# 自然语言处理导论

# MiniGPT4-Finetuning 项目报告



Author: 夏子渊 金裕涵 林滨 程韬 潘越

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## 1.摘要

MiniGPT4-Finetuning 项目旨在基于视觉语言模型 MiniGPT-4,对 Flickr30k 数据集 开展指令微调,以增强图像-文本理解与生成能力。本文档总结了项目背景、环境配置、模型下载、微调流程、评估结果与未来工作等内容,为后续复现与迭代提供参考。

## 2. Project Introduction

MiniGPT-4 通过结合视觉编码器与 Vicuna 语言模型,实现了图像到文本的高质量对齐。为了进一步提升其在中文场景下的表现,我们开展了面向 Flickr30k 数据集的指令微调。

#### 实验环境:

• 操作系统: Ubuntu 18.04 LTS

• 显卡: NVIDIA GeForce RTX 3090 × 7

Python: 3.10.13CUDA: 11.7PyTorch: 2.0.1

## 3. Technical Details

## 3.1. 理论知识

### 3.1.1. 指令微调

指令微调是一种在预训练语言模型上进行微调的方法,旨在让模型学习到更多的指令相关知识。

### 3.1.2. 视觉语言模型

视觉语言模型是一种结合了视觉和语言的模型,它能够将图像和文本进行联合建模,从而实现图像到文本的生成。

#### 3.1.3. 评估指标

#### 3.1.3.1. BLEU

BLEU (Bilingual Evaluation Understudy) 是一种广泛使用的机器翻译评估指标。它通过比较生成文本与参考文本之间的 n-gram 重叠程度来计算分数。BLEU 分数范围从 0 到 1,1 表示完全匹配。主要特点:

- 计算 n-gram 精确率
- 使用简短惩罚因子
- 支持多个参考文本
- 对词序敏感

#### 3.1.3.2. CIDEr

CIDEr (Consensus-based Image Description Evaluation) 是专门为图像描述任务设计的评估指标。它的主要特点包括:

- 使用 TF-IDF 加权来强调重要词汇
- 考虑 n-gram 的共识程度
- 对罕见但准确的描述给予更高权重
- 分数范围从0到10,越高越好

#### 3.1.3.3. ROUGE-L

ROUGE-L (Recall-Oriented Understudy for Gisting Evaluation - Longest Common Subsequence) 是一种基于最长公共子序列的评估指标。其特点:

- 不要求严格连续匹配
- 考虑词序信息
- 计算召回率和精确率
- 对句子结构敏感
- 分数范围从0到1

## 3.2. 技术细节

## 3.2.1. 转换数据集

我们使用 [prepare\_flickr30k.py] 脚本将 Flickr30k 数据集转换为适合指令微调的格式。该脚本读取原始的 [flickr\_annotations\_30k.csv] 文件,并生成一个 JSON 文件,其中每个样本包含图像 ID 和对应的文本描述。

#### 3.2.2. 定义测评指标

我们使用上述 BLEU、CIDEr 和 ROUGE-L 等指标评估模型性能。

在自定义的 [eval\_scripts/eval\_flickr30k.py] 中,我们利用 [COCO API] 实现了上述评估指标的计算。

## 4. Experiment Results

## 4.1. Conda 环境

```
conda env create -f environment.yml
conda activate minigptv
```

## 4.2. 预训练模型获取

下载 Vicuna-7B 语言模型:

```
git clone https://huggingface.co/Vision-CAIR/vicuna-7b
cd vicuna-7b && git lfs pull
```

下载 MiniGPT-4 视觉 -语言模型权重,并配置 train\_configs/minigpt4\_flickr\_finetune.yaml。

## 4.3. 数据准备

执行脚本生成注解文件:

python prepare\_flickr30k.py

## 4.4. 指令微调

使用单卡 GPU 训练线性映射层:

torchrun --nproc-per-node 1 train.py --cfg-path train\_configs/ minigpt4\_flickr\_finetune.yaml

训练过程部分如图所示:

```
Initingity) - MixidPI4-Flacturing sitionals / proxy torchrum -mproc-per-node / train_py --dp-path train_configs/Miningst4_flickr_finetume.yaml

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```

```
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225-58-68 Interest (1970) [
"Scrict" "minipute",
"crop_path_cate* | no.
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```

```
2023-06-08 11:14:38,584 IDMFO) Start training
2023-06-08 11:14:38,584 IDMFO) detact_ration not specified, datasets will be concatenated (map-style datasets) or chained (webdataset.DataPipeline).

batch sizes [194,2184 IDMFO] Loaded 51014 records for train split from the dataset.

batch sizes [194,2184 IDMFO] weight
module.module.llamm_proj.weight
module.module.llamm_proj.weight
module.module.llamm_proj.biss
2023-06-08 11:14:42,213 IDMFO] number of trainable parameters: 31:49824
2023-06-08 11:14:42,213 IDMFO] number of trainable parameters: or per inner epoch.
```

## 训练结果部分如图所示:

epoch0:	Train: data epoch: [0] [ 0/500] eta: 1:07:00 lr: 0.000000 loss: 1.9803 time: 8.0414 data: 0.0001 max mem: 17019 Train: data epoch: [0] [ 50/500] eta: 0:04:30 lr: 0.0000000 loss: 1.9803 time: 8.0414 data: 0.00001 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0:03:43 lr: 0.0000000 loss: 1.6452 time: 0.4955 data: 0.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0:03:10 lr: 0.000000 loss: 1.6201 time: 0.523 data: 0.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0:02:12 lr: 0.000000 loss: 1.0724 time: 0.5422 data: 0.0000 max mem: 18202 Train: data epoch: [0] [ 250/500] eta: 0:02:15 lr: 0.000000 loss: 1.0724 time: 0.5422 data: 0.0000 max mem: 18202 Train: data epoch: [0] [ 330/500] eta: 0:01:48 lr: 0.000000 loss: 1.0000 loss: 1.0000 max mem: 18202 Train: data epoch: [0] [ 330/500] eta: 0:01:48 lr: 0.000000 loss: 1.0000 loss: 1.0000 max mem: 18202 Train: data epoch: [0] [ 340/500] eta: 0:01:48 lr: 0.000000 loss: 2.015 time: 0.5555 data: 0.00000 max mem: 18202 Train: data epoch: [0] [ 400/500] eta: 0:000515 lr: 0.0000000 loss: 2.015 time: 0.5555 data: 0.00000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0:000515 lr: 0.0000000 loss: 2.2011 time: 0.5520 data: 0.00000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0:000515 lr: 0.0000000 loss: 1.0000 loss: 0.5000 data: 0.00000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.000515 lr: 0.0000000 loss: 1.0000 loss: 1.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.00000 loss: 0.0000 loss: 1.0000 loss: 0.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.00000 loss: 0.0000 loss: 0.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.00000 loss: 0.0000 loss: 0.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.00000 loss: 0.0000 loss: 0.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.00000 loss: 0.0000 loss: 0.0000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.00000 loss: 0.00000 loss: 0.00000 max mem: 18202 Train: data epoch: [0] [ 150/500] eta: 0.00000 loss
epoch1:	Train: data epoch: [1] [ 8/500 eta: 0:04:23 lr: 0.000028 loss: 1.9601 time: 0.5261 data: 0.0000 max mem: 18202 Train: data epoch: [1] [ 58/500 eta: 0:04:13 lr: 0.000028 loss: 1.5284 time: 0.5750 data: 0.0000 max mem: 18203 Train: data epoch: [1] [ 180/500 eta: 0:08:14 lr: 0.000027 loss: 1.6805 time: 0.5750 data: 0.0000 max mem: 18203 Train: data epoch: [1] [ 180/500 eta: 0:08:19 lr: 0.000027 loss: 1.6805 time: 0.5750 data: 0.0000 max mem: 18203 Train: data epoch: [1] [ 180/500 eta: 0:02:51 lr: 0.000027 loss: 1.8755 time: 0.5775 data: 0.0000 max mem: 18706 Train: data epoch: [1] [ 180/500 eta: 0:02:51 lr: 0.000027 loss: 1.3415 time: 0.6004 data: 0.00000 max mem: 18706 Train: data epoch: [1] [ 180/500 eta: 0:01:51 lr: 0.000025 loss: 1.3415 time: 0.5809 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:01:51 lr: 0.000025 loss: 1.0131 time: 0.5809 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:01:51 lr: 0.000025 loss: 1.4317 time: 0.5809 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:01:51 lr: 0.000025 loss: 1.4317 time: 0.5809 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:01:51 lr: 0.000025 loss: 1.4317 time: 0.5809 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:01:51 lr: 0.000025 loss: 1.4317 time: 0.5809 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:01:51 lr: 0.000025 loss: 1.4488 time: 0.5738 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:00000 lr: 0.000023 loss: 1.4317 time: 0.5738 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:00000 lr: 0.000023 loss: 1.4488 time: 0.5738 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:00000 lr: 0.000023 loss: 1.4488 time: 0.5738 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:00000 lr: 0.000023 loss: 1.4488 time: 0.5738 data: 0.0000 max mem: 18708 Train: data epoch: [1] [ 180/500 eta: 0:000000 lr: 0.0000023 loss: 1.4488 time: 0.5738 data: 0.0000 max mem: 18708
epoch2:	Train: data epoch: [2] [ 0/500] eta: 0:04:40 lr: 0.000023 loss: 1.7006 time: 0.5003 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 50/500] eta: 0:04:32 lr: 0.000022 loss: 1.5678 time: 0.5503 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 150/500] eta: 0:04:06 lr: 0.000022 loss: 1.7568 time: 0.5933 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 150/500] eta: 0:03:06 lr: 0.000022 loss: 1.7500 time: 0.5933 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 150/500] eta: 0:03:06 lr: 0.000021 loss: 1.5700 time: 0.6045 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 250/500] eta: 0:03:06 lr: 0.000021 loss: 1.5516 time: 0.6045 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 250/500] eta: 0:02:30 lr: 0.000020 loss: 1.7502 time: 0.5999 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 250/500] eta: 0:02:06 lr: 0.000020 loss: 1.7502 time: 0.5999 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 150/500] eta: 0:02:06 lr: 0.000020 loss: 1.7503 time: 0.5999 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.1703 time: 0.6024 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.1703 time: 0.6024 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.1703 time: 0.6024 data: 0.0000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.5500 time: 0.6024 data: 0.00000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.5500 time: 0.6271 data: 0.00000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.5500 time: 0.6271 data: 0.00000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.5500 time: 0.6271 data: 0.00000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.5500 time: 0.6271 data: 0.00000 max mem: 18786 Train: data epoch: [2] [ 160/500] eta: 0:01:06 lr: 0.000020 loss: 1.5500 time: 0.6271 data: 0.00000 max me
epoch3:	Train: data epoch: [3] [ 0/500] eta: 0:04:47 lr: 0.000017 loss: 1.4256 time: 0.5756 data: 0.00000 max mem: 18786 Train: data epoch: [3] [ 50/500] eta: 0:04:13 lr: 0.000016 loss: 1.7858 time: 0.5246 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 100/500] eta: 0:04:11 lr: 0.000016 loss: 1.5871 time: 0.5247 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 100/500] eta: 0:03:11 lr: 0.000016 loss: 1.6457 time: 0.5247 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 100/500] eta: 0:03:10 lr: 0.000015 loss: 1.6425 time: 0.6242 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 130/500] eta: 0:03:10 lr: 0.000014 loss: 1.6425 time: 0.6242 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 130/500] eta: 0:02:37 lr: 0.000014 loss: 1.6455 time: 0.6542 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 130/500] eta: 0:02:37 lr: 0.000014 loss: 1.6545 time: 0.6545 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 130/500] eta: 0:02:37 lr: 0.000014 loss: 1.6554 time: 0.6545 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 140/500] eta: 0:01:37 lr: 0.000014 loss: 1.6554 time: 0.6554 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 140/500] eta: 0:01:37 lr: 0.000014 loss: 1.65457 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 140/500] eta: 0:00000 lr: 0.000012 loss: 1.5694 time: 0.6555 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 140/500] eta: 0:00000 lr: 0.000012 loss: 1.5794 time: 0.6555 data: 0.0000 max mem: 18786 Train: data epoch: [3] [ 100/500] eta: 0:00000 lr: 0.000012 loss: 1.5606  Train: data epoch: [3] [ 110/500] eta: 0:000000 lr: 0.000012 loss: 1.5606  Train: data epoch: [3] [ 110/500] eta: 0:000000 lr: 0.000012 loss: 1.5606  Train: data epoch: [3] [ 110/500] eta: 0:000000 lr: 0.000012 loss: 1.5606  Train: data epoch: [3] [ 110/500] eta: 0:000000 lr: 0.000012 loss: 1.5606
epoch4:	Train: data epoch: [4] [ 9/500] eta: 0:05:18 lr: 0.000012 loss: 1.4270 time: 0.6366 data: 0.0000 max mem: 18786 Train: data epoch: [4] [ 50/500] eta: 0:04:13 lr: 0.000012 loss: 1.4270 time: 0.6366 data: 0.0000 max mem: 18786 Train: data epoch: [4] [ 100/500] eta: 0:04:13 lr: 0.000011 loss: 1.7157 time: 0.6420 data: 0.0000 max mem: 18786 Train: data epoch: [4] [ 150/500] eta: 0:03:39 lr: 0.000011 loss: 1.750 time: 0.6220 data: 0.0000 max mem: 18786 Train: data epoch: [4] [ 100/500] eta: 0:03:30 lr: 0.000011 loss: 1.6249 time: 0.6522 data: 0.0000 max mem: 18786 Train: data epoch: [4] [ 1300/500] eta: 0:03:00 lr: 0.000011 loss: 1.6249 time: 0.5922 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1300/500] eta: 0:03:20 lr: 0.000001 loss: 1.0249 time: 0.5922 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1300/500] eta: 0:03:20 lr: 0.000001 loss: 1.9781 time: 0.5504 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:03:20 lr: 0.000001 loss: 1.6015 time: 0.5514 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:03:20 lr: 0.000001 loss: 1.6015 time: 0.5514 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time: 0.5507 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time: 0.5507 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time: 0.5507 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time: 0.5507 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time: 0.5507 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time: 0.5507 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time: 0.5507 data: 0.00000 max mem: 18786 Train: data epoch: [4] [ 1400/500] eta: 0:00:030 lr: 0.000001 loss: 1.4355 time:

## 4.5. 评估

运行如下脚本在多模型间对比性能:

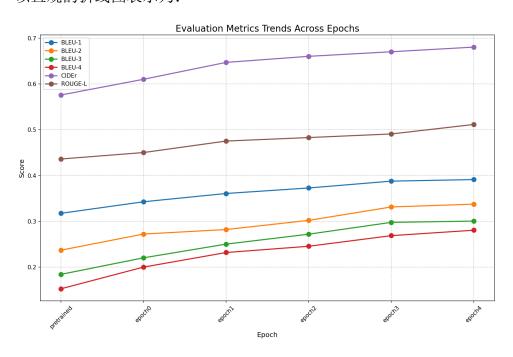
bash evaluate.sh

## 4.6. 评估结果可视化

下表汇总 eval\_result 目录中的全部评估图片:

```
],
"metrics": {
    "Bleu_1": 0.34274660775761279,
    "Bleu_2": 0.272301760418450755,
    "Bleu_3": 0.2294435634151917307,
    "Bleu_4": 0.20916141868619916154,
    "ROUGE_L": 0.450179017758932608,
    "CIDEr": 0.61010847025731902749
                                                                                                              "Bleu_1": 0.317545579755816,
                                                                                                            "Bleu_2": 0.2371140663520823,
"Bleu_3": 0.18432995702444758,
"Bleu_4": 0.152875626056117654,
pretrained:
                                                                                                                                                                                                                                   epoch0:
                                                                                                            "ROUGE_L": 0.43609977553385156,
"CIDEr": 0.5757773882602309
                                                                                                                                                                                                                                                                                                                     ],
"metrics": {
    "Bleu_1": 0.372833696680514919,
    -2": 0.32118269861753999,
                                                                                                      "metrics": {
                                                                                                              "Bleu_1": 0.36082843337020428,
"Bleu_2": 0.2821544461590899035,
"Bleu_3": 0.2503018028999777668,
                                                                                                                                                                                                                                                                                                                               "Bleu_2": 0.302118269861753999,
"Bleu_3": 0.271992948961117383,
         epoch1:
                                                                                                              "Bleu_4": 0.2319530068913809753,
"ROUGE_L": 0.475271546130820747,
"CIDEr": 0.64694223166740576177
                                                                                                                                                                                                                                   epoch2:
                                                                                                                                                                                                                                                                                                                               "Bleu_4": 0.245647988967164755,
"ROUGE_L": 0.4828737070059721617,
"CIDEr": 0.6601620766282209881
                                                                                                                                                                                                                                                                                                                  ],
"metrics": {
    "Bleu_1": 0.391210275346727523,
    "Bleu_2": 0.3375116112743456963,
    "Bleu_3": 0.3005195782900368486,
    "Bleu_4": 0.28068165731274391,
    "ROUGE_L": 0.51146649350291946,
    "CIDEr": 0.68028903337717087813
                                                                                                          Trics": {
"Bleu_1": 0.387758708236010888,
"Bleu_2": 0.3314395575149685573,
"Bleu_3": 0.297815365317167016,
"Bleu_4": 0.26876108524403288,
"ROUGE_L": 0.490735106569266002,
         epoch3:
                                                                                                                                                                                                                                   epoch4:
                                                                                                            "CIDEr": 0.67013968862732686532
```

## 以直观的折线图表示为:



## 4.7. 结果与分析

#### 4.7.1. 模型性能持续提升

随着训练轮次(Epoch)的增加,所有评价指标均呈现稳定上升趋势,表明模型通过迭代学习有效捕捉了文本生成任务的核心规律。其中:

- 语义与连贯性优化显著: CIDEr 和 ROUGE-L 的增速远超其他指标(详见图表斜率), 说明模型在生成内容的语义相关性、上下文连贯性上提升最为突出,逐渐接近人类语 言表达模式。
- 局部一致性稳步改进: BLEU 系列指标增长平缓但持续 (BLEU-1 至 BLEU-4 增幅约 50%-80%), 反映模型在局部词汇匹配和短语结构的准确性上逐步完善。

#### 4.7.2. 训练动态揭示关键拐点

- 早期快速收敛: pretrained 至 epoch1 阶段所有指标快速跃升,验证预训练权重提供了高质量初始化。
- 中后期差异化优化: epoch2 后 CIDEr 与 ROUGE-L 仍保持陡峭上升,而 BLEU 系列进入平缓增长期,表明模型后期更侧重于语义整体性而非局部词序精确度,符合文本生成任务的本质目标。
- 持续训练价值: 截至 epoch4,各曲线仍未出现平台期,建议扩展训练轮次(如至 epoch6)以挖掘性能潜力。
- 重点优化方向: 可针对性设计长依赖文本和抽象语义的增强训练模块(如注意力机制改进), 进一步发挥 CIDEr 与 ROUGE-L 的优势。

#### 4.7.3. 局限性与后续工作

- 评估维度补充: 需增加人工评价或 SPICE 等细粒度指标, 验证模型在视觉语义对齐上的表现(若为多模态任务)。
- 泛化能力检验: 当前结果基于单一数据集,需在跨领域数据上验证鲁棒性。
- 探索更大的语言模型后端。
- 优化推理速度与显存占用。

## References

[1]MiniGPT-4: Enhancing Vision-Language Understanding with Advanced Large Language Models

[2]minigpt4 github 仓库

[3]Flickr30k 数据集