INF102 Algorithms, Data Structures and Programming

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INF102, practical stuff

- Lecturer: Marc Bezem; Team: see homepage
- ► Homepage: INF102 (hyperlinks in red)
- ► Also: GitHub (recommended); Dropbox: slides, schedule
- ► Textbook: Algorithms, 4th edition
- ▶ Prerequisites: INF100 + 101 (\approx Ch. 1.1 + 1.2)
- Syllabus (pensum): Ch. 1.3 − 1.5, Ch. 2 − 4
- Exam: three compulsory exercises and a written exam
- ▶ Old exams: 2004–2013, 2014
- Table of Contents of these slides

Resources

- Good textbook, USA-style: many pages, exercises etc.
- Average speed must be ca 50 pages p/w
- Lectures (ca 24) focus on the essentials
- ▶ Slides (ca 120, dense!) summarize the lectures
- Prepare yourself by reading in advance
- Workshops: selected exercises
- ► Test yourself by trying some exercises in advance
- ▶ If you can do the exercises (incl. compulsory), you are fine
- Review of exercises on Friday morning

Generic Bags, Queues and Stacks

- Generic programming in Java, example: PolyPair.java
- ▶ Bag, Queue and Stack are generic, iterable collections
- Queue and Stack: Ch. 9 in textbook INF100/1
- ► APIs include: boolean isEmpty() and int size()
- ► All three support adding an element
- Queue and Stack support removing an element (if any)
- ► FIFO Queue (en/dequeue), LIFO Stack (push/pop)
- Dijkstra's Two-Stack Expression Evaluation Movie
- ► Example: (1+((2+3)*(4*5)))

Implementations

- ResizingArray_Stack.java
- Arrays give direct access, but have fixed size
- Resizing takes time and space proportional to size
- LinkedList_Stack.java
- No fixed size, but indirect access
- ▶ Pointers take space and dereferencing takes time
- Programming with pointers: make a picture
- LinkedList_Queue.java

Computation time and memory space

- ► Two central questions:
 - How long will my program take?
 - ▶ Will there be enough memory?
- Example: ThreeSum.java
- ▶ Inner loop (here a[i]+a[j]+a[k]==0) is important
- Sorting helps: ThreeSumOptimized.java
- ▶ Run some experiments: 1Kints.txt, 2Kints.txt, ...

Methods of Analysis

Empirical:

- ▶ Run program with randomized inputs, measuring time & space
- Run program repeatedly, doubling the input size
- Measuring time: StopWatch
- ► Plot, or log-log plot and linear regression

Theoretical:

- Define a cost model by abstraction (e.g., array accesses, comparisons, operations)
- Try to count/estimate/average this cost as function of the input (size)
- ▶ Use O(f(n)) and $f(n) \sim g(n)$

ThreeSum, empirically

- ▶ Input sizes 1K, 2K, 4K, 8K take time 0.1, 0.8, 6.4 ,51.1 sec
- ► The log's are 3, 3.3, 3.6, 3.9 and -1, -0.1, 0.8, 1.71
- Basis of the logarithm should be the same for both
- ▶ Linear regression gives $y \approx 3x 10$
- ▶ $\log(f(n)) = 3\log(n) 10$ iff

$$f(n) = 10^{\log(f(n))} = 10^{3\log(n)-10} = n^3 * 10^{-10}$$

- ▶ Conclusion: cubic in the input size, with constant $\approx 10^{-10}$
- Strong dependence on input can be a problem
- ightharpoonup Constant 10^{-10} depends on computer, exponent 3 does not

ThreeSum, theoretically

- ▶ Number of different picks of triples: g(n) = n(n-1)(n-2)/6
- ▶ Inner loop a[i]+a[j]+a[k]==0 executed g(n) times
- $g(n) = n^3/6 n^2/2 + n/3$
- ► Cubic term $n^3/6$ wins for large n
- ► Computational model # array accesses: $3 * n^3/6 = n^3/2$
- ► Cost array access t sec: time $t * n^3/2$ sec
- Cost models are abstractions! (NB cache)

Big Oh, and \sim

- Q: 'wins for large n' uhh???
- ▶ A: Big Oh, and ~ will clear this up
- ▶ Costs are positive quantities, so $f, g, ... : \mathbb{N} \to \mathbb{R}^+$
- ▶ MNF130: f(n) is O(g(n)) if there exist $c \in \mathbb{R}^+$, $N \in \mathbb{N}$ such that $f(n) \le cg(n)$ for all $n \ge N$ (that is,, for n large enough)
- ► Example: n^2 and even $99n^3$ are $O(n^3)$, but n^3 is not $O(n^{2.9})$
- ▶ INF102: $f(n) \sim g(n)$ if $1 = \lim_{n \to \infty} f(n)/g(n)$
- ▶ If $f(n) \sim g(n)$, then f(n) is O(g(n)) and g(n) is O(f(n))
- ▶ Big Oh and ~ aim to capture 'order of growth'
- ightharpoonup Big Oh abstracts from constant factors, \sim does not
- Large constant factors are important!

Important orders of growth

- ▶ constant: c, f(n) = c for all n
- ▶ linear: n (compare all for n = 20 sec)
- ▶ linearithmetic: n log n
- ▶ quadratic: n²
- ightharpoonup cubic: n^3
- exponential: 2ⁿ
- general form: $an^b(\log n)^c$

Logarithms and Exponents

- ▶ Definition: $\log_x z = y$ iff $x^y = z$ for x > 0
- ▶ Inverses: $x^{\log_x y} = y$ and $\log_x x^y = y$
- Exponent: $x^{(y+z)} = x^y x^z$, $x^{(yz)} = (x^y)^z$
- ► Logarithm: $\log_x(yz) = \log_x y + \log_x z$, $\log_x z = \log_x y \log_y z$
- ▶ Base of logarithm: the *x* in log_{*x*}
- ▶ Various bases: $log_2 = lg$, $log_e = ln$, $log_{10} = log$
- ▶ Double exponent: e.g. $2^{(2^n)}$ (not used in INF102)
- ▶ Double logarithm: log(log n) (not used in INF102)

Worst case, average case, amortized cost

- Worst case: guaranteed, independent of input; Examples:
 - ► Linked list implementations of Stack, Queue and Bag: all operations take constant time in the worst case
 - Resizing array implementations of Stack, Queue and Bag: adding and deleting take linear time in the worst case (easy)
- ▶ Average case: not guaranteed, dependent of input *distribution*
- ▶ Amortized: worst-case cost *per operation*. E.g., each 10-th operation has cost ≤ 21 , all others cost 1, amortized ≤ 3 p/o.
- Resizing arrays: adding and deleting take constant time per operation in the worst case (proof is difficult)
- Special case of resizing array that is only growing: $1(2)2(4)34(8)5678(16)9 \dots 16(32) \dots$, with (n) the new size. Risizing to (n) costs 2n array accesses, so in total $(1+4)+(1+8)+(2+16)+(4+32)+(8+64) \dots$, so 9 p/push.

Staying Connected

- We want efficient algorithms and datastructures for testing whether two objects are 'connected'
- ▶ MNF130: relation $E \subseteq V \times V$ is an *equivalence* if
 - ▶ *E* is reflexive: $\forall x \in V$. E(x,x)
 - ▶ E is symmetic: $\forall x, y \in V$. $E(x, y) \rightarrow E(y, x)$
 - ▶ *E* is transitive: $\forall x, y, z \in V$. $E(x, y) \land E(y, z) \rightarrow E(x, z)$
- We assume connectedness to be an equivalence
- ▶ Dynamic connectivity means (here) that *E* can grow
- Clear relationship with paths in graphs, (connected) components (MNF130)
- ▶ Input: *N* and pairs in $V = \{0, ..., N-1\}$ defining *E*
- Challenge: efficient boolean connected(int p, int q)
- ▶ Example: N = 10, 4 3, 3 8, ... (algs4-data/tinyUG.txt)
- Picture on blackboard (don't print pairs that are already connected)

Union-Find

- Find, idea: every component has one element as its identifier, int find(int n) computes this identifier
- Union, idea: for any new pair n m that are not already connected, union(int n, int m) takes the union of the two components, ensuring find(n) == find(m)
- ► API: UF; Cost model: number of array accesses
- Implementations:
 - SlowUF.java: id[p] identifier of p find() ~ 1, union() ~ between n+3 and 2n+1
 - FastUF.java: int[] id pointers, id[p]==p: identifier find() ~ 1+2d, union() ~ 1+ two find()'s
 - ► WeightedUF.java: int[] id pointers, int[] sz subtree sizes find() and union() both ~ lg n
- WeightedUF: height of subtree of size k is at most lg k
- ▶ Path-compression: ultimate improvement of UF (almost O(1), amortized)

Sorting

- Sorting: putting objects in a certain order
- ▶ MNF130: relation $R \subseteq V \times V$ is a total order(ing) if
 - 1. R is reflexive: $\forall x \in V$. R(x,x)
 - 2. R is transitive: $\forall x, y, z \in V$. $R(x, y) \land R(y, z) \rightarrow R(x, z)$
 - 3. R is antisymmetric: $\forall x, y \in V$. $R(x, y) \land R(y, x) \rightarrow x = y$
 - 4. R is total: $\forall x, y \in V$. $R(x, y) \vee R(y, x)$
- Natural orderings:
 - ▶ Numbers of any type: ordinary \leq and \geq
 - ► Strings: lexicographic
 - ▶ Objects of a Comparable type: v.compareTo(w) <= 0</p>

Sorting (ctnd)

- Bubble sort: ExampleSort.java
- Certification: assert isSorted(a) in main()
- No guarantee against modifying the array (but exch() is safe)
- Costmodel 1: number of exch()'s and less()'s
- Costmodel 2: number of array accesses
- ► Pitfalls: cache misses, expensive v.compareTo(w) < 0
- Why studying sorting? (java.util.Arrays.sort())
- Comparing sorting algorithms: SortCompare.java

Selection Sort

- ▶ Bubble sort: $\sim n^2/2$ compares, $0 \le \text{exchanges} \le \sim n^2/2$
- Selection sort:
 - ► Find index of a minimum in a[0..n-1], exchange with a[0]
 - ▶ Find index of a minimum in a[1..n-1], exchange with a[1]
 - ▶ ... until n-2
- ▶ Selection sort: $\sim n^2/2$ compares, $0 \le \text{exchanges} \le n-1$ (!)

```
public static void sort(Comparable[] a) {
  int N = a.length;
  for (int i=0; i<N-1; i++){
    int min=i;
    for (int j=i+1; j<N; j++) if less(a[j],a[min])) min=j;
    if (i != min) exch(a,i,min);
  }
}</pre>
```

Insertion sort

- Insertion sort:
 - Insert a[1] on its correct place in (sorted) a[0..0]
 - Insert a[2] on its correct place in (sorted) a[0..1]
 - ▶ ... until a[n-1]
- Very good for partially sorted arrays, costs:
 - ▶ Best case: n-1 compares and 0 exchanges
 - Worst case: $\sim n^2/2$ compares and exchanges
 - ▶ Average case: $\sim n^2/4$ compares and exchanges (distinct keys)

```
public static void sort(Comparable[] a) {
  int N = a.length;
  for (int i=1; i<N; i++){
    for (int j=i; j>0 && less(a[j],a[j-1]); j--)
      exch(a,j,j-1);
  }
}
```

Shell sort

- Insertion sort:
 - Very good for partially sorted arrays
 - Slow in transport: step by step exch(a,j,j-1)
- ▶ Idea: h-sort, a[i],a[i+h],a[i+2h],... sorted (any i)

```
public static void hsort(int h, Comparable[] a) {
  int N = a.length;
  for (int i=h; i<N; i++)
   for (int j=i; j-h>=0 && less(a[j],a[j-h]); j-=h)
      exch(a,j,j-h);
}
```

- ▶ Insertion sort: hsort(1,a)
- ► Shell sort: e.g., hsort(10,a); hsort(1,a)

Shell sort (ctnd)

- ▶ hsort(10,a); hsort(1,a) faster than just hsort(1,a)!
- Q: How is this possible?
- ▶ A: hsort(10,a) transports items in steps of 10, which would be done by hsort(1,a) in 10 steps of 1.
- ▶ What about hsort(100,a); hsort(10,a); hsort(1,a)?
- ▶ To be expected: depends on the length N of the array
- ▶ Best practice: h = N/3, N/9, ..., 364, 121, 40, 13, 4, 1

Mergesort

- ► Top-down (recursive) algorithm:
 - Mergesort left half, mergesort right half
 - Merge the results
- Using an auxiliary array: TopDownMergeSort.java, Movie
- Bottom-up algorithm (16 elements):
 - Merge a[0],a[1], so a[2],a[3], so a[4],a[5], so ...
 - ► Merge a[0..1],a[2..3], so a[4..5],a[6..7], so ...
 - Merge a[0..3],a[4..7], so a[8..11],a[12..15]
 - Merge a[0..7],a[8..15], done!
- Also using an auxiliary array: BottomUpMergeSort.java

Run-time and memory use of mergesort

▶ Mergesort uses between $\sim (N/2) \lg N$ and $\sim N \lg N$ compares. Proof on bb. Important formula $(N = 2^n)$:

$$2C(2^{n-1}) + 2^{n-1} \le C(2^n) \le 2C(2^{n-1}) + 2^n$$

- ▶ Mergesort uses at most $\sim 6N \lg N$ array accesses
- ▶ Mergesort uses $\sim 2N$ space (plus some var's)
- Q: How fast can compare-based sorting of N distinct keys be?
- A: Ig N! ~ N Ig N; Proof in book and on bb. Keywords: binary compare tree, inner nodes for each compare(a[i],a[j]), permutations in the leaves,

 $\mathit{N}! = \mathsf{number} \ \mathsf{of} \ \mathsf{permutations} \leq \mathsf{number} \ \mathsf{of} \ \mathsf{leaves} \leq 2^{\mathsf{height} \ \mathsf{of} \ \mathsf{tree}}$

Quicksort

- ► Top-down (recursive) algorithm:
 - ► Choose a (pivot) value *v* in the array
 - ▶ Partition the array in non-empty parts $\leq v$ and $\geq v$
 - Quicksort the two parts
- ▶ Pros: in-place, average computation time $O(n \log n)$
- ▶ Cons: stack space for recursion, worst-case $O(n^2)$, not stable
- Implementation: QuickSort.java
- ▶ BTW: Bug in java.util.Arrays.sort

Quicksort, details

- Subtleties in sort(): shuffling protects against worst-case behaviour
- Termination of recursive quicksort()
- Subtleties in partition():
 - ▶ Invariants 1<=h in the two inner loops
 - Postcondition after the two inner loops
 - Invariant of the for(;;) loop
 - ► Termination of the for(;;) loop
 - There are some variations that are also correct

Run-time and memory use of quicksort

- ▶ Compare Quicksort to other sorts $(n = 10^2, 10^3, ...)$
- Quicksort: time $O(n^2)$ if pivot is always smallest (or largest)
- Randomization: choose pivot randomly, or shuffle array
- ▶ If all keys are distinct and randomization is perfect, then quicksort uses on average $\sim 2n \ln n$ compares and $\sim (n/3) \ln n$ exchanges (proofs in book, complicated)
- Relevant improvements:
 - ► Cut-off to insertion sort for sizes ≤ 15 (ca.)
 - Median-of-three pivot
 - Taking advantage of duplicate keys (3-way partitioning)
- Quicksort is generally quite good
- ▶ In special situations other sorts are better (e.g., countsort)

Priority Queues

- Assume collecting and processing items having keys
- Examples of keys: time-stamp, price-tag, priority-tag
- Assume: keys can be ordered
- Reasonable: processing currently highest (or lowest)
- Special cases: items time-stamped when added
 - Queue: dequeue currently oldest (lowest time-stamp)
 - Stack: pop currently newest (highest time-stamp)
- Priority queue generalizes this
- Examples: highest priority, largest transaction, lowest price
- ► Abstract from 'item' and use only 'key' (in applications: use objects with fields item and key and compare on key)

Priority Queues

► Good info: Wikipedia; API (the bare essentials):

```
public class ArrayListPQ<Key extends Comparable<Key>>
```

```
void     insert(Key v) // insert a key
Key     delMax() // delete a largest key, if any
boolean     isEmpty()
```

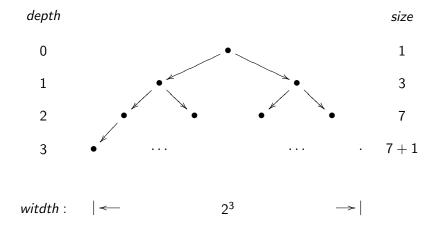
int size()

- ▶ Aim: operations in logarithmic time, no extra space
- In case of duplicate keys: 'a' largest, not 'the'
- Typical application: the 1K largest keys of 1G unsorted keys
- ► Client: BottomM.java (Q: why is the output slowing down?)

Binary Trees

- ▶ MNF130: Tree *size* is number of nodes, *depth* of a node is number of links to the root, tree *height* is maximum depth.
- ► MNF130: A binary tree is complete if all levels are filled. So, a complete binary tree of height h has 2^{h+1}-1 nodes.
- ► INF102: A binary tree is (left-)complete if all levels < h are filled, level h may be partly empty from the right (picture bb). A (left-)complete binary tree of height h has between 2^h and 2^{h+1}-1 nodes (from now on we leave out '(left-)').
- ▶ A complete binary tree of n nodes has height $|\lg n|$

Picture



Heap-ordered Binary Trees

- ▶ A binary tree is *heap-ordered* if the key in each node is ≥ the keys in its children (if any). Thus the root has a maximal key.
- Array representation of heap-ordered complete binary tree (bb)
- ▶ Methods swim() and sink(): picture on bb, code below
- Implementation: ArrayListPQ.java

Run-time and memory use of heaps, applications

- ▶ In a heap of n elements (since height is $\leq \lfloor \lg n \rfloor$):
 - ▶ swim(), and hence insert(), takes $\leq 1 + \lfloor \lg n \rfloor$ compares and $\leq \lfloor \lg n \rfloor$ exchanges
 - ▶ sink(), and hence delMax(), $takes \le 2\lfloor \lg n \rfloor$ compares
 - ▶ sink() takes $\leq \lfloor \lg n \rfloor$ exchanges, and $delMax() \leq 1 + \lfloor \lg n \rfloor$
- ▶ Heap construction by insert() can sometimes be improved
- ► Given an array of keys, right-to-left heap construction (bb) takes < 2n compares and < n exchanges
- Applications: heapsort and merging sorted streams (bb)
- Many variations with extended API (indexed priority queue)

Purpose of Sorting

- Sorting makes the following easier and more efficient:
 - ► Searching (binary search, example: ThreeSumOptimized
 - ▶ Searching and looking up, e.g., the pagenumber in an index
 - Removing duplicates
 - Finding the median, quartiles etc.
- Our sorting algorithms are generic: sort(Comparable[] a), for any user-defined data type with a compareTo() method
- ▶ We do *pointer sorting*, manipulating refs to objects.
 - Pro: not moving full objects
 - Cons: pointer dereferencing, no sort(int[] a)
- More flexibility: pass a Comparator object to sort()

Comparator object

```
API: void sort(Object[] a, Comparator c)
 ► Call: sort(a, new Transaction.WhenOrder())
 ► Call: sort(a, new Transaction.SizeOrder())
 Obs: import java.util.Comparator
 ▶ Obs: less(Object o1, Object o2, Comparator c)
 Priority gueues also with Comparator
public class Transaction {
 public static class WhenOrder {
 implements Comparator<Transaction>
  public int compare(Transaction t, Transaction v){...}
 } // End of Myorder
} // End of Transaction
```

Applications of Sorting

- Consider sorting first to make other problems easier
- Commercial computing (sort on price, departure time, ...)
- Search for information
- Job scheduling heuristic: longest processing time first
- Combinatorial search in Al
- To come: Prim's and Dijkstra's algorithms
- Data compressions
- Cryptology and genomics (e.g., longest repeating substring)

Symbol Tables

- Symbol table associates keys with values: key-value pairs
- Examples: keyword-page number, ID number-personal data
- Important operations:
 - ▶ Insert a key-value pair in the symbol table: void put(k,v)
 - Search the value for a given key (if any): Value get(k)
- Important conventions:
 - ▶ Inserting key-value for existing key: overwriting the value
 - No duplicate keys, no null keys
 - Value null: no value for this key
 - ▶ Lazy deletion: insert key-null; Eager: really delete key
- API of unordered symbol table
- ▶ Aim: all operations in time $\sim c(\lg n)$ with small constant c

ST Basics

- Archetypical ST-client: frequency counter (code: later)
- Cost model: number of compares
- ▶ Naive ST: unordered linked list, linear search
 - ▶ Search miss: $\sim n$ compares
 - ▶ Search hit: between 1 and \sim *n* compares
 - ▶ Random search hit: $(1 + \cdots + n)/n \sim n/2$ compares
 - ▶ Inserting *n* distinct keys: $(1 + \cdots + n) \sim n^2/2$ compares
- algs4-data/leipzig1M.txt: 21M words, 500K distinct
- Naive ST impracticable for genomics, internet
- Scale: G-T keys, M-G distinct (Kilo, Mega, Giga, Tera)
- Better: hashing (in Ch. 3.4)
- Better: ordered ArrayList, binary search, ArrayListST.java
- ▶ Binary search: $O(\lg n)$; ArrayList: insert amortized O(1)

Binary Search Trees

- ▶ Binary *search* tree: for every node, all keys to the left of this node are smaller, and all keys to the right are larger
- Search time: lenght of the path to the node where the key 'should' be
- ▶ Balanced binary tree with *n* keys has lg *n* height
- Unbalanced binary trees can have height n (so long paths)
- API of ordered symbol table
- ▶ UBST.java: put(), get(), size(), isEmpty()

Binary Search Trees (ctnd)

- Interrelated, increasing difficulty: min(), deleteMin(), delete(Key k)
- Node of minimum key: not null; has left child null; is root or left child of parent (picture on bb)

```
public Value min(Node x){//min in subtree with root x
  if (x==null) return null; // secures x!=null below
  Value v;
  do {v = x.value; x = x.left;} while (x!=null);
  return v;
} // cf. tail recursive min() in Alg. 3.3
```

- ▶ Delete minimum key, two cases: (1) both children null; (2) left child null
- Delete is really difficult: bb + BST.java
- Don't forget: update x.N along the path to the root!

Balanced Search Trees: keep paths short!

- ▶ NB tree balancing not as easy as in UF and Heap (4hrs!)
- ▶ A 2-3 search tree consists of 2-nodes and 3-nodes:
 - ► Each 2-node has two children and a key *k* such that all keys in the left subtree are < *k*, and all keys in the right subtree > *k*
 - ▶ Each 3-node has three children and two keys k_1 , k_2 such that all keys in the left subtree are $< k_1$, all keys in the middle subtree $> k_1$ and $< k_2$, and all keys in the right subtree $> k_2$
- Examples and pictures on bb
- ▶ Perfect 2-3 search tree: paths from root to leaves equally long
- Search: compare key with key(s) in node, if equal return corresponding value, else search in one of left, middle, right subtree where the key should be (if it occurs at all)
- Insert should preserve being perfect, rough idea:
 - ▶ into a 2-node: make it into a 3-node
 - ▶ into a 3-node: do something clever (explained on bb)

ToC and topics of general interest

- ► Table of Contents on next slide (all items clickable)
- Practical stuff: slide 2

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