```
## Decay the radius
radius <- init radius * exp(-i / decay constant)
## Add the parameters states to the container
if (i %% 5000 == 0) {
    ler_steps[length(ler_steps) + 1] <- i</pre>
    radii[length(radii) + 1] <- radius</pre>
    ler_rates[length(ler_rates) + 1] <- ler_rate</pre>
}
## Randomly select an input vector
rand_ind \leftarrow sample(x = 1:dim(X)[2], size = 1)
x <- as.matrix(X[, rand_ind], ncol = 1)</pre>
## Calculate the matrix of differences
matrix diffs <- list()</pre>
matrix_diffs[[1]] <- x[1, ] - SOM_lattice[[2]][[1]]</pre>
matrix_diffs[[2]] \leftarrow x[2, ] - SOM_lattice[[2]][[2]]
## Get the Euclidean distance
mat_a <- matrix_diffs[[1]]^2</pre>
mat_b <- matrix_diffs[[2]]^2</pre>
matrix_dist <- sqrt(mat_a + mat_b)</pre>
## Find the winning PE
min_neuron <- min(matrix_dist)</pre>
min_loc <- as.vector(which(matrix_dist == min(matrix_dist), arr.ind = TRUE))</pre>
## Calculate the Manhattan distance
mat_c <- abs(SOM_lattice[[1]][[1]] - min_loc[1])</pre>
mat_d <- abs(SOM_lattice[[1]][[2]] - min_loc[2])</pre>
man_dist <- mat_c + mat_d</pre>
neighbor_func <- exp(-((man_dist)/(radius))^2)</pre>
## Update the weights
SOM_lattice[[2]][[1]] <- SOM_lattice[[2]][[1]] + ler_rate *</pre>
     (neighbor_func * matrix_diffs[[1]])
SOM_lattice[[2]][[2]] <- SOM_lattice[[2]][[2]] + ler_rate *</pre>
    (neighbor_func * matrix_diffs[[2]])
## Add to the container
if ((i == 1) || (i %in% seq(from = 0, to = num_iter, length.out = 6))) {
```

```
SOM_container[[length(SOM_container) + 1]] <- list(i, SOM_lattice[[2]])</pre>
        }
    }
    param_container[[1]] <- ler_steps</pre>
    param_container[[2]] <- radii</pre>
    param_container[[3]] <- ler_rates</pre>
    param_container <<- param_container</pre>
    return(SOM_container)
}
## Build the function to recall each input
recall_PE <- function(learn_results, X) {</pre>
    ## Unwrap the final SOM lattice
    x_lattice <- learn_results[[length(learn_results)]][[2]][[1]]</pre>
    y_lattice <- learn_results[[length(learn_results)]][[2]][[2]]</pre>
    ## Container for which PE the input maps to
    neuron_map <- matrix(0, ncol = dim(x_lattice)[1], nrow = dim(x_lattice)[1])</pre>
    colnames(neuron_map) <- 1:dim(x_lattice)[1]</pre>
    rownames(neuron_map) <- 1:dim(x_lattice)[1]</pre>
    ## Loop through the input data for recall
    for (i in 1:dim(X)[2]) {
        ## Get the current input vector
        x \leftarrow matrix(X[, i], ncol = 1)
        ## Calculate the matrix of differences
        matrix_diffs <- list()</pre>
        matrix_diffs[[1]] <- x[1, ] - x_lattice</pre>
        matrix_diffs[[2]] <- x[2, ] - y_lattice</pre>
        ## Get the Euclidean distance
        mat_a <- matrix_diffs[[1]]^2</pre>
        mat_b <- matrix_diffs[[2]]^2</pre>
        matrix_dist <- sqrt(mat_a + mat_b)</pre>
        ## Find the winning PE
```

```
min_neuron <- min(matrix_dist)</pre>
        min_loc <- as.vector(which(matrix_dist == min(matrix_dist), arr.ind = TRUE))</pre>
        ## Add the location to the neuron map
        if (neuron_map[min_loc[1], min_loc[2]] == 0) {
            neuron map[min loc[1], min loc[2]] <- 1</pre>
        } else {
            neuron_map[min_loc[1], min_loc[2]] <- neuron_map[min_loc[1], min_loc[2]] + 1</pre>
        }
    }
    s <- as.character(1:matrix_dim)</pre>
    temp_vec <- as.vector(neuron_map)</pre>
    temp_mat <- matrix(temp_vec, nrow = matrix_dim, ncol = matrix_dim)</pre>
    temp_mat <- apply(temp_mat, 2, rev)</pre>
    plot_ly(z = temp_mat, x = ~s, y = ~s, colors = colorRamp(c("white", "black")),
             type = "heatmap") %>%
        layout(title = "PE Density Map", xaxis = list(title = "PE X Coordinate"),
                yaxis = list(title = "PE Y Coordinate"))
}
## Function to build the SOM matrix
build_SOM <- function(input_size, matrix_dim) {</pre>
    ## Container for the matrices of weights
    SOM_weights <- list()</pre>
    ## Container for the indices
    SOM_indices <- list()</pre>
    ## Build the list of weight indices
    SOM_indices[[1]] <- matrix(rep(1:matrix_dim, times = matrix_dim),</pre>
                                 nrow = matrix_dim, ncol = matrix_dim)
    SOM_indices[[2]] <- matrix(rep(1:matrix_dim, times = matrix_dim),</pre>
                                 byrow = T, nrow = matrix_dim, ncol = matrix_dim)
    ## Build the list of weights
```

```
for (i in 1:input_size) {
        SOM_weights[[i]] <- matrix(runif(n = matrix_dim^2, min = 0, max = 1),</pre>
                                    ncol = matrix_dim, nrow = matrix_dim)
    }
    ## Return the results
    return(list(SOM_indices, SOM_weights))
}
## Build the plot_SOM function
plot_SOM <- function(learn_results) {</pre>
    ## For loop to iterate over the results
    for (i in 1:length(learn_results)) {
        ## Unwrap the learning step and lattice at each step
        ler_step <- learn_results[[i]][[1]]</pre>
        x_lattice <- as.vector(learn_results[[i]][[2]][[1]])</pre>
        y_lattice <- as.vector(learn_results[[i]][[2]][[2]])</pre>
        ## Build the lattice
        plot_lattice <- cbind(x_lattice, y_lattice)</pre>
        ## Plot the lattice
        plot(plot_lattice, pch = 16, main = c("SOM Grid at Learning Step", ler_step)
             xlab = "", ylab = "")
        ## Add the horizontal neighbor lines
        for (i in 1:dim(plot_lattice)[1]) {
            if (i %% matrix_dim != 0) {
                 segments(plot_lattice[i, 1], plot_lattice[i, 2], plot_lattice[i + 1, 1],
                          plot_lattice[i + 1, 2])
            }
        }
        ## Add the vertical neighbor lines
        for (i in 1:dim(plot_lattice)[1]) {
```