

# Category Theory and Lambda-calculi

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## Categories

**Definition.** A **category**  $\mathcal{C}$  comprises

1. a collection of objects
2. a set  $\text{Hom}(A, B)$  of arrows (morphisms)  $f : A \rightarrow B$  for every pair of objects  $(A, B)$
3. a associative composition law  
 $\circ : \text{Hom}(B, C) \times \text{Hom}(A, B) \rightarrow \text{Hom}(A, C)$
4. a neutral element identity morphism  $\text{id}_A : A \rightarrow A$  for every object  $A$

**Example.** Category Set

- objects : sets
- arrows : total functions between sets
- composition : set-theoretic function composition
- identities : identity functions

**Definition.** **Partial ordering**  $\leq_P$  on set  $P$  is a reflexive, transitive, antisymmetric relation

**Definition.** Function  $f : (P, \leq_P) \rightarrow (Q, \leq_Q)$  is **order-preserving** (or **monotone**) if  $p \leq_P p'$  then  $f(p) \leq_Q f(p')$

**Example.** Category Poset

- objects : partially-ordered sets
- arrows : order-preserving total functions
- composition : set-theoretic function composition
- identities : identity functions

**Remark.** Generalization of universal algebra which studies the common properties of algebraic structures.

Category	Objects	Arrows
Set	sets	total functions
Pfn	sets	partial functions
FinSet	finite sets	finite total functions
Mon	monoids	monoid morphisms
Poset	posets	monotone functions
Grp	groups	group morphisms
$\Omega$ -Alg	algebras with sig $\Omega$	$\Omega$ -morphisms
CPO	complete partial orders	continuous functions
Vect	vector spaces	linear tranforms
Met	metric spaces	contraction maps
Top	topological spaces	continuous functions

**Example.** Finite categories

- category 0 : no objects, no arrows
- category 1 : one object, one arrow
- category 2 : two objects, two identity arrows, arrow from one object to another
- category 3 : objects  $A, B, C$ , three identity arrows, three arrows  $f : A \rightarrow B, g : B \rightarrow C, h : A \rightarrow C$

**Remark.** Categorical logic : objects as arbitrary category formulas, arrows  $f : A \rightarrow B$  as proofs of  $A \Rightarrow B$ . Identity  $\text{id}_A$  is an instance of reflexivity and following inference rule asserts transitivity of  $\Rightarrow$

$$\frac{f : A \rightarrow B \quad g : B \rightarrow C}{g \circ f : A \rightarrow C}$$

**Definition.**  $\mathcal{C}^{\text{op}}$  is **dual category** of  $\mathcal{C}$  if it has same objects and opposite arrows of  $\mathcal{C}$

**Definition.**  $\mathcal{C} \times \mathcal{D}$  is **product category** of  $\mathcal{C}$  and  $\mathcal{D}$  if it has objects pairs  $(A, B)$ , arrow pairs  $(f, g)$ . Pairwise defined composition and identity arrows.

## Diagrams

**Definition.** **Diagram** in  $\mathcal{C}$  is a collection of vertices and directed edges, consistently labeled with objects and arrows of  $\mathcal{C}$ .

**Definition.** Diagram in  $\mathcal{C}$  **commutes** if, for every pair of vertices  $X$  and  $Y$ , all the paths in the diagram from  $X$  to  $Y$  are equal

## Functors

**Definition.** A **functor**  $F : \mathcal{C} \rightarrow \mathcal{D}$  comprises

1. for every  $A$  of  $\mathcal{C}$ , an object  $FA$  of  $\mathcal{D}$
2. for every pair  $(A, B)$  of objects of  $\mathcal{C}$ , a function  $F_{A,B} : \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(FA, FB)$

preserving

1. composition :  $FA \xrightarrow{Ff} FB \xrightarrow{Fg} FC = FA \xrightarrow{F(g \circ f)} FC$
2. identities :  $FA \xrightarrow{F\text{id}_A} FA = FA \xrightarrow{\text{id}_{FA}} FA$

## Natural transformations

**Definition.** A **natural transformation**  $\theta : F \Rightarrow G$  from functors  $F$  to  $G$  (both from  $\mathcal{C}$  to  $\mathcal{D}$ ) is a family of morphisms  $(\theta_A : FA \rightarrow GA)_{A \in \text{Obj}(\mathcal{C})}$  such that for all  $\mathcal{C}$ -arrow  $f : A \rightarrow B$ ,

commutes in  $\mathcal{D}$

$$\begin{array}{ccc} FA & \xrightarrow{\theta_A} & GA \\ Ff \downarrow & & \downarrow Gf \\ FB & \xrightarrow{\theta_B} & GB \end{array}$$

If every  $\eta_A$  of  $\eta$  is an isomorphism in  $\mathcal{D}$  then  $\eta$  is a **natural isomorphism**.

**Example.**  $\text{rev}_S : \text{List } S \rightarrow \text{List } S$  is a natural transformation

- if  $f : S \rightarrow T$  then  $\text{rev}_T \circ \text{maplist } f = \text{maplist } f \circ \text{rev}_S$

## Adjunctions

**Definition.** An **adjunction**  $(L, R, \phi)$  comprises

1. functors  $L : \mathcal{C} \rightarrow \mathcal{D}, R : \mathcal{D} \rightarrow \mathcal{C}$
2. a family  $\phi$  of natural bijections in  $\mathcal{C}$  and  $\mathcal{D}$ , for every  $\mathcal{C}$ -object  $C$  and  $\mathcal{D}$ -object  $D$ ,  $\text{Hom}_{\mathcal{D}}(LC, D) \cong \text{Hom}_{\mathcal{C}}(C, RD)$

written  $\frac{LC \rightarrow_{\mathcal{D}} D}{C \rightarrow_{\mathcal{C}} RD} \phi_{A,B}$

**Notation.**  $L \dashv R : L$  is **left adjoint** to  $R$

## Monads

**Definition.** A **monad**  $(T, \mu, \eta)$  comprises

1. an endofunctor  $T : \mathcal{C} \rightarrow \mathcal{C}$
2. natural transformations  $\mu : T \circ T \Rightarrow T$  and  $\eta : \text{id}_{\mathcal{C}} \Rightarrow T$  such that commutes

$$\begin{array}{ccc} T \circ T \circ T & \xrightarrow{T\mu} & T \circ T \\ \mu_T \downarrow & & \downarrow \mu \\ T \circ T & \xrightarrow{\mu} & T \end{array} \quad \begin{array}{ccc} T & \xrightarrow{\eta T} & T \circ T \xleftarrow{T\eta} T \\ \text{id}_T \searrow & & \downarrow \mu \swarrow \text{id}_T \\ & T & \end{array}$$

**Definition.** Every set  $S$  induces the **state monad**  $X \mapsto S \Rightarrow (S \times X) : \text{Set} \rightarrow \text{Set}$  by the adjunction

$$\begin{array}{ccc} & L & \\ \text{Set} & \xrightarrow{\quad} & \text{Set} \\ & R & \end{array} \quad \text{where} \quad \begin{array}{ll} L & : X \mapsto S \times X \\ R & : X \mapsto S \Rightarrow X \end{array}$$

**Definition.** **Kleisli category**  $\mathcal{C}_T$  of monad  $(T, \mu, \eta)$  has

1. same objects as  $\mathcal{C}$
2. morphisms  $A \rightarrow B$  as morphisms  $A \rightarrow TB$  in  $\mathcal{C}$
3. identities  $\text{id}_A : A \rightarrow A$  given by  $\eta_A : A \rightarrow TA$
4. compositions  $g \circ_K f$  of  $f : A \rightarrow B, g : B \rightarrow C$  as

$$\begin{array}{ccccc} A & \xrightarrow{f} & TB & \xrightarrow{Tg} & TTC \\ & & & & \downarrow \mu_C \\ & & B & \xrightarrow{g} & TC \end{array}$$

**Example.** Monads in OCaml

Monads can be viewed as a standard programming interface to various data or control structures, which is captured by the 'a t type. All common monads are members of it:

```
(>>=) : 'a t -> ('a -> 'b t) -> 'b t      (* bind/unit *)
return : 'a -> 'a t
run : 'a t -> 'a
```

All instances of monad should obey the following equations:

```
return a >>= f = f a                      (* left unit *)
a >>= fun x -> return x = a                (* right unit *)
(a >>= fun x -> b) >>= fun y -> c
= a >>= fun x -> b >>= fun y -> c        (* associativity *)
```

## Domain theory

**Definition.** Pair of maps  $X \xrightleftharpoons[f^R]{f} Y$  is an **embedding** of  $X$  into  $Y$  if  $f^R \circ f = \text{id}_X$  and  $f \circ f^R \sqsubseteq \text{id}_Y$ .

**Remark.**  $f \sqsubseteq g : f$  *approximates*  $g$  in some ordering representing their information content

