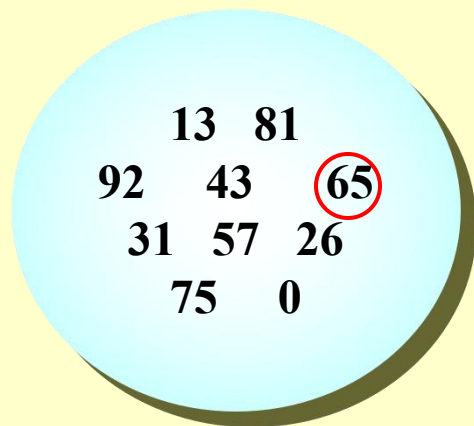


§ 7 Quicksort -- the **fastest** known sorting algorithm in practice

1. The Algorithm

```
void Quicksort ( ElementType A[ ], int N )
{
    if ( N < 2 ) return;
    ? pivot = pick any element in A[ ];
    ? Partition S = { A[ ] \ pivot } into two disjoint sets:
        A1={ a∈S | a ≤ pivot } and A2={ a∈S | a ≥ pivot };
    A = Quicksort ( A1, N1 ) ∪ { pivot } ∪ Quicksort ( A2, N2 );
}
```

The best case $T(N) = O(N \log N)$



The pivot is placed at
the right place **once**
and for all.

A light blue oval containing the sorted array: 0 13 26 31 43 57 65 75 81 92.

2. Picking the Pivot

👉 A Wrong Way: **Pivot = A[0]**

The worst case: A[] is **presorted** – quicksort will take $O(N^2)$ time to do **nothing** 😞

👉 A Safe Maneuver: **Pivot = random select from A[]**

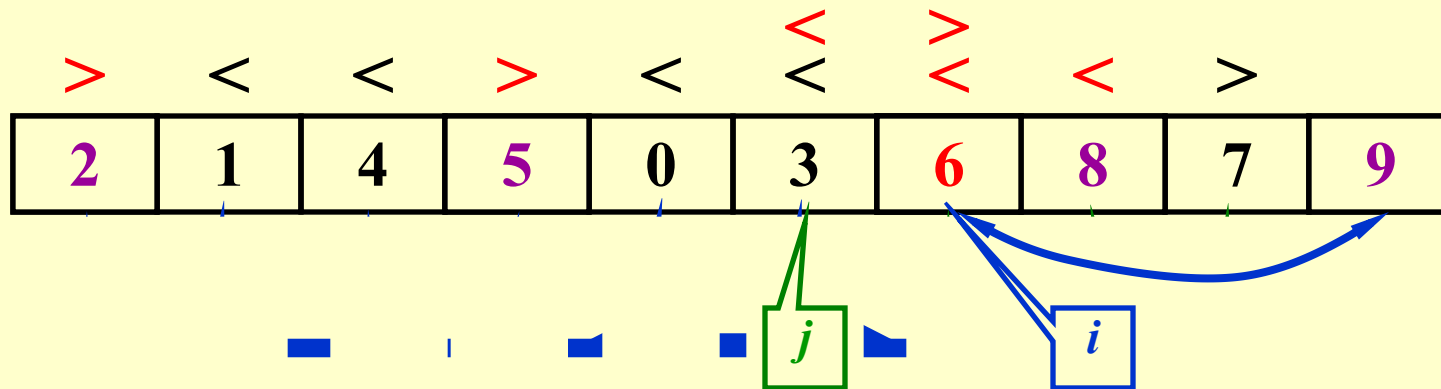
😞 random number generation is **expensive**

👉 Median-of-Three Partitioning:

Pivot = median (left, center, right)

Eliminates the bad case for sorted input and actually reduces the running time by about 5%.

3. Partitioning Strategy



Then $T(N) = O(N^2)$.
So we'd better stop both i and j
and take some extra swaps.



4. Small Arrays

Problem: Quicksort is slower than insertion sort for small $N (\leq 20)$.

Solution: Cutoff when N gets small (e.g. $N = 10$) and use other efficient algorithms (such as insertion sort).

5. Implementation

```
void Quicksort( ElementType A[ ], int N )
{
    Qsort( A, 0, N - 1 );
    /* A:    the array      */
    /* 0:    Left index     */
    /* N - 1: Right index   */
}
```

```
/* Return median of Left, Center, and Right */  
/* Order these and hide the pivot */
```

```
ElementType Median3( ElementType A[ ], int Left, int Right )  
{  
    int Center = ( Left + Right ) / 2;  
    if ( A[ Left ] > A[ Center ] )  
        Swap( &A[ Left ], &A[ Center ] );  
    if ( A[ Left ] > A[ Right ] )  
        Swap( &A[ Left ], &A[ Right ] );  
    if ( A[ Center ] > A[ Right ] )  
        Swap( &A[ Center ], &A[ Right ] );  
    /* Invariant: A[ Left ] <= A[ Center ] <= A[ Right ] */  
    Swap( &A[ Center ], &A[ Right - 1 ] ); /* Hide pivot */  
    /* only need to sort A[ Left + 1 ] ... A[ Right - 2 ] */  
    return A[ Right - 1 ]; /* Return pivot */  
}
```

```

void Qsort( ElementType A[ ], int Left, int Right )
{
    int i, j;
    ElementType Pivot;
    if ( Left + Cutoff <= Right ) { /* if the sequence is not too short */
        Pivot = Median3( A, Left, Right ); /* select pivot */
        i = Left;    j = Right - 1; /* why not set Left+1 and Right-2? */
        for( ; ; ) {
            while ( A[ ++i ] < Pivot ) { } /* scan from left */
            while ( A[ --j ] > Pivot ) { } /* scan from right */
            if ( i < j )
                Swap( &A[ i ], &A[ j ] ); /* adjust partition */
            else    break; /* partition done */
        }
        Swap( &A[ i ], &A[ Right - 1 ] ); /* restore pivot */
        Qsort( A, Left, i - 1 ); /* recursively sort left part */
        Qsort( A, i + 1, Right ); /* recursively sort right part */
    } /* end if - the sequence is long */
    else /* do an insertion sort on the short subarray */
        InsertionSort( A + Left, Right - Left + 1 );
}

```

6. Analysis

$$T(N) = T(i) + T(N - i - 1) + cN$$

☞ The Worst Case:

$$T(N) = T(N - 1) + cN \quad \longrightarrow \quad T(N) = O(N^2)$$

☞ The Best Case: [... ...] • [... ...]

$$T(N) = 2T(N/2) + cN \quad \longrightarrow \quad T(N) = O(N \log N)$$

☞ The Average Case:

Assume the average value

$$T(N) = \frac{2}{N} \left[\sum_{j=0}^{N-1} T(j) \right] + cN \quad \longrightarrow \quad T(N) = O(N \log N)$$

Read Figure 6.16 on p.214
for the 5th algorithm on
solving this problem.

[[Example]] Given a list of N elements and an integer k .
Find the k th largest element.

§ 8 Sorting Large Structures

Problem: Swapping large structures can be very much expensive.

Solution: Add a pointer field to the structure and swap pointers instead – **indirect sorting**. Physically rearrange the structures at last if it is really necessary.

〔Example〕 **Table Sort**

list	[0]	[1]	[2]	[3]	[4]	[5]
key	d	b	f	c	a	e
table	4	1	3	0	5	2

The sorted list is

list [table[0]], list [table[1]],, list [table[n-1]]

Note: Every permutation is made up of disjoint cycles.

list	[0]	[1]	[2]	[3]	[4]	[5]
key	a	b	c	d	e	f
table	0	1	2	3	4	5

temp = d
current = 3
next = 3

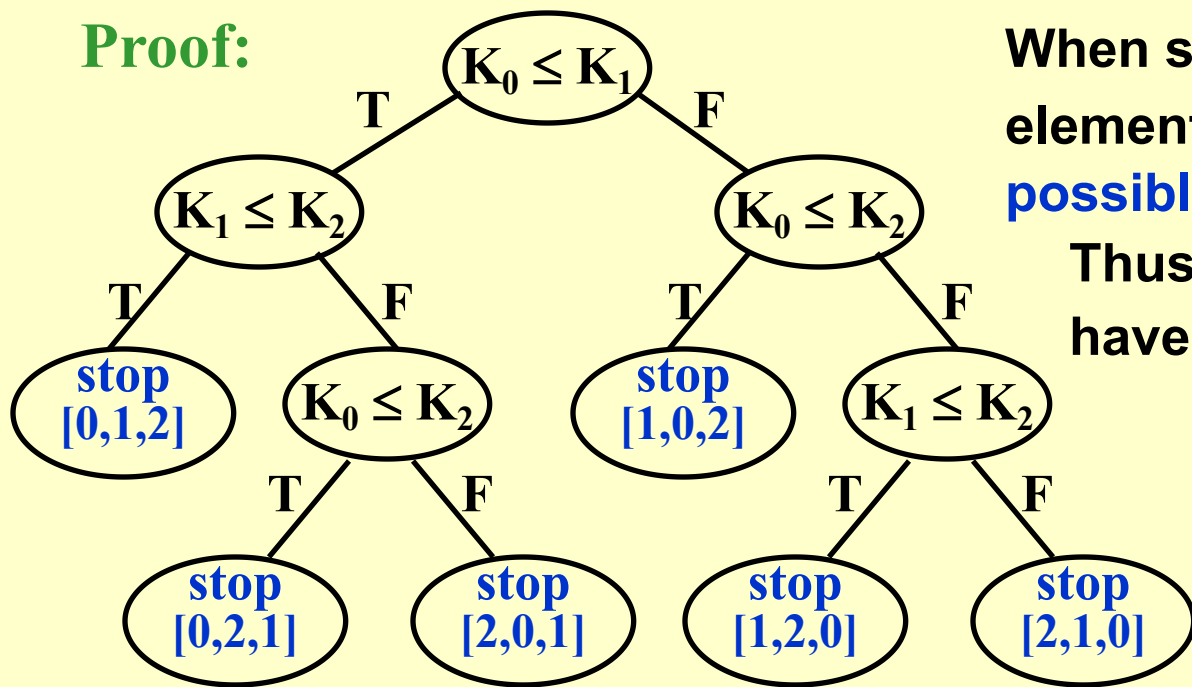
In the worst case there are $\lfloor N / 2 \rfloor$ cycles and requires $\lfloor 3N / 2 \rfloor$ record moves.

$T = O(m N)$ where m is the size of a structure.

§ 9 A General Lower Bound for Sorting

【Theorem】 Any algorithm that **sorts by comparisons only** must have a worst case computing time of $\Omega(N \log N)$.

Proof:



When sorting N distinct elements, there are $N!$ **different possible results**.

Thus any decision tree must have at least $N!$ **leaves**.

If the height of the tree is k , then $N! \leq 2^{k-1}$ (# of leaves in a complete binary tree)

$$\Rightarrow k \geq \log(N!) + 1$$

Decision tree for insertion sort on R_0 , R_1 , and R_2

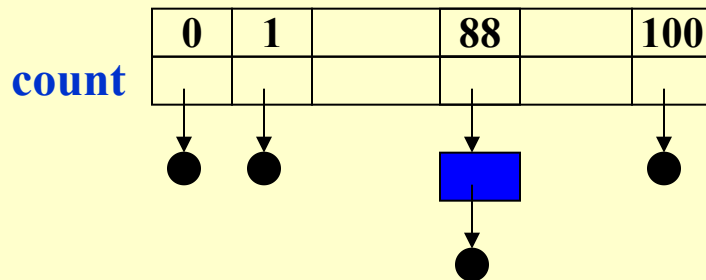
Since $N! \geq (N/2)^{N/2}$ and $\log_2 N! \geq (N/2)\log_2(N/2) = \Theta(N \log_2 N)$

Therefore $T(N) = k \geq c \cdot N \log_2 N$. ■

§ 10 Bucket Sort and Radix Sort

👉 Bucket Sort

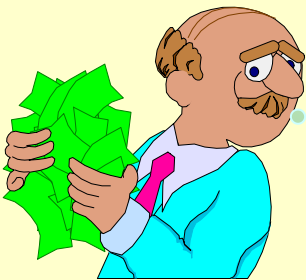
[[Example]] Suppose that we have N students, each has a grade record in the range 0 to 100 (thus there are $M = 101$ possible distinct grades). How to sort them according to their grades in **linear** time?



Algorithm

```
{  
  initialize count[ ];  
  while (read in a student's record)  
    insert to list count[stdnt.grade];  
  for (i=0; i<M; i++) {  
    if (count[i])  
      output list count[i];  
  }  
}
```

$$T(N, M) = O(M + N)$$



What if
 $M \gg N$?

【Example】 Given $N = 10$ integers in the range 0 to 999 ($M = 1000$)

Is it possible to sort them in **linear** time?

☞ Radix Sort

Input: 64, 8, 216, 512, 27, 729, 0, 1, 343, 125

Sort according to the **Least Significant Digit** first.

What if we sort
according to the **Most
Significant Digit** first?


Bucket	0	1	2	3	4	5	6	7	8	9
Pass 1	0	1	512	343	64	125	216	27	8	729
Pass 2	0	512	125		343		64			
	1	216	27							
	8		729							
Pass 3	0	125	216	343		512		729		
	1									
	8									
	27									
	64									

Output: 0, 1, 8, 27, 64, 125, 216, 343, 512, 729


$T = O(P(N+B))$
where P is the
number of
passes, N is the
number of
elements to sort,
and B is the
number of
buckets.

Suppose that the record R_i has r keys.

 $K_i^j ::=$ the j -th key of record R_i

 $K_i^0 ::=$ the **most** significant key of record R_i

 $K_i^{r-1} ::=$ the **least** significant key of record R_i

 A list of records R_0, \dots, R_{n-1} is **lexically sorted** with respect to the keys K^0, K^1, \dots, K^{r-1} iff

$$(K_i^0, K_i^1, \dots, K_i^{r-1}) \leq (K_{i+1}^0, K_{i+1}^1, \dots, K_{i+1}^{r-1}), \quad 0 \leq i < n-1.$$

That is, $K_i^0 = K_{i+1}^0, \dots, K_i^l = K_{i+1}^l, K_i^{l+1} < K_{i+1}^{l+1}$ for some $l < r-1$.

【Example】 A deck of cards sorted on 2 keys

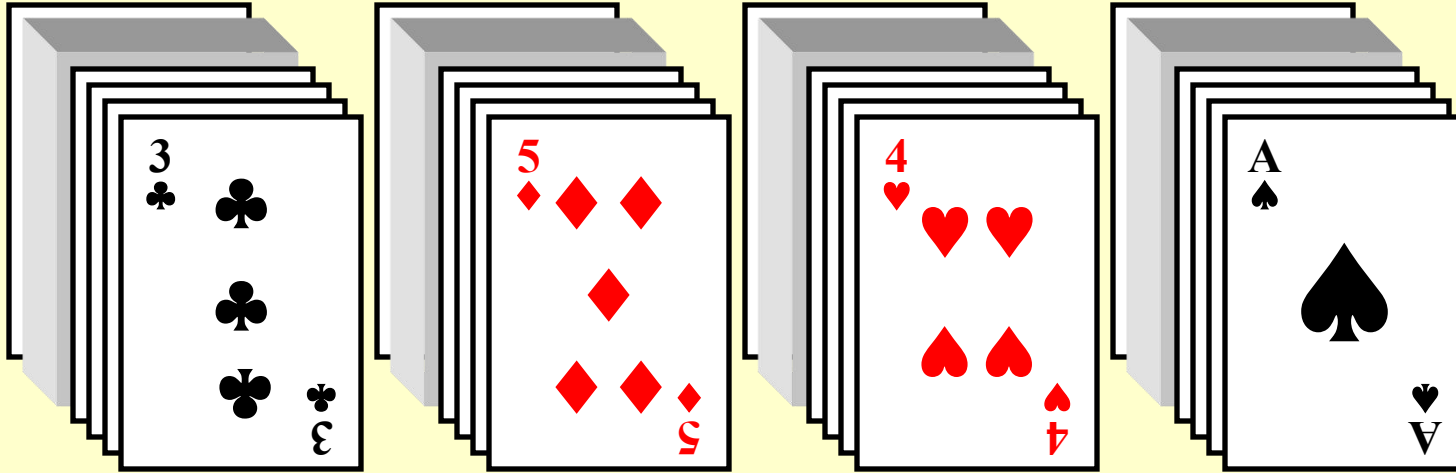
K^0 [Suit] ♣ < ♦ < ♥ < ♠

K^1 [Face value] 2 < 3 < 4 < 5 < 6 < 7 < 8 < 9 < 10 < J < Q < K < A

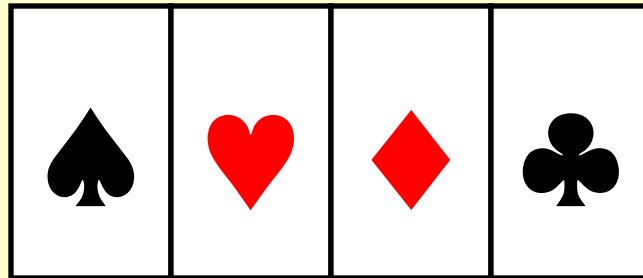
Sorting result : 2♣ ... A♣ 2♦ ... A♦ 2♥ ... A♥ 2♠ ... A♠

☞ **MSD (Most Significant Digit) Sort**

① Sort on K^0 : for example, create 4 buckets for the suits

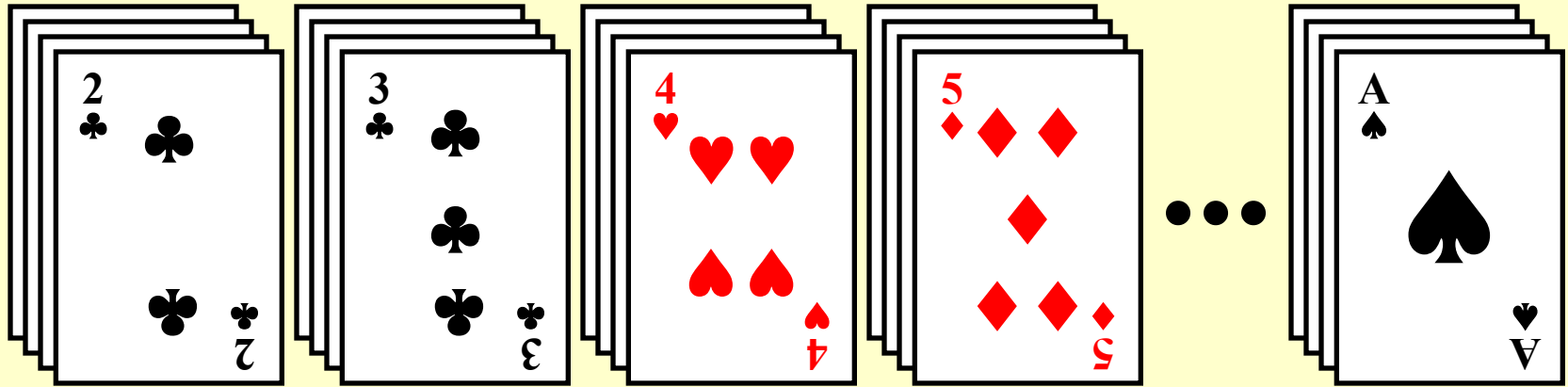


② Sort each bucket independently (using any sorting technique)

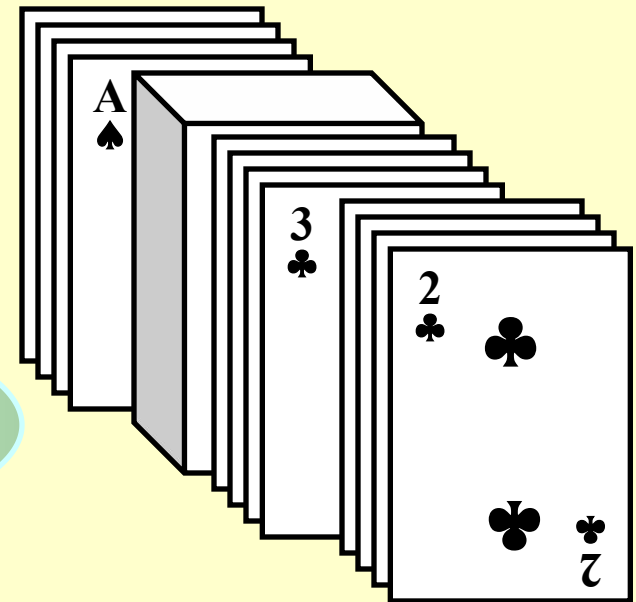


☞ LSD (**L**east **S**ignificant **D**igit) Sort

- ① Sort on K^1 : for example, create 13 buckets for the face values



- ② Reform them into a single pile
- ③ Create 4 buckets and resort



Question:
Is LSD always faster than MSD?



Bonus Problem 2

Replacement Selection

(2 points)

Due: Monday, June 17th, 2024 at 10:00pm

The problem can be found and submitted at

<https://pintia.cn/>