The life cycle of phonological patterns explains drift in sound change

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Key points and outline

- Drift occurs when shared innovations post-date the separation of protolanguages
- Joseph (2006, 2013): shared innovation is the narrowing of the range of inherited variation
- I agree! In fact, this is positively predicted by the life cycle of phonological processes (Bermúdez-Otero 2015)
- Parallel innovations could also be genuine phonetic parallels?
- Case study: Uralic consonant gradation
 - Typologically unusual: no easy recourse to typology
 - The life cycle accounts for the pattern *and* offers additional clues on its development

Drift, shared changes, and the life cycle

The basic problem

- · Three mechanisms of innovation sharing
 - Vertical transfer: inheritance
 - Horizontal transfer: contact
 - Parallel changes after (?) separation
- Drift in linguistics (Sapir 1921)¹

¹ Not the same as drift in genetics! But see Yanovich (2016)

Shared innovation after 'separation'

- Horizontal transmission
 - Wellentheorie, diffusion, linkages (François 2015)
 - Language and dialect contact
- Vertical transmission (Joseph 2013)
 - Languages inherit patterns of variation
 - Later change narrows the range of variation
 - Parallel innovations arise from the same pool of variation
- Round, Dockum & Ryder (2022): biologists know this as incomplete lineage sorting²

Why does the range of variation narrow?

• Because that's how sound change works!

² See also Jacques & List (2019)

• The life cycle of phonological processes (Kiparsky 1988, 1995, Bermúdez-Otero 2007, 2015, Ramsammy 2015, Sen 2016)

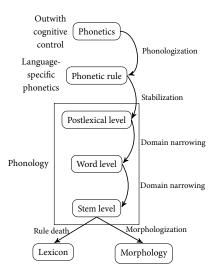


Figure 1: The life cycle of phonological processes

Sound change and phonological typology

- Historical phonologists are blessed/cursed³ with a raft of substantively grounded explanations/rationalizations for sound change (Blevins 2004, Garrett & Johnson 2013)
- Independent development can be difficult to exclude when the change is 'natural'
- · Less appealing explanation when it is not
- A classic case is morphological reduction via phonological reduction in unstressed syllables

Finnic and Sámi consonant gradation

Summary of case study

- A notable case of an unusual process in related languages that resists easy reconstruction to the common ancestor
- Amenable to a variationist account of drift informed by the life cycle
- This perspective also casts clearer light on some old controversies

Roadmap

- · Key notions
 - Rhythmic vs. syllabic gradation
 - Quantitative vs. qualitative gradation
- Finnic vs. Sámi: lenition vs. fortition
- Finnic vs. Sámi: expansion vs. contraction

³ Delete as appropriate

• 'Phonetic' vs. 'phonological' gradation and the life cycle

Key background: Proto-Uralic consonants

Manner	Labial	(Coro	nal	Do	orsal
Stop	р	t				k
Affricate				č		
Sibilant		S	ś	(š)		
Nasal	m	n	ń			ŋ
Lateral		1				
Rhotic		r				
Glide	w				j	
Unclear		δ	δ'			(x)

Table 1: Proto-Uralic consonants following Luobbal Sámmol Sámmol Ánte (2022), see also Janhunen (1981); Sammallahti (1988).

• Singleton/affricate distinction for *p $t \check{c} k$, perhaps *m

Consonant gradation

- Consonants come in 'weak' (Č) and 'strong' (C) varieties
- Originally allophonic, now morphologized and/or levelled to various degrees
- Two kinds of gradation conditioning:⁴
 - 'Rhythmic' ('suffixal'): Č after every even-numbered vowel
 - 'Syllabic' ('radical'): in R-strong positions, Č before a closed syllable

The common denominator: foot structure?

• Assuming $L \rightarrow R$ syllabic trochees

	(CÝ	ČV)	(ČѶ	ČV)
Rhythmic Syllabic	_	Strong Strong	Weak —	Strong (Strong)
	(ˈCÝ	ČVC)	(ČѶC	ČVC)
Rhythmic Syllabic	_	Strong Weak	Weak —	Strong (Weak)

• Syllabic gradation beyond main stress is limited to some Finnic varieties

For some overviews, see Laanest (1982), Novak (2014) and Bakró-Nagy (2022)

⁴ In the following, I refer to positions being Ror S- strong or weak, depending on the type of gradation at issue

Rhythmic gradation: Finnic

• Finnic partitive -tA

- *-tA > -tA

- *- $\check{t}A$ > -A

	R-weak		
Language	*mā 'land'	*korkea 'tall'	*kala 'fish'
Finnish	maata	korkeata	kalaa
Estonian	maad	kõrget	kala
Ingrian	тāра	(korkijā)	kal•ā

In the Ingrian partitive, rhythmic consonant gradation does not apply after odd-numbered vowels other than after heavy monosyllables (Laanest 1966: p. 106). See below for the half-length in *kal*•ā.

Rhythmic gradation: Sámi

- Northern Sámi inflection: 2PL.PRES *-bē-tē-dē(k)
 - 'Even-syllable stems', R-weak: borra-behttet 'you (pl.) eat'
 - 'Odd-syllable stems', R-strong: riegáde-hppet 'you (pl.) are born'
- Northern Sámi derivation: causative *-ttA < PUr *-ktA
 - R-weak borra-t 'eat', bora-hi-t 'feed'⁵
 - R-strong riegádi-t 'be born', riegáda-htti-t 'give birth'
- Comparative *-mbō
 - R-weak *- $b(\bar{o})$
 - R-strong *- $bb(\bar{o})$

Language	R-strong * <i>pɔ̄rēs</i> 'old'	R-weak * <i>nōrę</i> 'young'
Northern Sámi	boarráseabbo	GEN.SG nuorabu
South Sámi	båarasåbpoe	noerebe

⁵ The *h* in the R-weak grade is a later change: the expected weak grade of PSaa *tt is ht, which is what we find e.g. in Nielsen (1979): borâtit, riegadât'tet. Note the S-weak grade in borahit, caused by the following geminate (< *poře-tta-), contrast borrat < *poře-dēk

Syllabic gradation

- Similar but not identical behaviour in Sámi and Finnic
- Need to distinguish intervocalic consonants and consonants in clusters

The 'Q2 merger' in Finnic

- Singleton/geminate crossed with strong/weak creates a potential four-way
- The usual pattern is for C to merge with CC as a 'Q2 grade'

Estonian maintains a distinction between short and long geminates, but the origin may be secondary. We return later to Veps (and Livonian).

	*joke 'river'		*loppu 'end'	
	GEN *pĕk	NOM *p̀ t̀ k̀	GEN *pp tt kk	NOM *pp tt kk
Finnish	joen	joki	lopun	loppu
Votic	jõgõõ	jõtši	lõpuu	lõppu
Estonian	jõe	jõgi	lõpu	lõpp
Veps	jogen	jogi	lopun	lop

The 'Q2 merger' in Sámi

- Much the same picture in Sámi,[^9] with two important differences:
 - Not just stops, but also fricatives and sonorants participate in the alternation
 - The Q2 merger outcome is a short geminate/half-long consonant, not a short consonant
- Exceptions:
 - Special developments in Kola Sámi (Kildin and Ter), especially in stops
 - Southern varieties (southern Pite and all of Ume) lack gradation after short vowels due to consonant gemination in that context
 - No syllable gradation at all in South Sámi

Table 7: Syllable gradation in Sámi: stops

	*jokę 'river'		*tikkē 'louse'	
-	GEN *pĕ řě	NOM *p̀ t̀ k̀	GEN *pp ťt kk	NOM *pp tt kk
Skolt Sámi	joogg	(jokk)	tee′ǩǩ	te'ǩǩ
Inari Sámi	juuvâ	juuhâ	tihe	tikke
Northern	joga	johka	dihki	dihkki
Sámi South Sámi	johken	johke	dihkien	dihkie

Table 8: Syllable gradation in Sámi: continu-
ants

	*kōlē 'fish'		*kōssē	guest'
-	GEN *Č	NOM *Č	GEN *ČC	NOM *ČC
Skolt Sámi Northern Sámi	kue'l guoli	kue'll guolli	kue'ss guossi	kue's's guos'si
South Sámi	guelien	guelie	guessien	guessie

- This is the source of *quantitative gradation* and the synchronic ternary contrast in Sámi
 - $-\breve{C} = Q1$
 - $-\grave{C} = \check{C}C = Q2$
 - $\dot{C}C = Q3$

Quantitative and qualitative gradation

- Qualitative gradation occurs generally when Q1 reflexes⁶ are not qualitatively identical to Q2
- It is characteristic of Finnic⁷ and eastern varieties of Sámi

Language	Q1	Q2	Q3
Finnish	v d/r/l/j/h ∅/v/j	p t k	pp tt kk
Estonian	$\mathbf{v} \emptyset \emptyset$	b d g	p(p) t(t) k(k)
Votic	v ∅ g	ptk	pp tt kk
Ingrian	$\mathbf{v} \emptyset \emptyset$	B D G	pp tt kk
Skolt Sámi	v ð yy	hp• ht• hk•	^h pː ^h tː ^h kː
Inari Sámi	v ð v	$p^h \ t^h \ h$	prh trh krh
Northern Sámi	p/v ð k/γ/v/∅	hp ht hk	hːp hːt hːk
Lule Sámi	ptk	hp ht hk	hp: ht: hk:
Pite Sámi	p t k	hp ht hk	hp: ht: hk:

- South Sámi has unaspirated stops from unlengthened singletons vs. preaspirated stops from lengthened singletons and original geminates
 - gïete 'hand' < *kēte
 - johke 'river' < *jokke < *joke</p>
 - − aahka 'grandmother' < *ākkē

Secondary lengthening

- Many languages undergo consonant lengthening before secondary long vowels8
- The details differ significantly in both outcome and conditioning

Estonian

- Singleton stops lengthen to geminates, resulting in Q3
- Singleton sonorants do *not* lengthen
- Geminate sonorants do lengthen to Q39

Manner	Grade	Form		Outcome
Stop	Weak	*kät-ten	*käťten	käte ^{Q2}
		'hand-GEN.PL'		

⁹ Geminate sonorants are mostly secondary, cf. *linna 'town' < *litna, cf. Votic lidna

⁶ Usually of stops, but sometimes fricatives

⁷ Except Veps and Livonian, which lack syllabic gradation

⁸ Those arising from contraction after consonant deletion (e.g. due to R-gradation)

Manner	Grade	Form		Outcome
	Strong	*käte-hen 'hand-ILL'	*kä <i>ìtē</i> n	kätte ^{Q3}
Sonorant	Weak	*lina-n 'flax-GEN'	*liňa	lina ^{Q1}
	Strong	*lina-a 'flax-PART'	*li'nā	lina ^{Q1}
Geminate sonorant	Weak	*linna-n 'town-GEN'	*liňna	linna ^{Q2}
	Strong	*linna-a 'town-PART'	*li'nnā	linna ^{Q3}

Elsewhere in Finnic

- Original singletons lengthen before a long vowel
 - Allophonic lengthening in Finnish
 - Distinctive half-length in Ingrian
 - Neutralized to long in Finnish dialects
- This affects most or all consonants

Finnish	Finnish dialects	Soikkola Ingrian	Gloss
kala	kala	kala•	'fish-NOM'
kalaa	kallaa	kal•a	'fish-PART'
sota	sota	soda*	'war-NOM'
sotaa	sottaa	sot•a	'war-PART'
kukka	kukka	kukiə	'flower-NOM'
kukkaa	kukkaa	kuk:a•	'flower-PART'

Sámi

- Original $\grave{C} > \grave{C}C$ before various long vowels¹⁰
- In Sámi, this means Q2 > Q3 for all segments

Manner	Grade	Form		Outcome
Stop	Weak	*pɔ̄tē-m 'come-PRS.1SG'	*pōťēm	boađán ^{Q1}
	Strong Strengthe	* <i>pītē-tēk</i> 'come-INF' ned* <i>pītē-jē</i> 'come-PRS.PTCP'	*pɔ̄t̀ētēk *pɔ̄t̀tē-(j)ē	boahtit ^{Q2} boahtti ^{Q3}
Sonorant	Weak Strong	* <i>sōlōj</i> 'island-NOM' * <i>sōlōj-in</i> 'island-GEN'	*sōľōj *sōÌlō(j)-in	suolu ^{Q1} sul'lo ^{Q3}

- For a three-way alternation in sonorants, cf. Northern Sámi $doalan^{\mathrm{Q1}}$ 'hold-PRS.1SG' $\sim doallat^{\rm Q2}$ 'hold-INF' $\sim doal'li^{\rm Q3}$ 'hold.PRS.PTCP'
- For a Finnic parallel to such Q1 \sim Q3 alternations:

10 Usually those of secondary origin

- Q1 *käten 'hand-GEN' > Finnish käden, Estonian käe¹¹
- Q3 *käte-hen 'hand-ILL' > Finnish käteen, dialectal kätteen, Estonian kätte

¹¹ The form *käe* is Q3 for synchronic phonological reasons, but historically it is a *Č grade

Consonant gradation in clusters

- Every cluster comes only in a \check{C}_1C_2 and \grave{C}_1C_2 version
- Big difference between Finnic and Sámi
 - Finnic: lenition of C₂ in weak grade with Q2 merger
 - Sámi: fortition of C₁ in strong grade with no Q2 merger

Finnic: lenition of C₂

	*jalka 'foot'		*palkka 'wage'	
	GEN	NOM	GEN	NOM
Finnish	jalan	jalka	palkan	palkka
Votic	jalgaa	jalka	palkaa	palkka
Estonian	jala	jalg	palga	palk

Sámi: fortition of C1

- - Increased duration of C₁
 - Excrescent vowel after sonorants in western languages
 - Relative lenition (shortening, voicing) of C₂
- Č₁C₂
 - Relatively short duration of C₁
 - No excrescent vowel
 - Relative fortition (lengthening, voicelessness) of C₂

	*jōlkē 'foot'		*pālkkē 'wage'	
	GEN	NOM	GEN	NOM
Northern	juolggi	juolgi	bálkká	bálká
Sámi	[juolkːi]	[juolegi]	[paːlhkaː]	[paːlahkaː]

The origin of gradation

A common ancestor?

• There is no exclusive common ancestor for Finnic and Sámi: a Finnic-Sámi-Mordvin linkage (T. Itkonen 1983, Helimski 2006, Aikio 2015, Zhivlov 2015)

- Relatively late date:
 - Not all languages have S-gradation
 - * Livonian, Veps¹²
 - * South Sámi
 - ...although all have R-gradation, including South Sámi (Bergsland 1945)
 - Q2 merger not Common Sámi, absent in
 - * Kola Sámi (T. I. Itkonen 1916)
 - * Ume Sámi dialects (Bergsland 1973, Larsson 2012)
- Critically: differences in detail and fundamentally the nature of the process
- · Lenition in Finnic, fortition in Sámi (Ravila 1951, Gordon 1997, Sammallahti 1998)

Phonetics vs. phonology, and drift

- Long established idea that 'phonetic' gradation was in the proto-language but 'phonological' gradation developed separately in the daughter languages (Ravila 1960: p. 287, Leppik 1968, Korhonen 1981: pp. 237-238, Kallio 2007)
- This is *drift*

The life cycle of gradation

- R-gradation is older than S-gradation
 - It is found in all languages
 - It is a more drastic type of lenition (* $\check{t} > \emptyset$ in R-gradation, $t/\check{\delta}$ in S-
- Phonologization of quantitative S-gradation: duration asymmetry in footmedial onsets of closed syllables is innovated and spreads to parts of the Finnic-Sámi-Mordvin linkage
- Some (but not all) descendant languages experience stabilization to discrete phonological gradation patterns
- Similar outcomes in similar contexts, but inevitable differences in detail
- Rule scattering: the phonetic rule continues to exist after stabilization
 - The phonetic asymmetry is available to stabilize again later
 - Explains the pattern's 'pertinacity' (e.g. Dresher & Lahiri 2005, Kennard & Lahiri 2017)

The development of gradation

Lenition vs. fortition

- Uncontroversially, secondary lengthening is fortition
- Clear motivation from *metrical compensation*: (LH) is an exceptionally bad trochee¹⁴
- Amply attested synchronically (Gordon 1997, Bye 2005, Kiparsky 2008)

¹² Note that these are the most peripheral Finnic varieties, respectively west and east. Veps shows traces of gradation (e.g. Tunkelo 1938, Posti 1940, Turunen 1965), but these may be due to Karelian influence (see further Kettunen 1939, Laanest 1972).

¹³ Cf. on this criterion Bermúdez-Otero (2015)

¹⁴ Interestingly, in Ingrian (Markus 2010, 2011, Kuznetsova 2012) secondary lengthening also occurs in the onset of a non-initial (LL) foot, which is more difficult to motivate directly by such compensation.

• The nature of S-gradation is more contested

The fortition theory

- Qualitative gradation looks like lenition
- Not controversial for Finnic: lenition/degemination, ¹⁵ including in clusters¹⁶
- This cannot be right for Sámi: fortition instead (Ravila 1960, Gordon 1997, Sammallahti 1998, Bye 2001)

Expansion vs. contraction

- Finnic:
 - Stabilized S-gradation for stops
 - Some extension to other segments, usually secondary¹⁷
 - Secondary (pre-V) lengthening of most or all consonants
 - Phonetic onset shortening in closed syllables, applying to *all* consonants (Lehtonen 1970: p. 112)
- Sámi
 - Stabilized S-gradation for all consonants
 - Secondary lengthening(s) of all consonants

Alternative models

- Finnic-like restricted scope → expansion in Sámi
 - Historically tied to the Fennocentric view of S-gradation as lenition
- Sámi-like maximum scope \rightarrow restriction in Finnic
- Gordon (1997):
 - S-gradation is originally fortition of all consonants, driven by metrical structure (I agree)
 - Modern Finnic lost phonological fortition, with only the phonetic tendencies remaining (I disagree)

A life cycle perspective

- Patterns develop from phonetics to phonology, not the other way around
- Scope expansion is fairly mundane rule generalization (Vennemann 1972, Bermúdez-Otero 2015, Ramsammy 2015)
- Scope restriction must be rejected, *pace* Gordon (1997)
- Rule scattering: the persistence of the phonetic gradation rule conditions repeated stabilization
 - C > C before contracted vowels (Ingrian, Estonian dialects)
 - C > C: before contracted vowels (Finnish dialects, Sámi, Estonian)¹⁸
 - C > C: in some contexts before long vowels (some Sámi)

- ¹⁵ Recall that voiced continuants of the Č grade in Finnic cannot have come from the proto-language
- ¹⁶ This includes secondary generalization of qualitative gradation like s > z gradation in Votic: isä 'father.NOM' ~ izä 'father.GEN' (Markus & Rozhanskii 2017: p. 382)

¹⁷ Cf. Votic (Markus & Rozhanskii 2017: p. 381) issu-ma 'sit-INF' ~ isu-n 'sit-PRS.1SG' (Finnish istua, istun), püssü 'gun:NOM' ~ püsü 'gun:GEN' (Finnish pyssy, pyssyn)

¹⁸ Via the previous stage?

S-gradation, rule generalization, and rule scattering

Rule	Proto-language	Finnic	Sámi
Phonetic rule	✓	~	?
Stabilized stop gradation		✓	✓
Stabilized continuant gradation			✓

The question of shortening in closed syllable onsets is understudied. There is little clear evidence in this respect from the Sámi languages.

- **✓** Present
- Reconstructed
- ② Unclear

Secondary lengthening as pertinacious drift

Secondary lengthening	Fi	Ingr	Est	FiDial	Sámi
Phonetic rule	~	Ø	Ø	©	?
C > C * stops		✓	❷	❷	❷
C > C: stops			✓	✓	~
C > C all		✓		❷	❷
C > C: all				✓	~
Strengthening				✓	

- Lengthening before contracted vowels is a repeated process, conditioned by the constant presence of the phonetic rule
- The details differ, but stabilization reoccurs

Conclusions

- Parallel developments in related languages arise from a shared pool of variation as patterns proceed along the life cycle
- This approach extends to patterns that are otherwise unusual, unlike explanations from typology
- The life cycle framework casts some light on long-standing issues
 - Rule scattering explains repeated innovation (pertinacity) of lengthening
 - The life cycle offers a principled reason to reconstruct Finnic/Sámi phonological gradation as expanding rather than contracting in scope

Outstanding issues

- What is the precise relationship between rhythmic and syllabic gradation?
- What is the nature of the phonetic rule of S-gradation? Is Gordon (1997) right to view it as metrical compensation via foot-final lengthening?
- How old is Uralic gradation? What is the relationship of Finnic/Sámi gradation to Nganasan (Helimski 1996)?

Appendix

More typologically unusual drift

- Fall of the yers: the last Common Slavic sound change? (Trubetzkoy 1924) Or inherited 'tendencies'?
- Break-up of Common Slavic: mid to second third of first millennium CE
- Common Slavic *ъ ь < Proto-Slavic *й ї
- Havlík's Law: yers are 'weak' word-finally and unless followed by a weak yer, 'strong' before a weak yer
 - *v๖ l๖b๖ > *v๖ l**๖**bъ > Ru v lob
 - *v๖ l๖bu > *vъ l๖bu > Ru vo lbu
- Not exactly a common way of treating reduced vowels (Scheer 2011)
- Started in the south, eventually reached all of Slavic, ¹⁹ but not completed until the 13th century or even later in East Slavic
- Other possible examples
 - Germanic 'breaking' processes (Howell 1991)
 - North Germanic sonorant preocclusion (Sandøy 2003)

Why Sámi gradation must be fortition

- Qualitative gradation is not universal
- Limited qualitative lenition in clusters²⁰
- Q2 merger: the strong grade is longer
- Clear evidence there was no *t > * δ change provided by original * δ

	*kota 'house'		* <i>рабз</i> 'dam'		
Language	Weak	Strong	Weak	Strong	
Finnish	kodan	kota	padon	pato	
SaaN	goađi	goahti	buođu	buođđu	
SaaS	gåetie		boere		
Hungarian	ház 'house'		<i>fál</i> 'wall'		

Multiple secondary lengthenings

- Võro shows two separate secondary lengthenings (Iva 2010)
- C > C: before *VhV
- C > C before *VťV
- Confirmation that secondary lengthening proceeds in several stages

19 Possibly not even all (Zales'kỹĭ 1986, Kasatkin 1996, Dombrowski 2013)

 $^{\rm 20}$ Even in varieties with qualitative gradation otherwise, cf. Inari Sámi juelgi 'foot:NOM' \sim juelgi 'foot:GEN'; to the contrary Skolt Sámi jue'lǧǧ, weak grade jue'lj

	Short		Half-long	Long
	Weak	Strong		
Segments	GEN	NOM	PART	ILL
Stops	$\emptyset \emptyset \emptyset$	B D G	p [,] t [,] k [,]	p: t: k:
'shame'	*häĕi-n	*häṗi	*häpi-ťa	*häpi-hen
Silallie	häü	häbü	һäpü	һäppü
Sonorants	mnlr		m' n' l' r'	m: n: l: r:
'doe'	*peni-n	*peni	*peni-ťa	*peni-hen
'dog'	$pini^{\mathrm{Q1}}$	$pini^{\mathrm{Q1}}$	pinni ^{Q2}	`pinni ^{Q3}

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