



## Chapter 7: Transport Layer



# Introduction to Networking

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# Chapter 7

7.0 Introduction

7.1 Transport Layer Protocols

7.2 TCP and UDP

7.3 Summary



# Chapter 7: Objectives

- Describe the purpose of the transport layer in managing the transportation of data in end-to-end communication.
- Describe characteristics of the TCP and UDP protocols, including port numbers and their uses.
- Explain how TCP session establishment and termination processes facilitate reliable communication.
- Explain how TCP protocol data units are transmitted and acknowledged to guarantee delivery.
- Explain the UDP client processes to establish communication with a server.
- Determine whether high-reliability TCP transmissions, or non-guaranteed UDP transmissions, are best suited for common applications.



## 7.1: Transport Layer Protocols



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## Transportation of Data

# Role of the Transport Layer

The transport layer is responsible for establishing a temporary communication session between two applications and delivering data between them.

TCP/IP uses two protocols to achieve this:

- Transmission Control Protocol (TCP)
- User Datagram Protocol (UDP)

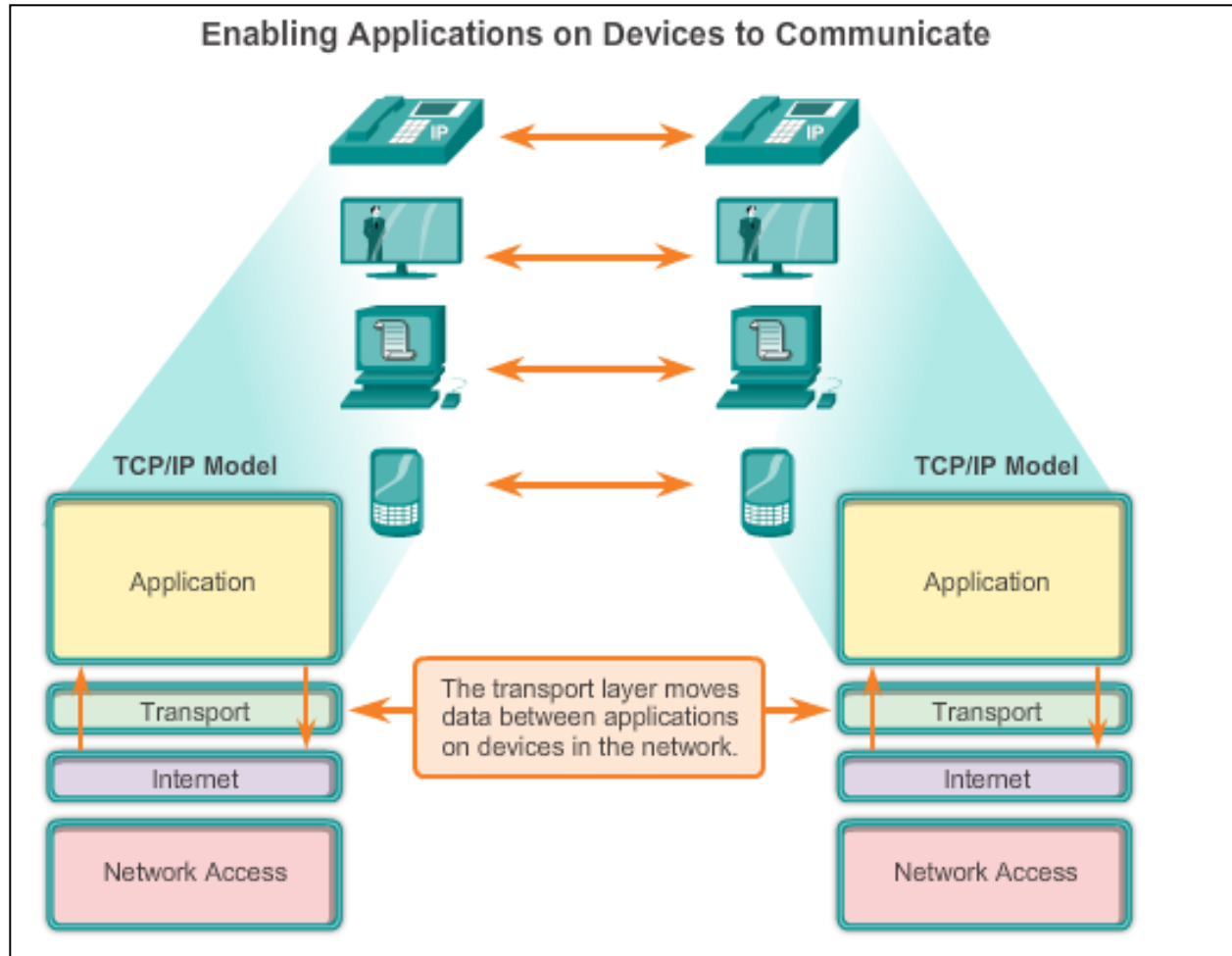
## ✓ Primary Responsibilities of Transport Layer Protocols

- Tracking the individual communication between applications on the source and destination hosts
- Segmenting data for manageability and reassembling segmented data into streams of application data at the destination
- Identifying the proper application for each communication stream



## Transportation of Data

# Role of the Transport Layer (Cont.)





## Transportation of Data

# Conversation Multiplexing

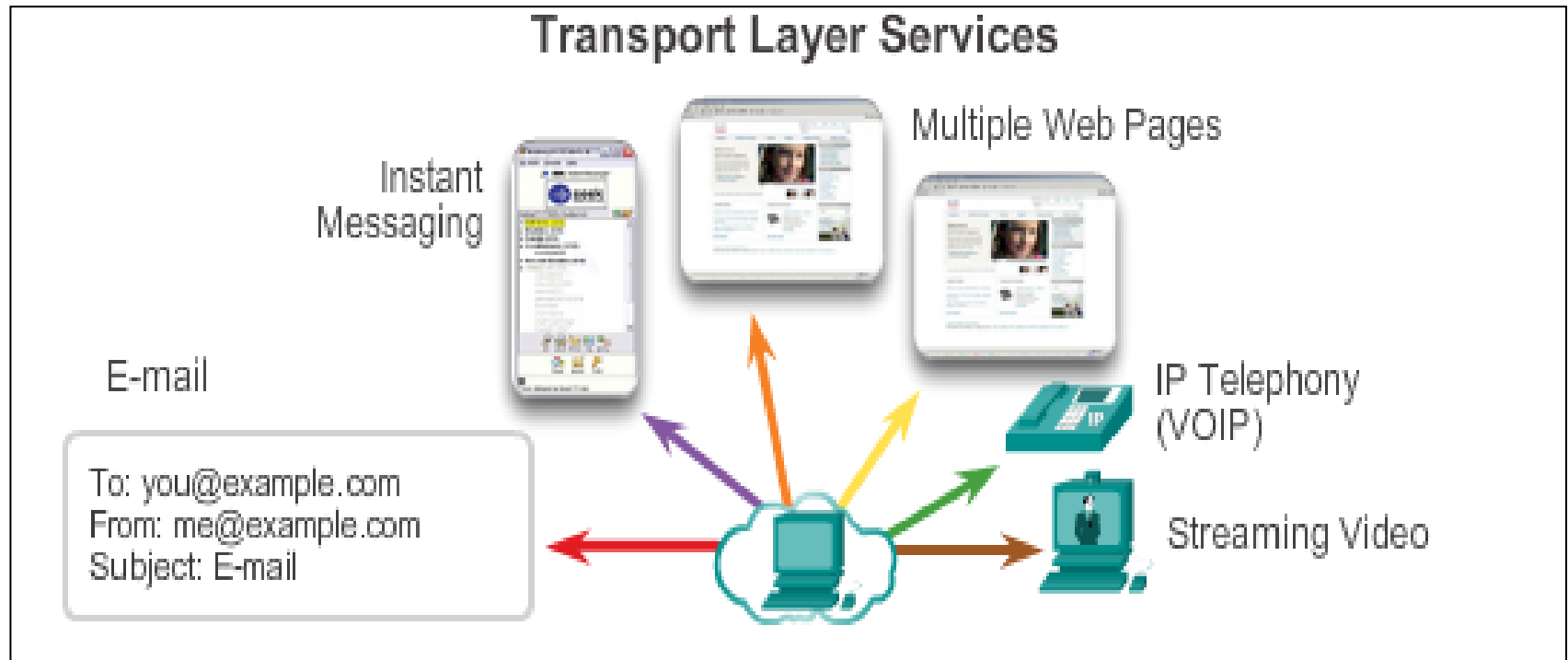
### Segmenting the Data

- Enables many different communications, from many different users, to be interleaved (multiplexed) on the same network, at the same time.
- Provides the means to both send and receive data when running multiple applications.
- Header added to each segment to identify it.



## Transportation of Data

# Conversation Multiplexing (Cont.)

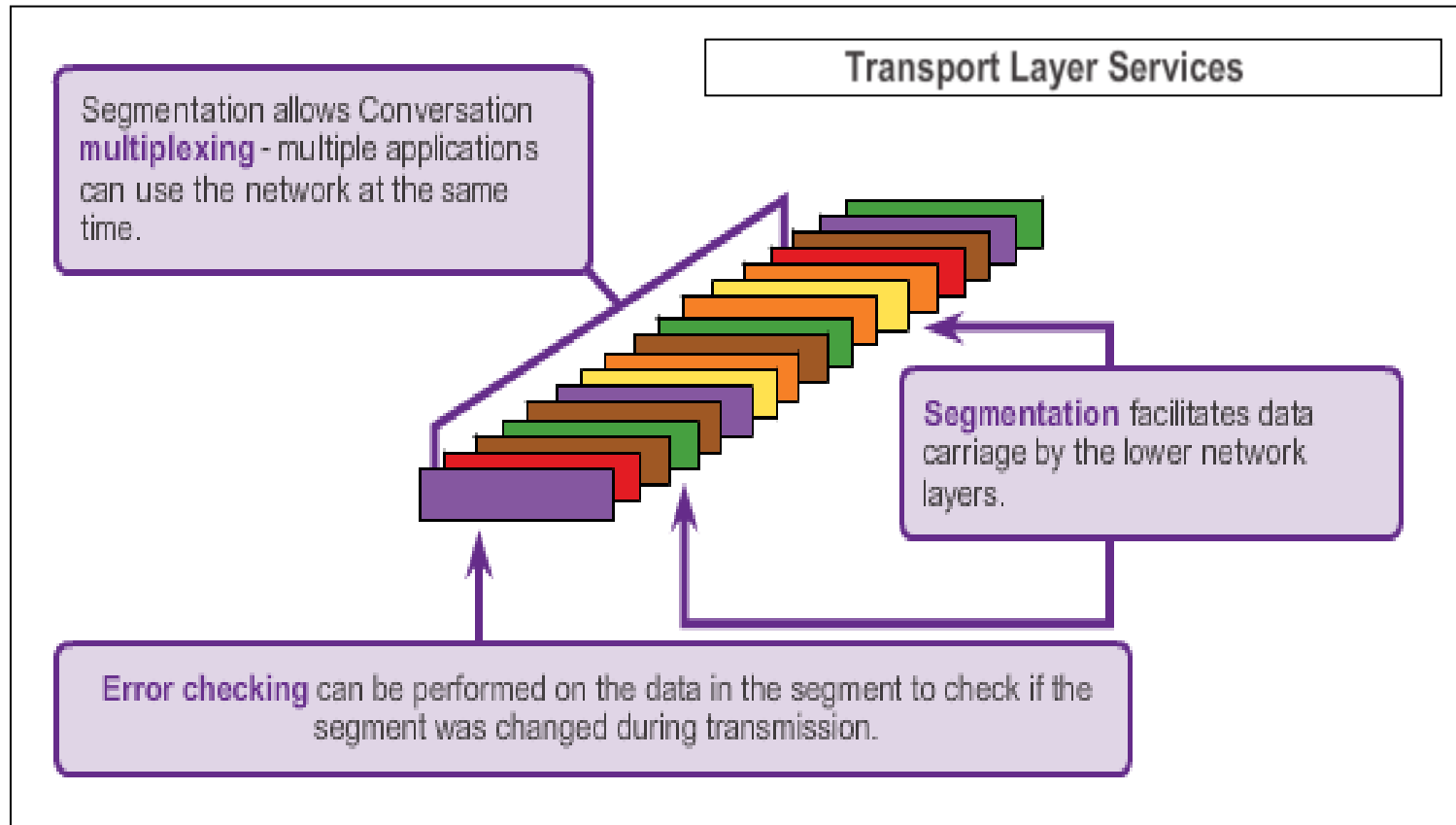






## Transportation of Data

# Conversation Multiplexing (Cont.)





## Transportation of Data

# Transport Layer Reliability

Different applications have different transport reliability requirements.

TCP/IP provides two transport layer protocols, **TCP and UDP**.

## TCP

- Provides reliable delivery ensuring that all of the data arrives at the destination.
- Uses acknowledged delivery and other processes to ensure delivery
- Makes larger demands on the network – more overhead.

## UDP

- Provides just the basic functions for delivery – no reliability.
- Less overhead.

## TCP or UDP

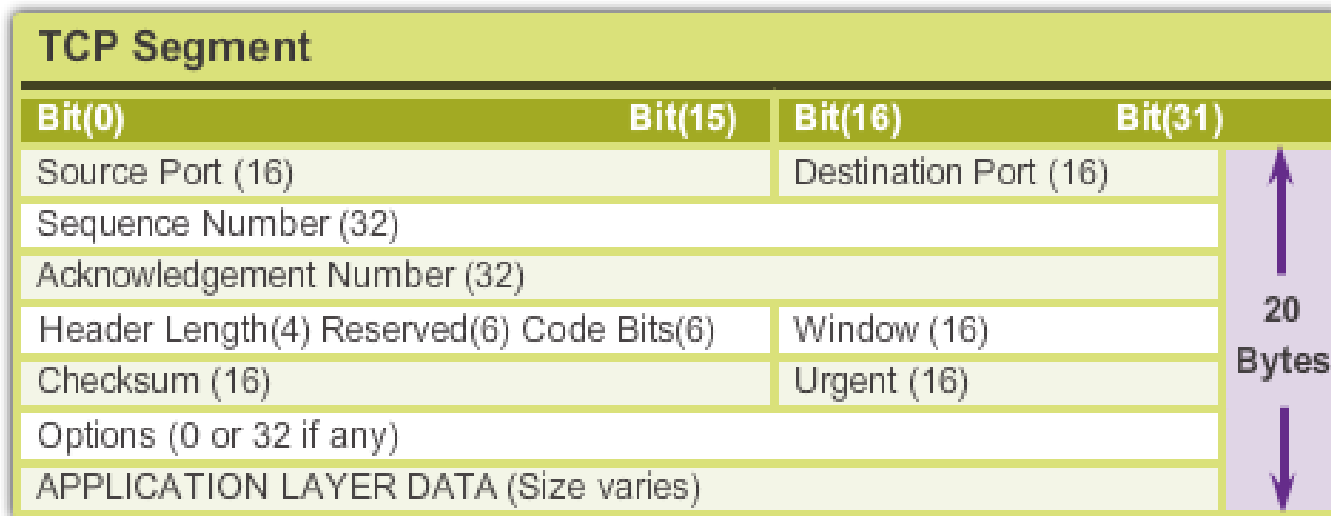
- There is a trade-off between the value of reliability and the burden it places on the network.
- Application developers choose the transport protocol based on the requirements of their applications.



## Introducing TCP and UDP

# Introducing TCP

- Defined in RFC 793
- Connection-oriented – Creates a session between the source and destination
- Reliable delivery – Retransmits lost or corrupt data
- Ordered data reconstruction – Reconstructs numbering and sequencing of segments
- Flow control – Regulates the amount of data transmitted
- Stateful protocol – Tracks the session





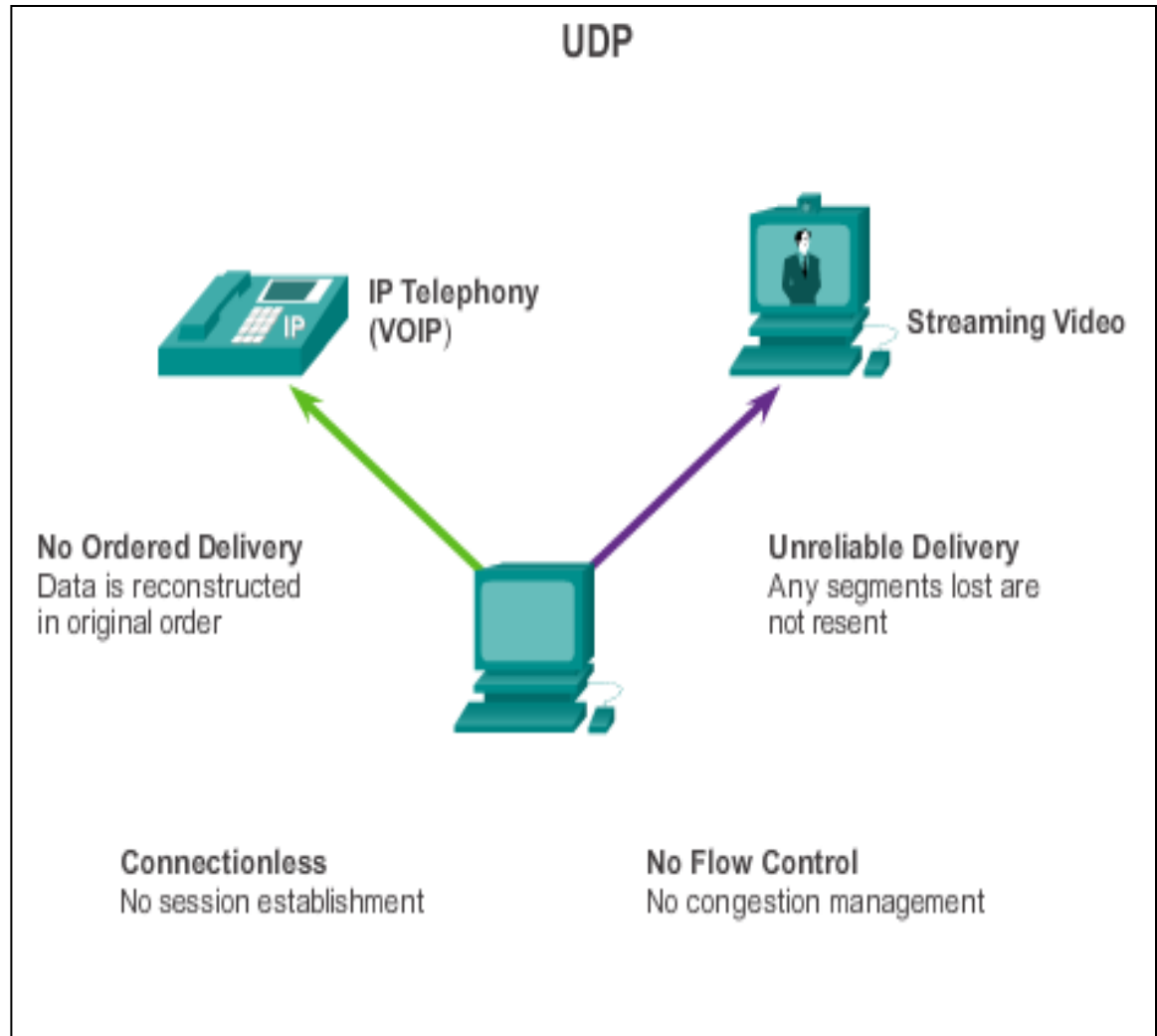
# Introducing TCP and UDP

## Introducing UDP

- RFC 768
- Connectionless
- Unreliable delivery
- No ordered data reconstruction
- No flow control
- Stateless protocol

Applications that use UDP:

- Domain Name System (DNS)
- Video Streaming
- VoIP

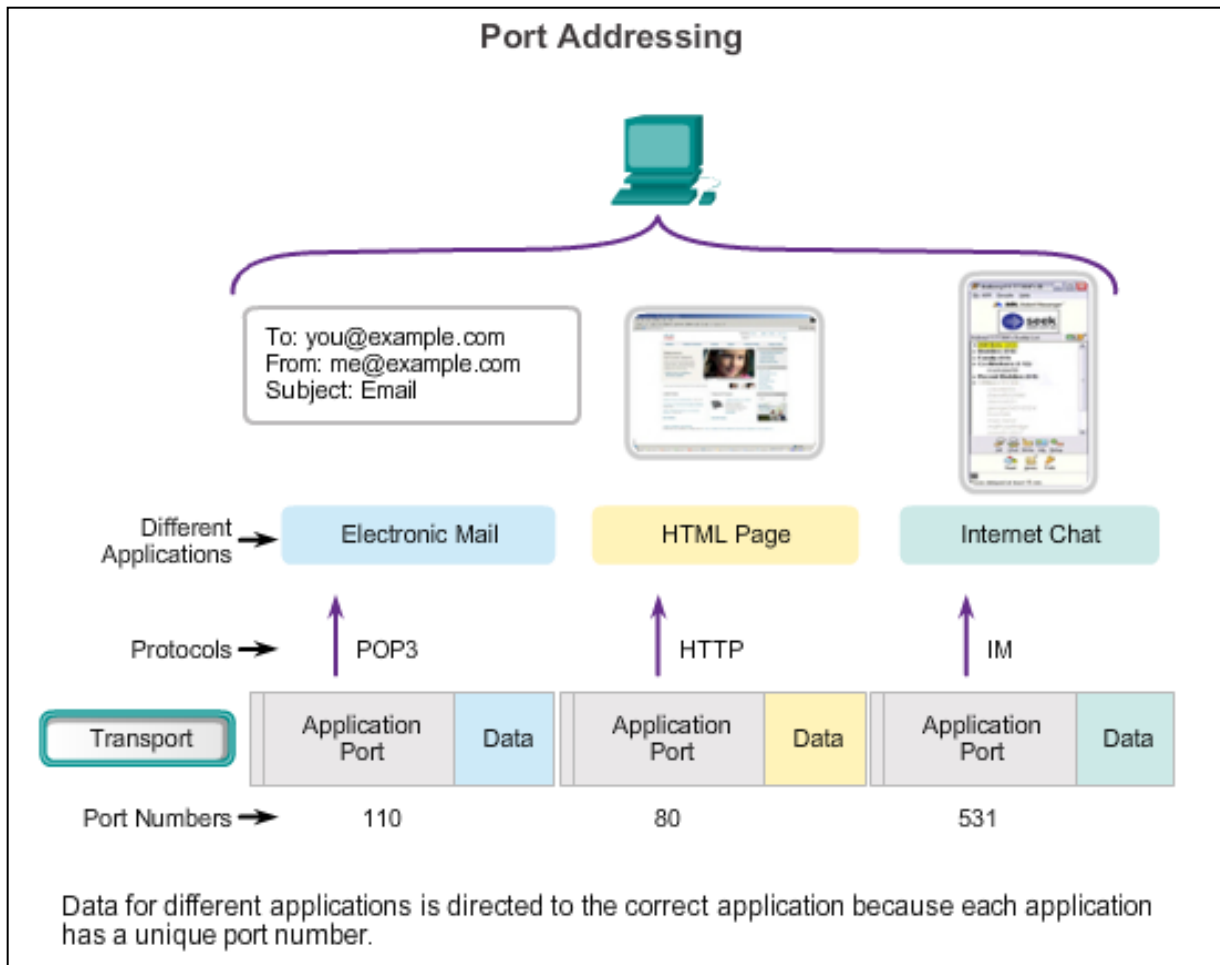




## Introducing TCP and UDP

# Separating Multiple Communications

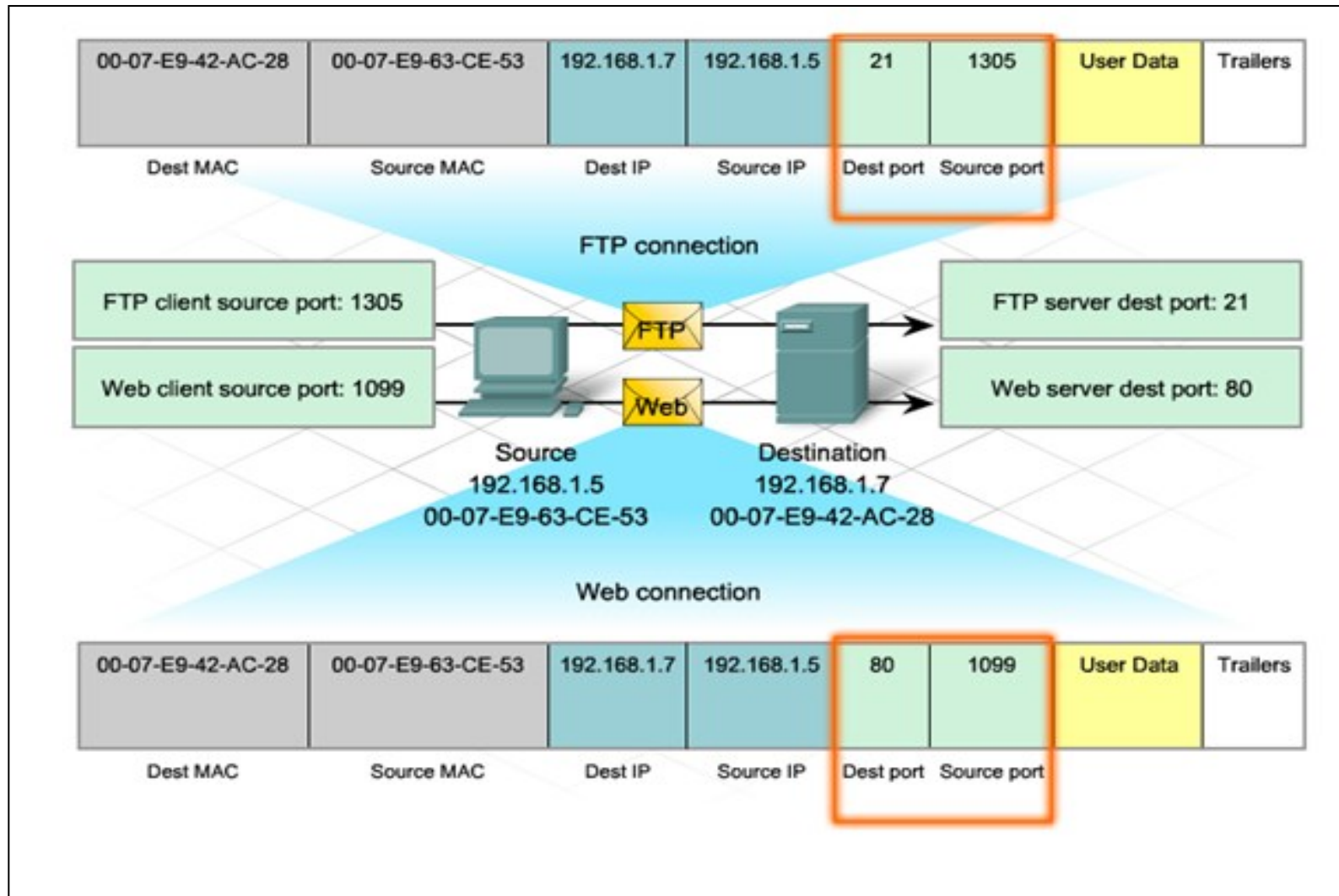
TCP and UDP use port numbers to differentiate between applications.





## Introducing TCP and UDP

# TCP and UDP Port Addressing





## Introducing TCP and UDP

# TCP and UDP Port Addressing (Cont.)

## Port Numbers

Port Number Range	Port Group
0 to 1023	Well Known (Contact) Ports
1024 to 49151	Registered Ports
49152 to 65533	Private and/or Dynamic Ports

### Registered TCP Ports:

1863 MSN Messenger  
 2000 Cisco SCCP (VoIP)  
 8008 Alternate HTTP  
 8080 Alternate HTTP

### Well Known TCP Ports:

21 FTP  
 23 Telnet  
 25 SMTP  
 80 HTTP  
 110 POP3  
 194 Internet Relay Chat (IRC)  
 443 Secure HTTP (HTTPS)



## Introducing TCP and UDP

# TCP and UDP Port Addressing (Cont.)

### Registered UDP Ports:

1812	RADIUS Authentication Protocol
5004	RTP (Voice and Video Transport Protocol)
5040	SIP (VoIP)

### Well Known UDP Ports:

69	TFTP
520	RIP

### Registered TCP/UDP Common Ports:

1433	MS SQL
2948	WAP (MMS)

### Well Known TCP/UDP Common Ports:

53	DNS
161	SNMP
531	AOL Instant Messenger, IRC





## Introducing TCP and UDP

# TCP and UDP Port Addressing (Cont.)

Netstat is used to examine TCP connections that are open and running on a networked host.

```
C:\>netstat
```

```
Active Connections
```

Proto	Local Address	Foreign Address	State
<b>TCP</b>	kenpc:3126	192.168.0.2:netbios-ssn	ESTABLISHED
TCP	kenpc:3158	207.138.126.152:http	ESTABLISHED
TCP	kenpc:3159	207.138.126.169:http	ESTABLISHED
TCP	kenpc:3160	207.138.126.169:http	ESTABLISHED
TCP	kenpc:3161	sc.msn.com:http	ESTABLISHED
TCP	kenpc:3166	www.cisco.com:http	ESTABLISHED

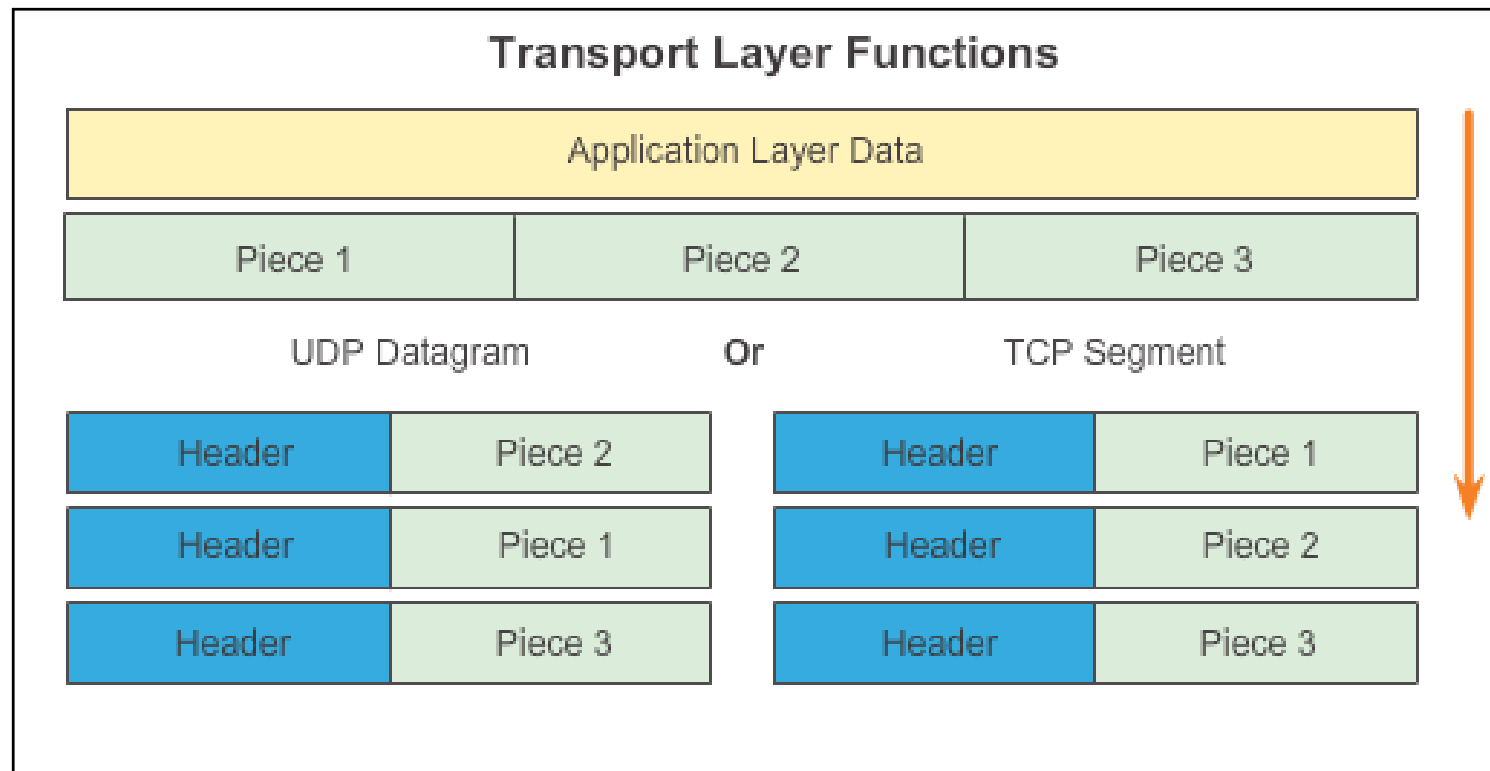
```
C:\>
```



## Introducing TCP and UDP

# TCP and UDP Segmentation

The transport layer divides the data into pieces and adds a header for delivery over the network





## 7.2 TCP and UDP



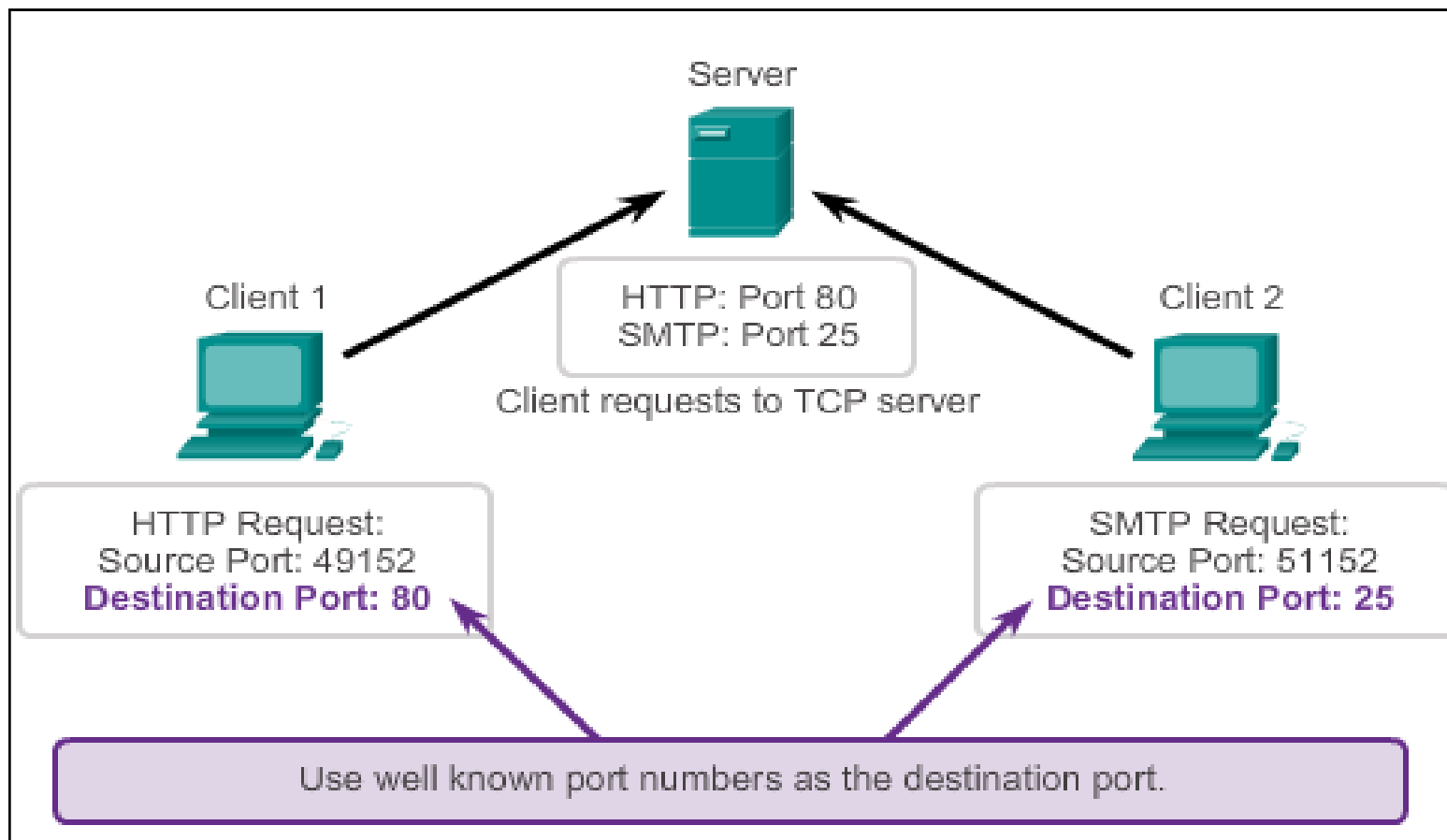
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# TCP Communication

## TCP Server Processes

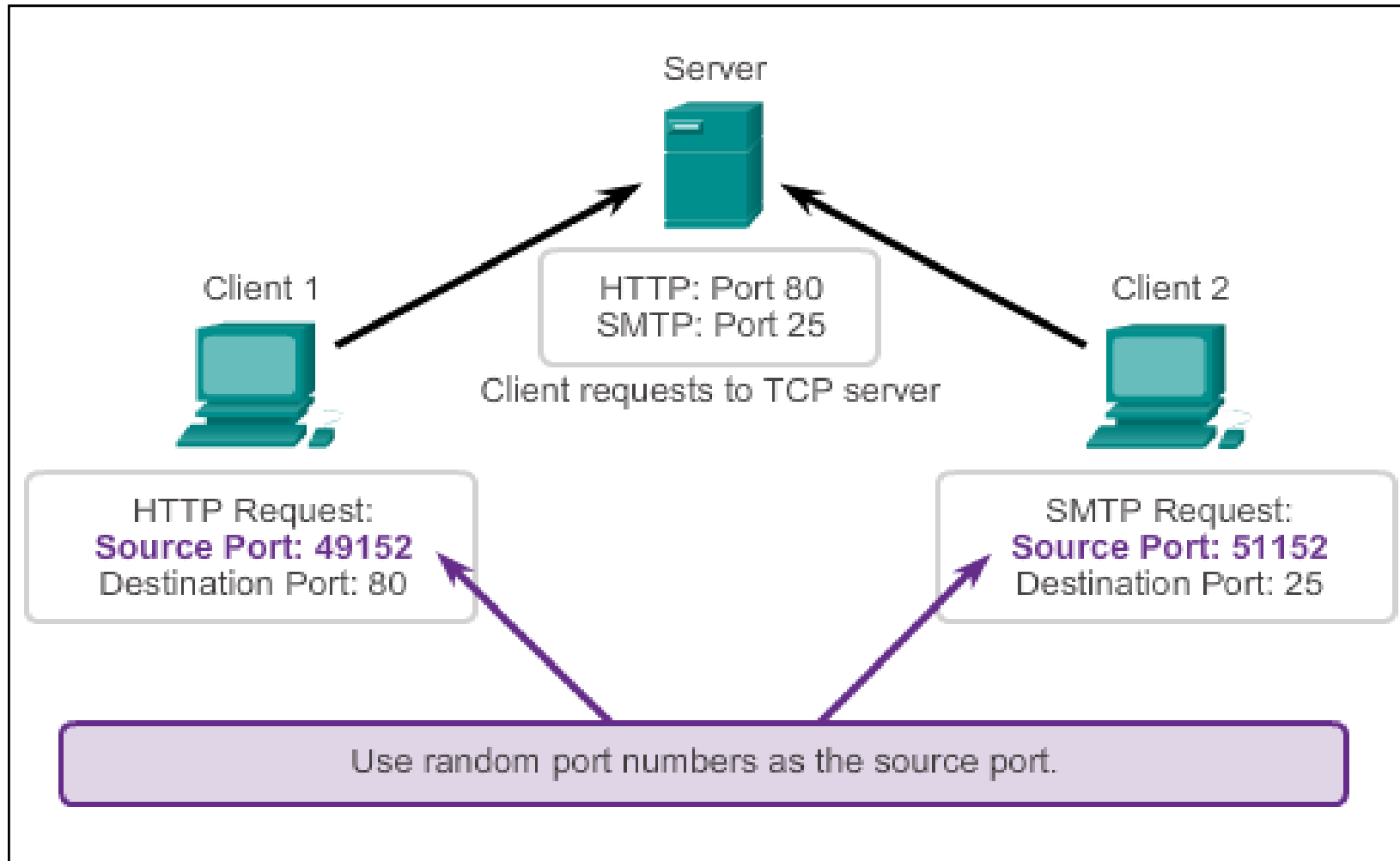
### Request Destination Ports





## TCP Communication

# TCP Server Processes (Cont.)





## TCP Communication

# TCP Connection, Establishment and Termination

### Three-Way Handshake

- Establishes that the destination device is present on the network
- Verifies that the destination device has an active service and is accepting requests on the destination port number that the initiating client intends to use for the session
- Informs the destination device that the source client intends to establish a communication session on that port number

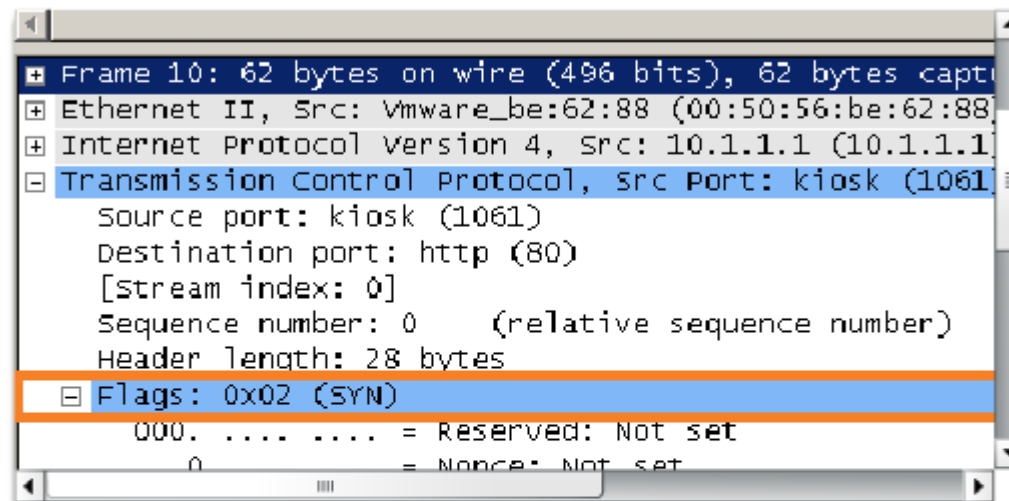


## TCP Communication

# TCP Three-Way Handshake – Step 1

**Step 1:** The initiating client requests a client-to-server communication session with the server

### TCP 3-Way Handshake (SYN)



#### A protocol analyzer shows initial client request for session in frame 10

TCP segment in this frame shows:

- SYN flag set to validate an Initial Sequence Number
- Randomized sequence number valid (relative value is 0)
- Random source port 1061
- Well-known destination port is 80 (HTTP port) indicates web server (httpd)



## TCP Communication

# TCP Three-Way Handshake – Step 2

**Step 2:** The server acknowledges the client-to-server communication session and requests a server-to-client communication session.

**TCP 3-Way Handshake (SYN, ACK)**

10	16.303490	10.1.1.1	192.168.254.254
11	16.304896	192.168.254.254	10.1.1.1
12	16.304925	10.1.1.1	192.168.254.254
13	16.305153	10.1.1.1	192.168.254.254
14	16.307875	192.168.254.254	10.1.1.1

Frame 11: 62 bytes on wire (496 bits), 62 bytes captured  
 Ethernet II, Src: Cisco\_63:74:a0 (00:0f:24:63:74:a0),  
 Internet Protocol Version 4, Src: 192.168.254.254 (192.  
 Transmission Control Protocol, Src Port: http (80), Dst  
 source port: http (80)

### A protocol analyzer shows server response in frame 11

- ACK flag set to indicate a valid Acknowledgement number
- Acknowledgement number response to initial sequence number as relative value of 1
- SYN flag set to indicate the Initial Sequence Number for the server to client session
- Destination port number of 1061 to corresponding to the clients source port
- Source port number of 80 (HTTP) indicating the web server service (httpd)





## TCP Communication

# TCP Three-Way Handshake – Step 3

**Step 3:** The initiating client acknowledges the server-to-client communication session.

**TCP 3-Way Handshake (ACK)**

No.	Time	Source	Destination
10	16.303490	10.1.1.1	192.168.254.254
11	16.304896	192.168.254.254	10.1.1.1
12	16.304925	10.1.1.1	192.168.254.254
13	16.305153	10.1.1.1	192.168.254.254
14	16.307875	192.168.254.254	10.1.1.1

+ Frame 12: 54 bytes on wire (432 bits), 54 bytes captured  
 + Ethernet II, Src: VMware\_k8:62:88 (00:50:56:be:62:88)  
 + Internet Protocol Version 4, Src: 10.1.1.1 (10.1.1.1)  
 - Transmission Control Protocol, Src Port: kiosk (1061)

### A protocol analyzer shows client response to session in frame 12

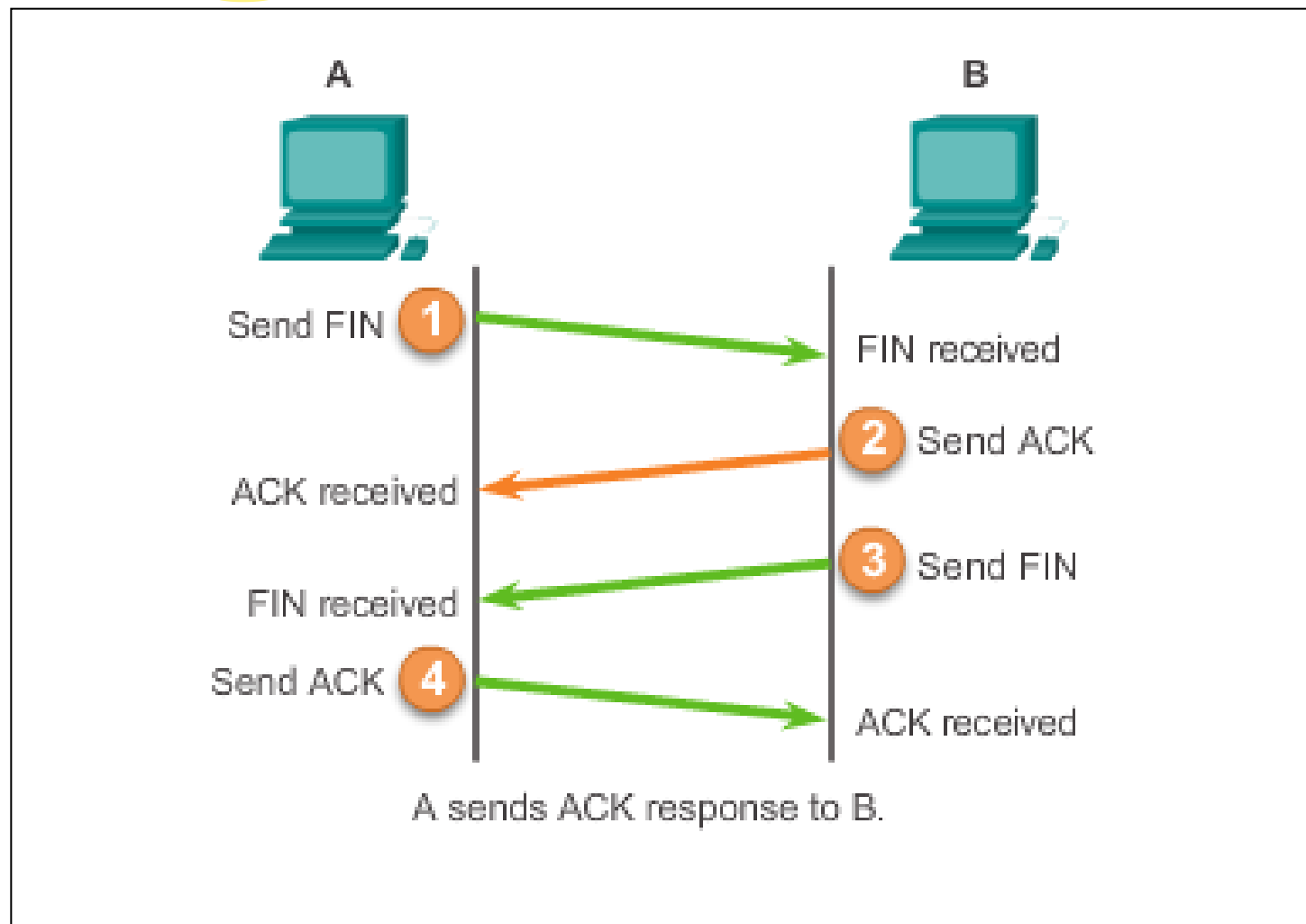
The TCP segment in this frame shows:

- ACK flag set to indicate a valid Acknowledgement number
- Acknowledgement number response to initial sequence number as relative value of 1
- Source port number of 1061 to corresponding
- Destination port number of 80 (HTTP) indicating the web server service (httpd)



## TCP Communication

# TCP Session Termination

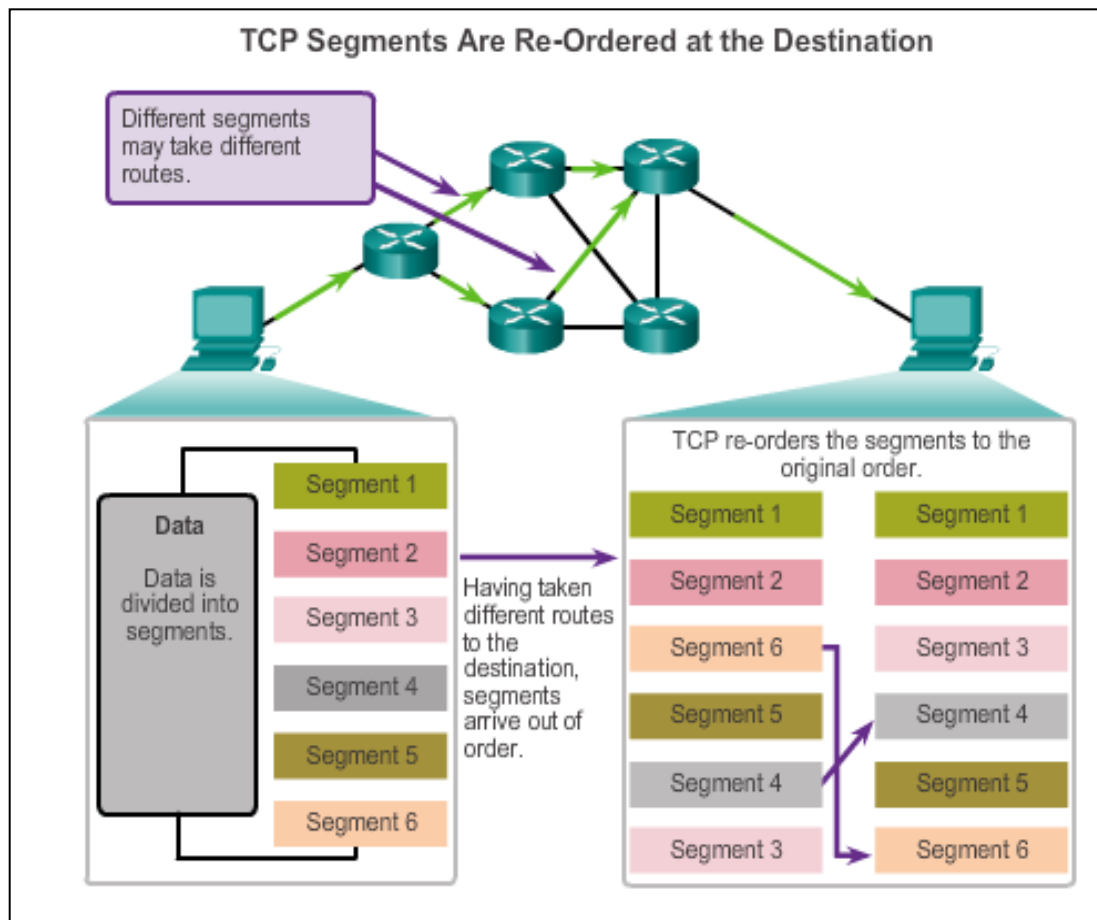




## Reliability and Flow Control

# TCP Reliability – Ordered Delivery

Sequence numbers are used to reassemble segments into their original order.

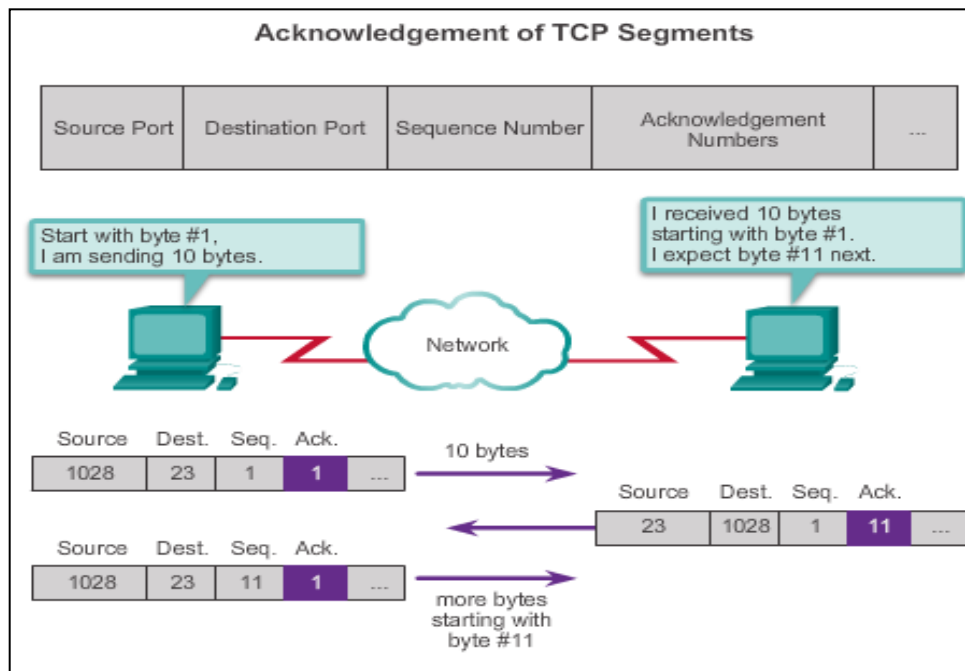




## Reliability and Flow Control

# Acknowledgement and Window Size

The sequence number and acknowledgement number are used together to confirm receipt.

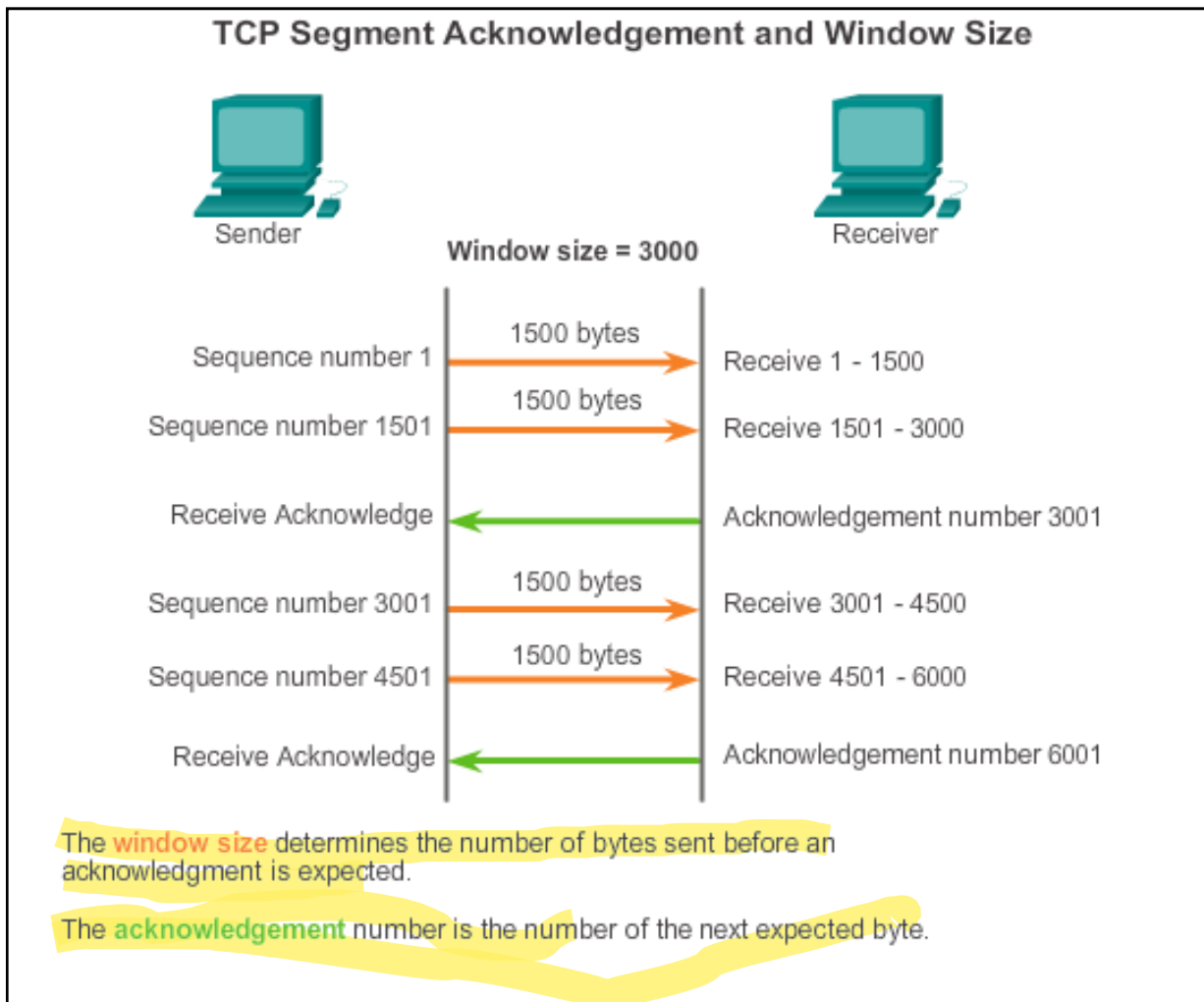


The window size is the amount of data that a source can transmit before an acknowledgement must be received.



## Reliability and Flow Control

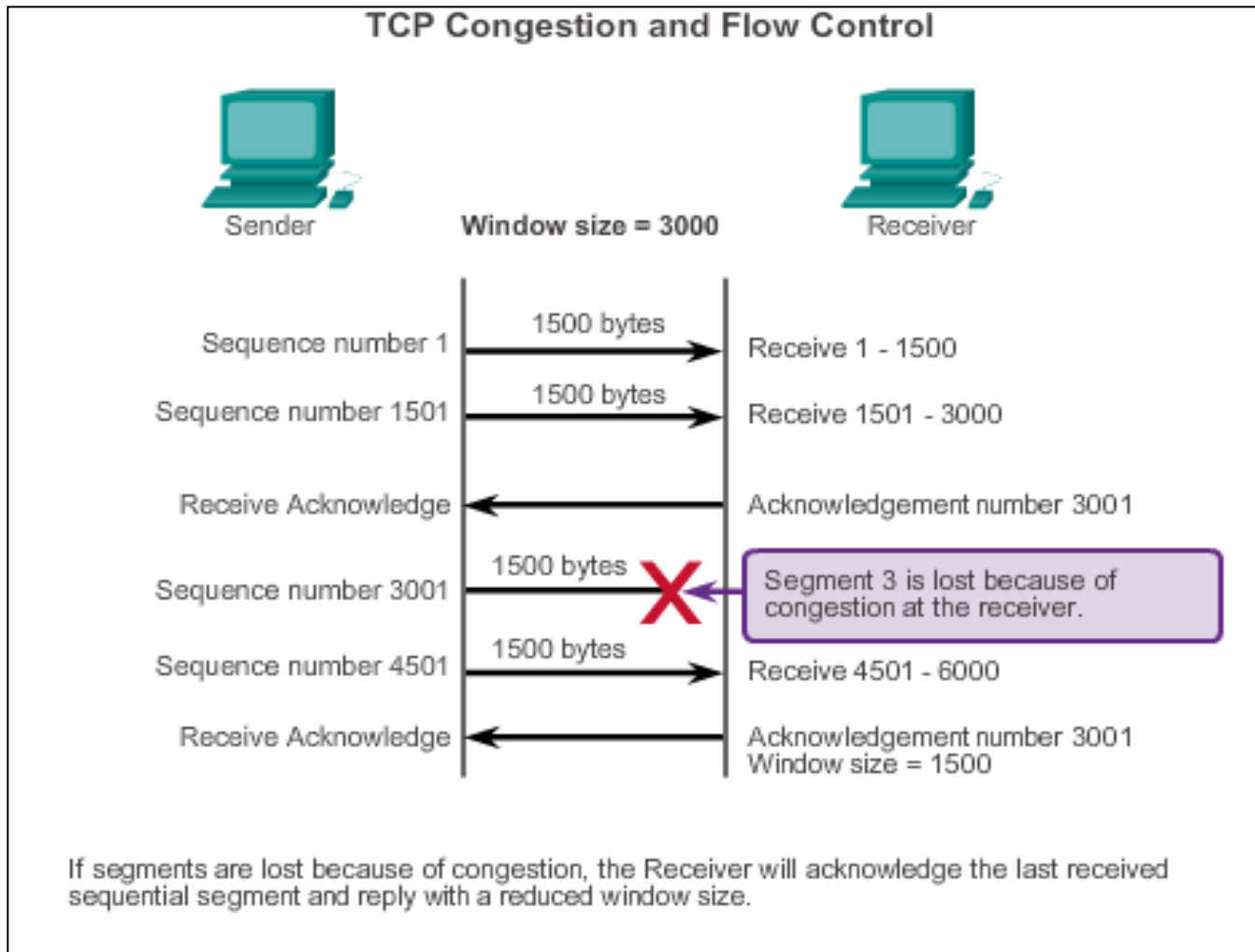
# Window Size and Acknowledgements





## Reliability and Flow Control

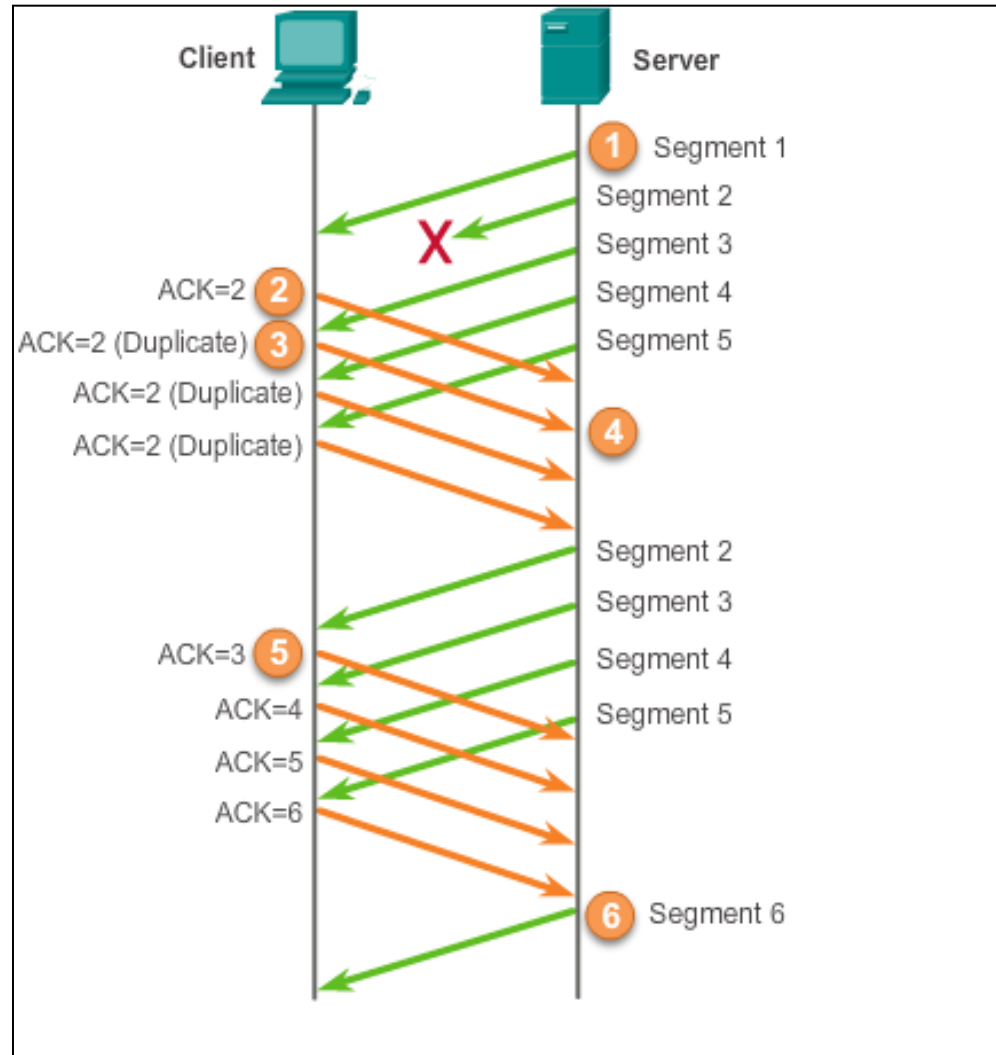
# TCP Flow Control – Congestion Avoidance





## Reliability and Flow Control

# TCP Reliability - Acknowledgements





## UDP Communication

# UDP Low Overhead vs. Reliability

## UDP

- Simple protocol that provides the basic transport layer function
- Used by applications that can tolerate small loss of data
- Used by applications that cannot tolerate delay

## Used by

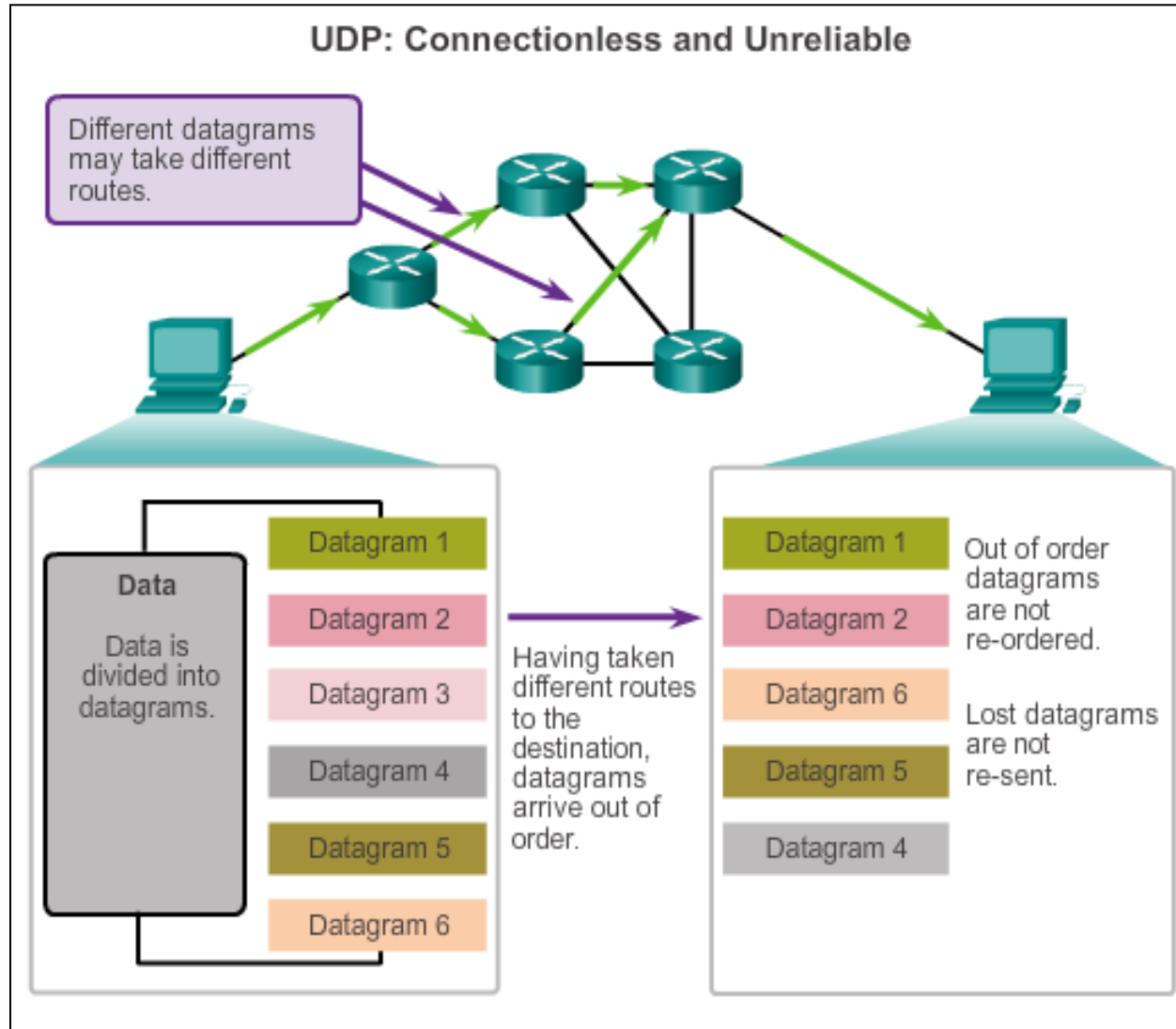
- DNS
- Simple Network Management Protocol (SNMP)
- Dynamic Host Configuration Protocol (DHCP)
- Trivial File Transfer Protocol (TFTP)
- IP telephony or VoIP
- Online games





# UDP Communication

## Datagram Reassembly

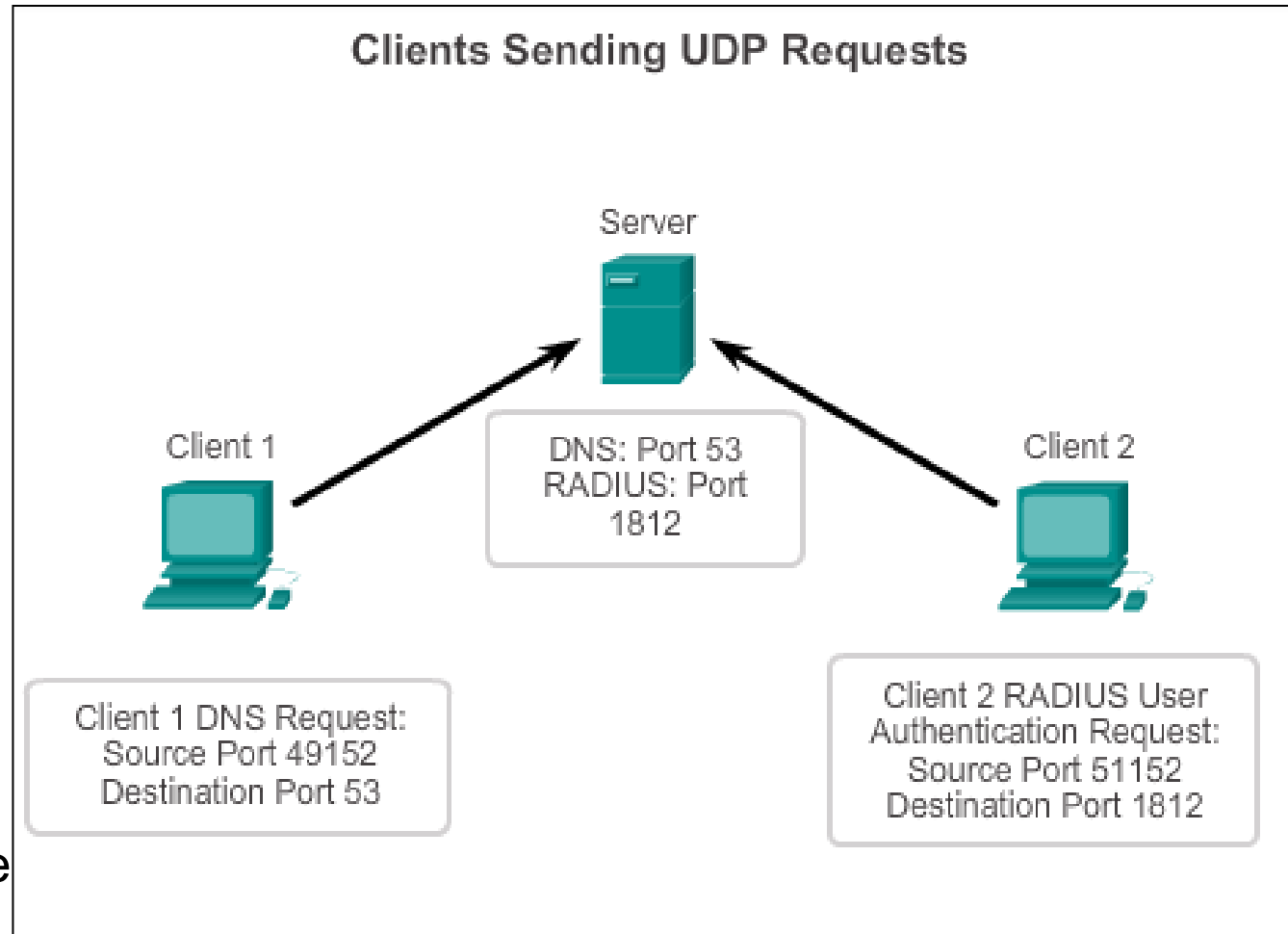




## UDP Communication

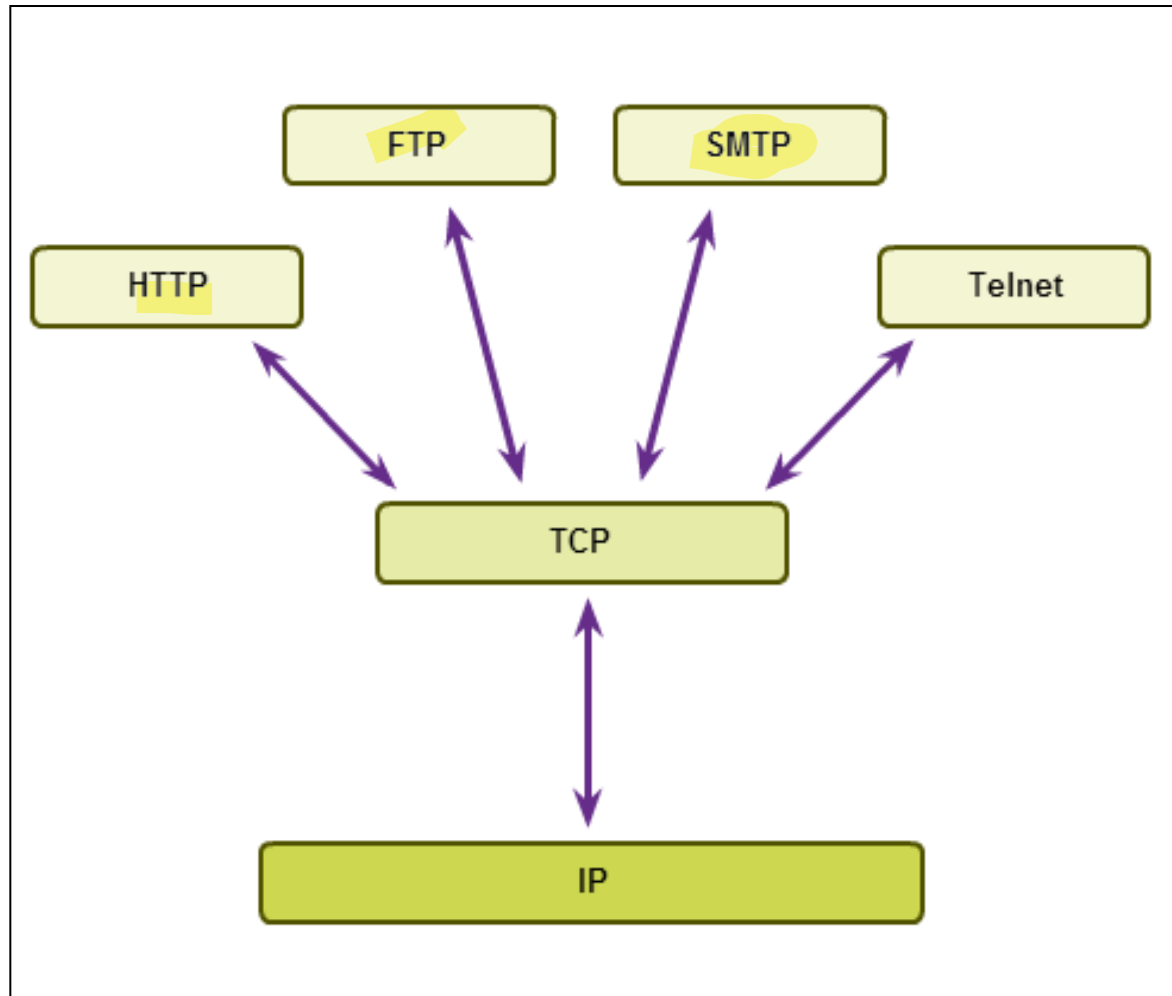
# UDP Server and Client Processes

- UDP-based server applications are assigned well-known or registered port numbers.
- UDP client process randomly selects port number from range of dynamic port numbers as the source port.



TCP or UDP

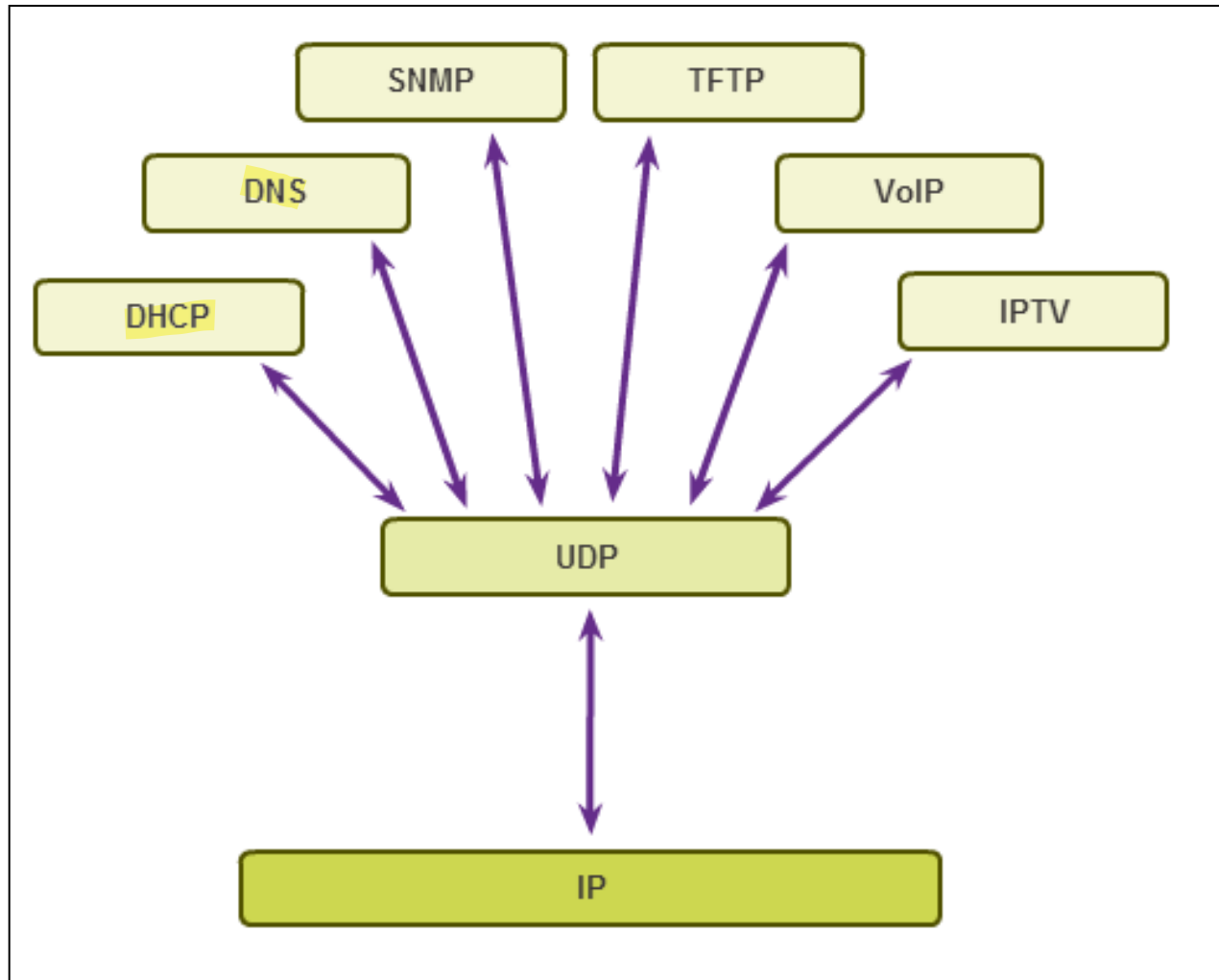
# Applications that use TCP





TCP or UDP

# Applications That Use UDP





## 7.3 Summary



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# Chapter 7: Summary

In this chapter, you learned:

- The role of the transport layer is to provide three main services: multiplexing, segmentation and reassembly, and error checking. It does this by:
  - Dividing data received from an application into segments.
  - Adding a header to identify and manage each segment.
  - Using the header information to reassemble the segments back into application data.
  - Passing the assembled data to the correct application.
- How TCP and UDP operate and which popular applications use each protocol.
- Transport Layer functions are necessary to address issues in QoS and security in networks.
- Ports provide a “tunnel” for data to get from the transport layer to the appropriate application at the destination.

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