

This document discuss the following problem: given the 3D locations of a UAV and a ground station, and the gesture of the UAV, how to compute the **optimal array responses** of the UAV receiver and the ground station transmitter.

Supposing the 3D positions of a UAV and a ground station respectively are  $\mathbf{s}_r \in \mathbb{R}^{3 \times 1}$  and  $\mathbf{s}_t \in \mathbb{R}^{3 \times 1}$ , and the mmWave communication is line-of-sight (LoS). The relative UAV position is

$$\Delta \mathbf{s} = \mathbf{s}_r - \mathbf{s}_t = [\Delta x, \Delta y, \Delta z]^T. \quad (1)$$

Considering the UAV as the receiver and the ground station as the transmitter, the angle of departure (AoD) and the zenith of departure (ZoD) respectively are

$$\phi_{\text{AoD}} = \arctan\left(\frac{\Delta y}{\Delta x}\right), \quad (2)$$

$$\theta_{\text{ZoD}} = \arctan\left(\frac{\sqrt{\Delta x^2 + \Delta y^2}}{\Delta z}\right). \quad (3)$$

According to the LoS assumption and supposing the ground station as the global coordination system (GCS), the angle of arrival (AoA) and the zenith of arrival (ZoA) respectively are

$$\phi_{\text{AoA}} = \pi + \phi_{\text{AoD}}, \quad (4)$$

$$\theta_{\text{ZoA}} = \pi - \theta_{\text{ZoD}}. \quad (5)$$

**The ground station is fixed**, meanwhile the UAV rotates and its gesture can be changing. The rotation angles along the x, y, z-axis respectively are pitch, roll, yaw, denoted as  $\alpha, \beta, \gamma$ . Then, in the local coordination system (LCS) of the UAV, the angle of arrival (AoA) and the zenith of arrival (ZoA) respectively are  $\phi'_{\text{AoA}}$  and  $\theta'_{\text{ZoA}}$ .

$$\mathbf{R}_\alpha = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\alpha) & -\sin(\alpha) \\ 0 & \sin(\alpha) & \cos(\alpha) \end{bmatrix}. \quad (6)$$

$$\mathbf{R}_\beta = \begin{bmatrix} \cos(\beta) & 0 & \sin(\alpha) \\ 0 & 1 & 0 \\ -\sin(\alpha) & 0 & \cos(\alpha) \end{bmatrix}. \quad (7)$$

$$\mathbf{R}_\gamma = \begin{bmatrix} \cos(\gamma) & -\sin(\gamma) & 0 \\ \sin(\gamma) & \cos(\gamma) & 0 \\ 0 & 0 & 1 \end{bmatrix}. \quad (8)$$

The rotation equation is

$$\mathbf{R}(\alpha, \beta, \gamma) = \mathbf{R}_\gamma \mathbf{R}_\beta \mathbf{R}_\alpha. \quad (9)$$

The AoA and ZoA in the LCS respectively are

$$\phi'_{\text{AoA}} = \arccos([0, 0, 1]^\top \mathbf{R}(\alpha, \beta, \gamma) \boldsymbol{\rho}(\phi_{\text{AoA}}, \theta_{\text{ZoA}})), \quad (10)$$

$$\theta'_{\text{ZoA}} = \angle([1, j, 0]^\top \mathbf{R}(\alpha, \beta, \gamma)). \quad (11)$$

where

$$\boldsymbol{\rho}(\phi, \theta) = [\sin(\theta) \cos(\phi), \sin(\theta) \sin(\phi), \cos(\theta)]^\top. \quad (12)$$

**The AoA  $\phi'_{\text{AoA}}$  and ZoA  $\theta'_{\text{ZoA}}$  are the LoS direction of the UAV receiver, and the AoD  $\phi_{\text{AoD}}$  and ZoD  $\theta_{\text{ZoD}}$  are the LoS direction of the ground station transmitter.** Given the azimuth and zenith angles  $\phi$  and  $\theta$ , the optimal planer array response is given as follows

$$\boldsymbol{\psi}(\phi, \theta) = \mathbf{a}_{\text{xy}}(\phi, \theta) \otimes \mathbf{a}_{\text{z}}(\theta) \quad (13)$$

where

$$\mathbf{a}_{\text{xy}}(\phi, \theta) = \frac{1}{\sqrt{N_\phi}} [1, e^{j\pi \sin \phi \sin \theta}, \dots, e^{j\pi (N_\phi - 1) \sin \phi \sin \theta}]^\top, \quad (14)$$

$$\mathbf{a}_{\text{z}}(\theta) = \frac{1}{\sqrt{N_\theta}} [1, e^{j\pi \cos \theta}, \dots, e^{j\pi (N_\theta - 1) \cos \theta}]^\top \quad (15)$$

where  $N_\phi$  and  $N_\theta$  respectively are the azimuth and zenith antenna number, and  $N = N_\phi N_\theta$ .