

For any enquiries, please contact Investor Service Centre, C/o Hera Enclave (Adj. to Sahara Unique, Showroom, Mezz Flr., New Dak Bunglow Rd, Patna-800001, Phones : 1800 3010 6767 / 1800 419 7676, Email : servicespatna@hdfcfund.com

Account Statement (From 01-APR-2020 To 18-FEB-2021)

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Folio No. : 16052916 / 06 Statement Date : 18-Feb-2021

Amit Kumar S/O Braj Kishore Prasad Shrivastva Road No-4 Vikashnagar Kothiya Kurji Patna - 800010 IN Email : _ellipticamit@gmail.com Mobile No. : +917903995655	Mode of Holding : Single Tax Status : Individual
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	PAN/PEKRN	CKYC No.	KYC	Supplementary KYC	FATCA / CRS	UBO
1st Unit Holder	DEKPK4017Q	60077834477017	Verified	Complete	Complete	Not applicable
2nd Unit Holder	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
3rd Unit Holder	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
Guardian, If any	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
POA, If any	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable

Nominee Details

Sr. No.	Nominee Name	Date of Birth	Guardian Name (in case of minor)	Share (%)
1	Kamini Devi	Please provide	Not Applicable	100

4007 / HDFC TaxSaver - Regular Plan - Growth * - INF179K01BB8 UCC : MFHDFC0022

Date	Transaction Type	NAV	Amount in INR	Price in INR	Number of Units	Balance Units
	Opening Balance as on 16/04/2020					208.024
16/04/2020	SIP Purchase- For Retirement Benefit - Instalment 9/257	384.558	15,000.00	384.558	39.006	247.030
16/04/2020	SIP Purchase(Reversal - Insufficient Balance) - Instalment No 9	384.558	(15,000.00)	384.558	(39.006)	208.024
18/05/2020	SIP Purchase- For Retirement Benefit - Instalment 10/257	367.222	15,000.00	367.222	40.847	248.871
16/06/2020	SIP Purchase- For Retirement Benefit - Instalment 11/257	408.624	15,000.00	408.624	36.709	285.580
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
16/07/2020	Net Purchase	436.772	14,999.25	436.772	34.341	319.921
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
17/08/2020	Net Purchase	464.973	14,999.25	464.973	32.258	352.179
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
16/09/2020	Net Purchase	471.481	14,999.25	471.481	31.813	383.992
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
16/10/2020	Net Purchase	461.682	14,999.25	461.682	32.488	416.480
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
17/11/2020	Net Purchase	501.430	14,999.25	501.430	29.913	446.393
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
16/12/2020	Net Purchase	533.640	14,999.25	533.640	28.107	474.500
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
18/01/2021	Net Purchase	562.652	14,999.25	562.652	26.658	501.158
	Gross Purchase		15,000.00			
	Less: Stamp Duty		0.75			
16/02/2021	Net Purchase	604.179	14,999.25	604.179	24.826	525.984

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Account Statement (Folio No. : 16052916 / 06)

Market Value of Balance Units at NAV of 602.555 on 17/02/2021 (INR) : 316,934.29	Available Units to Redeem as of today : 0.000
Dividend reinvested / paid (INR) : 0.00	Total Units Under Lien : 0.000
Bank Account : SB XXXX1964 / State Bank of India / ARERAJ / EAST CHAMPARAN / SBIN0002904	Total dividend reinvested / paid (INR) : 0.00
Advisor : ARN-9992 / Prudent Corporate Advisory Services Ltd; Sub Broker Code : 91243	Redemption Payout Mode : Electronic
EUIN : E073353	

**Due to change in fundamental attributes of the Scheme w.e.f. May 23, 2018, the type of the Scheme has been changed to an open-ended Equity Linked Savings Scheme with a statutory lock in of 3 years and tax benefit - Current Load Structure : Entry Load - Not Applicable. Exit Load - Nil. This scheme qualifies for deduction u/s 80C of the Income-Tax Act, 1961(the "Act"), subject to the limits and conditions specified in Sec.80C read with Sec.80CCE of the Act. "Only those units which have completed the lock-in period would be reflected as available units to redeem". "Effective February 06, 2015, dividend(s) declared, if any, under Dividend Option of the Scheme will be compulsorily paid out vide addendum date January 29, 2015". For further details visit www.hdfcfund.com (under Investor Corner) or contact any of our Investor Service Centre. GST Identification Number : 27AAATH1809A1Z9.*

Details regarding your Bank account and Email Id maybe masked to ensure security of information.
 (#)switch-in will be processed based on the settlement cycle of the switch-out scheme.
 This is a computer generated statement and does not require any signature.

Inflows into ELSS schemes (From 01-APR-2020 To 18-FEB-2021)

Scheme Name	Amount (INR)
HDFC TaxSaver-Growth	149,994.00

Note :

- i) Investments under ELSS schemes are subject to a lock-in period of 3 Years. These Investments are eligible for deduction u/s 80C of the Income Tax Act, 1961(the "Act"), subject to the limits and conditions specified in section 80C read with Sec 80CCE of the Act.
- ii) The Investments under RGESS schemes are not covered in the above ELSS schemes summary. Please refer to your Demat Account statement for the said details.

Account Summary as on 18-FEB-2021

THANK YOU FOR INVESTING WITH HDFC MUTUAL FUND

Scheme	Maturity Date	Mode of Investment	Nav Date	NAV	NAV as on 31-Jan-2018 *	Units	Cost (INR)	Market Value (INR)
HDFC TaxSaver-Growth		Systematic Transactions	17-Feb-2021	602.555	NA	525.984	255,000.00	316,934.29

*This field is applicable only for Equity oriented Schemes

Systematic Registration(s)

Registration Type	Scheme	From Date	To Date	Date	Frequency	Installment Amount(INR)	Top up Frequency	Registration Date	Advisor Code	Top up Amount(INR)
SIP	HDFC TaxSaver - Regular Plan - Growth	22-Jul-2019	16-Dec-2040	16	Once A Month	15,000.00	NA	22-Jul-2019	ARN-9992	NA

OTM Registration(s)

Sr. No.	Bank Name	Bank Account Number	UMRN	OTM Status	Frequency	From Date	To Date	Amount (Rs.)
1	State Bank of India	XXXXXXX1964	SBIN0000000036419945	Active	As and When presented	16-Aug-2019	16-Dec-2040	15,000.00

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TRANSACTION REQUEST FOR PURCHASE / SWITCH / REDEMPTION

(Please use separate transaction slip for each scheme.
For updating your contact details, please submit a separate request)

TIMESTAMP (FOR OFFICE USE ONLY)

Folio Number : 16052916 / 06 Scheme / Plan / Option* : _____
 Name of sole / First Unit Holder : Amit Kumar (Switch-out scheme for switch request) : _____
 *Default Plan / Option in terms of KIM will apply if the choice of Plan / Option is not indicated.

KEY PARTNER / AGENT INFORMATION

ARN	ARN Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIIN)
ARN-9992	Prudent Corporate Advisory Services Ltd	ARN-8455		91243	E073353

Note: Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

Important: Please strike off any unused portion of this sheet.

☐ **ADDITIONAL PURCHASE** (Please write your folio number and name on the reverse of the cheque/DD/Payment Instrument no.)

Payment Mode: (Please tick any one only) ☐ OTM (One Time Bank Mandate) ☐ Cheque ☐ DD ☐ Funds Transfer ☐ RTGS / NEFT

Cheque/DD/Instrument No: _____ IF APPLICABLE Payment Date/Instrument Date : ____/____/____ Amount (Rs.) : _____

Bank Name: _____ Branch: _____ City : _____

MANDATORY FOR OTM

Investors desiring to get allotment of units in dematerialized mode instead of physical mode should provide the details in their demat account below:

NSDL	CDSL
DP Name _____	_____
DP ID _____	_____
Beneficiary Account No. _____	_____

Please attach a copy of the DP statement / Client Master Form to enable us to verify the demat account details.

IMPORTANT : Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment.

☐ **SWITCH REQUEST** (Please tick any one only) ☐ All Free Units ☐ No. of units : _____ ☐ Amount (in Rs.) : _____

To Scheme / Plan / Option* : _____

*Default Plan / Option in terms of KIM will apply if the choice of Plan / Option is not indicated.

☐ **REDEMPTION - Subject to Lock-in Period, if any** (Please tick only one of the below options.)

Redeem ☐ All Free Units ☐ No. of units : _____ ☐ Amount (in Rs.) : _____ (in words) _____

IFSC Code : _____ (If not provided earlier for electronic payment)

Note : If a redemption request is submitted alongwith a request for changing the bank account details, the redemption will be processed into the registered/default bank account in the aforesaid scheme/folio and the request for changing the bank account details will not be processed.

For investors who have registered for Multiple Bank Accounts facility* in the above folio:

The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us (This bank account has already been registered in the folio):

Name of the Bank : _____ Branch : _____

Account No.: _____ Account Type: _____ Bank City : _____

*Important Note: If the bank account mentioned above is different from those already registered in your folio OR if the bank account details are not filled above, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. HDFC Mutual Fund or HDFC Asset Management Company Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio. If the balance in my/our account does not cover the amount / units of the redemption request, I/we authorise you to send the entire such (lesser) balance to me/us.

DECLARATION : I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under:-

- I/We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of HDFC Mutual Fund ('Fund') indicated above.
- I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
- The information given in / with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the HDFC Asset Management Company Limited (AMC)/ Fund and undertake to inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time.
- That in the event, the above information and/or any part of it is/are found to be false/ untrue/ misleading, I/We will be liable for the consequences arising therefrom.
- I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.
- I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
- The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

Applicable to Foreign Nationals Resident in India only: I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

Applicable to NRIs/PIO/OCIs only: I/We confirm that my application is in compliance with applicable Indian and foreign laws.

Please (✓) ☐ Yes ☐ No If Yes, (✓) ☐ Repatriation basis ☐ Non-repatriation basis

Declaration for Purchase/Switch transaction where EUIIN box is left blank

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

▲ TO BE SIGNED BY ALL UNIT HOLDERS IF MODE OF HOLDING IS JOINT. ALTERATIONS, IF ANY, SHOULD BE COUNTERSIGNED.

Sole/ First Unit holder/ Guardian/ POA

▲ Second Unit holder

▲ Third Unit holder

Important alert: In case there is any change to your KYC information please update the same by using the prescribed "KYC Change Request Form" and submit the same at the point of service of any KYC Registration Agency

FATCA & CRS - SELF CERTIFICATION FOR INDIVIDUALS

(To be filled and signed by folio(s) with Single holders only. In case of folio(s) with joint holders/Entities, separate SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS-SELF CERTIFICATION FORM needs to be submitted)

PAN: _____ Name: _____

Is the applicant/guardian's Country of Birth/Citizenship/Nationality/Tax Residency other than India? ☐ Yes ☐ No
 If yes, then please submit a Supplementary Know Your Client (KYC), FATCA and CRS - Self certification form attached along-with this transaction.

Declaration: I have read and understood the information requirements and the Terms and Conditions mentioned in the SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS-SELF CERTIFICATION FORM and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I hereby agree and confirm to inform HDFC Asset Management Company Limited/HDFC Mutual Fund/Trustees for any modification to this information promptly. I further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'. I hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me.

Sign of Sole Holder