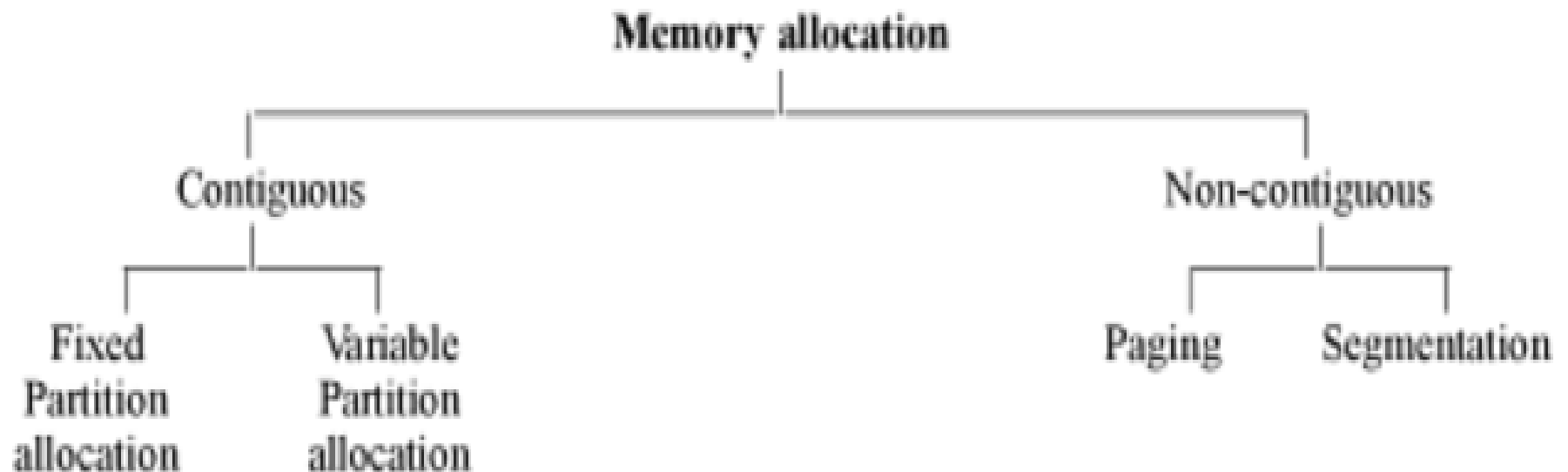


# Memory management techniques

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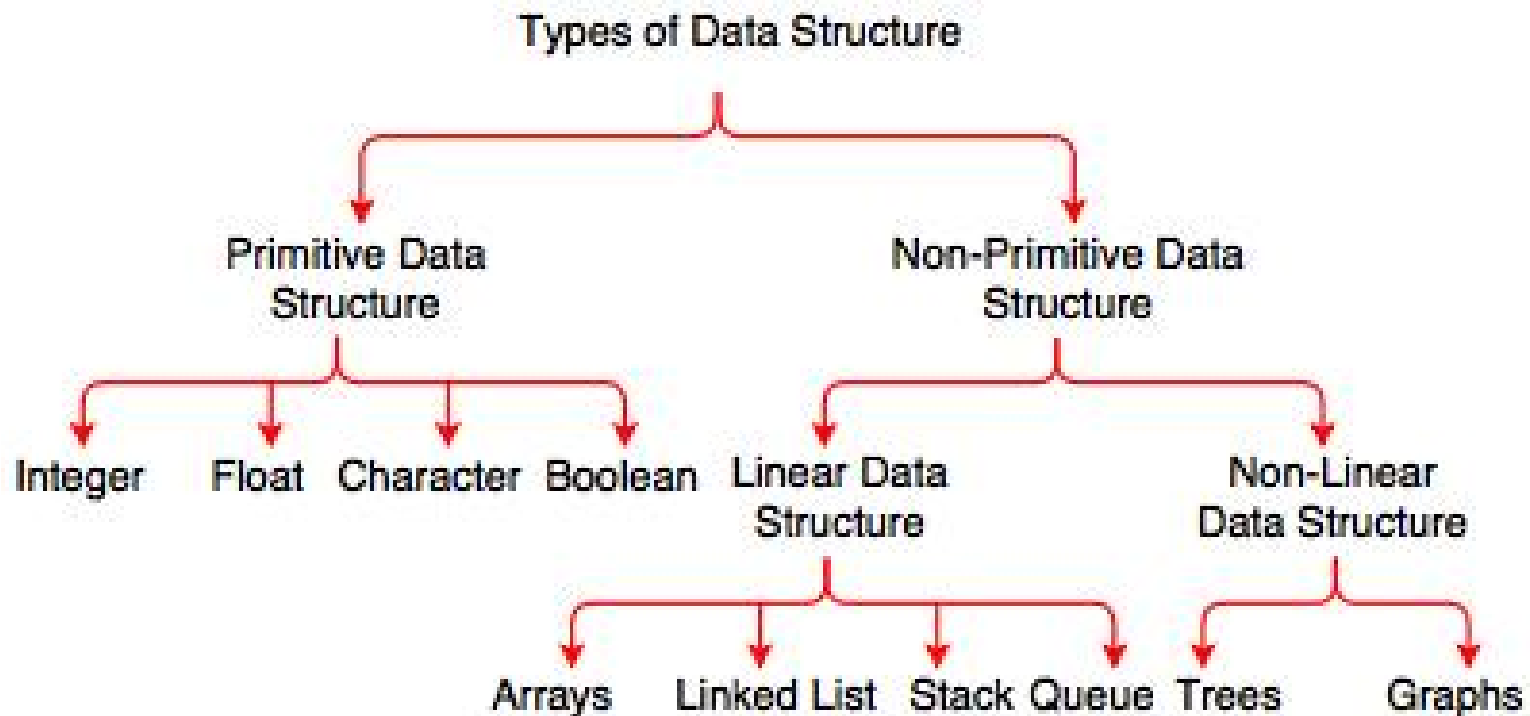
- Various memory management techniques exist such as partitioning memory, dynamic memory allocation, overlays, swapping. But the two most important and widely used strategies are paging and segmentation.



## Example:

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- Data structure is an arrangement of data in computer's memory.



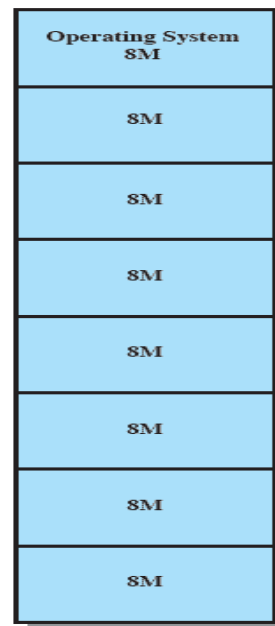
# Partitioning

---

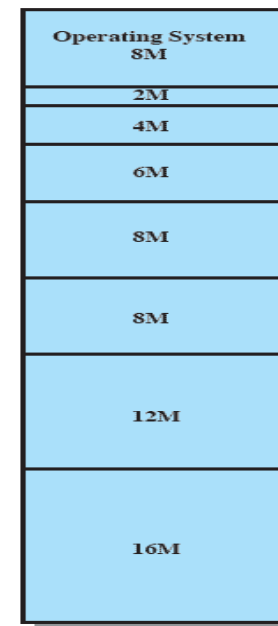
- In this method, the OS manages the main memory by **dividing the memory into regions of fixed or variable**.
- The two types of partitioning are:
  - Static/Fix-Sized Partitions
    - Equal-size
    - UnEqual-size
  - Dynamic/Variable-Sized Partitions

# Fixed-size partitions; Equal-size

- ❑ Memory is divided into  $n$  fixed-sized partitions in which different processes can be loaded.
- ❑ Any process whose size is less than or equal to the partition size can be loaded into an available partition
- ❑ If all the partitions are full, the operating system can swap a process out of a partition.



(a) Equal-size partitions

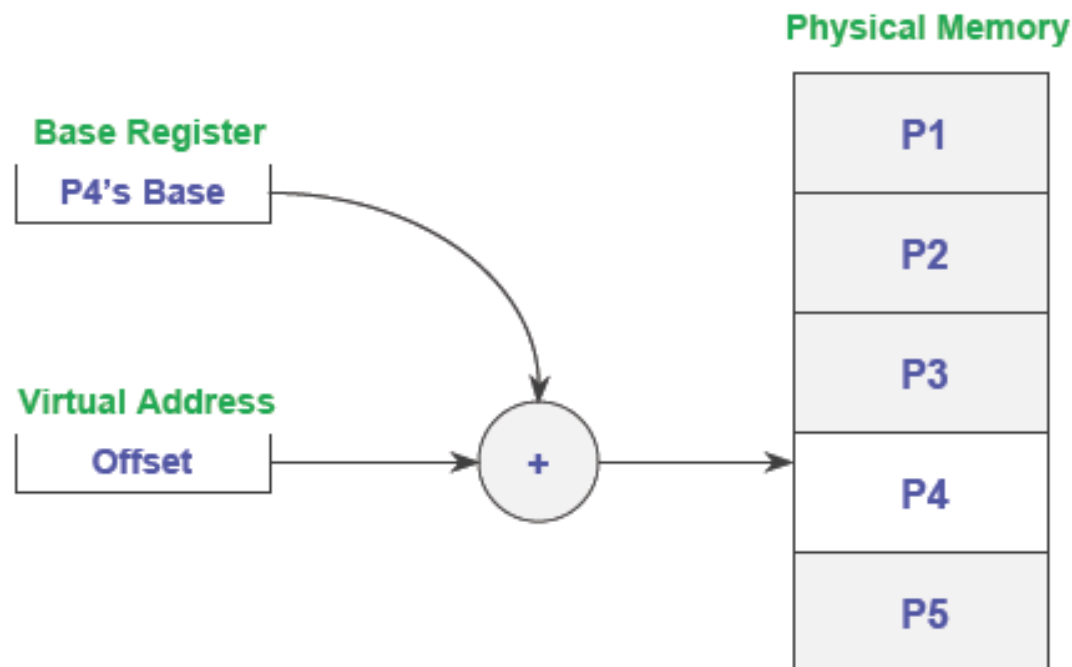


(b) Unequal-size partitions

Figure 7.2 Example of Fixed Partitioning of a 64-Mbyte Memory

# Placement Algorithm

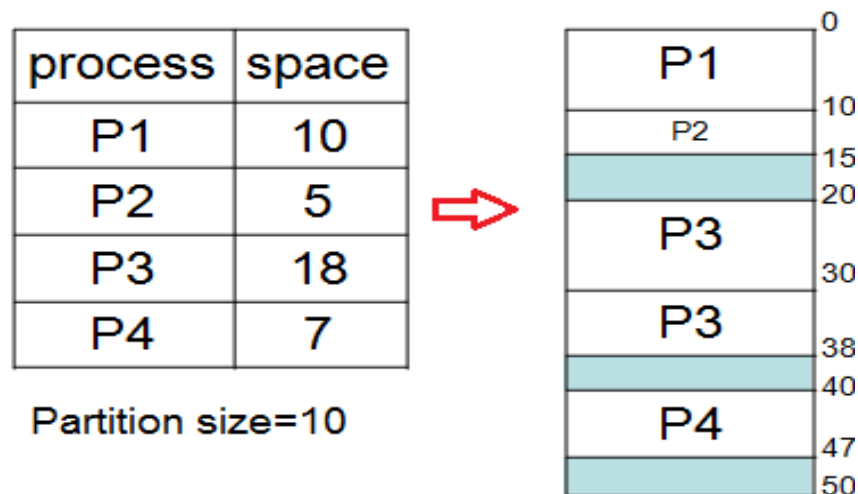
- If there is an **available partition**, a **process can be loaded into** that partition because all partitions are of **equal size**, it does **not matter which partition is used**.
- The hardware requirements are base and limit registers. **Physical address = virtual address + base register**. The Base register is loaded by OS when it switches to a process.



# Problem

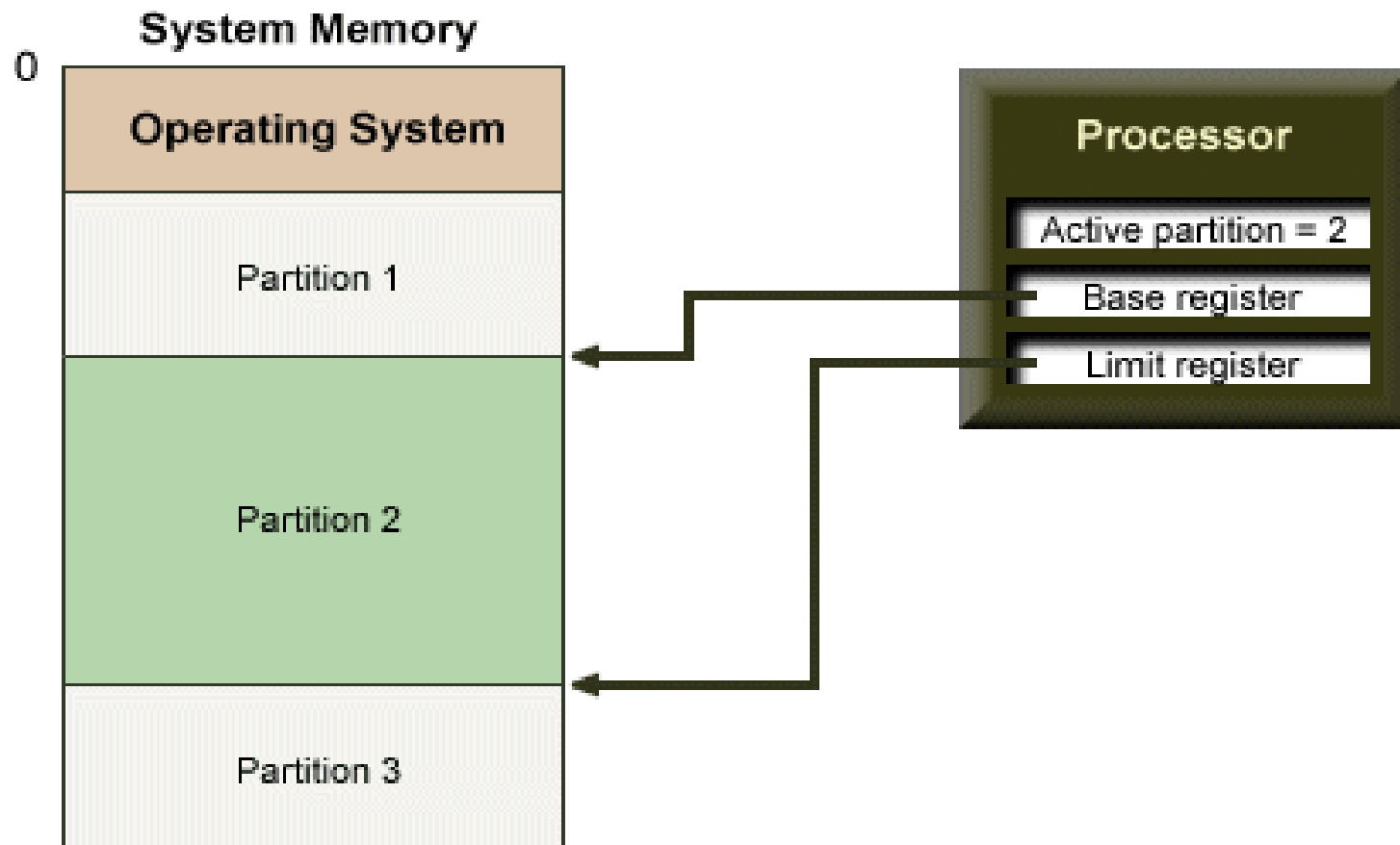
- Any process whose size is less than or equal to the partition size can be loaded into an available partition.
  - One program may be **too large** to fit in one partition. This means that the program needs to be designed with the use of **overlays**. With this, main memory use is inefficient.
  - One program may be **too small** to occupy the entire partition. The **space wasted** inside of allocated memory blocks is called **internal memory fragmentation**. This space is unavailable for use by the system until that process is finished and the area is released.

## Static Partition



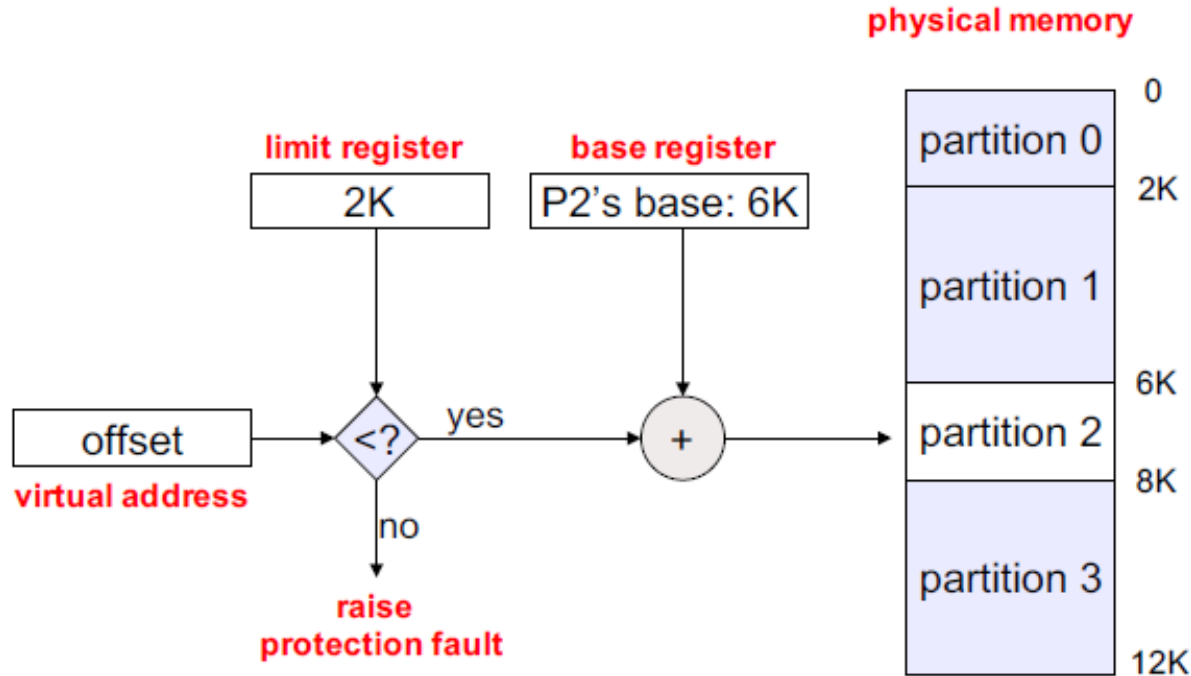
# Fixed-size partitions; Unequal-size

- With unequal partitions, the **internal fragment problem is reduced**, but **not eliminated**.
- The hardware requirements: **base register + limit register**.



# Fixed-size partitions; Unequal-size cont...

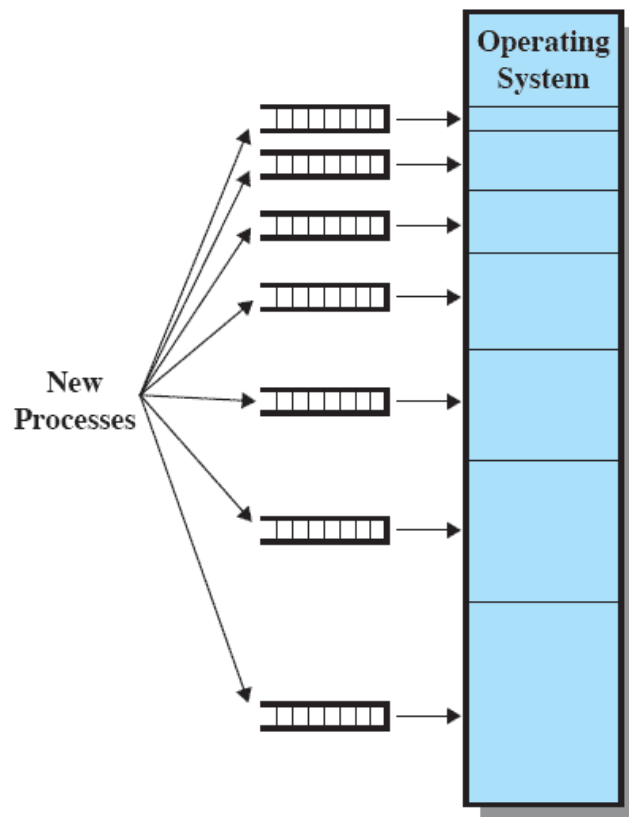
## Mechanics of fixed partitions



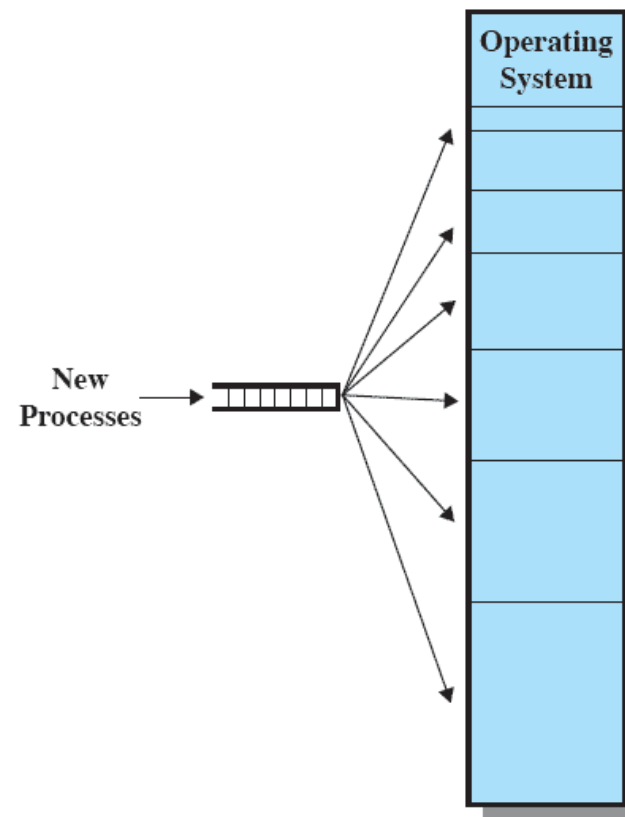


# Placement Algorithm

- This model can be implemented in two ways:
  - **multiple queues**: there is one queue for each partition
  - **single queue**: there is one single queue for all partitions



(a) One process queue per partition



(b) Single queue

## multiple queues

---

- In **multiple queues**, it assigns each process to the **smallest partition** within which it will fit.
- The advantage is that it **decreases internal fragment**.
- However, the problem is that **some queues will be empty** if no processes within a size range is present.

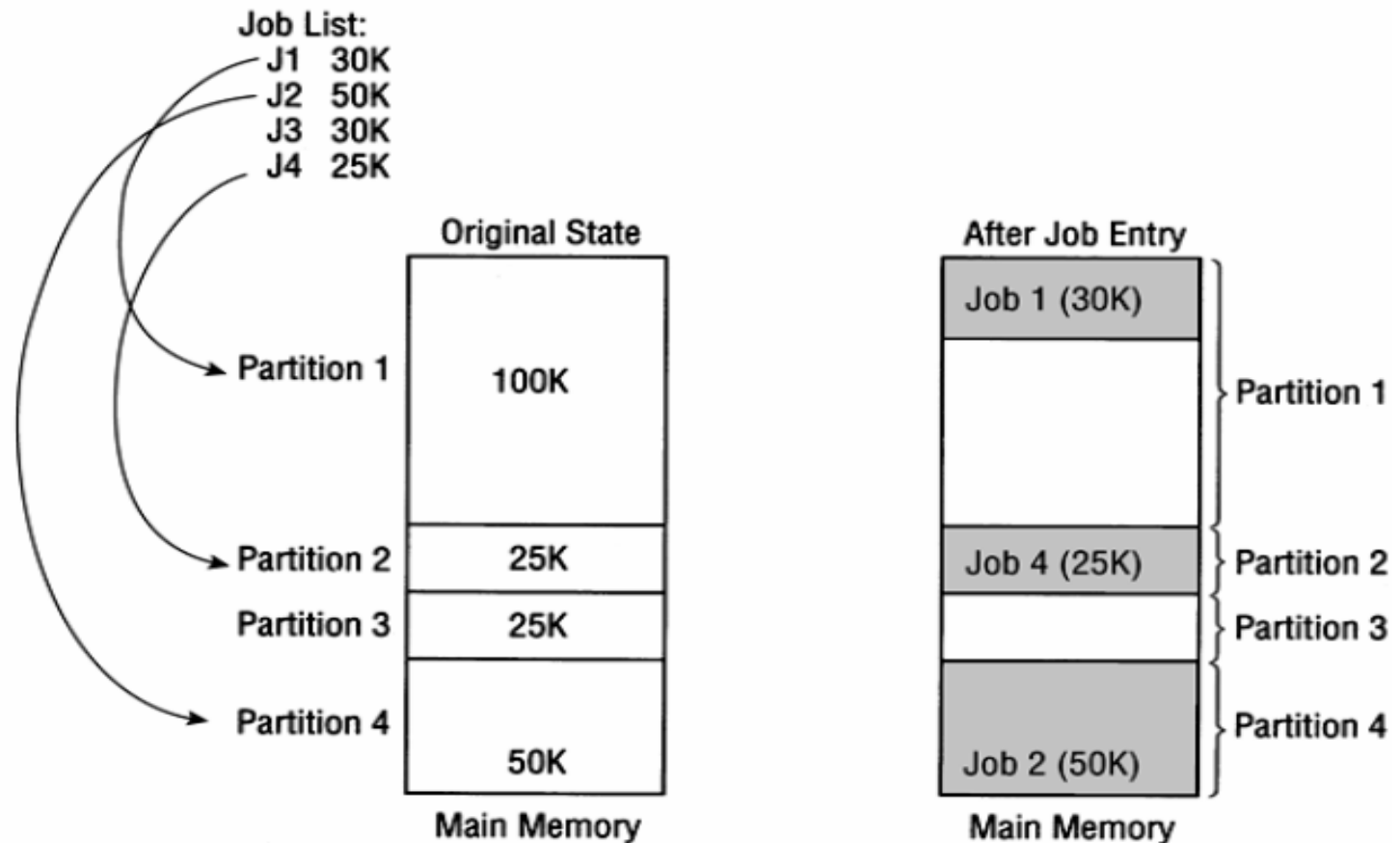
## single queues

---

- ❑ In **single queue**, when its time to load a process into main memory the **first available partition** within which it will fit is selected.
- ❑ It **increases the level of multiprogramming** at the expense of **internal fragmentation**

## single queues cont...

- Job1 is allocated first space large enough to accommodate it. Job 2 is allocated next available space large enough to accommodate it. Job 3? No partition is big enough to accommodate it.



## Fixed-size partitions cont...

---

- As we saw, in **Fixed Partitioning** method with either equal or unequal sizes:
  - any process whose **size is less than or equal to a partition size** can **be loaded** into the partition.
  - **if all partitions are occupied**, the operating system can **swap** a process out of a partition if no process is in the Ready or Running state.
  - In case, when a **program is too large to fit in a partition**, the programmer must then design the program with **overlays**.

# Overlay

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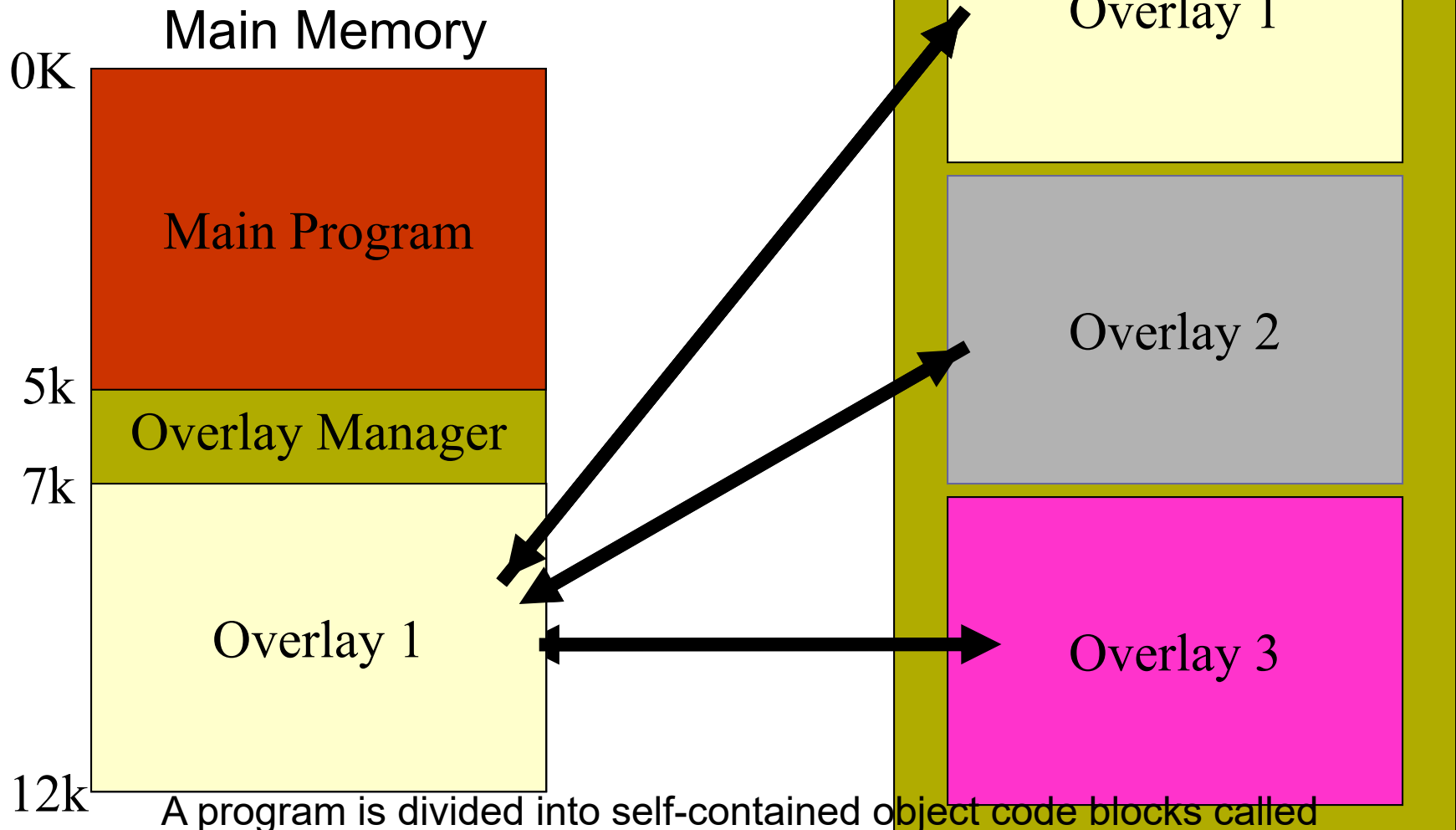
- ❑ In cases when a process is larger than the amount of memory, a technique called overlay can be used.
- ❑ The programmer breaks the code into pieces, called overlays, that fit into RAM.
- ❑ An Overlay manager/driver loads an overlay segment when it is not in RAM.

## Overlay cont...

---

- ❑ This method keeps in memory only those instructions and data that are needed at any given time. When other instructions are needed, they are loaded into space by Overlay driver/manager that was occupied previously by instructions that are no longer needed. It means the same memory is overwritten/overlay for running different phases of the program.
- ❑ While no special support needed from OS, the programming design of overlay structure is complex.

## Overlay cont...



A program is divided into self-contained object code blocks called overlays where the size of the overlay is limited according to memory constraints.



## Example:

- Consider a two-phases code:
  - Pass1 constructs a symbol table.
  - Pass2 generates machine-language code.

	Size (k = 1024 bytes)
Pass1	70k
Pass2	80k
Symbol table	20k
Common routines	30k
<i>Total size</i>	<i>200k</i>

- To load everything at once, we need 200k of memory. Thus if only 150K is available, we cannot run our process.

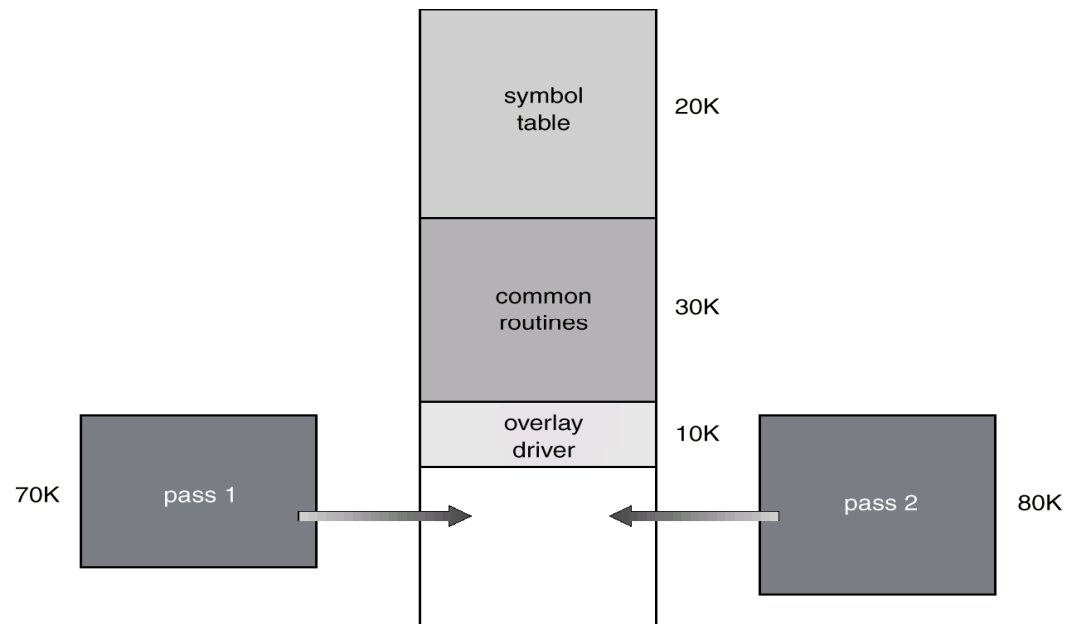
Program symbols: names of variables and functions

Symbol table: name and current location of variables or functions

## Example cont...

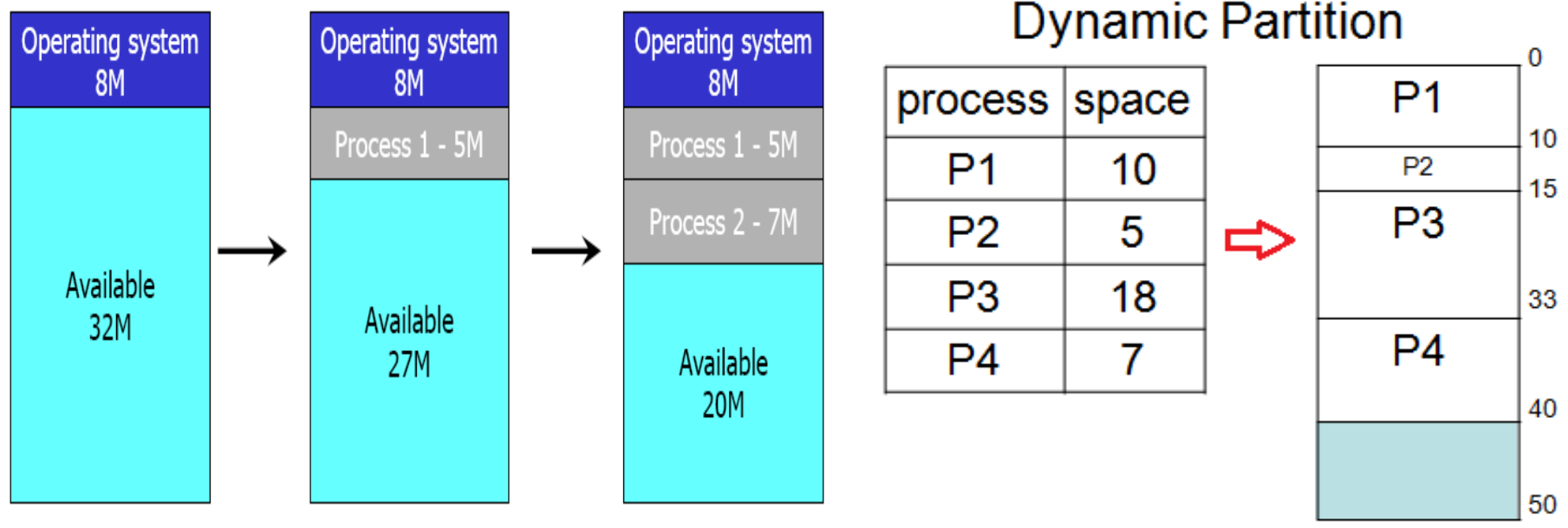
- Phase1 and Phase2 **do not need to be in memory at same time**. So, we define two overlays:
  - Overlay A: symbol table, common routines, and Pass1.
  - Overlay B: symbol table, common routines, and Pass2.
- We add **overlay driver 10k** and start with overlay A in memory. **When finish Pass1**, we **jump to overlay driver**, which **reads overlay B into memory overwriting** overlay A and transfer control to Pass2.

	Size (k = 1024 bytes)
Pass1	70k
Pass2	80k
Symbol table	20k
Common routines	30k
<b>Total size</b>	<b>200k</b>



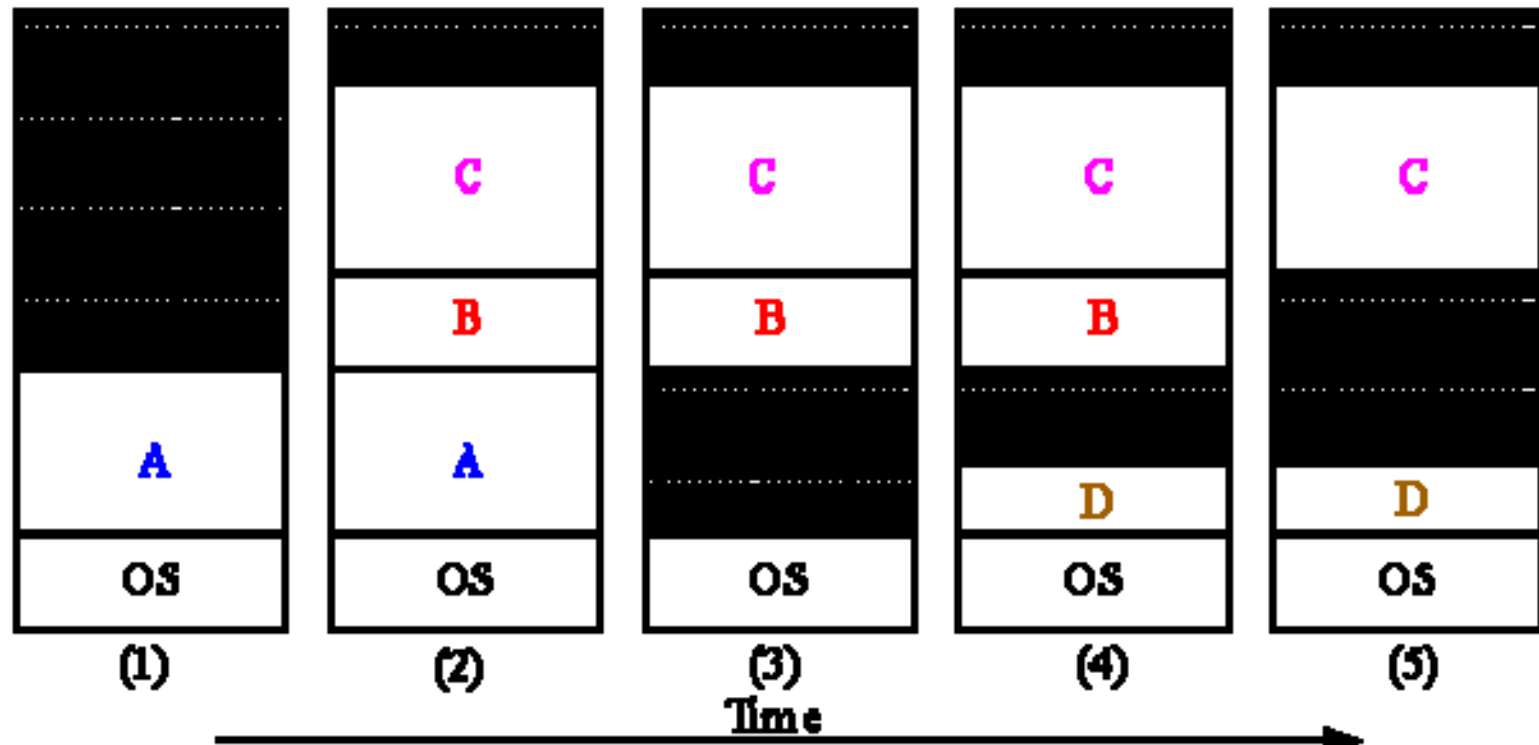
# Variable-sized partitions

- ❑ The memory partitions are created dynamically in response to process requests. When a process is brought into memory, a partition of exactly the right size is created to hold it, eliminating internal fragmentation.
- ❑ Hardware requirements are base register and limit register.



## Variable-sized partitions cont...

- For example, initially, process A is in memory, then B and C are created. A terminates, D is created, B terminates.



# Placement Algorithm

---

- One obvious question suggested by dynamic partitioning is “Where do we place a new process?” Three simple algorithms exist :
  - **First fit**: Scans memory from the beginning and chooses the **first available** block that is large enough
  - **Best fit**: Allocate the **smallest block** that is big enough; must **search entire list**, unless ordered by size. Produces the smallest leftover hole.
  - **Worst/Next fit**: Allocate the **largest block**; must also **search entire list**. Produces the largest leftover hole.

# Problem

- Dynamic partition size improves memory utilization but complicates allocation and de-allocation by creating holes. **Holes** are areas of unused available areas of memory between partitions. They are usually **too small to hold a process**. The **external memory fragmentation** occurs when there is enough free memory for a process to run, but no single free block is large enough.

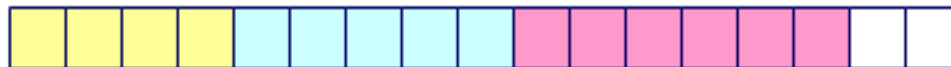
```
p1 = malloc(4)
```



```
p2 = malloc(5)
```



```
p3 = malloc(6)
```



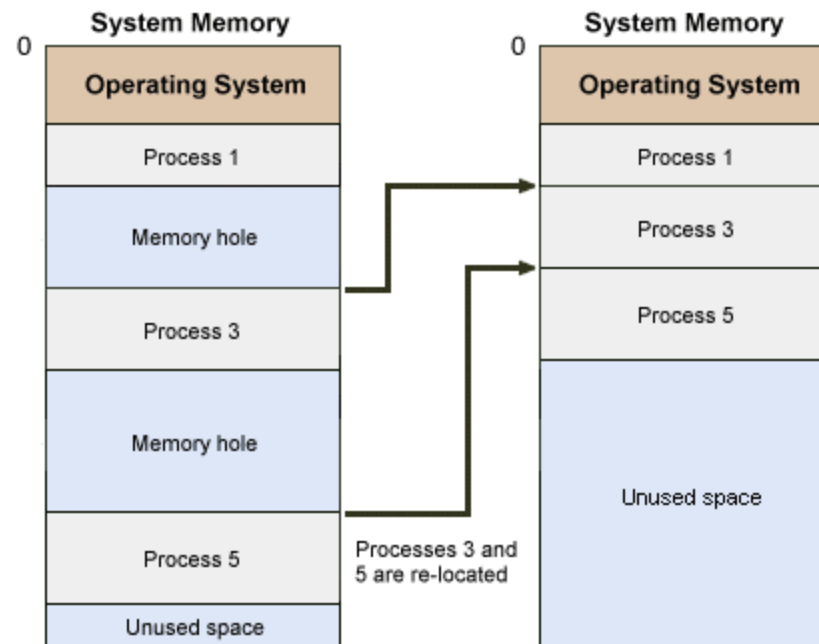
```
free(p2)
```



```
p4 = malloc(6)
```

## Problem cont...

- ❑ The solution is **memory compaction** which **combines all the holes** together so they are contiguous allowing a process to move into that space.
- ❑ Memory compaction has a **large overhead** (takes a considerable amount of time **to complete**) and it also **requires processes to be relocatable**. Therefore, compaction method is slow and costly and is rarely used.



# Non-contiguous memory allocation

---

- As we saw, the **problems** with using **contiguous** memory allocation, are **fragmentation** and **inefficiencies** (algorithms to **track holes** and **compaction**).
- So, **a better method** to overcome the fragmentation problem is to make our logical address space **non-contiguous**.



# Virtual memory

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- ❑ Before virtual memory, programs that were too big for the size of physical memory used Overlay.
- ❑ However, overlay was difficult for programmer to manage.
- ❑ Then Virtual memory was developed.
- ❑ Virtual memory removes burden of memory resource management from the programmer.

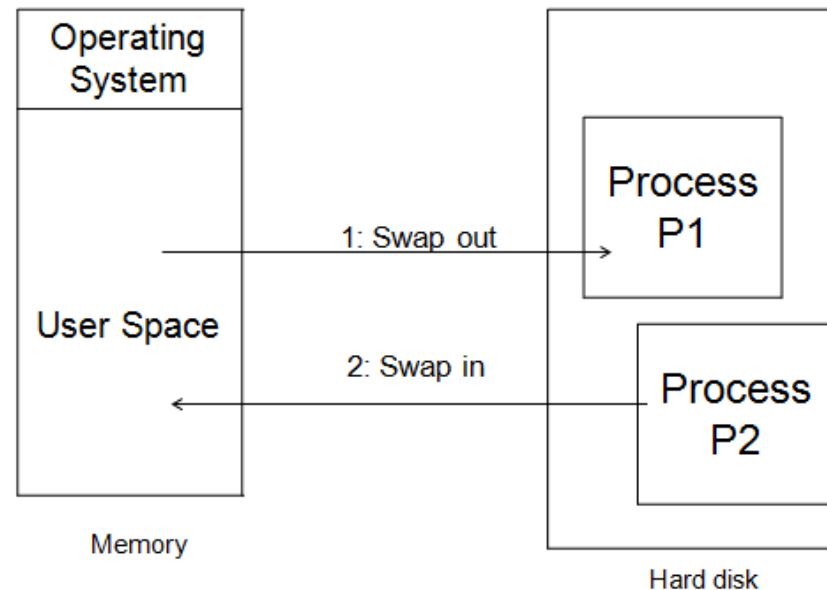
## Virtual memory cont...

---

- ❑ This method in fact is **implementing memory on the hard drive**. The hard disk can be used to allow more processes to run than would normally fit in main memory.
- ❑ By default, **Windows** sets the initial virtual memory **paging file** as **equal to the amount of RAM** and **limits this increase to three times the amount of RAM** to ensure **system stability**.
- ❑ One scheme is that when a process blocks for I/O (e.g. keyboard input), it can be swapped out to disk, allowing other processes to run.
- ❑ **Virtual memory can be implemented today** in 2 ways:
  - Swapping (old; an early virtual memory technique)
  - Paging
  - Segmentation

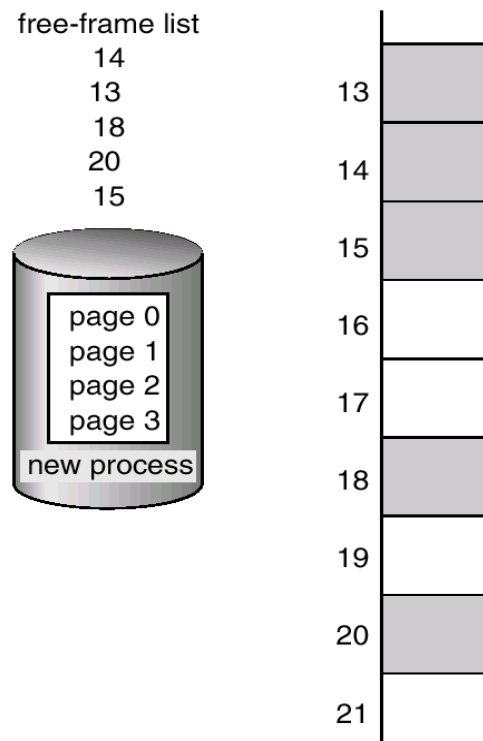
# Swapping

- ❑ Swapping is the act of **swapping the entire process from physical memory into the virtual memory portion of the hard drive.**
- ❑ In **time sharing and multiprogramming** environment, there are **many processes running simultaneously** in the system. What if there is **not enough memory to hold all** the processes that want to run? The first solution that comes to mind is to **move processes** that have been **suspended** or **preempted to disk** to **make room for other** ones that want to run. When it is their turn to run again, they can be brought back into memory. The method of dynamically **moving processes between memory and disk** is called **swapping**.

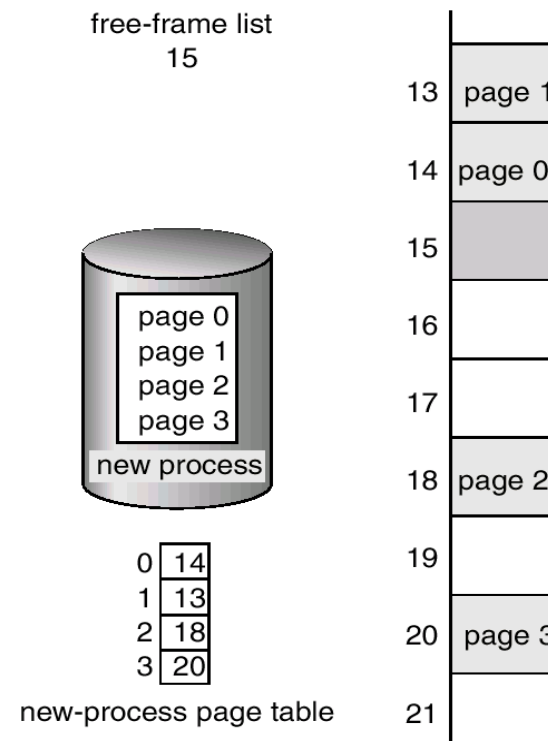


# Paging

- In paging, **physical memory** is divided into **fixed size** partitions called **frames**. **Virtual address space of each process** is also divided into blocks of **same size** called **pages**. Each page and its corresponding frame must have the same size. The corresponding pages of the process **are loaded into any available memory frames**.

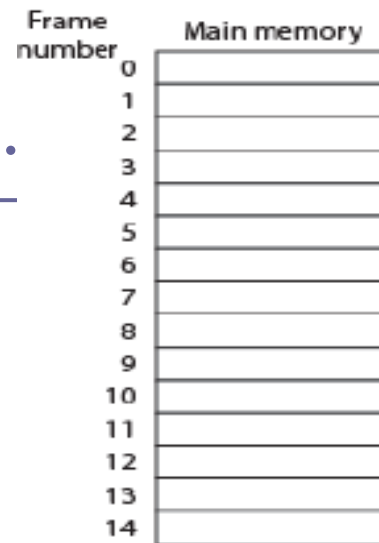


(a)

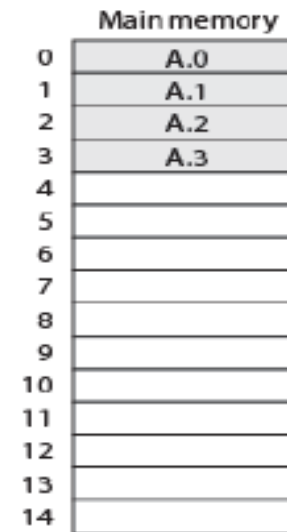


(b)

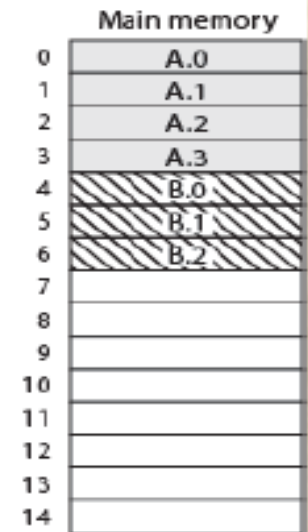
# Paging cont...



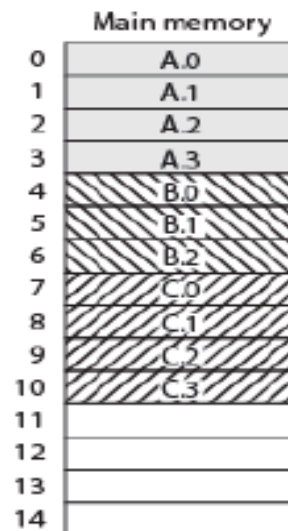
(a) Fifteen Available Frames



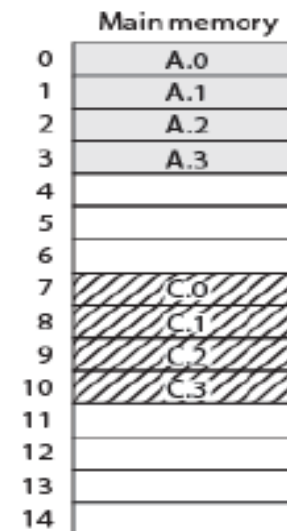
(b) Load Process A



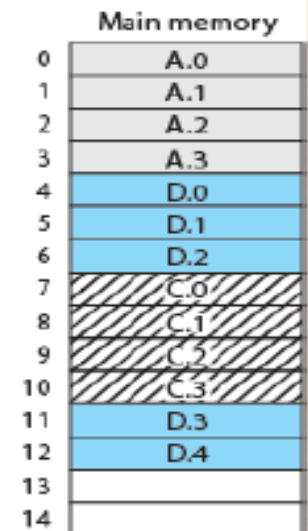
(c) Load Process B



(d) Load Process C



(e) Swap out B

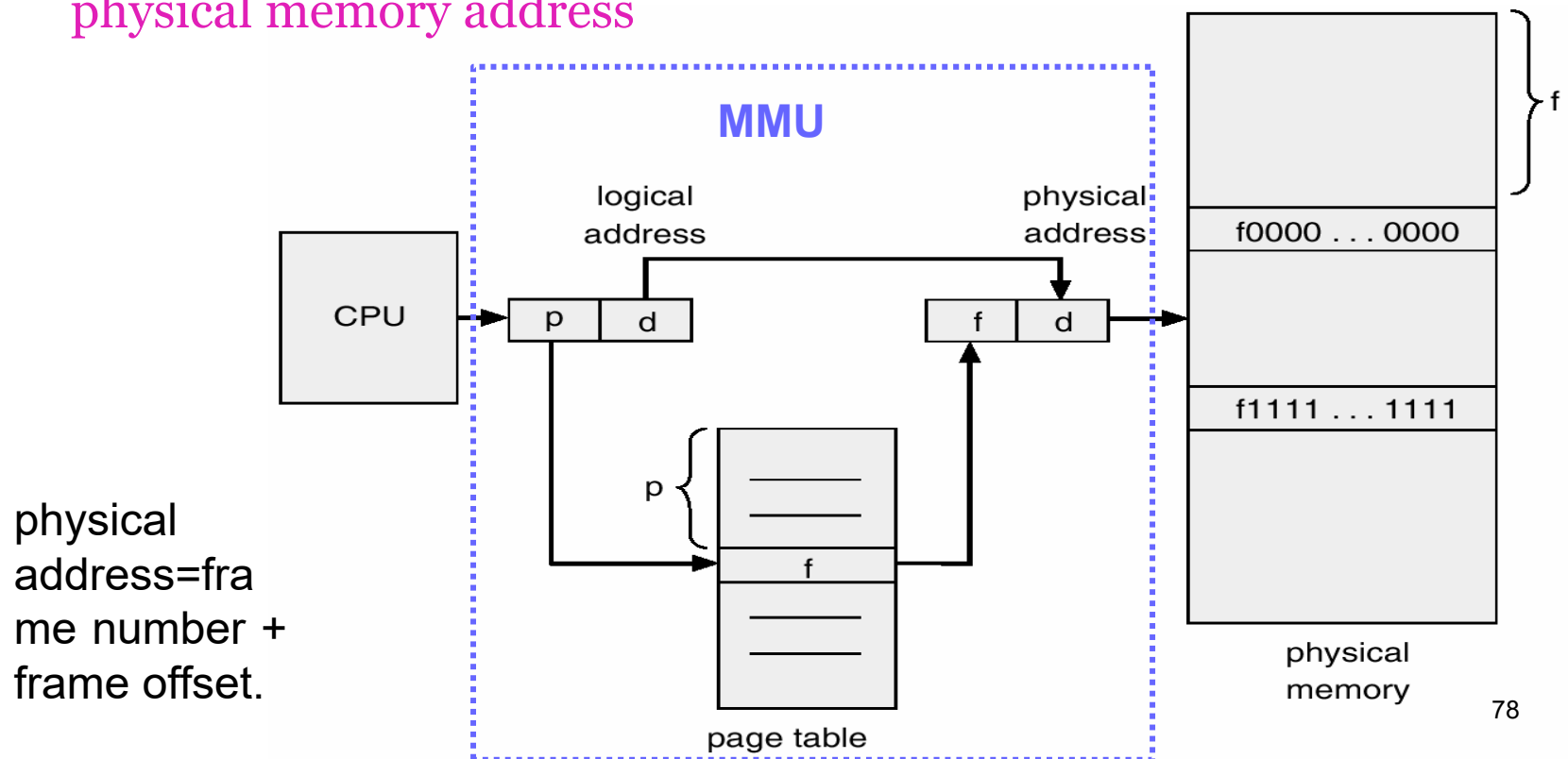


(f) Load Process D

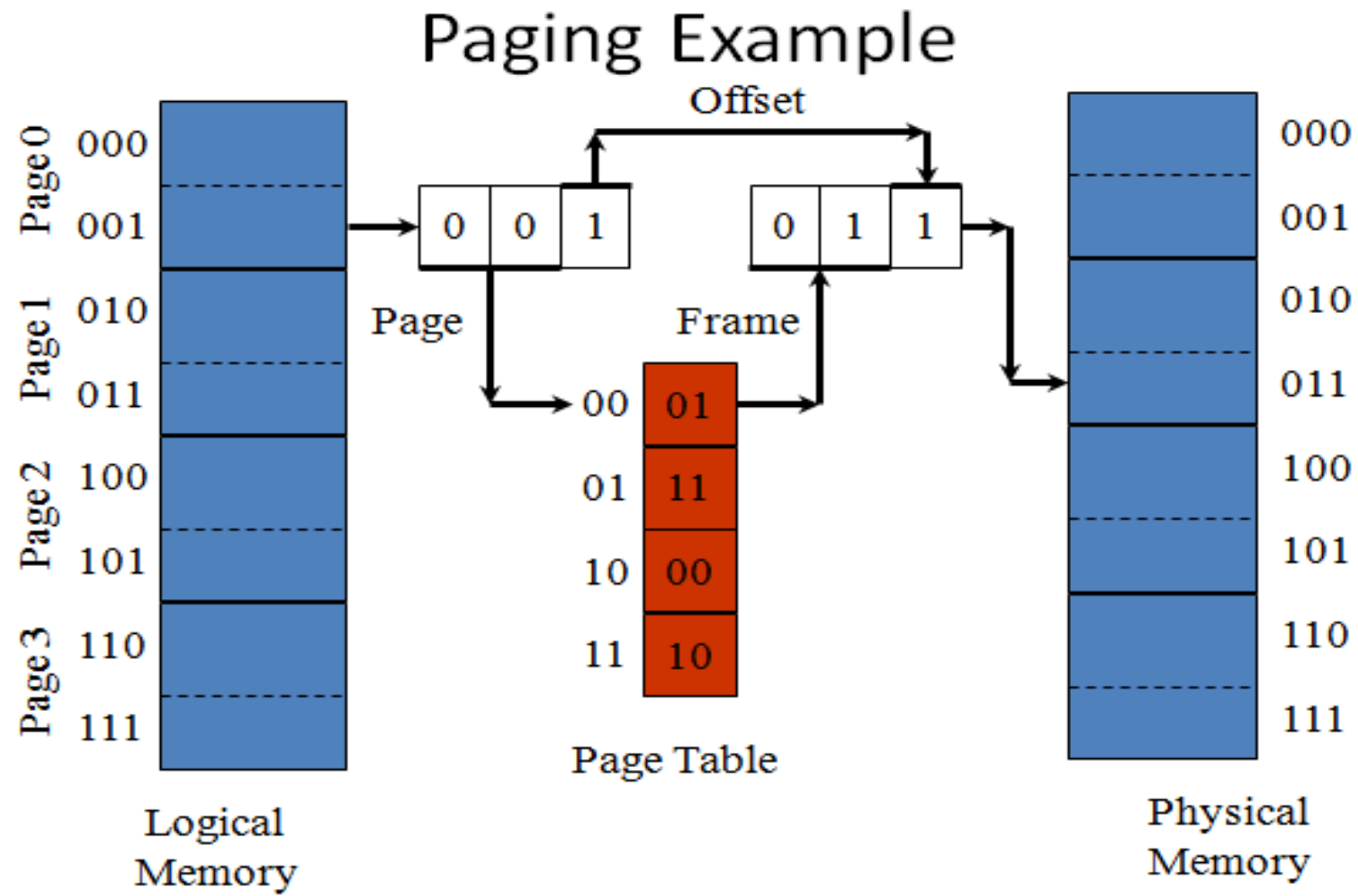
Figure 7.9 Assignment of Process Pages to Free Frames

## Paging cont...

- The **virtual address space of a process** is divided into two parts:
  - **Page number (p)**: used as an **index into a page table** which contains base address of each page in physical memory
  - **Page offset (d)**: **combined with base address** to define the **physical memory address**



## Example:



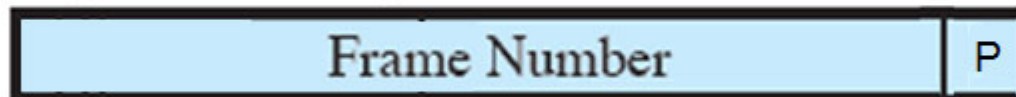
## Paging cont...

- OS maintains a **page table in memory** for each process which **contains the frame location for each page in the process**.
- The page table is used by processor to produce a physical address.
- **The page table may also contain some pages that are not in main memory**. Thus, the internal structure of the **page table** consists of the frame number of the corresponding page in main memory and one extra bits to indicate page availability:
  - P(resent): whether the page is in main memory (v: p=1) or not (i: p=0)

Virtual Address



Page Table Entry



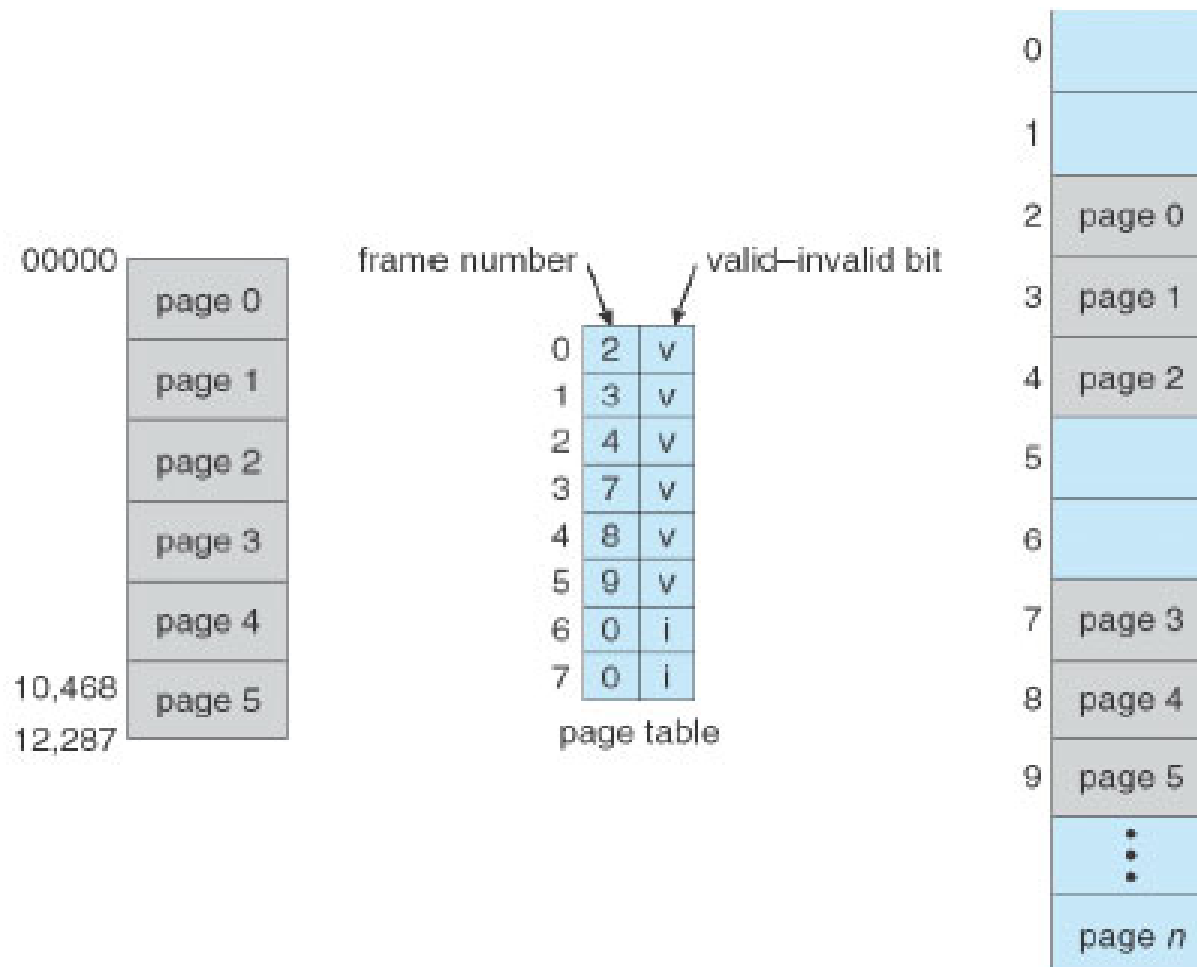
Physical Address





## Paging cont...

- Initially valid/invalid bit is set to 0 on all entries. During address translation, if valid/invalid bit in page table entry is 0  $\Rightarrow$  page fault.



# Example:

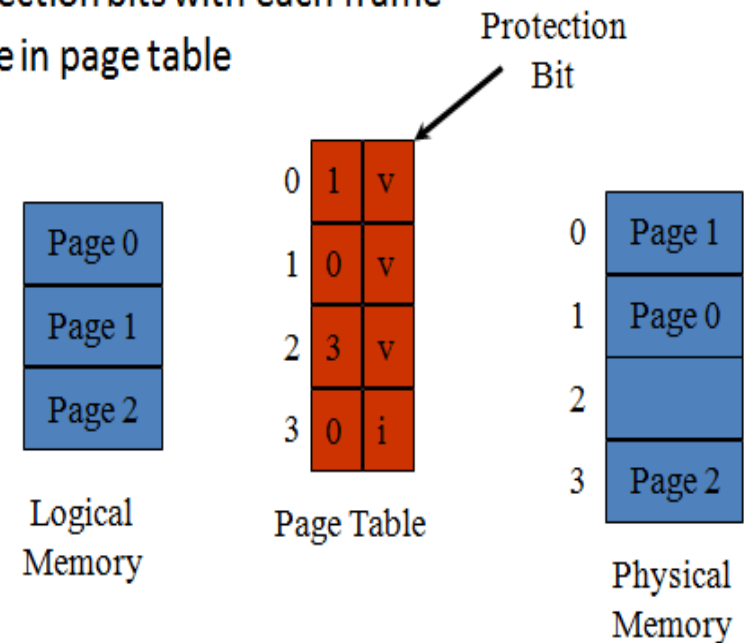
P1 PT		Memory	
Page	Frame	Frame	Contents
0	5	0	
1	12	1	P2/Page2
2	15	2	
3	7	3	
4	22	4	
		5	P1/Page0
		6	
		7	P1/Page3
		8	
		9	
		10	P2/Page0
		11	P2/Page3
		12	P1/Page1
		13	
		14	
		15	P1/Page2

P2 PT	
Page	Frame
0	10
1	18
2	1
3	11

## Protection

Protection bits with each frame  
Store in page table



## Paging cont...

- ❑ The mapping of virtual-to-physical addresses is done on every single memory reference, which can **slow things down** considerably. **A process can no longer directly access memory, but has to go through the MMU** for address mapping.
- ❑ Each process has its own virtual address space, hence has its own page table.

0	0
1	1
2	2
3	3

Process A  
page table

0	—
1	—
2	—

Process B  
page table

0	7
1	8
2	9
3	10

Process C  
page table

0	4
1	5
2	6
3	11
4	12

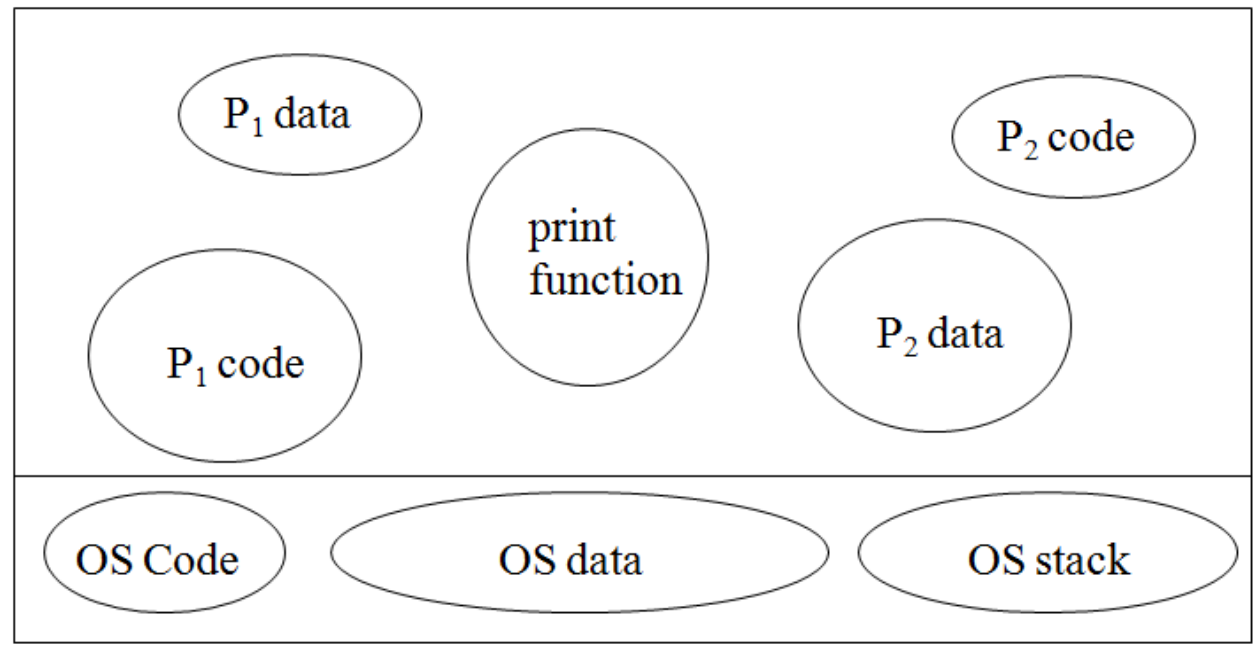
Process D  
page table

13
14

Free frame  
list

# Segmentation

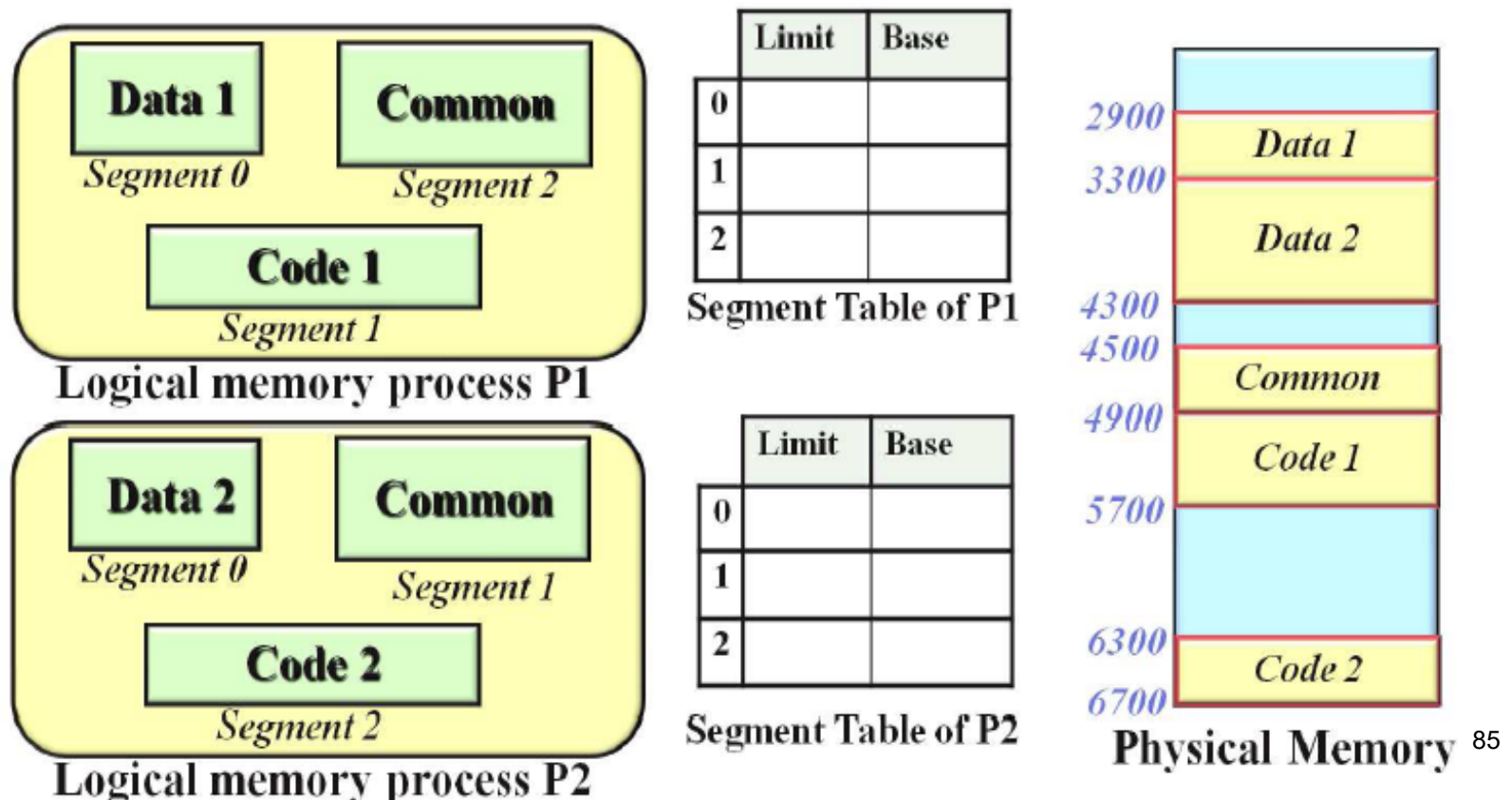
- Segmentation **breaks program into logical pieces** called **segments**. Each segment is a grouping of related information:
  - Data segments for each process
  - Code segments for each process
  - Data segments for the OS
  - etc.



logical address space

## Segmentation cont...

- Segmentation is very similar to paging **but** the segments can be variable in size.
- Like page tables, **each process** usually gets its own **segment table**.



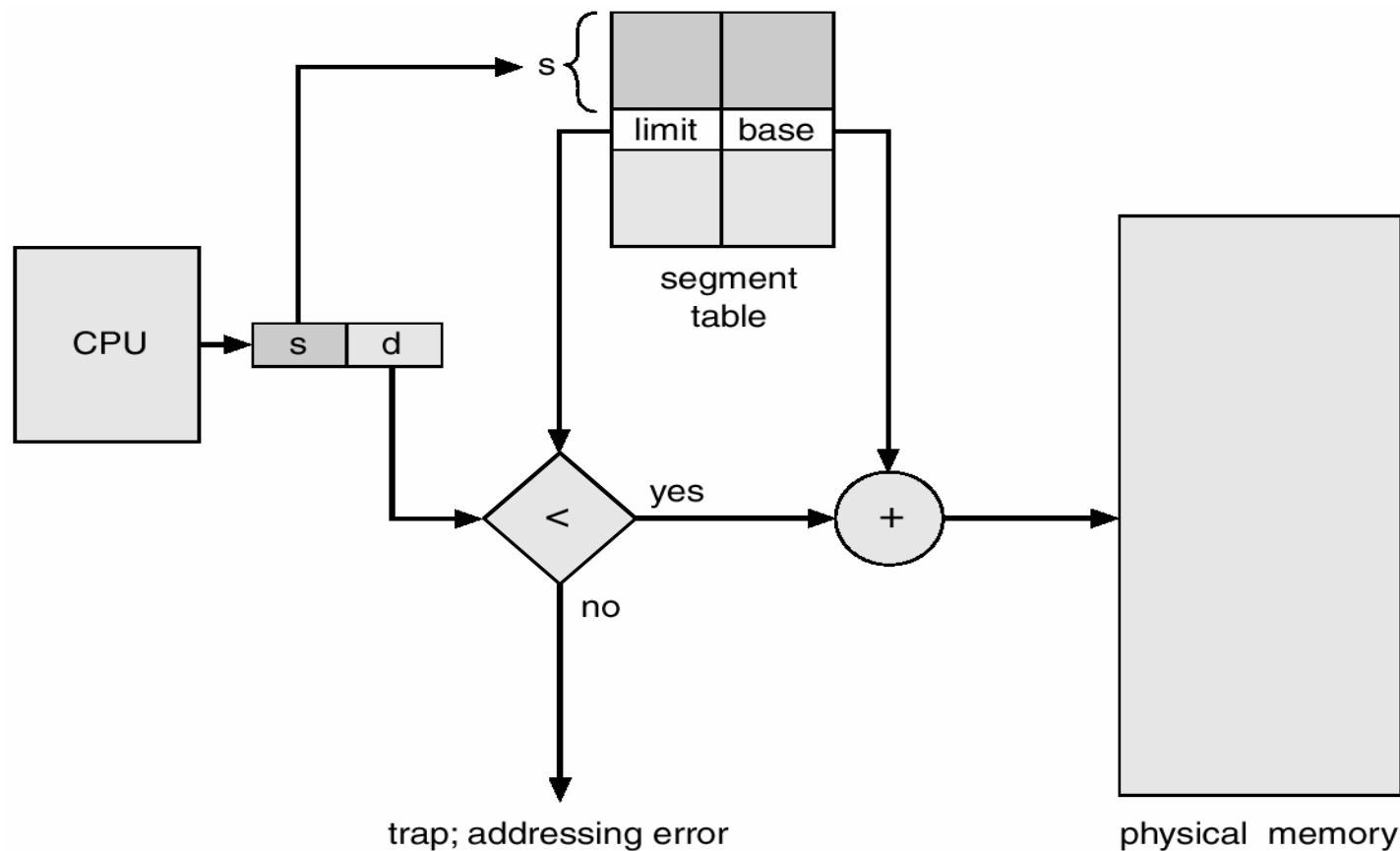
## Segmentation cont...

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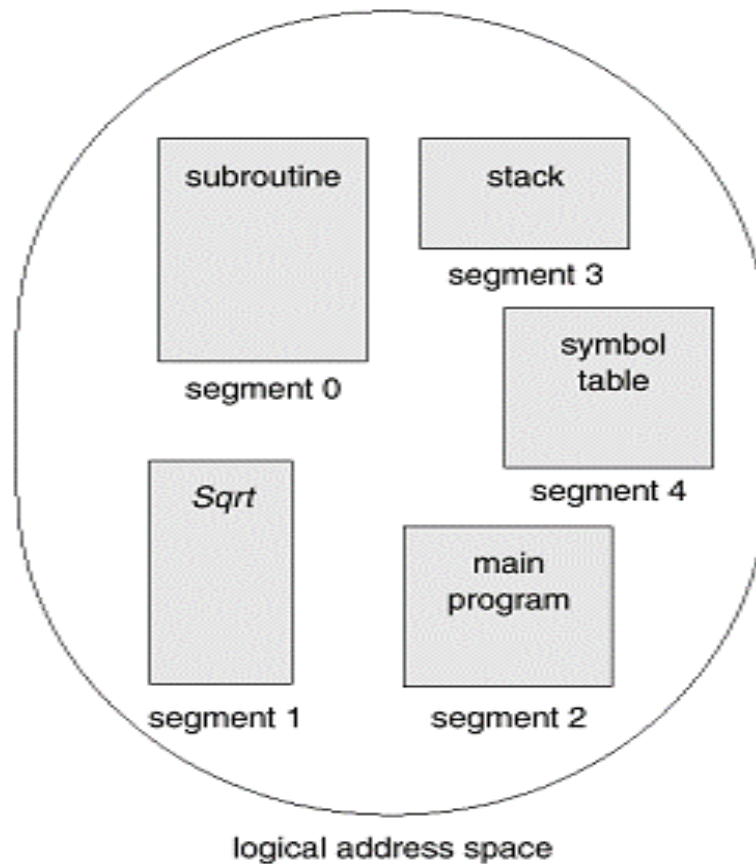
- Use **segment number to index into segment table**. Each table entry contains:
  - **Base address**: the physical address of the **start of the segment** in memory
  - **Limit address**: the **size/length of the segment**
- MMU maintains these two hardware registers for each segment. These registers are used to **protect** segments from interfering with one another.

# Segmentation addressing

- ❑ Checks the offset against a limit.
- ❑ **Add the offset to the base** and generate the physical address.

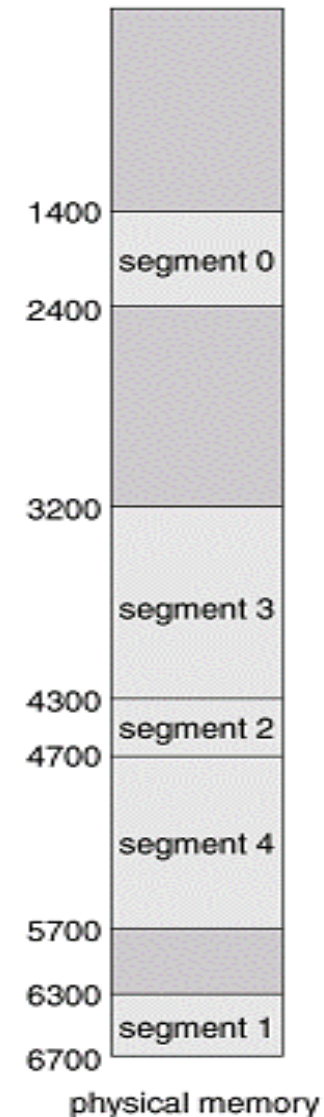


# Example:



	limit	base
0	1000	1400
1	400	6300
2	400	4300
3	1100	3200
4	1000	4700

segment table





# Segmentation Issues

---

- ❑ Entire segment is either in memory or on disk
- ❑ **Variable sized** segments leads to **external fragmentation** in memory
- ❑ Must find a space big enough to place segment into
- ❑ May need to swap out some segments to bring a new segment in