

# Pushdown automata (PAs)

## Implementation of Computational Models (TC2037)

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# Pushdown Automata?

## Informal description of a PA

A Pushdown Automata (PA) is an Automata with a *stack*.

The automata has a *stack*, it is used as extra **memory** to make more complicated operations.

The *Pushdown Automata* are **equivalent** to the *Context-free Grammars*—they serve to represent context-free languages.

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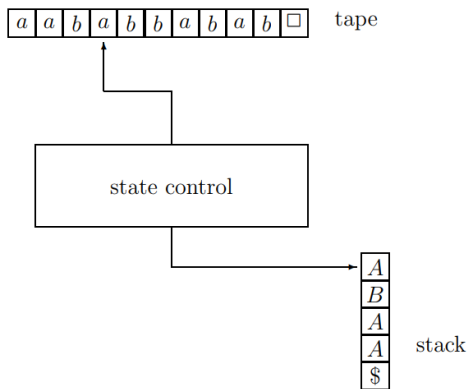


Figure 3.1: A pushdown automaton.

# What is a Pushdown Automata?

## Informal description of a PA

A PA has several elements:

### 1) A **tape** divided in **cells**

- Each cell contains a **symbol** of the input word that belongs to some **alphabet**  $\Sigma$  (also called **tape alphabet**).
- At the end of the tape, we have a **new symbol** to represent the **end of the word**:  $\square$ . This new symbol does not belong to the alphabet of the word.

2) A **tape head** that can read the value of each cell according to its position, and it can perform two actions  $\sigma = \{N, R\}$ :  $N$  if it does not move and  $R$  if it moves to the right.

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# What is a Pushdown Automata?

## Informal description of a PA

3) A **stack** that can store symbols.

- The symbols that can be stored in the stack belongs to **another alphabet**:  $\Gamma$ .
- One of these symbols is \$, which is **inside of the tape alphabet**.

4) A **stack head** that reads **the last symbol** of the same.

- The stack head can *stack* (**push**) more symbols, or it can *remove* the symbol from the top (**pop**).

5) A set of **states**, connected by **transitions** that depend of the symbols read by **both heads**.

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# A stack as a data structure

## Informal description of a PA

A **stack** (or in Spanish *pila*) works by the principle **LIFO**, that means *Last In, First Out*—the last element to enter is the first to be removed.

### Example

Let  $A$  be a stack with the values  $A = \langle 1, 2, 3 \rangle$  and  $F = \{\text{pop}, \text{push}\}$  the set of functions *in-place* applicable to stacks.

If we apply the function `pop` on  $A$ , then we obtain the value 3, and the stack will change to  $A = \langle 1, 2 \rangle$ .

If after that we introduce one more value—let us say 4—to the stack (using the function `push`), then the stack will turn to  $A = \langle 1, 2, 4 \rangle$ .

What would happen if we apply `pop` again?

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# Formal definitions

## Formal definition and design of PAs

There are **many** ways to express PAs and its elements:

- Brena (2003) uses transitions expressed in terms of the input and pop and push of the stack, belonging to a *relation of transition*, with final states and new symbols.
- Maheshwari and Smid (2017) use a **full** transition function in terms of the state, the input, tape movement ( $\sigma = \{N, R\}$ ) and the replace function of the stack, without final states.
- Tinelli (2016) uses transitions expressed in terms of the input and the replace function of the stack, with final states.

In our case we will use **a combination of the three**: using the notations of Maheshwari and Smid for the design, we improve the notations using Brena with the symbols of Tinelli because we're cool like that.

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## Formal definition and design of PAs

### Definition of a Pushdown Automata

A pushdown automata  $M$  is a 6-tuple with the form  $M = (Q, \Sigma, \Gamma, \delta, q, F)$  where:

- $Q$  is a finite set of **states**,
- $\Sigma$  is the **tape alphabet** (with no  $\square$ ),
- $\Gamma$  is the **stack alphabet** (including  $\$$ ),
- $q \in Q$  is the **initial state**,
- $F \subseteq Q$  is a finite set of **final states** and
- $\delta$  is the transition function.

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The transition function  $\delta$  is a function with the form:

$$\delta : Q \times (\Sigma \cup \{\square\}) \times \Gamma \rightarrow Q \times \{N, R\} \times \Gamma^*$$

### Example

We can write  $q_0 1S \rightarrow q_1 RSS$  that means:

- Being in the state  $q_0$ ,
- upon receiving a 1,
- and if the top of the stack has  $S$

then the PA

- change to the state  $q_1$ ,
- moves the tape to the right (*Right*) and
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# Additional considerations

## Formal definition and design of PAs

### Initial configuration

- The PA starts in the state  $q$ .
- The tape head starts in the initial symbol of word  $w$ .
- The stack starts with only one symbol, \$.

### Computation and termination

The PA makes a series of computation steps and *finishes* at the moment the stack is empty. If the stack is not empty, then the program does not end (infinite *loop*).

### Acceptance

The PA accept the words  $w$  if the following conditions are met:

- 1 the automaton ends, and
- 2 at the time of finishing, (i.e. the stack is empty) the tape head is with the symbol  $\square$ .

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- 1 the automaton ends, and
- 2 at the time of finishing, (i.e. the stack is empty) the tape head is with the symbol  $\square$ .

# Additional considerations

## Formal definition and design of PAs

### Initial configuration

- The PA starts in the state  $q$ .
- The tape head starts in the initial symbol of word  $w$ .
- The stack starts with only one symbol, \$.

### Computation and termination

The PA makes a series of computation steps and *finishes* at the moment the stack is empty. If the stack is not empty, then the program does not end (infinite *loop*).

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## Example: *Matching Parentheses*

Formal definition and design of PAs

**Examples of accepted words:**  $()$ ,  $((()))$ ,  $()()$ ,  $\dots$

**Structure:**

- Before finishing reading the whole word, the amount of “(” must be greater or equal than “)”, and
- When you finished reading the whole word, the number of “(” must be equal to the number of “)”.

Let us use  $a$  to represent “(” and  $b$  to represent “)” in the tape.

For each  $a$  read, we introduce as  $S$  in the stack. For each  $b$  read, we take out an  $S$ . How many states do we need? How many are finals?

Is a **complicated** process, so it is first suggested to define the PA formally (e.g. the complete transition function, and part by part), and then create the transition diagram and then pass it to a transition diagram with a smaller transition relation:  $Q \times \Sigma \cup \{\square\} \times \Gamma \rightarrow Q \times \Gamma^*$ .

Why not consider whether or not the tape head moves?

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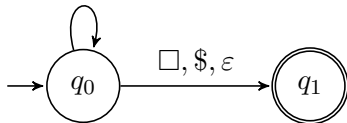
# Example: *Matching Parentheses*

Formal definition and design of PAs

$M = (Q, \Sigma, \Gamma, \delta, q, F)$ :

- $Q = \{q_0, q_1\}$
- $\Sigma = \{a, b\}$
- $\Gamma = \{\$, S\}$
- $\delta =$ 
  - ▶  $((q_0, a, \$)(q_0, \$S))$
  - ▶  $((q_0, a, S)(q_0, SS))$
  - ▶  $((q_0, b, S)(q_0, \varepsilon))$
  - ▶  $((q_0, \square, \$), (q_1, \varepsilon))$
- $q = q_0$
- $F = \{q_1\}$

$(a, \$, \$S), (a, S, SS), (b, S, \varepsilon)$



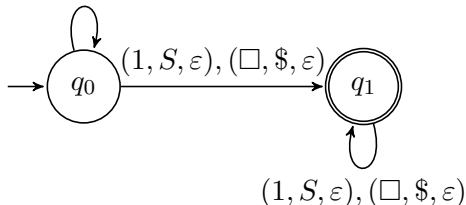
# Example: $\{0^n 1^n\}$

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$(0, \$, \$S), (0, S, SS)$



# Combination and concatenation

## Formal definition and design of PAs

### Combination of PAs

In a similar way we combine two NFAs, the idea is to make a **previous** initial state that unites the initial states of the PAs using empty transitions  $(\varepsilon, \varepsilon, \varepsilon)$ .

### Concatenation of PAs

The concatenation works in a similar way as the NFAs, however we must guarantee that the stack is found with *certain conditions* before moving to the next PA. The solution is to use a special symbol before starting with the first PA, and take it out before starting any operation with the second PA.

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# Exercises

## Formal definition and design of PAs

Construct (deterministic or nondeterministic) PA that accept the following languages

- 1  $\{w \in \{0, 1\}^* : w \text{ is a palindrome}\}.$
- 2  $\{w \in \{0, 1\}^* : w \text{ contains: } \}$ 
  - ▶ more 1s than 0s.
  - ▶ less 1s than 0s.
  - ▶ equal number of 1s and 0s.
- 3 Combine all PAs from exercise 2.
- 4  $\{0^{2n}1^n : n \geq 1\}.$
- 5  $\{0^n1^m0^n : n \geq 1, m \geq 1\}.$

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