

Indicate, for each pair of expressions  $(A, B)$  in the table below whether  $A$  is  $O$ ,  $o$ ,  $\Omega$ ,  $\omega$ , or  $\Theta$  of  $B$ . Assume that  $k \geq 1$ ,  $\epsilon > 0$ , and  $c > 1$  are constants. Write your answer in the form of the table with “yes” or “no” written in each box.

	$A$	$B$	$O$	$o$	$\Omega$	$\omega$	$\Theta$
a.	$\lg^k n$	$n^\epsilon$					
b.	$n^k$	$c^n$					
c.	$\sqrt{n}$	$n^{\sin n}$					
d.	$2^n$	$2^{n/2}$					
e.	$n^{\lg c}$	$c^{\lg n}$					
f.	$\lg(n!)$	$\lg(n^n)$					

### 3-3 Ordering by asymptotic growth rates

a. Rank the following functions by order of growth. That is, find an arrangement  $g_1, g_2, \dots, g_{30}$  of the functions satisfying  $g_1 = \Omega(g_2)$ ,  $g_2 = \Omega(g_3)$ ,  $\dots$ ,  $g_{29} = \Omega(g_{30})$ . Partition your list into equivalence classes such that functions  $f(n)$  and  $g(n)$  belong to the same class if and only if  $f(n) = \Theta(g(n))$ .

$$\begin{array}{ccccccc}
 \lg(\lg^* n) & 2^{\lg^* n} & (\sqrt{2})^{\lg n} & n^2 & n! & (\lg n)! & \\
 (3/2)^n & n^3 & \lg^2 n & \lg(n!) & 2^{2^n} & n^{1/\lg n} & \\
 \ln \ln n & \lg^* n & n \cdot 2^n & n \lg \lg n & \ln n & 1 & \\
 2^{\lg n} & (\lg n)^{\lg n} & e^n & 4^{\lg n} & (n+1)! & \sqrt{\lg n} & \\
 \lg^*(\lg n) & 2^{\sqrt{2 \lg n}} & n & 2^n & n \lg n & 2^{2^{n+1}} & 
 \end{array}$$

### 3-4 Asymptotic notation properties

Let  $f(n)$  and  $g(n)$  be asymptotically positive functions. Prove or disprove each of the following conjectures.

- $f(n) = O(g(n))$  implies  $g(n) = O(f(n))$ .
- $f(n) + g(n) = \Theta(\min \{f(n), g(n)\})$ .
- $f(n) = O(g(n))$  implies  $\lg f(n) = O(\lg g(n))$ , where  $\lg g(n) \geq 1$  and  $f(n) \geq 1$  for all sufficiently large  $n$ .
- $f(n) = O(g(n))$  implies  $2^{f(n)} = O(2^{g(n)})$ .
- $f(n) = O((f(n))^2)$ .
- $f(n) = O(g(n))$  implies  $g(n) = \Omega(f(n))$ .
- $f(n) = \Theta(f(n/2))$ .
- $f(n) + o(f(n)) = \Theta(f(n))$ .

### 4.3-1

Use the substitution method to show that each of the following recurrences defined on the reals has the asymptotic solution specified:

- a.  $T(n) = T(n-1) + n$  has solution  $T(n) = O(n^2)$ .
- b.  $T(n) = T(n/2) + \Theta(1)$  has solution  $T(n) = O(\lg n)$ .
- c.  $T(n) = 2T(n/2) + n$  has solution  $T(n) = \Theta(n \lg n)$ .
- d.  $T(n) = 2T(n/2 + 17) + n$  has solution  $T(n) = O(n \lg n)$ .
- e.  $T(n) = 2T(n/3) + \Theta(n)$  has solution  $T(n) = \Theta(n)$ .
- f.  $T(n) = 4T(n/2) + \Theta(n)$  has solution  $T(n) = \Theta(n^2)$ .

### 4.4-1

For each of the following recurrences, sketch its recursion tree, and guess a good asymptotic upper bound on its solution. Then use the substitution method to verify your answer.

- a.  $T(n) = T(n/2) + n^3$ .
- b.  $T(n) = 4T(n/3) + n$ .
- c.  $T(n) = 4T(n/2) + n$ .
- d.  $T(n) = 3T(n-1) + 1$ .

### 4.4-4

Use a recursion tree to justify a good guess for the solution to the recurrence  $T(n) = T(\alpha n) + T((1-\alpha)n) + \Theta(n)$ , where  $\alpha$  is a constant in the range  $0 < \alpha < 1$ .

### 4.5-1

Use the master method to give tight asymptotic bounds for the following recurrences.

- a.  $T(n) = 2T(n/4) + 1$ .
- b.  $T(n) = 2T(n/4) + \sqrt{n}$ .
- c.  $T(n) = 2T(n/4) + \sqrt{n} \lg^2 n$ .
- d.  $T(n) = 2T(n/4) + n$ .
- e.  $T(n) = 2T(n/4) + n^2$ .

### 4-4 More recurrence examples

Give asymptotically tight upper and lower bounds for  $T(n)$  in each of the following recurrences. Justify your answers.

- a.  $T(n) = 5T(n/3) + n \lg n$ .
- b.  $T(n) = 3T(n/3) + n/\lg n$ .
- c.  $T(n) = 8T(n/2) + n^3 \sqrt{n}$ .
- d.  $T(n) = 2T(n/2 - 2) + n/2$ .
- e.  $T(n) = 2T(n/2) + n/\lg n$ .
- f.  $T(n) = T(n/2) + T(n/4) + T(n/8) + n$ .
- g.  $T(n) = T(n-1) + 1/n$ .
- h.  $T(n) = T(n-1) + \lg n$ .
- i.  $T(n) = T(n-2) + 1/\lg n$ .
- j.  $T(n) = \sqrt{n} T(\sqrt{n}) + n$ .

6.1-8

Show that, with the array representation for storing an  $n$ -element heap, the leaves are the nodes indexed by  $\lfloor n/2 \rfloor + 1, \lfloor n/2 \rfloor + 2, \dots, n$ .

6.3-4

Show that there are at most  $\lfloor n/2^h + 1 \rfloor$  nodes of height  $h$  in any  $n$ -element heap.

6-1 Building a heap using insertion

One way to build a heap is by repeatedly calling MAX-HEAP-INSERT to insert the elements into the heap. Consider the procedure BUILD-MAX-HEAP' on the facing page. It assumes that the objects being inserted are just the heap elements.

```
BUILD-MAX-HEAP' (A, n)
1  A.heap-size = 1
2  for i = 2 to n
3    MAX-HEAP-INSERT(A, A[i], n)
```

- a. Do the procedures BUILD-MAX-HEAP and BUILD-MAX-HEAP' always create the same heap when run on the same input array? Prove that they do, or provide a counterexample.
- b. Show that in the worst case, BUILD-MAX-HEAP' requires  $\Theta(n \lg n)$  time to build an  $n$ -element heap.

7.2-5

Suppose that the splits at every level of quicksort are in the constant proportion  $\alpha$  to  $\beta$ , where  $\alpha + \beta = 1$  and  $0 < \alpha \leq \beta < 1$ . Show that the minimum depth of a leaf in the recursion tree is approximately  $\log_{1/\alpha} n$  and that the maximum depth is approximately  $\log_{1/\beta} n$ . (Don't worry about integer round-off.)

7.3-2

When RANDOMIZED-QUICKSORT runs, how many calls are made to the random-number generator RANDOM in the worst case? How about in the best case? Give your answer in terms of  $\Theta$ -notation.

7.4-4

Show that RANDOMIZED-QUICKSORT's expected running time is  $\Omega(n \lg n)$ .

7-4 Stooge sort

Professors Howard, Fine, and Howard have proposed a deceptively simple sorting algorithm, named stooge sort in their honor, appearing on the following page.

- a. Argue that the call STOOGESORT(A, 1, n) correctly sorts the array A[1 : n].
- b. Give a recurrence for the worst-case running time of STOOGESORT and a tight asymptotic ( $\Theta$ -notation) bound on the worst-case running time.

- c. Compare the worst-case running time of STOOGESORT with that of insertion sort, merge sort, heapsort, and quicksort. Do the professors deserve tenure?

```
STOOGESORT(A, p, r)
1  if A[p] > A[r]
2    exchange A[p] with A[r]
3  if p + 1 < r
4    k = floor((r - p + 1)/3) // round down
5    STOOGESORT(A, p, // first two-thirds
               r - k)
6    STOOGESORT(A, // last two-thirds
               p + k, r)
7    STOOGESORT(A, p, // first two-thirds
               r - k) // again
```

### 8.2-6

Describe an algorithm that, given  $n$  integers in the range 0 to  $k$ , preprocesses its input and then answers any query about how many of the  $n$  integers fall into a range  $[a : b]$  in  $O(1)$  time. Your algorithm should use  $\Theta(n + k)$  preprocessing time.

### 8.3-5

Show how to sort  $n$  integers in the range 0 to  $n^3 - 1$  in  $O(n)$  time.

### 8.4-2

Explain why the worst-case running time for bucket sort is  $\Theta(n^2)$ . What simple change to the algorithm preserves its linear average-case running time and makes its worst-case running time  $O(n \lg n)$ ?

### P8-2

You have an array of  $n$  data records to sort, each with a key of 0 or 1. An algorithm for sorting such a set of records might possess some subset of the following three desirable characteristics:

1. The algorithm runs in  $O(n)$  time.
  2. The algorithm is stable.
  3. The algorithm sorts in place, using no more than a constant amount of storage space in addition to the original array.
- a.* Give an algorithm that satisfies criteria 1 and 2 above.
- b.* Give an algorithm that satisfies criteria 1 and 3 above.
- c.* Give an algorithm that satisfies criteria 2 and 3 above.
- d.* Can you use any of your sorting algorithms from parts (a)–(c) as the sorting method used in line 2 of RADIX-SORT, so that RADIX-SORT sorts  $n$  records with  $b$ -bit keys in  $O(bn)$  time? Explain how or why not.
- e.* Suppose that the  $n$  records have keys in the range from 1 to  $k$ . Show how to modify counting sort so that it sorts the records in place in  $O(n + k)$  time. You may use  $O(k)$  storage outside the input array. Is your algorithm stable?

### 9.1-2

Given  $n > 2$  distinct numbers, you want to find a number that is neither the minimum nor the maximum. What is the smallest number of comparisons that you need to perform?

### 9.2-3

Suppose that RANDOMIZED-SELECT is used to select the minimum element of the array  $A = \langle 2, 3, 0, 5, 7, 9, 1, 8, 6, 4 \rangle$ . Describe a sequence of partitions that results in a worst-case performance of RANDOMIZED-SELECT.

### 9.3-3

Show how to use SELECT as a subroutine to make quicksort run in  $O(n \lg n)$  time in the worst case, assuming that all elements are distinct.

### 9.3-6

You have a “black-box” worst-case linear-time median subroutine. Give a simple, linear-time algorithm that solves the selection problem for an arbitrary order statistic.

### 9-1 Largest $i$ numbers in sorted order

You are given a set of  $n$  numbers, and you wish to find the  $i$  largest in sorted order using a comparison-based algorithm. Describe the algorithm that implements each of the following methods with the best asymptotic worst-case running time, and analyze the running times of the algorithms in terms of  $n$  and  $i$ .

- a.* Sort the numbers, and list the  $i$  largest.
- b.* Build a max-priority queue from the numbers, and call EXTRACT-MAX  $i$  times.
- c.* Use an order-statistic algorithm to find the  $i$ th largest number, partition around that number, and sort the  $i$  largest numbers.