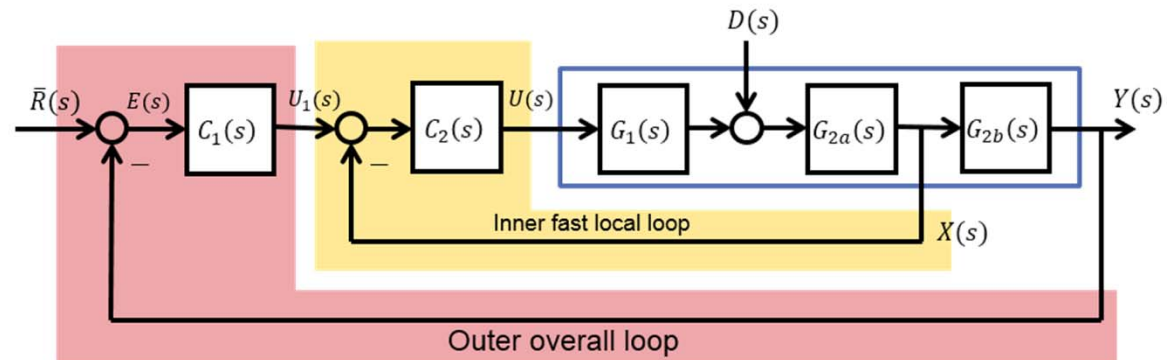
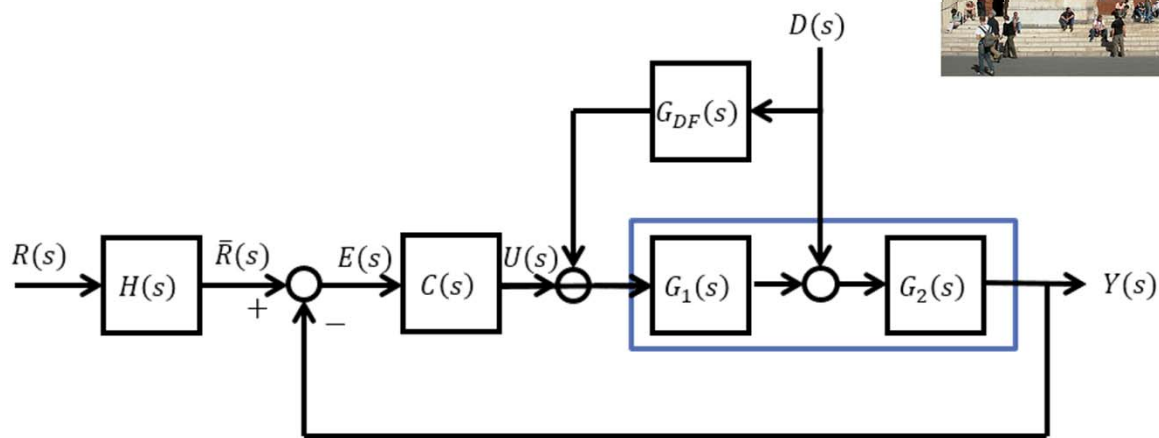
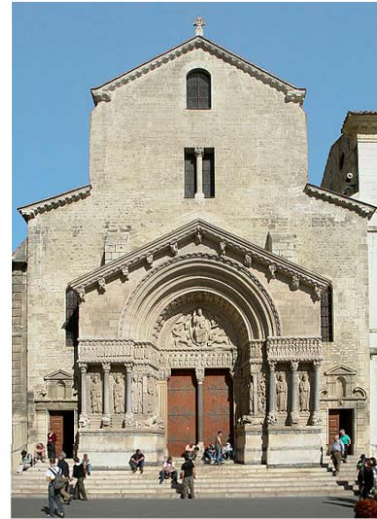


# FROM LAST TIME...

## Architectural Issues

- Internal Model Principle
- Feedforward
- Cascade Control



# INTRO TO STATE-SPACE MODELS

## Topics

- Review of State Space
- Transfer Functions and State Space
- Canonical Forms

## At the end of this sections, students should be able to:

- Convert an ODE to state space form.
- Identify components of a state space model.
- Describe observable and controllable canonical forms.
- Transform

# RECALL OUR PREVIOUS DISCUSSION ON CONTROL THEORY

## **Classical Control Theory**

- Single-Input Single-Output (SISO) systems
- Linear Time-Invariant (LTI) systems
- Developed without computers
- Graphical tools, algebraic manipulation

## **Modern Control Theory**

- Multiple-Input Multiple-Output (MIMO) systems
- Nonlinear systems
- Easy access to computers
- Numeric solutions, matrix manipulation

# DEFINITIONS

## State

- The smallest set of  $n$  variables (**state variables**) such that knowledge of these  $n$  variables at  $t = t_0$ , together with knowledge of the input for  $t \geq t_0$ , completely and uniquely determines system behavior for  $t \geq t_0$ .

## State vector

- $n^{\text{th}}$  order vector whose components are the state variables

## State space

- $n$ -dimensional space whose coordinate axes consist of the  $x_1$  axis,  $x_2$  axis, etc.

## State trajectory

- Path produced in the state space by the state vector as it changes over time

# FOR LTI SYSTEMS WE CAN SIMPLIFY THE GENERAL STATE SPACE FORM

**General state space equations:**

$$\begin{aligned}\dot{x}(t) &= f(x, u, t) \\ y(t) &= g(x, u, t)\end{aligned}$$

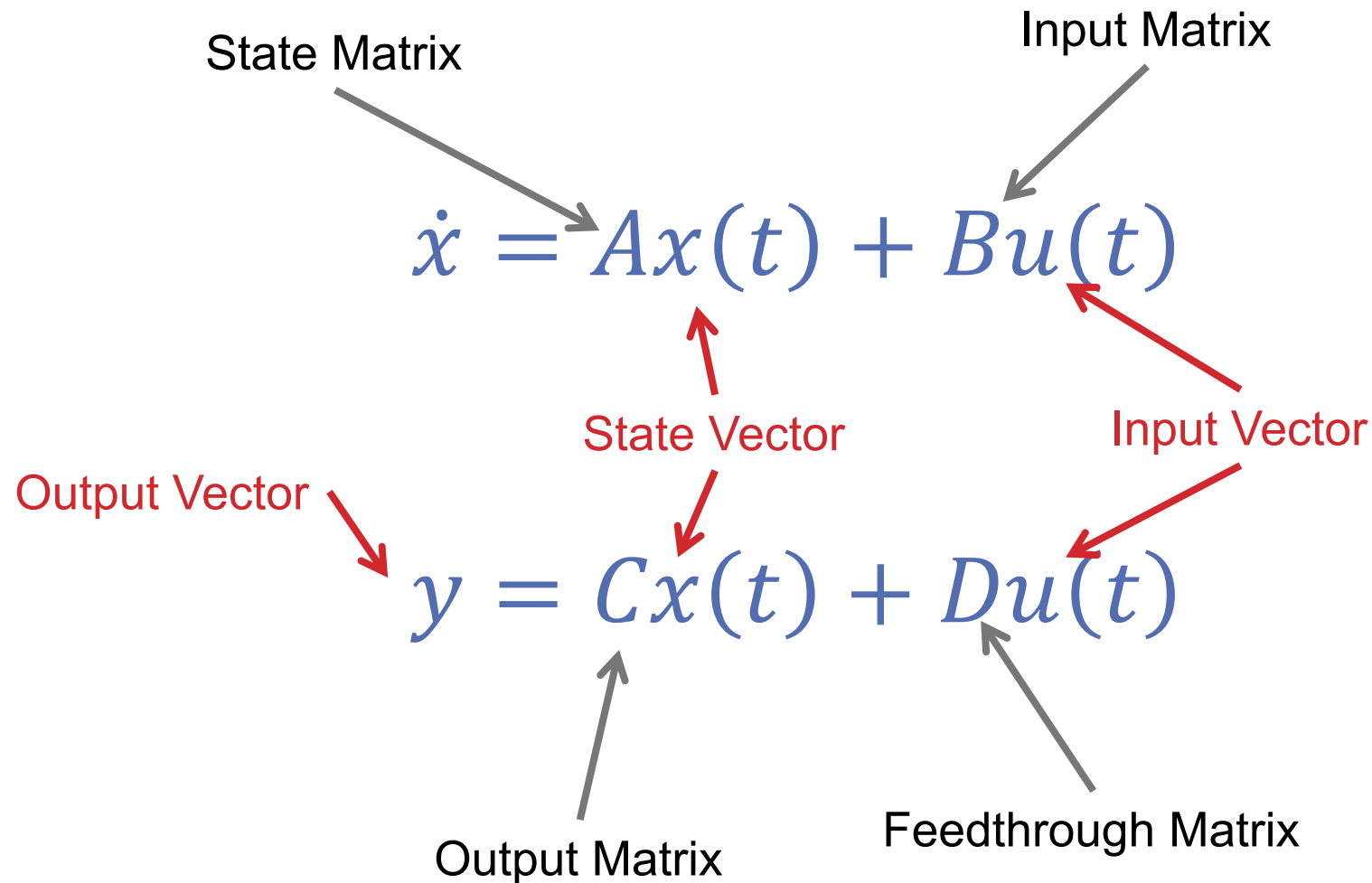
**Assuming system is linear:**

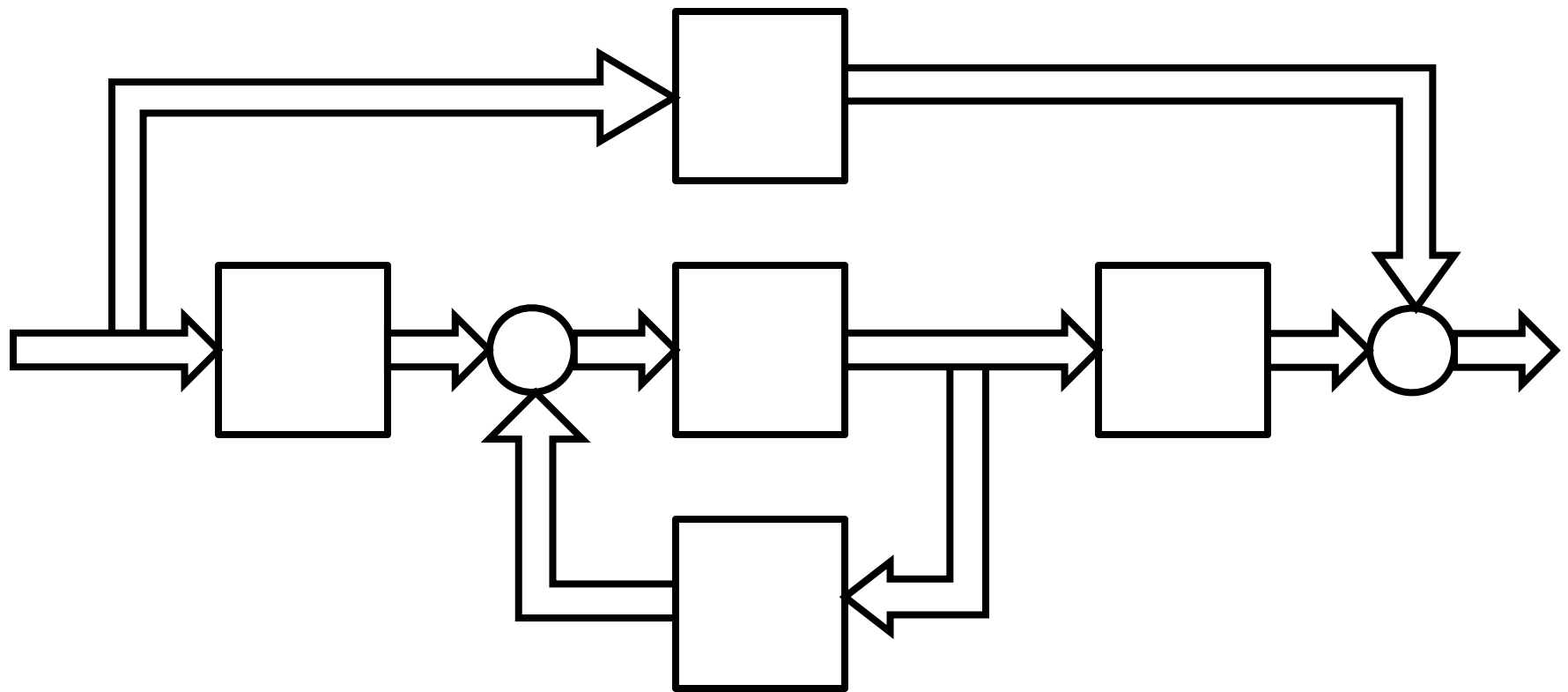
$$\begin{aligned}\dot{x}(t) &= A(t)x(t) + B(t)u(t) \\ y(t) &= C(t)x(t) + D(t)u(t)\end{aligned}$$

**Assuming system is time-invariant:**

$$\begin{aligned}\dot{x}(t) &= Ax(t) + Bu(t) \\ y(t) &= Cx(t) + Du(t)\end{aligned}$$

# WE WILL RESTRICT OURSELVES TO LINEAR STATE-SPACE MODELS





# WE CAN CONVERT STATE-SPACE TO TRANSFER FUNCTION FORM

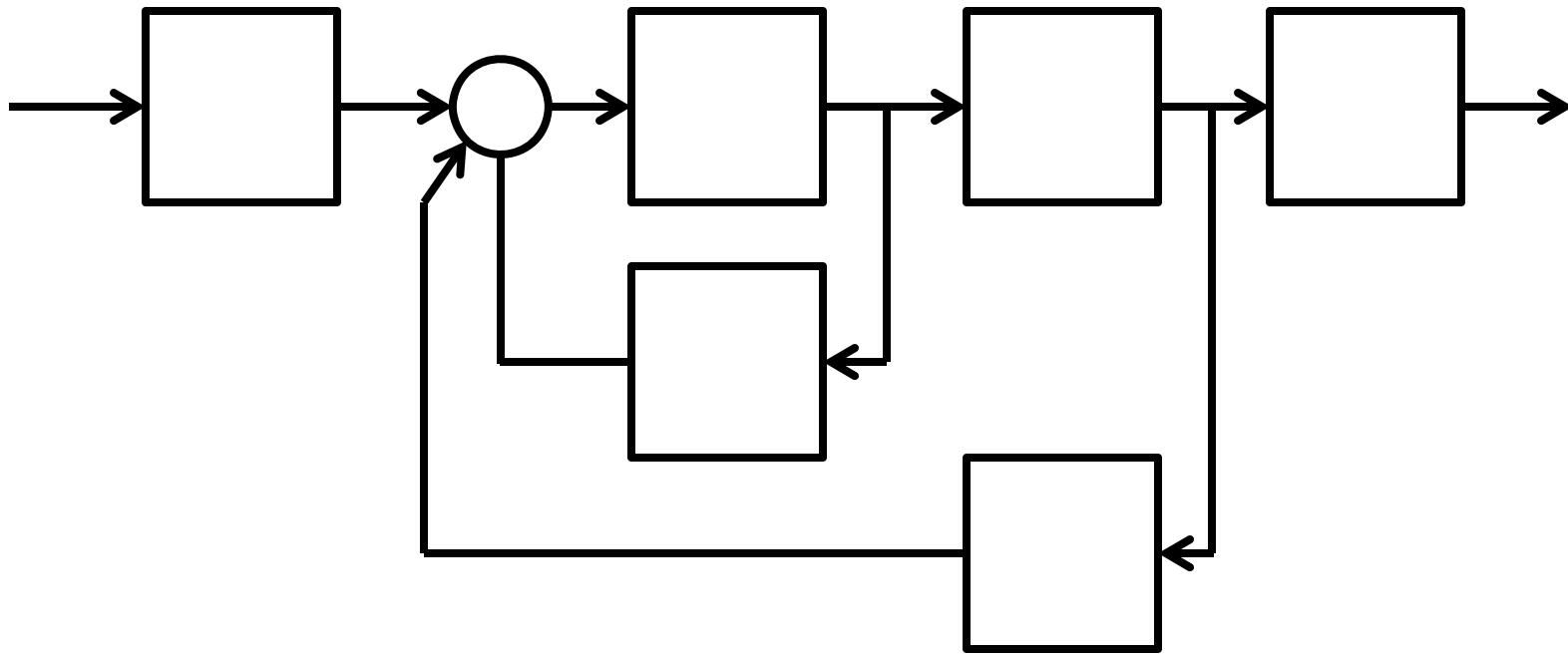
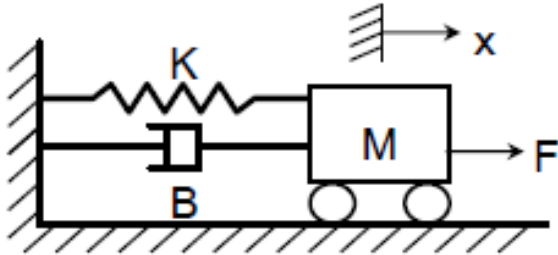
$$\dot{x} = Ax(t) + Bu(t)$$

$$y = Cx(t) + Du(t)$$

$$Y(s) = [C(sI - A)^{-1}B + D]U(s)$$



# CONSIDER A SECOND-ORDER SYSTEM: THE MASS-SPRING-DAMPER



# CONSIDER A GENERAL $n^{th}$ ORDER ODE

$$y^{(n)} + a_{n-1}y^{(n-1)} + \dots + a_1\dot{y} + a_0y = u$$



$$\frac{Y(s)}{U(s)} = \frac{1}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0}$$

# WE CAN WRITE THIS IN MATRIX FORM

$$\dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \vdots \\ \dot{x}_n \end{bmatrix} = \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \dot{x}_3 \\ \vdots \\ \dot{x}_n \end{bmatrix} + \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} u$$

$$y = \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} x$$

# WHAT IF THE GENERAL ODE INCLUDES INPUT DERIVATIVES?

$$y^{(n)} + a_{n-1}y^{(n-1)} + \dots + a_1\dot{y} + a_0y = b_mu^{(m)} + b_{m-1}u^{(m-1)} + \dots + b_1\dot{u} + b_0u$$

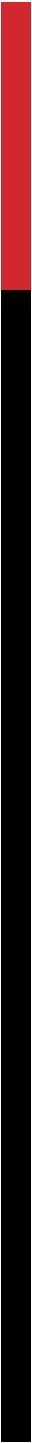


$$\frac{Y(s)}{U(s)} = \frac{b_ms^m + b_{m-1}s^{m-1} + \dots + b_1s + b_0}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0}$$

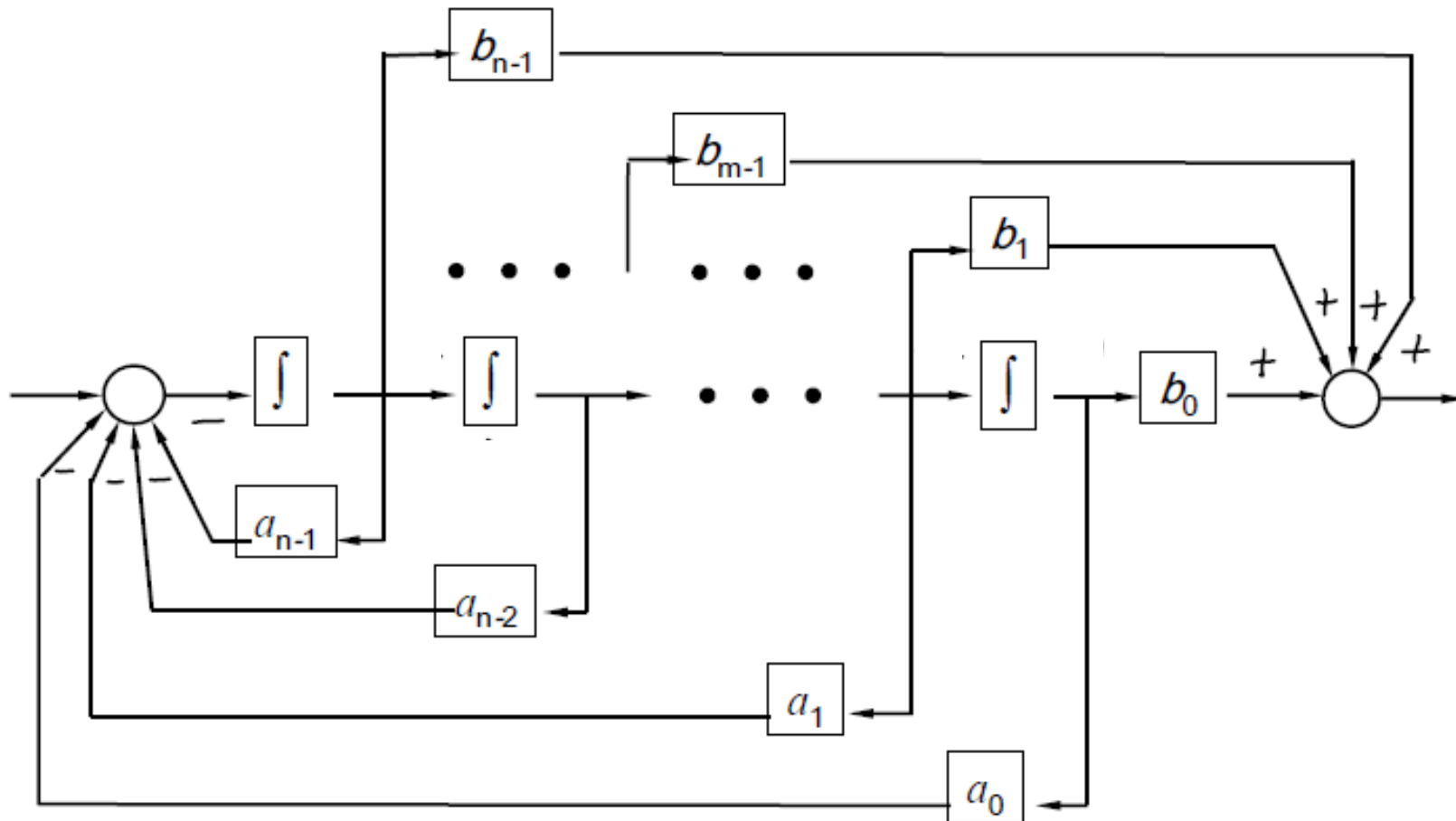
Define a new dummy variable  $Z(s)$

$$\frac{Y(s)}{Z(s)} = \frac{b_ms^m + b_{m-1}s^{m-1} + \dots + b_1s + b_0}{1}$$

$$\frac{Z(s)}{U(s)} = \frac{1}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0}$$



# CONTROLLABLE CANONICAL FORM

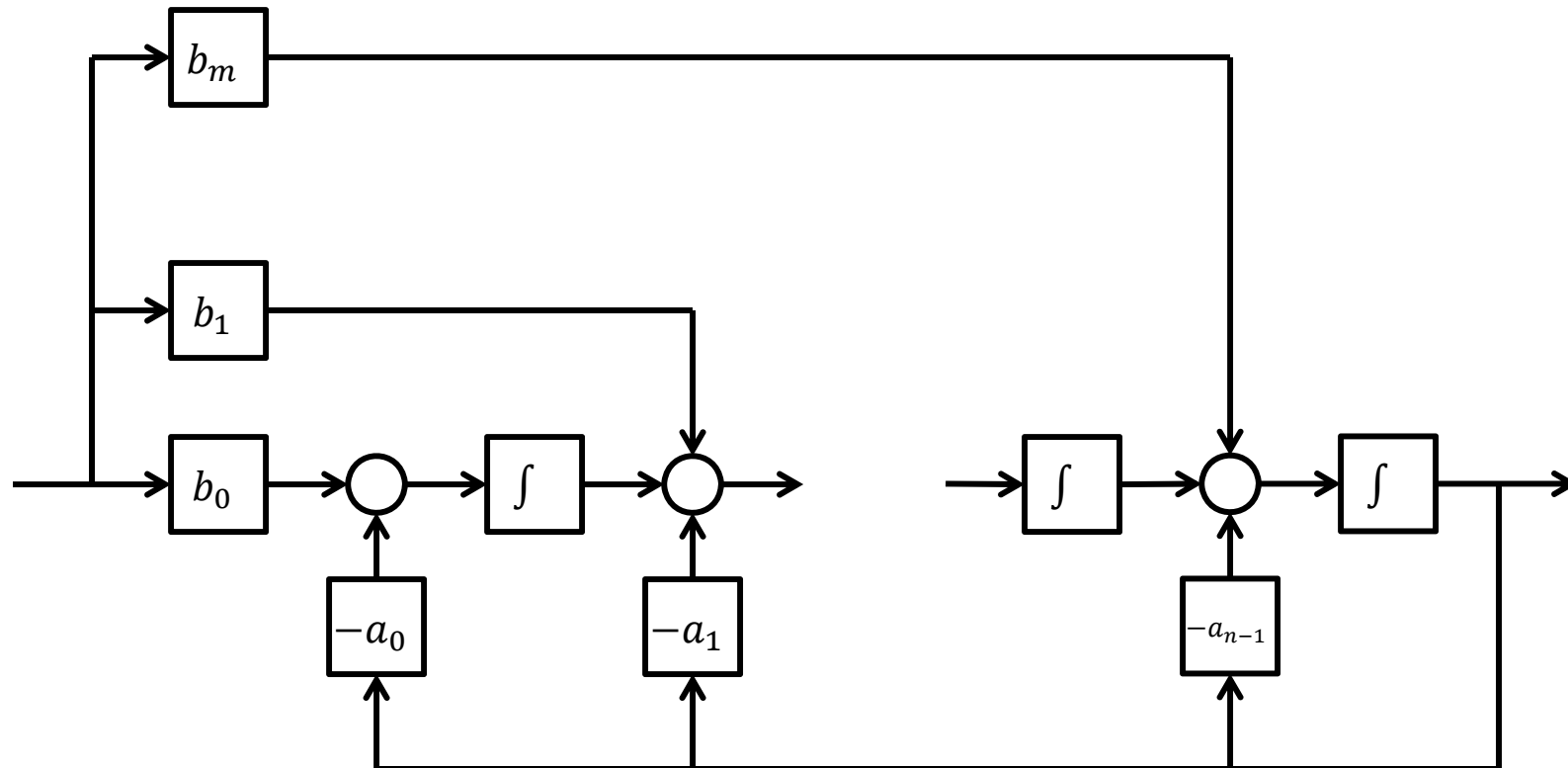


# CONTROLLABLE CANONICAL FORM

$$\dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \vdots \\ \dot{x}_n \end{bmatrix} = \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \dot{x}_3 \\ \vdots \\ \dot{x}_n \end{bmatrix} + \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} u$$

$$y = \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} x$$

# OBSERVABLE CANONICAL FORM





# OBSERVABLE CANONICAL FORM

$$\dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \vdots \\ \dot{x}_n \end{bmatrix} = \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \dot{x}_3 \\ \vdots \\ \dot{x}_n \end{bmatrix} + \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} u$$

$$y = \begin{bmatrix} \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \\ \phantom{\vdots} \end{bmatrix} x$$

OBSERVABLE AND CONTROLLABLE  
CANONICAL FORMS ARE RELATED BY  
A TRANSFORMATION.

# STATE TRANSFORMATIONS

Two sets of states can be related by a transformation matrix  $T$ :

**Original system:**

$$\begin{aligned}\dot{x} &= Ax + Bu \\ y &= Cx + Du\end{aligned}$$

**New System:**

$$\begin{aligned}\dot{z} &= \hat{A}z + \hat{B}u \\ y &= \hat{C}z + \hat{D}u\end{aligned}$$

# RELATE THE TWO FORMULATIONS

# TF IS INDEPENDENT OF STATE

**Start with the TF:**

$$Y(s) = [C(sI - A)^{-1}B + D]U(s)$$

**Apply state transformation:**

$$\begin{aligned}\hat{A} &= T^{-1}AT, & \hat{B} &= T^{-1}B \\ \hat{C} &= CT, & \hat{D} &= D\end{aligned}$$

# COMING UP...

## **Linear Algebra Review**

- Matrix Inverses
- Eigenvalues and Eigenvectors
- Jordan Canonical Form
- Solution of LTI State Equations

## **Solution of LTI State Equations**

- State Transition Matrix
- Free Response
- Forced Response