Solutions to Self Study 2 Exercises

CLRS 18-1

- **a.** Obviously, with this primitive algorithm any sequence of n stack operations will require $\Theta(n)$ disk accesses and $\Theta(nm)$ CPU time.
- **b.** Now you need to write a block only once every m PUSH operations. Thus, there are $\Theta(n/m)$ disk accesses and $\Theta(n)$ CPU time.
- c. Here is an example worst-case sequence of stack operations: m+1 PUSH'es, 2 POP's, 2 PUSH'es, 2 POP's, 2 PUSH'es and so on. For the second POP, we will need to read the first disk page; for the second PUSH after that, we need to write that page back on disk again. Reading or writing of that page will happen every second operation. Thus, in the worst-case, a sequence of n stack operations will require $\Theta(n)$ disk accesses and $\Theta(nm)$ CPU time as in part a.
- **d.** We maintain two pages in memory at the top of the stack. Let's call them left and right. In addition to the stack pointer p, we maintain a pointer c to the last word of the left page. Note that both p and c just index words from the start of the stack file. We start with p=0 and c=m. The invariant is maintained that $c-m \le p \le c+m$, that is, p always points to a word in one of the two pages or to the element in the stack just before the first word of left.

```
PUSH(x)
1 if p == c + m
                          // both memory pages are full, we can write the left one to disk
2
        DiskWrite(left)
3
        left = right
4
                          // move one page to the right
        c = c + m
5 p = p + 1
  put x at the position p (in either left or right)
Pop()
1 if p == c - m
                         // we emptied both pages; need to read in the page before left
        left = DiskRead(a page with words from <math>p - m + 1 to p)
3
        c = c - m
                          // move one page to the left
4 x = a word at the position p (in either left or right)
5 \quad p = p - 1
6 return x
```

It is quite obvious, that this has the required amortized costs, but let us analyze it formally. For the analysis of the amortized CPU cost of any sequence of operations on stack S, we can define a potential function $\Phi(S) = |c-p|$. It grows to m when p reaches either c-m or c+m and the CPU costs of disk reads or writes can be paid from the potential. After disk read or disk write the potential drops to 0 (but is increased to 1 in line 5 of either PUSH or POP).

Thus, if the *i*-th operation does not involve disk reading/writing, $\Delta\Phi_i=\pm 1$, depending on which operation is performed and whether p is smaller or larger than c. Then, $\hat{c}_i=1+\Delta\Phi_i=1\pm 1=0$ or 2. If the i-th operation does involve disk reading/writing, $\Delta\Phi_i=\Phi_i-\Phi_{i-1}=1-m$ and $\hat{c}_i=m+1+\Delta\Phi_i=m+1+1-m=2$.

In conclusion, the amortized CPU time is O(1) per operation.

For the amortized I/O cost, we divide the potential function by m: $\Phi'(S) = |c - p|/m$. The rest of the analysis is analogous. The potential function grows from 0 to 1 and is 1 when disk read/write is performed, after which it drops to 0 (but is increased to 1/m in line 5).

If the *i*-th operation does not involve disk reading/writing, $\Delta \Phi_i' = \pm 1/m$. Then, $\hat{c}_i = 0 + \Delta \Phi_i' = \pm 1/m$. If the *i*-th operation does involve disk reading/writing, $\Delta \Phi_i' = \Phi_i' - \Phi_{i-1}' = 1/m - 1$ and $\hat{c}_i = 1 + \Delta \Phi_i' = 1 + 1/m - 1 = 1/m$. In conclusion, the amortized I/O cost is O(1/m) per operation.

CLRS4 26-1 (CLRS3 27-1)

a. Here is the algorithm expressed using nested parallelism (initial call with l=1 and r=n):

The work of the algorithm is $\Theta(n)$, the span is $\Theta(\lg n)$, so the parallelism is $\Theta(n/\lg n)$.

- **b.** When grain-size = 1, the parallelism is just 1, because the work and the span are both $\Theta(n)$.
- **c.** Here is the analysis. The work is $\Theta(n)$, independent of the *grain-size*. The span, as a function of *grain-size*, is S(grain-size) = n/grain-size + grain-size. To minimize the span, we differentiate it and solve the equation S'(grain-size) = 0. This gives that $grain-size = \sqrt{n}$ minimizes the span. The span is then $n/\sqrt{n} + \sqrt{n} = \sqrt{n} + \sqrt{n} = \Theta(\sqrt{n})$. The parallelism is work divided by span. Thus, when $grain-size = \sqrt{n}$, the parallelism is:

$$P = \frac{n}{\sqrt{n}} = \Theta(\sqrt{n}).$$

Comparing this with the parallelism in part a, we see that $n/\lg n = \omega(\sqrt{n})$ (To see it, observe that $\sqrt{n} = n/\sqrt{n}$ and remember that \sqrt{n} , as a polynomial function, dominates a logarithm). So the moral is that the standard "implementation" of parallel loops (as in part a) is the best way to parallelize loops using nested parallelism.