

**Problem 1.** This exercise traces through the first few steps of a perceptron training algorithm. The task is to classify a sentence into one of two classes, which are called +1 and -1. We will use just two features; unlike the example in class, these features are not binary, but integer-valued. The features are:

**pron** The number of personal pronouns in the sentence.

**noun** The number of proper and common nouns in the sentence.

In the data below, each instance of **pron** is marked in red boldface, and each instance of **noun** is marked in green bold italics. A hyphenated term such as *Cochin-China* or *great-aunt* is considered a single term. The data (classes and sentences) are taken from Argamon et al.: Gender, genre, and writing style in formal written texts, *Text* 23(3): 321–346, 2003.

- a. (15 points) Count the features in each sentence and update the perceptron weights, using

Algorithm 5 of the perceptron reading (Daumé).

*weight starts with 0 and progresses gradually*

+1 Clara never failed to be astonished by the extraordinary felicity of her own name.

Feature counts: **pron** 1 noun 3    Weights: **pron** 1 noun 3 bias 0

Up 1 Nw 3  
B 1

-1 By 1925 present-day *Vietnam* was divided into three *parts* under French colonial *rule*.

Feature counts: **pron** 0 noun 3    Weights: **pron** 1 noun 0 bias 0

Up 1 Nw 3  
B 1

+1 She found it hard to trust *herself* to the mercy of  *fate*, which had managed over the years to convert *her* greatest *shame* into one of *her* greatest *assets*, and even after *years* of comparative *security* *she* was still prepared for, still half expecting the old *gives* to be revived.

Feature counts: **pron** 5 noun 7    Weights: **pron** 1 noun 0 bias 0

Up 1 Nw 3  
B 1

-1 The southern *region* embracing *Saigon* and the *Mekong delta* was the *colony* of *Cochin-China*; the central *area* with its imperial *capital* at *Hue* was the *protectorate* of *Annam*; and the northern *region*, *Tongking*, was also a separate *protectorate* with its *capital* at *Hanoi*.

Feature counts: **pron** 0 noun 16    Weights: **pron** 1 noun -1 bias -1

Up 1 Nw 3  
B 1

+1 But whenever *she* was introduced, nothing greeted the amazing, all-revealing *Clara* but *cries* of ‘How delightful, how charming, how unusual, how fortunate,’ and *she* could foresee a *time* when *friends* would name *their babies* after *her* and refer back to *her* with *pride* as the *original* from which *inspiration* had first been drawn.

Feature counts: **pron** 5 noun 8    Weights: **pron** 6 noun -8 bias 0

Up 1 Nw 3  
B 1

-1 The Annamese *emperor*, *Khai Dinh*, in *theory* ruled the two northern *regions* from *Hue* with the *benefit* of French *protection*, while *Cochin-China* was governed directly from *Paris* but in *effect* all three *territories* were ruled as *colonies*.

Feature counts: **pron** 0 noun 12    Weights: **pron** 6 noun -8 bias 0

Up 1 Nw 3  
B 1

2

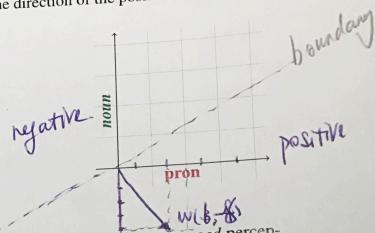
↓ ↓ ↓

$$\begin{aligned} & 6 - 26 = -20 \\ & -8 + 27 = 19 \end{aligned}$$

- b. (6 points) What is the decision boundary found by the perceptron? Give a formula, and draw the boundary on the graph with a vector pointing in the direction of the positive class (similar to Figures 4.6 and 4.9 in the reading).

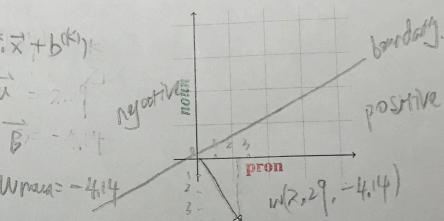
Formula:  $\beta = \{x : \sum_d w_d x_d = 0\}$   
 $w = (6, -8)$

Decision Boundary as the plane on the right



- c. (5 points) Suppose instead of the vanilla perceptron algorithm, we used an averaged perceptron (section 4.6 in the reading). What would be the decision boundary? Give a formula and draw the boundary on the graph.

Formula:  $\vec{y} = \text{Sign}(\sum_{k=1}^K c^{(k)} (\vec{w}_k \cdot \vec{x} + b^{(k)}) + b)$   
 $w = \vec{w} - \frac{1}{C} \vec{\mu} = 2.9$   
 $b = \vec{b} - \frac{1}{C} \vec{B} = -4.14$   
 $w_{point} = \frac{16}{7} = 2.29 \quad w_{bias} = -4.14$



- d. (4 points) How would each of the perceptrons (vanilla and averaged) classify each of the following texts?

pron: 7  
 notion: 5  
 pron: 7

Finally her confidence grew to such an extent that she was able to explain that she had been christened not in the *vanguard* but in the extreme *rearguard* of *fashion*, after a Wesleyan great-aunt, and that her mother had formed the *notion* not as an unusual and charming *conceit* but as a preconceived *penance* for her daughter, whose only *offences* at that tender age were her *existence* and her sex.

Vanilla:  $\begin{matrix} + \\ | \end{matrix}$       Averaged:  $\begin{matrix} \pm \\ | \end{matrix}$

pron: 2  
 notion: 5  
 pron: 9

Some backward *tribes* inhabited the remoter *mountains* and *jungles* but the main *population* was of the same *race*; today *they* are known as *Vietnamese* but then the outside *world* knew them as *Annamites* or *Annamese*.

Vanilla:  $\begin{matrix} - \\ | \end{matrix}$       Averaged:  $\begin{matrix} - \\ | \end{matrix}$

problem 2

a> Based on the Naive Bayes Model mentioned above we should classify the text based on which one has higher probability.

if  $n \cdot w_1 > n \cdot w_2$ , we classify text as Class 0  
else if  $n \cdot w_2 > n \cdot w_1$ , we classify text as Class 1.

b>



Based on a>, we try to compute the boundary that equally splits the angle between  $w_1$  and  $w_2$ .

We compute the unit vector for both  $w_1$  and  $w_2$

$$\text{as } \vec{w}_{1n} = \frac{\vec{w}_1}{|\vec{w}_1|}$$

$$\vec{w}_{2n} = \frac{\vec{w}_2}{|\vec{w}_2|}$$



Therefore, the boundary vector can be

$$\vec{B} = \frac{\vec{w}_1}{|\vec{w}_1|} + \frac{\vec{w}_2}{|\vec{w}_2|}$$

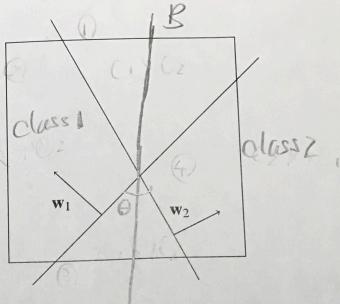
$$\text{Or } \vec{B} = -\frac{\vec{w}_1}{|\vec{w}_1|} - \frac{\vec{w}_2}{|\vec{w}_2|}$$

- c. (4 points) The following box represents a 2-dimensional feature space, with the planes and weight vectors associated with  $C_1$  and  $C_2$ . Use a diagram and an explanatory sentence to show how these planes determine a decision boundary, and indicate the decision regions (that is, which part of the feature space will be classified as  $C_1$  and which as  $C_2$ ).

As b state, we need to find  
the boundary that equally split  
the angle generated by  $\vec{w}_1$  and  
 $\vec{w}_2$ .

$B$ 's left side will be class 1 and

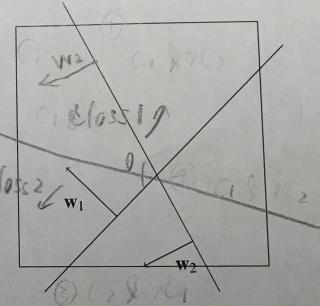
$B$ 's right side will be class 2



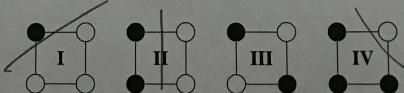
- d. (4 points) Do the same for the following case (diagram and explanatory sentence). What is the difference?

Similar to c, we find the line  
that equally splits the vector  $\vec{w}_1$ ,  
and  $\vec{w}_2$ .

The difference is since the direction  
of  $\vec{w}_2$  is opposite in compared with  
c, the boundary changes.



- e. (4 points) The following diagrams represent possible ways to split the four possible observations of two binary features between two classes. Which of the cases below are consistent with conditional independence of the feature values, given the class?



1 2 4. 3 doesn't work because if conditional independent,  
it should be able to be split with one line (linear).  
3 doesn't work