Precise Estimation of the Order of Local Testability of a Deterministic Finite Automaton

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Abstract. A locally testable language L is a language with the property that for some nonnegative integer k, called the order or the level of local testability, whether or not a word u in the language L depends on (1) the prefix and suffix of the word u of length k-1 and (2) the set of intermediate substrings of length k of the word u. For given k the language is called k-testable.

We give necessary and sufficient conditions for the language of an automaton to be k-testable in the terms of the length of paths of a related graph. Some estimations of the upper and of the lower bound of order of testability follow from these results.

We improve the upper bound on the order of testability of locally testable deterministic finite automaton with n states to $\frac{n^2-n}{2}+1$. This bound is the best possible.

We give an answer on the following conjecture of Kim, McNaughton and McCloskey for deterministic finite locally testable automaton with n states: "Is the order of local testability no greater than $\Omega(n^{1.5})$ when the alphabet size is two?"

Our answer is negative. In the case of size two the situation is the same as in general case: the order of local testability is $\Omega(n^2)$.

1 Introduction

The concept of local testability was first introduced by McNaughton and Papert [9] and since then has been extensively investigated from different points of view [1, 3, 4, 6, 8, 11, 12, 14, 15, 16]. This concept is connected with languages, finite automata and semigroups. In [10], local testability is discussed in terms of "diameter-limited perceptrons". Locally testable languages are a generalization of the definite and reverse-definite languages, which can be found, for example, in [2, 13].

In [5] necessary and sufficient conditions for an automaton to be locally testable were found. In [6] the NP-hardness of finding of the order of local testability was proved. The necessary and sufficient conditions of k-testability in the terms of 5-tuple graph were found in [6]. An estimation for the order of local testability for an arbitrary deterministic finite automaton was found first in [5]

and then improved in [6]. The upper bound from [6] is $2n^2 + 1$, where n is the number of states of the automaton.

For the state transition graph Γ of an automaton we consider some subgraphs of the direct product $\Gamma \times \Gamma$. We introduce in this paper sufficient and necessary conditions for the automaton and transition semigroup of the automaton to be k-testable in terms of the length of some paths without loops on these graphs. This gives us some upper and some lower bounds on the order of local testability.

In the case that the state transition graph is strongly connected the sufficient conditions are necessary as well and algorithm of finding of the level of local testability is polynomial and not NP-hard as in the general case [6].

As corollary we receive the precise upper bound on the order of local testability for deterministic finite locally testable reduced automaton with n states. It is equal to $(n^2 - n)/2 + 1$. This result improves the estimations from [5, 6] and finishes investigations in this direction.

In [4, 6] one can find conjecture that in the case of the alphabet two the upper bound on the order of local testability for the deterministic finite locally testable reduced automaton with n states is not greater than $\Omega(n^{1.5})$.

We consider in this paper an example of sequence of deterministic finite automata with n states whose alphabet size is two. It will be proved that the considered automata are locally testable and their order of local testability is $\Omega(n^2)$. So the problem from [4, 6] is solved negatively.

Our example is one between examples of locally testable automata whose order of testability is greater than the number of its states. First such astonishing example of an automaton with 28 states had appeared in [4, 6]. (Note that the order of testability of the considered automaton found in these papers is not correct. It is more greater than 126 [4] or 127 [6]. The conjuncture of the authors that the automaton has the maximal order of testability for automata with 28 states and alphabet size two is not correct too. There exist a deterministic finite 142-testable automaton with 28 states and alphabet size two).

The description of the identities of k-testable semigroup from [14] is used here. The concept of the graph is inspired by the works [4, 5] of Kim, Mc-Naughton and McCloskey. The purely algebraic approach proved to be fruitful [11, 14, 15] and in this paper we use this technique too.

2 Notation and definitions

Let Σ be an alphabet and let Σ^+ denote the free semigroup on Σ . If $w \in \Sigma^+$, let |w| denote the length of w. Let k be a positive integer. Let $i_k(w)[t_k(w)]$ denote the prefix [suffix] of w of length k or w if |w| < k. Let $F_k(w)$ denote the set of factors of w of length k. A language L [a semigroup S] is called k-testable if there is an alphabet Σ [and a surjective morphism $\phi: \Sigma^+ \to S$] such that for all u, $v \in \Sigma^+$, if $i_{k-1}(u) = i_{k-1}(v)$, $i_{k-1}(u) = i_{k-1}(v)$ and $i_k(u) = i_k(v)$, then either both $i_k(u) = i_k(v)$ are in $i_k(u) = i_k(v)$.

This definition follows [1, 4]. In [9] the definition differs by considering prefixes and suffixes of length k.

An automaton is k-testable if the automaton accepts a k-testable language [the syntactic semigroup of the automaton is k-testable].

A language L [a semigroup S, an automaton A] is *locally testable* if it is k-testable for some k.

For local testability the two definitions mentioned above are equivalent [4].

It is known that the set of k-testable semigroups forms a variety of semigroups [7, 15]. Let T_k be the variety of k-testable semigroups.

|S| - the number of elements of the set S.

|d| - the length of the word d in some alphabet.

 S^m - the ideal of the semigroup S containing products of elements of S of length m and greater.

We say that the element a from a semigroup S divides the element b from S if b = dac for some $c, d \in S \cup \emptyset$.

According to the result from [14] T_n has the following basis of identities:

$$\alpha_r: (x_1 \cdots x_r)^{m+1} x_1 \cdots x_p = (x_1 \cdots x_r)^{m+2} x_1 \cdots x_p \tag{1}$$

where
$$r \in \{1, ..., n\}$$
, $p = n - 1 \pmod{r}$, $m = (n - p - 1)/r$, $n = mr + p + 1$,

$$\beta: x_1 \cdots x_{n-1} y x_1 \cdots x_{n-1} z x_1 \cdots x_{n-1} = x_1 \cdots x_{n-1} z x_1 \cdots x_{n-1} y x_1 \cdots x_{n-1}$$
(2)

For instance, $\alpha_1 : x^n = x^{n+1}$. A locally testable semigroup S has only trivial subgroups [1] and so a locally testable semigroup S with n elements satisfies identity α_1 .

A maximal strongly connected component of the graph will be called SCC [4]. Let Γ be the state transition graph of a finite automaton with edges labeled by elements of Σ .

The state transition graph Γ of a finite automaton is called *complete* if for every node $\mathbf{p} \in \Gamma$ and every $\sigma \in \Sigma$ we have $\mathbf{p}\sigma \in \Gamma$. Any state transition graph Γ of a finite automaton may be transformed in complete graph by adding sink state.

The element $e \in \Sigma^+$ ($\in S$) will be called *right unit* of the node $\mathbf{p} \in \Gamma$ if $\mathbf{p}e = \mathbf{p}$.

We shall write $\mathbf{p} \succeq \mathbf{q}$ if the node \mathbf{q} is reachable from the node \mathbf{p} and $\mathbf{p} \succ \mathbf{q}$ if $\mathbf{p} \succeq \mathbf{q}$ and the nodes \mathbf{p}, \mathbf{q} are distinct.

In the case $\mathbf{p} \succeq \mathbf{q}$ and $\mathbf{q} \succeq \mathbf{p}$ we write $\mathbf{p} \sim \mathbf{q}$ (\mathbf{p} and \mathbf{q} belong to one SCC).

We construct now a edge-labeled directed graph $\Gamma\Gamma$ on the nodes (\mathbf{p}, \mathbf{q}) where $\mathbf{p}, \mathbf{q} \in \Gamma$ and $\mathbf{p} \succ \mathbf{q}$. We say $(\mathbf{p}, \mathbf{q}) \to (\mathbf{r}, \mathbf{t})$ iff for some $\sigma \in \Sigma$ we have $\mathbf{p}\sigma = \mathbf{r}$ and $\mathbf{q}\sigma = \mathbf{t}$. The corresponding edge in $\Gamma\Gamma$ will be labeled by σ . The graph $\Gamma\Gamma$ will be called the 2-tuple graph of the automaton.

The path Φ on the 2-tuple graph $\Gamma\Gamma$ will be called SCC-restricted if all components of its nodes belong to one SCC of Γ .

Consider a path $\Phi: (\mathbf{p}_1, \mathbf{q}_1), \ldots, (\mathbf{p}_k, \mathbf{q}_k)$ on the 2-tuple graph for which there exist $\sigma \in \Sigma$ such that $\mathbf{p}_k \sigma \not\succ \mathbf{q}_k \sigma$ and $\mathbf{q}_k \sigma \succ \mathbf{q}_1$ on the graph Γ . Note that all \mathbf{q}_i belong to one SCC of Γ . The path Φ will be called a SCC-semirestricted path.

Consider a path Φ on the graph $\Gamma\Gamma$ with the nodes $(\mathbf{a}_1, \mathbf{b}_1)$, $(\mathbf{a}_2, \mathbf{b}_2)$,..., $(\mathbf{a}_s, \mathbf{b}_s)$ such that there exist a natural number r such that $\mathbf{a}_{i+r} = \mathbf{b}_i$ for all possible natural i and for each j there exist such $\sigma \in \Sigma$ that for all $i \geq 0$ we have $(\mathbf{a}_{j+ri}, \mathbf{b}_{j+ri})\sigma = (\mathbf{a}_{j+ri+1}, \mathbf{b}_{j+ri+1})$. The path Φ will be called r-periodic path.

A path without loops is called *simple*. A path without common nodes with any SCC will be called *strongly simple*.

The length of a path is the number of edges on the path.

3 The graph of the automaton

We present two key lemmas of Kim, McNaughton and McCloskey in the following convenient form:

Lemma 1. [4] Let the nodes **p**, **q** belong to one SCC of the state transition graph of a locally testable deterministic finite automaton.

Then the node (p,q) does not belong to some SCC of the 2-tuple graph $\Gamma\Gamma$ of the automaton.

Lemma 2. ([5], Lemma 4) Let the node (\mathbf{p}, \mathbf{q}) belong to some SCC of the 2-tuple graph $\Gamma\Gamma$ of a locally testable deterministic finite automaton and let s be an arbitrary element of the transition semigroup of the automaton.

Then $ps \succeq q$ is valid iff $qs \succeq q$ on the state transition graph of the automaton.

Both these lemmas give us necessary and sufficient conditions for a deterministic finite automaton to be locally testable [4, 5].

Lemma 3. Let S be transition semigroup of a locally testable reduced deterministic finite automaton and let $\Gamma\Gamma$ be its 2-tuple graph. Suppose for some elements $a_1, \ldots, a_r \in S$ for some nonnegative m and p < r we have $(a_1 \cdots a_r)^{m+1} a_1 \cdots a_p \neq (a_1 \cdots a_r)^{m+2} a_1 \cdots a_p$.

Then on the graph $\Gamma\Gamma$ there exist a simple path of the length mr + p.

If on the graph $\Gamma\Gamma$ there is no simple path of length k-1 then the identities α_r (1) of k-testability are valid on S.

Proof. It follows from the given inequality that for some node \mathbf{q} from the state transition graph Γ we have $\mathbf{q}(a_1 \cdots a_r)^{m+1} a_1 \cdots a_p \neq \mathbf{q}(a_1 \cdots a_r)^{m+2} a_1 \cdots a_p$. At least one of two parts of the inequality is a node of Γ . It implies that $\mathbf{q}(a_1 \cdots a_r)^{m+1} a_1 \cdots a_p$ is a node of Γ . Denote the left subword of the word $(a_1 \cdots a_r)^n$ of length i by b_i . On the graph $\Gamma\Gamma$ there exist a path ϕ from the node $(\mathbf{q}, \mathbf{q}b_r)$ to the node $(\mathbf{q}b_i, \mathbf{q}b_{i+r})$ and its minimum length is mr + p. Our aim is now to find on this path a simple subpath of the necessary length.

So suppose that ϕ is not simple and there exist a loop on the path ϕ . Let the nodes on the places k and k+j coincide for the first such loop from the left. So $\mathbf{q}b_k = \mathbf{q}b_{k+j}$ and $\mathbf{q}b_{k+r} = \mathbf{q}b_{k+r+j}$. Then the two nodes $\mathbf{q}b_k$ and $\mathbf{q}b_{k+r}$ from Γ

have the same right unit. In view of Lemma 3.1 the nodes $\mathbf{q}b_k$ and $\mathbf{q}b_{k+r}$ belong to different SCC. From Lemma 2 it follows that all nodes $\mathbf{q}b_l$ for $l \geq k+r$ on the considered path ϕ belong to the same SCC of Γ . If the node $\mathbf{q}b_{mr+r+p}$ exists, then the node $\mathbf{q}b_{mr+2r+p}$ exist as well. After the node $(\mathbf{q}b_{k+r},\mathbf{q}b_{k+2r})$ on ϕ there are no loops (Lemma 3.1). Hence, j < r. There are no loops on the path before node $(\mathbf{q}b_k,\mathbf{q}b_{k+r})$ by the choice of k. We can exclude all possible loops between these two nodes and obtain a subpath without loops.

From the existence of node $\mathbf{q}b_{mr+2r+p} \in \Gamma$ it follows that the length of the path ϕ is (m+1)r+p and the length of this simple subpath will be at least mr+p+1.

In the case there are no loops on ϕ , the length of ϕ will be at least mr + p. This follows from existence of the node $\mathbf{q}b_{mr+r+p}$.

The first part of the statement of lemma is proved.

The lemma is proved.

Suppose now that on $\Gamma\Gamma$ there are no simple paths of the length k-1. Then for k-1 < mr + p and for any $\mathbf{q} \in \Gamma$ we have $\mathbf{q}(a_1 \cdots a_r)^{m+1} a_1 \cdots a_p = \mathbf{q}(a_1 \cdots a_r)^{m+2} a_1 \cdots a_p$. The second statement of the lemma follows now from the first and from the description of the identities (1) of k-testability.

Lemma 4. If on the 2-tuple graph $\Gamma\Gamma$ of a deterministic finite automaton there exist an r-periodic path of length k+r-1 then the automaton is not k-testable. k+1 is a lower bound on the order of local testability of the automaton.

Proof. Suppose that the automaton is locally testable. Let (\mathbf{s}, \mathbf{q}) be the first node on the considered r-periodic path and elements a_1, \ldots, a_r from Σ denote the first r edges of the path. So $\mathbf{s}a_1 \cdots a_r = \mathbf{q}$ and the last node on the path is $(\mathbf{s}(a_1 \cdots a_r)^{m+1}a_1 \cdots a_p, \mathbf{s}(a_1 \cdots a_r)^{m+2}a_1 \cdots a_p)$ where $mr+p=k-1, p< r, m \geq 0$. Number of the edges on the path is (m+1)r+p=k+r-1. The components of the nodes are distinct and so the existence of the last node proves that $(a_1 \cdots a_r)^{m+1}a_1 \cdots a_p \neq (a_1 \cdots a_r)^{m+2}a_1 \cdots a_p$. Then the identity α_r from (1) for k-testability is not valid on the transition semigroup of the automaton.

Lemma 5. Suppose that on the 2-tuple graph of a deterministic finite locally testable automaton there exist an SCC-restricted path of length k-1.

Then the identity β (2) of k-testability is not valid on the transition semigroup S of the automaton and both S and the automaton are not k-testable.

k+1 is a lower bound on the order of local testability of the automaton.

Proof. In order to prove the non-validity of the identity β we must find elements $a_1, \ldots, a_{k-1}, b, c \in S$ such that

$$a_1 \cdots a_{k-1} b a_1 \cdots a_{k-1} c a_1 \cdots a_{k-1} \neq a_1 \cdots a_{k-1} c a_1 \cdots a_{k-1} b a_1 \cdots a_{k-1}.$$
 (3)

Let (\mathbf{s}, \mathbf{q}) be the first node on the path on $\Gamma\Gamma$ we are considering and elements $a_1, \ldots, a_{k-1} \in S$ denote edges of the path. Let us denote $a = a_1 \cdots a_{k-1}$. So the node $(\mathbf{s}a, \mathbf{q}a)$ is the last node on the path. Hence, $\mathbf{s}a \neq \mathbf{q}a$ and the nodes

s, q, sa, qa belong to one SCCX of Γ . So there are elements $b, c \in S$ such that sab = s, sac = q. Without loss of generality let us assume that element b is divided by an idempotent $e \in S$. This follows from the equality $s(ab)^n = s$ and local testability of S. Thus, $b = b_1 e b_2$ for some $b_1, b_2 \in S$.

If $\mathbf{s}ab_1e \not\sim \mathbf{q}ab_1e$ from the fact that $\mathbf{s}abaca = \mathbf{s}ab_1eb_2aca = \mathbf{q}a \in X$ it follows that $\mathbf{s}ab_1e \in X$ and $\mathbf{q}ab_1e \notin X$ because distinct SCC are not connected with a loop.

Note that for any node (\mathbf{p}, \mathbf{r}) such that the nodes \mathbf{p}, \mathbf{r} lie outside the SCC X and are reachable from X and for any node (\mathbf{s}, \mathbf{t}) such that $\mathbf{s}, \mathbf{t} \in X$ we have $((\mathbf{p}, \mathbf{r}) \not\succeq (\mathbf{s}, \mathbf{t}))$.

The node $\mathbf{q}ab_1e$ lies outside X, then $\mathbf{s}acaba = \mathbf{q}aba$ does not belong to X too. From $\mathbf{q}a \in X$ we have $\mathbf{q}a = \mathbf{s}abaca \neq \mathbf{s}acaba = \mathbf{q}aba$, whence $abaca \neq acaba$.

So we may suppose that $sab_1e \sim qab_1e$ and $qab_1e \in X$. The nodes qab_1e and sab_1e have the common right unit e and belong to the same SCC. From Lemma 3.1 it follows that $sab_1e = qab_1e$. Then sab = qab and qab = s. This implies sacaba = qaba = sa. Now from sabaca = saca = qa and $qa \neq sa$ it follows that $sacaba \neq sabaca$ and $abaca \neq acaba$.

The lemma is proved.

Lemma 6. Suppose that on the 2-tuple graph $\Gamma\Gamma$ of a deterministic finite locally testable automaton with state transition graph Γ there exist SCC-semirestricted path ϕ .

Then the second components of all nodes of the path ϕ belong to one SCC of Γ and no node of the path ϕ does not belong to some SCC of the 2-tuple graph $\Gamma\Gamma$.

Proof. For the first node $(\mathbf{p}_1, \mathbf{q}_1)$ and the last node $(\mathbf{p}_i, \mathbf{q}_i)$ of the path ϕ we have $(\mathbf{p}_1, \mathbf{q}_1) \succ (\mathbf{p}_i, \mathbf{q}_i)$ and $\mathbf{q}_i \succ \mathbf{q}_1$. Hence, $\mathbf{q}_1 \sim \mathbf{q}_i \sim \mathbf{q}_j$ for any j < i.

Suppose that the considered path ϕ has a common node (\mathbf{p}, \mathbf{q}) with some SCC of $\Gamma\Gamma$. Then for some element e from transition semigroup S we have $\mathbf{p}e = \mathbf{p}$, $\mathbf{q}e = \mathbf{q}$, $\mathbf{p} \succ \mathbf{q}$. Then the necessary condition of local testability (Lemma 2) implies that for any $x \in S$ such that $\mathbf{q}x \succ \mathbf{q}$ we have $\mathbf{p}x \succ \mathbf{q}x$. Therefore the node (\mathbf{p}, \mathbf{q}) could not belong to an SCC-semirestricted path. \square

Lemma 7. Suppose that on the 2-tuple graph $\Gamma\Gamma$ of a deterministic finite automaton with state transition graph Γ there exist SCC-semirestricted path of length k-1.

Then the identity β of k-testability is not valid on the transition semigroup S of the automaton and both S and the automaton are not k-testable.

k+1 is a lower bound on the order of local testability of the automaton.

Proof. In order to prove the non-validity of identity β we must find elements $a_1, \ldots, a_{k-1}, b, c \in S$ such that for $a = a_1 \cdots a_{k-1}$ we have $abaca \neq acaba$ (See (3)).

Let a_1, \ldots, a_{k-1} denote the edges of the considered path $(\mathbf{p}_1, \mathbf{q}_1), \ldots, (\mathbf{p}_k, \mathbf{q}_k)$ and $a = a_1 \cdots a_{k-1}$. Suppose that $\mathbf{p}_k \sigma \not\succ \mathbf{q}_k \sigma$ on Γ for some $\sigma \in \Sigma$ such that $\mathbf{q}_k \sigma \succ \mathbf{q}_1$. From the preceding lemma and the definition of SCC-semirestricted

path it follows that the nodes \mathbf{q}_1 , \mathbf{q}_k and $\mathbf{q}_k\sigma$ belong to one SCC of Γ and $\mathbf{p}_k \succ \mathbf{q}_k$, whence there exist an element $b \in S$ such that $\mathbf{p}_k b = \mathbf{q}_1$. By the above-mentioned definition there exist an element $c = \sigma d \in S$ such that $\mathbf{q}_k c = \mathbf{q}_1$. Then $\mathbf{p}_1 abaca = \mathbf{p}_k baca = \mathbf{q}_1 aca = \mathbf{q}_k ca = \mathbf{q}_1 a = \mathbf{q}_k$. Consider the node $\mathbf{p}_1 acaba = \mathbf{p}_k \sigma daba$. The node $\mathbf{q}_k \sigma$ is not reachable from $\mathbf{p}_k \sigma$ and so $\mathbf{p}_k \sigma \not\succ \mathbf{q}_k$, whence $\mathbf{p}_k \sigma daba \neq \mathbf{q}_k$. So $\mathbf{p}_1 abaca \neq \mathbf{p}_1 acaba$ and $abaca \neq acaba$.

The lemma is proved. \Box

Lemma 8. Let S be the transition semigroup of a locally testable reduced deterministic finite automaton and suppose that on the 2-tuple graph $\Gamma\Gamma$ of the automaton there are no strongly simple paths of length k-1. Suppose that $x \in S^{k-1}$, $y, z \in S$ and S satisfies the identity xyx = xyxyx

Then S satisfies identity xyxzx = xzxyx. (identity β for k-testability).

Proof. From the identity xyx = xyxyx we deduce the following identities

$$xzx = xzxzx, xzxyx = xzxyxyx, xzxyx = xzxyxzxyx \tag{4}$$

for $x \in S^{k-1}$, $y, z \in S$. So the words xyxzx, xzxyx, xzxyxy, xyxzxz divide each other in S.

Let us suppose that the identity xyxzx = xzxyx is not valid on S. Then for some node $\mathbf{p} \in \Gamma$ and for some $x \in S^{k-1}, y, z \in S$ we have $\mathbf{p}xzxyx \neq \mathbf{p}xyxzx$. Without loss of generality let us assume that there exists a node $\mathbf{p}xyxzx$.

Suppose first that $\mathbf{p}x \neq \mathbf{p}xyxzx$. Consider the path from the node $(\mathbf{p}, \mathbf{p}xyxz)$ to the node $(\mathbf{p}x, \mathbf{p}xyxzx)$ in $\Gamma\Gamma$. In view of $|x| \geq k-1$ some node on the path belongs to an SCC. The element x may be presented in the form x_1x_2 such that the nodes $\mathbf{p}x_1$ and $\mathbf{p}xyxzx_1$ have a right unit in Γ . Now from the necessary condition of local testability (Lemma 2) it follows that $\mathbf{p}xs \succeq \mathbf{p}xyxzxs$ in Γ for any $s \in S$ such that xs is a left subword of the word of (4). Let s = zxyx. Then $\mathbf{p}xzxyx \succ \mathbf{p}xyxzxxyx = \mathbf{p}xyxzxyx$.

The equality pxyxzx = pxyxzxyxzx follows from (4) and it implies that the nodes pxyxzx and pxyxzxyx belong to the same SCC of Γ . Then in Γ $pxzxyx \succeq pxyxzx$ and the first node of the formula exists.

In the case that px = pxyxzx we have pxzx = pxyxzx and pxzx = pxyxzx = px. So px = pxzxyxzx. Hence $pxzxyx \succeq pxyxzx$ and the node pxzxyx exist as well.

Now from the existence of the node pxzxyx it follows in analogous way that $pxyxzx \succ pxzxyx$. Thus, both nodes pxyxzx and pxzxyx belong to the same SCC.

The nodes pxyxzxz and pxzxyxy belong to the same SCC as well. Multiplying by x the nodes of one SCC must unite them because the result belong to the same SCC, $|x| \ge k - 1$ and on the path corresponding to x there are no loops.

So $\mathbf{p}xyxzxzx = \mathbf{p}xzxyxyx$ for every $\mathbf{p} \in \Gamma$. Thus, S satisfies the identity xzxyxyx = xyxzxzx. In view of the identity xyx = xyxyx we get that xyxzx = xzxyx.

The lemma is proved.

Corollary 9. Let S be the transition semigroup of a locally testable reduced deterministic finite automaton and suppose that on the 2-tuple graph $\Gamma\Gamma$ of the automaton there are no simple paths of length k-1. Suppose that $x \in S^{k-1}$, $y, z \in S$ and S satisfies the identity xyx = xyxyx.

Then, S satisfies the identity xyxzx = xzxyx (identity β for k-testability).

Lemma 10. Let S be the transition semigroup of a locally testable reduced deterministic finite automaton and suppose that on the 2-tuple graph $\Gamma\Gamma$ of the automaton there are no SCC-restricted paths of length k-1. Suppose that $x \in S^{k-1}, y, z \in S$ and S satisfies the identity xyx = xyxyx.

Then S satisfies the identity xyxzx = xyxzxyx.

Proof. From the identity xyx = xyxyx follow identities (4). This implies that the words xyxzx, xyxzxyx, xyxzxyx, xyxzxyx, xyxzxyx are divided one by another. So the nodes pxyxzx, pxyxzxz, pxyxzxyx, pxyxzxyx, pxyxzxyx belong to a common SCC of Γ . Suppose that $pxyxzxzx \neq pxyxzxyxyx$.

Then, on the 2-tuple graph $\Gamma\Gamma$ there must exist a path from the node (ppxyxzxz, pxyxzxyxy) to the node (pxyxzxzx, pxyxzxyxyx). We obtain a SCC-restricted path of the length |x| = k - 1 This contradicts our assumption. So pxyxzxzx = pxyxzxyxyx. In view of (4) we have pxyxzx = pxyxzxyxyx.

The node **p** is an arbitrary node and so xyxzx = xyxzxyx. The lemma is proved.

Theorem 11. Let S be the transition semigroup of a reduced deterministic finite locally testable automaton A and $\Gamma\Gamma$ its 2-tuple graph. Assume the graph $\Gamma\Gamma$ does not contain simple paths of length k-1.

Then both the automaton A and the semigroup S are k-testable. k is an upper bound on the order of local testability of the automaton.

Proof. The validity of the identities α_r for k-testability follows from Lemma 3. The validity of the identity β in view of validity of α_k follows from Corollary 9.

From Theorem 11, and Lemmas 5 and 7 we immediately obtain the following result.

Theorem 12. Let $\Gamma\Gamma$ be the 2-tuple graph of a locally testable deterministic reduced finite automaton **A**. Let the maximum length of SCC-restricted and SCC-semirestricted paths on $\Gamma\Gamma$ be equal to k-2.

Then the identity β of (k-1)-testability is not valid on the transition semigroup S of the automaton A and both S and the automaton are not (k-1)-testable, k is a lower bound on the order of local testability.

If the length of all simple paths on $\Gamma\Gamma$ is not greater than k-2 then A is precisely k-testable.

Theorem 13. Assume that the state transition graph Γ of a locally testable reduced deterministic finite automaton \mathbf{A} is strongly connected. Let the maximum of the lengths of strongly simple [simple] paths on the 2-tuple graph of \mathbf{A} be k-2.

Then the automaton is precisely k-testable.

The proof follows from the preceding theorem and from the fact that all paths on the 2-tuple graph of $\bf A$ are strongly simple, simple and SCC-restricted.

The determination of the order of local testability is in the general case NP-hard [6]. But sometimes the situation is not so complicated.

Theorem 14. Let the state transition graph Γ of a reduced deterministic finite automaton be strongly connected.

Then the order of local testability of the automaton may be found in polynomial time.

Proof. The verification of local testability is polynomial [4]. Finding the graph $\Gamma\Gamma$ and its diameter is polynomial too. According to the preceding theorem it gives us the answer.

4 Necessary and sufficient conditions

In this section we assume that for every node $\mathbf{q} \in \Gamma$ and every element $\sigma \in \Sigma$ the node $\mathbf{q}\sigma$ exist (the transition graph is complete). In general it is not very strong assumption because we can add to arbitrary graph Γ a node \mathbf{q}_0 and suppose $\mathbf{q}\sigma = \mathbf{q}_0$ in all undefined cases.

Lemma 15. Let S be transition semigroup of a locally testable reduced deterministic finite automaton. Let on the 2-tuple graph $\Gamma\Gamma$ of the automaton there are no SCC-restricted and SCC-semirestricted paths of length k-1 and greater.

Let $x \in S^{k-1}$, $y, z \in S$ and S satisfies identity xyx = xyxyx.

Then S satisfies identity xyxzx = xzxyx (Identity β for k-testability).

Proof. Identity xyx = xyxyx implies identities (4) and by Lemma 10 it implies the identity xzxyx = xzxyxzx.

Let **p** be an arbitrary node of Γ .

Consider the nodes pxzxz and pxyxzxz. In case pxzxz = pxyxzxz we have pxzxyx = pxzxzxyx = pxyxzxzxyx and from Lemma 10 and identity xyx = xyxxx it follows that pxzxyx = pxyxzx. This implies that xzxyx = xyxzx.

So let us suppose that $pxzxz \neq pxyxzxz$. Then the nodes px and pxyxzx are distinct.

Let us suppose that $pxzxz \not\succ pxyxzxz$. Consider the path ϕ from the node (p, pxyxzxz) to the node (px, pxyxzxzx) = (px, pxyxzxx) on $\Gamma\Gamma$. The length of the path is not less than $|x| \ge k - 1$. Note that the nodes pxzxz, pxyxzxz are reachable from the nodes px and pxyxzx by help of the element zxz and $pxzxz \not\succ pxyxzxz$. Therefore the path ϕ (or its part) is an SCC-semirestricted path of length k-1 or greater. This contradicts the condition of lemma.

So we may suppose that pxzxz > pxyxzxz and pxyxy > pxzxyxy. Since the nodes pxzxz and pxyxzxz have the common unit xz, from necessary conditions of local testability (Lemma 2) it follows that the node pxyxzxzxyx = pxyxzxyx is reachable from the node pxzxzxyx = pxzxyx. In view of the Lemma 10

we conclude that pxzxyx > pxyxzx. From pxyxy > pxzxyxy it follows in analogous way that pxyxzx > pxzxyx.

So the nodes pxyxzx and pxzxyx belong to one SCC of Γ . Then from (4) it follows that the nodes pxyxzxz and pxzxyxy belong to the same SCC. The length of x is not less then k-1 and is greater then the length of every SCC-restricted path on $\Gamma\Gamma$. So pxyxzxzx = pxzxyxyx and in view of xyx = xyxyx we have pxyxzx = pxzxyxyx in this case too.

Thus xyxzx = xzxyx.

The lemma is proved.

Lemma 16. Let k be a maximal number such that on the 2-tuple graph $\Gamma\Gamma$ of deterministic finite locally testable reduced automaton A there exist r-periodic path of length k+r. Let l be the maximum length of SCC-restricted paths on $\Gamma\Gamma$. Let m be the maximum length of SCC-semirestricted paths on $\Gamma\Gamma$. Let n > max(k, l, m) + 1.

Then A is n-testable.

Proof. First consider the identities α_r of n-testability. Let us suppose that for some elements a_1, \ldots, a_r from transition semigroup S of the automaton

$$(a_1 \cdots a_r)^{m+1} a_1 \cdots a_p \neq (a_1 \cdots a_r)^{m+2} a_1 \cdots a_p \tag{5}$$

where mr + p = n - 1, p < r.

Then, for some node $\mathbf{q} \in \Gamma$ we have

$$\mathbf{q}(a_1 \cdots a_r)^{m+1} a_1 \cdots a_p \neq \mathbf{q}(a_1 \cdots a_r)^{m+2} a_1 \cdots a_p$$

Hence, on the graph $\Gamma\Gamma$ there exist r-periodic path from the node $(\mathbf{q}, \mathbf{q}a_1 \cdots a_r)$ of the length (m+1)r+p=(mr+p)+r. In view of equality mr+p=n-1 the length of the path is n-1+r. For k=n-1 we have r-periodical path of the length k+r. But it contradicts to our assumption that n>max(k,l,m)+1 for all such k. So the identities α_r for n-testability hold in S.

The validity of identity β follows from the preceding lemma.

The lemma is proved.

From the last lemma and Lemmas 4, 5, 7 follow now the necessary and sufficient conditions for the order of local testability of deterministic finite reduced locally testable automaton.

Theorem 17. Let k be the maximal natural number such that on the 2-tuple graph $\Gamma\Gamma$ of deterministic finite reduced locally testable automaton A there exist r-periodic path of length k+r. Let l be the maximum length of all SCC-restricted paths on $\Gamma\Gamma$. Let m be the maximum length of all SCC-semirestricted paths on $\Gamma\Gamma$. Let m = max(k, l, m) + 2.

Then A is precisely n-testable.

5 The upper bound

Lemma 18. Let $\Gamma\Gamma$ be the 2-tuple graph of locally testable deterministic finite automaton with n states.

Then the length of any simple path on the graph $\Gamma\Gamma$ is at most $\frac{n^2-n}{2}-1$.

Proof. Any path on the graph $\Gamma\Gamma$ could not contain both pairs (\mathbf{p}, \mathbf{q}) and (\mathbf{q}, \mathbf{p}) because it implies for some element s of the transition semigroup that $\mathbf{q}s = \mathbf{p}$ and $\mathbf{p}s = \mathbf{q}$, whence some power of s belongs to non-trivial group. But locally testable semigroup do not contain non-trivial subgroups [1].

The number of non-ordered pairs with distinct components on an n-element set is equal to n(n-1)/2. Thus, the length of considered path is at most n(n-1)/2-1.

The lemma is proved.

Theorem 19. Let S be the transition semigroup of a locally testable reduced deterministic finite automaton with n states. Then both S and the automaton are $(\frac{n^2-n}{2}+1)$ -testable.

Proof immediately follows from Theorem 11 and the preceding lemma.

6 Example for the upper bound

Let us consider the following example. Suppose the state transition graph Γ of the finite automaton \mathbf{M} contains n nodes $\mathbf{q}_1,\ldots,\mathbf{q}_n$, for n>2. Let $\Sigma=\{a,b_{i,j}\}$, where $i=1,\ldots,n-2,\,n\geq j>i$. Suppose $\mathbf{q}_3a=\mathbf{q}_1$. For $k\neq 3$ \mathbf{q}_ka is undefined. Suppose $\mathbf{q}_ib_{i,j}=\mathbf{q}_i,\,\mathbf{q}_jb_{i,j}=\mathbf{q}_{j+1}$ for all i,j such that i< j< n and for i< n-1 $\mathbf{q}_ib_{i,n}=\mathbf{q}_{i+1},\,\mathbf{q}_nb_{i,n}=\mathbf{q}_{i+2}$. For other cases $\mathbf{q}_kb_{i,j}$ is undefined.

It will be proved that the automaton M is precisely $((n^2 - n)/2 + 1)$ -testable and so the upper bound of the order of testability from Theorem 19 is obtainable.

Lemma 20. The state transition graph Γ of the finite automaton \mathbf{M} is strongly connected. \mathbf{M} is locally testable.

Proof. In view of $\mathbf{q}_1 = \mathbf{q}_3 a$, $\mathbf{q}_i b_{j,i} = \mathbf{q}_{i+1}$ and $\mathbf{q}_n b_{1,n} = \mathbf{q}_3$ the graph Γ is strongly connected and all nodes of Γ belong to one SCC.

In [5] are given two conditions of local testability. First is the validity of the Lemma 3.1 on Γ . Second must be verified only in case Γ is not an SCC. Thus according to Lemma 3.1 we must prove only that the distinct nodes of Γ have no common unit in the transition semigroup S of M.

Suppose $\mathbf{p}x = \mathbf{p}$, $\mathbf{q}x = \mathbf{q}$, $\mathbf{p} \neq \mathbf{q}$ for \mathbf{p} , $\mathbf{q} \in \Gamma$, $x \in S$. Since there exists only one element of the kind $\mathbf{q}_i a$ the element x is not divided by a. So x is a product of the $b_{i,j}$.

From $\mathbf{p}x = \mathbf{p} \neq \mathbf{q}\mathbf{x} = \mathbf{q}$ it follows that there is a cycle on the 2-tuple graph $\Gamma\Gamma$ and all edges of the cycle are denoted by $b_{i,j}$. Consider some node $(\mathbf{q}_i, \mathbf{q}_j)$ on the cycle. Suppose first i > j. Consider any existing node $(\mathbf{q}_i, \mathbf{q}_j)b_{l,r} = (\mathbf{q}_{ii}, \mathbf{q}_{jj})$.

So r = i, l = j. We have either $(\mathbf{q}_i, \mathbf{q}_j)b_{r,l} = (\mathbf{q}_{i+1}, \mathbf{q}_j)$ or in the case i = n we have $(\mathbf{q}_i, \mathbf{q}_j)b_{l,r} = (\mathbf{q}_{j+2}, \mathbf{q}_{j+1})$. Thus from i > j it follows that ii > jj, $jj \geq j$ and in the case jj = j we have ii > i. So jj * n + ii > j * n + i.

Multiplication on $b_{l,r}$ induces a lexicographical order on the pairs (\mathbf{p}, \mathbf{q}) and all nodes on the path with edges $b_{l,r}$ are distinct. So our assumption in the case i > j is not true.

In the case i < j we obtain contradiction too.

Thus px = p, qx = q implies p = q. Therefore M is locally testable.

Lemma 21. On the 2-tuple graph $\Gamma\Gamma$ of the automaton M there exists an SCC-restricted path of length $\frac{n^2-n}{2}-1$.

Proof. Consider the path:

$$(\mathbf{q}_1,\mathbf{q}_2),(\mathbf{q}_1,\mathbf{q}_3),\ldots,(\mathbf{q}_1,\mathbf{q}_n),(\mathbf{q}_2,\mathbf{q}_3),\ldots,(\mathbf{q}_2,\mathbf{q}_n),\ldots,(\mathbf{q}_{n-2},\mathbf{q}_n),(\mathbf{q}_{n-1},\mathbf{q}_n).$$

The nodes of the path are connected with edges noted by $b_{i,j}$. All nodes of the kind $(\mathbf{q}_i, \mathbf{q}_j)$ such that i < j belong to the path one time. The number of such nodes is $\frac{n^2-n}{2}$, so the length of the path is $\frac{n^2-n}{2}-1$. In view of the preceding lemma it is SCC-restricted path.

Theorem 22. Deterministic finite automaton M with n states (n > 2) is precisely $(\frac{n^2-n}{2}+1)$ -testable and its order of local testability is equal to the upper bound on the order of local testability of a deterministic finite reduced automaton with n states.

Proof. Lemma 20 gives us the local testability of M. From Theorem 19 follows that for M the upper bound of order of local testability is equal to $(n^2-n)/2+1$. Lemma 5 in view of Lemma 21 implies that the upper bound is reached on M.

The theorem is proved. \Box

This implies the validity of the following statement

Theorem 23. The precise upper bound on the order of local testability of deterministic finite locally testable reduced automata with n states is equal to $\frac{n^2-n}{2}+1$.

Proof. For n > 2 it follows from the preceding theorem. For n = 2 the semigroup of left zeroes gives us the needed example.

7 Example for two variables

Let us consider the following example of the state transition graph Γ of the finite deterministic automaton \mathbf{M} :

The vertical edges are denoted by b, the horizontal edges by a.

We have for i < n

$$\mathbf{p}a^{2n}b^{2} = \mathbf{q}, \mathbf{p}a^{n+1}b^{2} = \mathbf{q}a^{n-1}, \mathbf{q}a^{n+1}b = \mathbf{p}, \mathbf{p}a^{n+i}b^{2} = \mathbf{q}a^{n-i}$$

$$\mathbf{r}b = \mathbf{p}a^{n}, \mathbf{r}a^{n-i}b = \mathbf{p}a^{i}, \mathbf{r}a^{n-1}b = \mathbf{p}a, \mathbf{p}a^{2n+1}b^{2}a^{3} = \mathbf{r}$$
So,
$$\mathbf{p}a = \mathbf{p}a^{2n+1}b^{2}a^{n+2}b, \mathbf{p} = \mathbf{p}a^{2n}b^{2}a^{n+1}b,$$

$$\mathbf{p} = \mathbf{p}a^{2n-i}b^{2}a^{n+1-i}b, \mathbf{p}a^{i} = \mathbf{p}a^{2n+1}b^{2}a^{n+3-i}b.$$

On the middle line there are 2n + 2 nodes, on the top line there are n + 3 nodes, on the bottom line there are n + 2 nodes.

It will be proved that the order of local testability of the automaton **M** is $\Omega(n^2)$.

Obvious is the following

Lemma 24. The state transition graph Γ of the finite deterministic automaton M is strongly connected (M is an SCC).

Lemma 25. The finite deterministic automaton M is locally testable.

Proof. In [5] are given two conditions for the automaton to be locally testable. First is the validity of Lemma 3.1 on Γ . Second must be verified only in the case that Γ is not an SCC. Thus, by the preceding lemma, we must prove only that distinct nodes of Γ have no common unit in transition semigroup S of M.

Suppose that there are two cycles on Γ with edges corresponding the element $x = a^{k_1}b^{l_1}a^{k_2}b^{l_2}\cdots a^{k_s}b^{l_s}$ from transition semigroup S of M. Our aim is to prove that both the cycles coincide.

Let us assume that for nodes $f, g \in \Gamma$ we have $fx = f, gx = g, f \neq g$.

Let x_i be the left subword of the word x of length i.

Then $\mathbf{f}x_i \neq \mathbf{g}x_i$ for any i and there exist a cycle in the 2-tuple graph $\Gamma\Gamma$ with the nodes $(\mathbf{f}x_i, \mathbf{q}x_i)$, $0 < i \le |x|$.

It is not difficult to see that $l_j = 1$ or $l_j = 2$ and $l_j + l_{j+1} = 3$. Without loss of generality we can assume that $l_1 = 2$. The nodes $\mathbf{f}a^{k_1}b^2 = \mathbf{f}x_{k_1+2}$ and $\mathbf{g}a^{k_1}b^2 = \mathbf{g}x_{k_1+2}$ exist only if $\mathbf{f}x_{k_1} = \mathbf{p}a^m$ and $\mathbf{g}x_{k_1} = \mathbf{p}a^l$, for some m, l > n. Both the nodes $\mathbf{f}a^{k_1}b^2a^{k_2}b$ and $\mathbf{g}a^{k_1}b^2a^{k_2}b$ exist and are distinct. They belong to the middle line and are presented in the form $\mathbf{p}a^i$ $(i \ge 0)$. Since not more than

one of them may be \mathbf{p} , another is equal to $\mathbf{p}a^i$ where $i \geq 1$. Let us suppose that $\mathbf{f}a^{k_1}b^2a^{k_2}b = \mathbf{p}a^i$ for i > 0. Then $\mathbf{f}a^{k_1}b^2 = \mathbf{p}a^{2n+1}$ and $\mathbf{g}a^{k_1}b^2 = \mathbf{p}a^{n+t}$ where 0 < t < n+1. So to the cycle of $\Gamma\Gamma$ belongs the node $(\mathbf{p}a^{2n+1}, \mathbf{p}a^{n+t})$. Then the node $(\mathbf{p}a^{2n+1}b^2, \mathbf{p}a^{n+t}b^2)$ belongs to the same cycle. It implies that the node $(\mathbf{p}a^{2n+1}b^2a^3, \mathbf{p}a^{n+t}b^2a^3) = (\mathbf{r}, \mathbf{q}a^{n-t+3})$ is on the same cycle too. The second component of one of the nodes on considered cycle of $\Gamma\Gamma$ must to be \mathbf{p} . From $\mathbf{q}a^{n-t+3}a^jb = \mathbf{p}$ follows that j+n-t+3=n+1 and j=t-2. Then the first component of the same node is $\mathbf{r}a^jb = \mathbf{p}a^{n-j}$. From the node $(\mathbf{p}a^{2n+1}, \mathbf{p}a^{n+t})$ we reach the node $(\mathbf{p}a^{n-t+2}, \mathbf{p})$ and therefore the node $(\mathbf{p}a^{2n+1}, \mathbf{p}a^{n+t-1})$.

Distance between components of the nodes is growing from a^{n-t+1} to a^{n-t+2} . So for subword of x containing two distinct inclusions of b (b^2 and then b) distance between components is growing. Obvious that s is even number. So the distance between two components of the node is growing on the path corresponding x. This contradicts to the fact that x defines the cycle on $\Gamma\Gamma$ (Or two distinct corresponding cycles on Γ).

So M is locally testable.

Lemma 26. On the 2-tuple graph $\Gamma\Gamma$ of the automaton M there exist a SCC-restricted path of length $2n^2 + 4n - 6$.

Proof. Consider the path defined by the word $a^{2n+1-i}b^2a^{n+2-i}b$ from the node $(\mathbf{p}, \mathbf{p}a^i)$ for 0 < i < n. We have

$$(\mathbf{p}, \mathbf{p}a^i)a^{2n+1-i}b^2a^{n+2-i}b = (\mathbf{p}, \mathbf{p}a^{i+1}).$$

The length of the path is equal to 3n+6-2i, the final node is $(\mathbf{p}, \mathbf{p}a^{i+1})$. Now consider the sequence of such paths for $i=1,2,\ldots,n-1$. We get a path from the node $(\mathbf{p},\mathbf{p}a)$ to the node $(\mathbf{p},\mathbf{p}a^n)$. The length of the path is $2n^2+4n-6$. The lemma is proved.

Theorem 27. Deterministic finite automaton M whose alphabet size is two is locally testable and its order of local testability is $\Omega(n^2)$.

Proof. Lemma 25 gives us the local testability of M. Number of nodes in M is equal to 5n + 8 and is linear in n. According the preceding lemma there exist a path of length $2n^2 + 4n - 6$ on the 2-tuple graph of the automaton. In view of Lemma 5, this number gives us a lower bound for the order of local testability.

So the lower bound for the order of local testability is $\Omega(n^2)$. According Theorem 19 (see [5] too) it is an upper bound as well.

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