

Indicate, for each pair of expressions (A , B) in the table below whether A is O , o , Ω , ω , or Θ of B . Assume that $k \geq 1$, $\epsilon > 0$, and $c > 1$ are constants. Write your answer in the form of the table with “yes” or “no” written in each box.

	A	B	O	o	Ω	ω	Θ
a.	$\lg^k n$	n^ϵ					
b.	n^k	c^n					
c.	\sqrt{n}	$n^{\sin n}$					
d.	2^n	$2^{n/2}$					
e.	$n^{\lg c}$	$c^{\lg n}$					
f.	$\lg(n!)$	$\lg(n^n)$					

3-3 Ordering by asymptotic growth rates

- a. Rank the following functions by order of growth. That is, find an arrangement g_1, g_2, \dots, g_{30} of the functions satisfying $g_1 = \Omega(g_2), g_2 = \Omega(g_3), \dots, g_{29} = \Omega(g_{30})$. Partition your list into equivalence classes such that functions $f(n)$ and $g(n)$ belong to the same class if and only if $f(n) = \Theta(g(n))$.

$$\lg(\lg^* n) \quad 2\lg^* n \quad (\sqrt{2})^{\lg n} \quad n^2 \quad n! \quad (\lg n)!$$

$$(3/2)^n \quad n^3 \quad \lg^2 n \quad \lg(n!) \quad 2^{2^n} \quad n^{1/\lg n}$$

$$\ln \ln n \quad \lg^* n \quad n \cdot 2^n \quad n \lg \lg n \quad \ln n \quad 1$$

$$2^{\lg n} \quad (\lg n)^{\lg n} \quad e^n \quad 4^{\lg n} \quad (n + \frac{\sqrt{\lg n}}{1})!$$

$$\lg^*(\lg n) \quad 2^{\sqrt{2\lg n}} \quad n \quad 2^n \quad n \lg n \quad 2^{2^n+1}$$

3-4 Asymptotic notation properties

Let $f(n)$ and $g(n)$ be asymptotically positive functions. Prove or disprove each of the following conjectures.

a. $f(n) = O(g(n))$ implies $g(n) = O(f(n))$.

b. $f(n) + g(n) = \Theta(\min \{f(n), g(n)\})$.

c. $f(n) = O(g(n))$ implies $\lg f(n) = O(\lg g(n))$, where $\lg g(n) \geq 1$ and $f(n) \geq 1$ for all sufficiently large n .

d. $f(n) = O(g(n))$ implies $2^{f(n)} = O(2^{g(n)})$.

e. $f(n) = O((f(n))^2)$.

f. $f(n) = O(g(n))$ implies $g(n) = \Omega(f(n))$.

g. $f(n) = \Theta(f(n/2))$.

h. $f(n) + o(f(n)) = \Theta(f(n))$.

4.3-1

Use the substitution method to show that each of the following recurrences defined on the reals has the asymptotic solution specified:

- a. $T(n) = T(n - 1) + n$ has solution $T(n) = O(n^2)$.
- b. $T(n) = T(n/2) + \Theta(1)$ has solution $T(n) = O(\lg n)$.
- c. $T(n) = 2T(n/2) + n$ has solution $T(n) = \Theta(n \lg n)$.
- d. $T(n) = 2T(n/2 + 17) + n$ has solution $T(n) = O(n \lg n)$.
- e. $T(n) = 2T(n/3) + \Theta(n)$ has solution $T(n) = \Theta(n)$.
- f. $T(n) = 4T(n/2) + \Theta(n)$ has solution $T(n) = \Theta(n^2)$.

4.4-1

For each of the following recurrences, sketch its recursion tree, and guess a good asymptotic upper bound on its solution. Then use the substitution method to verify your answer.

- a. $T(n) = T(n/2) + n^3$.
- b. $T(n) = 4T(n/3) + n$.
- c. $T(n) = 4T(n/2) + n$.
- d. $T(n) = 3T(n - 1) + 1$.

4.4-4

Use a recursion tree to justify a good guess for the solution to the recurrence $T(n) = T(\alpha n) + T((1-\alpha)n) + \Theta(n)$, where α is a constant in the range $0 < \alpha < 1$.

4.5-1

Use the master method to give tight asymptotic bounds for the following recurrences.

- a. $T(n) = 2T(n/4) + 1$.
- b. $T(n) = 2T(n/4) + \sqrt{n}$.
- c. $T(n) = 2T(n/4) + \sqrt{n} \lg^2 n$.
- d. $T(n) = 2T(n/4) + n$.
- e. $T(n) = 2T(n/4) + n^2$.

4-4 More recurrence examples

Give asymptotically tight upper and lower bounds for $T(n)$ in each of the following recurrences. Justify your answers.

- a. $T(n) = 5T(n/3) + n \lg n$.
- b. $T(n) = 3T(n/3) + n/\lg n$.
- c. $T(n) = 8T(n/2) + n^3 \sqrt{n}$.
- d. $T(n) = 2T(n/2 - 2) + n/2$.
- e. $T(n) = 2T(n/2) + n/\lg n$.
- f. $T(n) = T(n/2) + T(n/4) + T(n/8) + n$.
- g. $T(n) = T(n - 1) + 1/n$.
- h. $T(n) = T(n - 1) + \lg n$.
- i. $T(n) = T(n - 2) + 1/\lg n$.
- j. $T(n) = \sqrt{n} T(\sqrt{n}) + n$.

6.1-8

Show that, with the array representation for storing an n -element heap, the leaves are the nodes indexed by $\lfloor n/2 \rfloor + 1, \lfloor n/2 \rfloor + 2, \dots, n$.

6.3-4

Show that there are at most $\lceil n/2^h + 1 \rceil$ nodes of height h in any n -element heap.

6-1 Building a heap using insertion

One way to build a heap is by repeatedly calling MAX-HEAP-INSERT to insert the elements into the heap. Consider the procedure BUILD-MAX-HEAP' on the facing page. It assumes that the objects being inserted are just the heap elements.

BUILD-MAX-HEAP' (A, n)

```
1  $A.heap-size = 1$ 
2 for  $i = 2$  to  $n$ 
3   MAX-HEAP-INSERT( $A, A[i], n$ )
```

- a. Do the procedures BUILD-MAX-HEAP and BUILD-MAX-HEAP' always create the same heap when run on the same input array? Prove that they do, or provide a counterexample.
- b. Show that in the worst case, BUILD-MAX-HEAP' requires $\Theta(n \lg n)$ time to build an n -element heap.

7.2-5

Suppose that the splits at every level of quicksort are in the constant proportion α to β , where $\alpha + \beta = 1$ and $0 < \alpha \leq \beta < 1$. Show that the minimum depth of a leaf in the recursion tree is approximately $\log_{1/\alpha} n$ and that the maximum depth is approximately $\log_{1/\beta} n$. (Don't worry about integer round-off.)

7.3-2

When RANDOMIZED-QUICKSORT runs, how many calls are made to the random-number generator RANDOM in the worst case? How about in the best case? Give your answer in terms of Θ -notation.

7.4-4

Show that RANDOMIZED-QUICKSORT's expected running time is $\Omega(n \lg n)$.

7-4 Stooge sort

Professors Howard, Fine, and Howard have proposed a deceptively simple sorting algorithm, named stooge sort in their honor, appearing on the following page.

- a. Argue that the call STOOGESORT($A, 1, n$) correctly sorts the array $A[1 : n]$.
- b. Give a recurrence for the worst-case running time of STOOGESORT and a tight asymptotic (Θ -notation) bound on the worst-case running time.

- c. Compare the worst-case running time of STOOGESORT with that of insertion sort, merge sort, heapsort, and quicksort. Do the professors deserve tenure?

STOOGESORT(A, p, r)

```
1  if  $A[p] > A[r]$ 
2    exchange  $A[p]$  with  $A[r]$ 
3  if  $p + 1 < r$ 
4     $k = \lfloor (r - p + 1)/3 \rfloor$  // round down
5    STOOGESORT( $A, p$ , // first two-thirds  
            $r - k$ )
6    STOOGESORT( $A, p$ , // last two-thirds  
            $+ k, r$ )
7    STOOGESORT( $A, p$ , // first two-thirds  
            $r - k$  again)
```

8.2-6

Describe an algorithm that, given n integers in the range 0 to k , preprocesses its input and then answers any query about how many of the n integers fall into a range $[a : b]$ in $O(1)$ time. Your algorithm should use $\Theta(n + k)$ preprocessing time.

8.3-5

Show how to sort n integers in the range 0 to $n^3 - 1$ in $O(n)$ time.

8.4-2

Explain why the worst-case running time for bucket sort is $\Theta(n^2)$. What simple change to the algorithm preserves its linear average-case running time and makes its worst-case running time $O(n \lg n)$?

P8-2

You have an array of n data records to sort, each with a key of 0 or 1. An algorithm for sorting such a set of records might possess some subset of the following three desirable characteristics:

1. The algorithm runs in $O(n)$ time.
 2. The algorithm is stable.
 3. The algorithm sorts in place, using no more than a constant amount of storage space in addition to the original array.
- a.** Give an algorithm that satisfies criteria 1 and 2 above.
- b.** Give an algorithm that satisfies criteria 1 and 3 above.
- c.** Give an algorithm that satisfies criteria 2 and 3 above.
- d.** Can you use any of your sorting algorithms from parts (a)–(c) as the sorting method used in line 2 of RADIX-SORT, so that RADIX-SORT sorts n records with b -bit keys in $O(bn)$ time? Explain how or why not.
- e.** Suppose that the n records have keys in the range from 1 to k . Show how to modify counting sort so that it sorts the records in place in $O(n + k)$ time. You may use $O(k)$ storage outside the input array. Is your algorithm stable?

9.1-2

Given $n > 2$ distinct numbers, you want to find a number that is neither the minimum nor the maximum. What is the smallest number of comparisons that you need to perform?

9.2-3

Suppose that RANDOMIZED-SELECT is used to select the minimum element of the array $A = \langle 2, 3, 0, 5, 7, 9, 1, 8, 6, 4 \rangle$. Describe a sequence of partitions that results in a worst-case performance of RANDOMIZED-SELECT.

9.3-3

Show how to use SELECT as a subroutine to make quicksort run in $O(n \lg n)$ time in the worst case, assuming that all elements are distinct.

9.3-6

You have a “black-box” worst-case linear-time median subroutine. Give a simple, linear-time algorithm that solves the selection problem for an arbitrary order statistic.

9-1 Largest i numbers in sorted order

You are given a set of n numbers, and you wish to find the i largest in sorted order using a comparison-based algorithm. Describe the algorithm that implements each of the following methods with the best asymptotic worst-case running time, and analyze the running times of the algorithms in terms of n and i .

- a.** Sort the numbers, and list the i largest.
- b.** Build a max-priority queue from the numbers, and call EXTRACT-MAX i times.
- c.** Use an order-statistic algorithm to find the i th largest number, partition around that number, and sort the i largest numbers.