

Category Theory and Lambda-calculi

Inspiré des notes de cours du MPRI de Paul-André Melliès, CNRS/ENS Ulm

Categories

Definition. A **category** \mathcal{C} comprises

1. a collection of objects
2. a set $\text{Hom}(A, B)$ of arrows (morphisms) $f : A \rightarrow B$ for every pair of objects (A, B)
3. a associative composition law
 $\circ : \text{Hom}(B, C) \times \text{Hom}(A, B) \rightarrow \text{Hom}(A, C)$
4. a neutral element identity morphism $\text{id}_A : A \rightarrow A$ for every object A

Example. Category **Set**

- objects : sets
- arrows : total functions between sets
- composition : set-theoretic function composition
- identities : identity functions

Definition. **Partial ordering** \leq_P on set P is a reflexive, transitive, antisymmetric relation

Definition. Function $f : (P, \leq_P) \rightarrow (Q, \leq_Q)$ is **order-preserving** (or **monotone**) if $p \leq_P p'$ then $f(p) \leq_Q f(p')$

Example. Category **Poset**

- objects : partially-ordered sets
- arrows : order-preserving total functions
- composition : set-theoretic function composition
- identities : identity functions

Remark. Generalization of universal algebra which studies the common properties of algebraic structures.

Category	Objects	Arrows
Set	sets	total functions
Pfn	sets	partial functions
FinSet	finite sets	finite total functions
Mon	monoids	monoid morphisms
Poset	posets	monotone functions
Grp	groups	group morphisms
Ω -Alg	algebras with sig Ω	Ω -morphisms
CPO	complete partial orders	continuous functions
Vect	vector spaces	linear tranforms
Met	metric spaces	contraction maps
Top	topological spaces	continuous functions

Example. Finite categories

- category 0 : no objects, no arrows
- category 1 : one object, one arrow
- category 2 : two objects, two identity arrows, arrow from one object to another
- category 3 : objects A, B, C , three identity arrows, three arrows $f : A \rightarrow B, g : B \rightarrow C, h : A \rightarrow C$

Remark. Categorical logic : objects as arbitrary category formulas, arrows $f : A \rightarrow B$ as proofs of $A \Rightarrow B$. Identity id_A is an instance of reflexivity and following inference rule asserts transitivity of \Rightarrow

$$\frac{f : A \rightarrow B \quad g : B \rightarrow C}{g \circ f : A \rightarrow C}$$

Definition. \mathcal{C}^{op} is **dual category** of \mathcal{C} if it has same objects and opposite arrows of \mathcal{C}

Definition. $\mathcal{C} \times \mathcal{D}$ is **product category** of \mathcal{C} and \mathcal{D} if it has objects pairs (A, B) , arrow pairs (f, g) . Pairwise defined composition and identity arrows.

Diagrams

Definition. **Diagram** in \mathcal{C} is a collection of vertices and directed edges, consistently labeled with objects and arrows of \mathcal{C} .

Definition. Diagram in \mathcal{C} **commutes** if, for every pair of vertices X and Y , all the paths in the diagram from X to Y are equal

Functors

Definition. A **functor** $F : \mathcal{C} \rightarrow \mathcal{D}$ comprises

1. for every A of \mathcal{C} , an object FA of \mathcal{D}
2. for every pair (A, B) of objects of \mathcal{C} , a function $F_{A,B} : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(FA, FB)$

preserving

1. composition : $FA \xrightarrow{Ff} FB \xrightarrow{Fg} FC = FA \xrightarrow{F(g \circ f)} FC$
2. identities : $FA \xrightarrow{F\text{id}_A} FA = FA \xrightarrow{\text{id}_{FA}} FA$

Natural transformations

Definition. A **natural transformation** $\theta : F \Rightarrow G$ from functors F to G (both from \mathcal{C} to \mathcal{D}) is a family of morphisms $(\theta_A : FA \rightarrow GA)_{A \in \text{Obj}(\mathcal{C})}$ such that for all \mathcal{C} -arrow $f : A \rightarrow B$,

commutes in \mathcal{D}

$$\begin{array}{ccc} FA & \xrightarrow{\theta_A} & GA \\ Ff \downarrow & & \downarrow Gf \\ FB & \xrightarrow{\theta_B} & GB \end{array}$$

If every η_A of η is an isomorphism in \mathcal{D} then η is a **natural isomorphism**.

Example. $\text{rev}_S : \text{List } S \rightarrow \text{List } S$ is a natural transformation

- if $f : S \rightarrow T$ then $\text{rev}_T \circ \text{maplist } f = \text{maplist } f \circ \text{rev}_S$

Adjunctions

Definition. An **adjunction** (L, R, ϕ) comprises

1. functors $L : \mathcal{C} \rightarrow \mathcal{D}, R : \mathcal{D} \rightarrow \mathcal{C}$
2. a family ϕ of natural bijections in \mathcal{C} and \mathcal{D} , for every \mathcal{C} -object C and \mathcal{D} -object D , $\text{Hom}_{\mathcal{D}}(LC, D) \cong \text{Hom}_{\mathcal{C}}(C, RD)$

written $\frac{LC \rightarrow_{\mathcal{D}} D}{C \rightarrow_{\mathcal{C}} RD} \phi_{A,B}$

Notation. $L \dashv R : L$ is **left adjoint** to R

Monads

Definition. A **monad** (T, μ, η) comprises

1. an endofunctor $T : \mathcal{C} \rightarrow \mathcal{C}$
2. natural transformations $\mu : T \circ T \Rightarrow T$ and $\eta : \text{id}_{\mathcal{C}} \Rightarrow T$ such that commutes

$$\begin{array}{ccc} T \circ T \circ T & \xrightarrow{T\mu} & T \circ T \\ \mu_T \downarrow & & \downarrow \mu \\ T \circ T & \xrightarrow{\mu} & T \end{array} \quad \begin{array}{ccc} T & \xrightarrow{\eta T} & T \circ T \xleftarrow{T\eta} T \\ \text{id}_T \searrow & & \downarrow \mu \swarrow \text{id}_T \\ & T & \end{array}$$

Definition. Every set S induces the **state monad** $X \mapsto S \Rightarrow (S \times X) : \text{Set} \rightarrow \text{Set}$ by the adjunction

$$\begin{array}{ccc} & L & \\ \text{Set} & \xrightarrow{\quad} & \text{Set} \\ & \perp & \\ & R & \end{array} \quad \text{where} \quad \begin{array}{ll} L & : X \mapsto S \times X \\ R & : X \mapsto S \Rightarrow X \end{array}$$

Definition. **Kleisli category** \mathcal{C}_T of monad (T, μ, η) has

1. same objects as \mathcal{C}
2. morphisms $A \rightarrow B$ as morphisms $A \rightarrow TB$ in \mathcal{C}
3. identities $\text{id}_A : A \rightarrow A$ given by $\eta_A : A \rightarrow TA$
4. compositions $g \circ_K f$ of $f : A \rightarrow B, g : B \rightarrow C$ as

$$\begin{array}{ccccc} A & \xrightarrow{f} & TB & \xrightarrow{Tg} & TTC \\ & & & & \downarrow \mu_C \\ & & B & \xrightarrow{g} & TC \end{array}$$

Example. Monads in OCaml

Monads can be viewed as a standard programming interface to various data or control structures, which is captured by the 'a t type. All common monads are members of it:

```
(>>=) : 'a t -> ('a -> 'b t) -> 'b t      (* bind/unit *)
return : 'a -> 'a t
run : 'a t -> 'a
```

All instances of monad should obey the following equations:

```
return a >>= f = f a                      (* left unit *)
a >>= fun x -> return x = a                (* right unit *)
(a >>= fun x -> b) >>= fun y -> c
= a >>= fun x -> b >>= fun y -> c        (* associativity *)
```

Domain theory

Definition. Pair of maps $X \xrightleftharpoons[f^R]{f} Y$ is an **embedding** of X into Y if $f^R \circ f = \text{id}_X$ and $f \circ f^R \sqsubseteq \text{id}_Y$.

Remark. $f \sqsubseteq g : f$ *approximates* g in some ordering representing their information content

