# Fault Tolerant Multi-Tx/Multi-Rx Inductive Power Transfer System with a Resonator Coil

Enes Ayaz, Ogün Altun, Hakan Polat, and Ozan Keysan

Abstract—This paper presents a novel multi-transmitter (Tx) / multi-receiver (Rx) inductive power transfer system. Compared to conventional single-Tx/single-Rx systems, multi-Tx/multi-Rx topologies increase reliability and fault tolerance. However, unequal power distribution is challenging in these systems due to coupling differences, which prevents them from operating at rated power or requires over-designed modules. This study proposes the addition of a middle-stage resonator (MSR) that balances power distribution by separating direct couplings between Tx and Rx coils. Thus, it increases reliability and fault tolerance. Direct couplings between Tx and Rx coils are avoided via the proposed coil structure, which also provides rotational symmetry. Moreover, an analytical method is proposed to avoid bifurcation, which reduces switching losses. Then, the fault tolerance analysis on multi-Tx/multi-Rx systems and optimum selection of module numbers are investigated. Finally, a 1kW 2Tx/1MSR/4Rx prototype is established to continue operation under single-Tx, single-Rx, and double-Rx open circuit faults.

Index Terms—Wireless power transfer, inductive power transfer, modular design, resonator coil, common DC bus, fault tolerance, reliability

#### I. INTRODUCTION

Inductive power transfer (IPT) systems became prevalent in numerous applications [1]–[5]. They provide cordless, space-free, and reliable solutions to transfer power using the loosely magnetically coupled single-transmitter (single-Tx) and single-receiver (single-Rx) coils [6], [7]. Thanks to their advantages, such as increased transmission distance [8], high misalignment tolerance [9], [10], and fault tolerance [11] compared to single-Tx/single-Rx IPT systems, multi-Tx/multi-Rx systems have recently gained popularity in dynamic applications such as EV chargers, unmanned aerial vehicles, consumer and industrial electronics [12]–[14]. Coupling coefficients and Tx/Rx inductances are time-varying in these dynamic applications [15], resulting in a change in the resonant frequency, power, and efficiency, which in turn result in reliability and fault issues.

Multi-Tx/multi-Rx systems provide flexible mobility, and they can supply rated power with higher efficiencies even if transmitters and receivers are misaligned [16]–[18]. They create modular structures, and the system can transfer rated power under faulty conditions. Besides, power ratings of these IPT systems can be increased just by increasing the number of Tx/Rx coils, and the current and voltage ratings can be adjusted via the number of series/parallel connections of modules [19]–[21].

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Multi-Tx/multi-Rx systems have power-sharing issues due to coupling differences coming from the nature of dynamic applications, which causes early aging modules and reliability problems [22], [23]. The conventional solution is to overdesign the system. Otherwise, unequal power distribution can exceed the voltage/current ratings of the modules. Alternatively, an active full-bridge converter can be used on the receiver side instead of the diode rectifier to equalize the power distribution, increasing cost and complexity. Moreover, based on the electrical connections of multiple modules, the compensation methods also affect the power distribution [24]. Therefore, choosing a reasonable compensation method can improve power-sharing, but this may distort other factors, such as coupling factors, resonant frequencies, etc. Another issue is to design bifurcation-free multi-Tx/multi-Rx systems. The bifurcation phenomenon may arise in doubly compensated systems in relation to the coupling and load conditions, yielding more than one zero phase angle (ZPA) and distorting zero voltage switching (ZVS) above the resonant frequency [25]. Since ZVS helps to reduce the switching losses, a bifurcation-free design is preferred for higher efficiency. Compared to single-Tx/single-Rx systems, a bifurcation-free multi-Tx/multi-Rx system design is complex compared to single-Tx/single-Rx systems. Although systematic design guidelines have been presented for single-Tx/single-Rx [26], a general systematic design for multi-coil systems is not studied in the literature. This paper introduces a multi-Tx/multi-Rx IPT system for dynamic applications where either the Tx or Rx side is moving, and therefore the coupling is time-varying. Electric vehicle (EV) charging systems, radar systems, and woundrotor synchronous machines are examples of such dynamic systems. In these applications, due to the varying coupling, maintaining power-sharing is an issue. In this study, in order to solve this power-sharing issue, a middle-stage-resonator (MSR) coil is proposed, which is cost-efficient and simplifies operation under fault. Also, an analytical design methodology is proposed to avoid the bifurcation phenomenon, increasing transfer efficiency. The main contributions of this paper can be listed as follows:

- A fault-tolerant multi-Tx/multi-Rx system is proposed for dynamic applications.
- A systematic design procedure is presented to avoid bifurcation under dynamic conditions.
- Current sharing between modules is improved by introducing an MSR coil, and the current sharing is modeled for a common DC-Bus of parallel-connected Rx modules.
- A coil design is presented in order to minimize crosscouplings and keep the rotational symmetry,

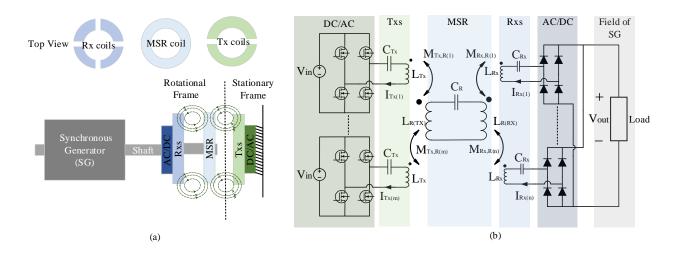


Fig. 1. The proposed multi-Tx/multi-Rx system with a middle-stage-resonator (MSR). a) Representation of the proposed system. b) Circuit diagram of the proposed system.

#### II. SYSTEM STRUCTURE AND PROBLEM DEFINITION

The prototype in this paper is designed as a contactless slip ring to replace conventional slip-rings for large synchronous generators' (SG) field excitation. Conventional slip rings require regular maintenance, reduce reliability especially for the applications where maintenance is difficult, such as field excitation of offshore wind turbines generators. Although a single-Tx/single-Rx IPT system can be used to eliminate mechanical problems of the conventional slip rings, the systems reliability is still questionable. In order to solve these problems, a multi-Tx/single-MSR/multi-Rx IPT system is proposed, as shown in Fig. 1.a. Tx modules, MSR, and Rx modules are placed around the rotating shaft. The Rx modules and MSR rotate with the shaft while the Tx modules are on the stationary frame. Therefore, Rx and MSR coils are relatively stationary, which means their magnetic couplings are constant during rotation. However, magnetic couplings between Tx and the resonator coils change with rotation. The Tx side consists of full-bridge converter modules. The Rx side is uncontrolled and contains passive full-bridge diode rectifiers to reduce the complexity. Rx modules are connected in parallel, and the common DC output is connected to the field winding of the SG as shown in Fig. 1.b.

#### A. Problem Definition

The couplings between Tx and Rx modules vary with the position of the shaft. The series-series (SS) compensation method is selected to keep the resonant frequency constant with the rotation as presented in [27]. However, a significant problem of SS compensation is the lack of operation under zero coupling [28], and the large induced currents in the Tx side under light-loaded/open-circuited cases. Furthermore, coupling coefficients vary during rotation, and overcurrent is the potential source of failure in light-load conditions, damaging semiconductor devices. For a reliable and fault-tolerant operation, the system should operate under opencircuit faults. Therefore, the Rx modules are connected in

parallel to isolate the faulty open-circuited module from the others.

#### B. Multi-Tx/Multi-Rx coils without the MSR coil

In multi-coil systems, coupling factors between Tx and Rx modules vary with rotation. The unequal power-sharing problem occurs in Rx modules due to magnetic coupling differences and passive diode rectifiers. The diode rectifier of the module with higher coupling blocks the other modules, so the majority of power is transferred over a single module. As an example, the current distribution of a 2Tx/4Rx system is shown in Fig. 2 for equal and unequal couplings.

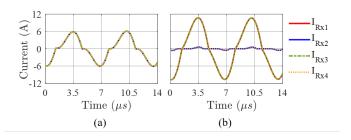


Fig. 2. Rxs' current distributions. a) Equal couplings between Txs and Rxs. b) Unequal couplings between Txs and Rxs.

#### C. Addition of a MSR coil

Introducing an MSR eliminates the direct couplings between Tx and Rx modules. Since MSR and Rxs are relatively stationary, the couplings between resonator and Rx does not vary with rotation. Although couplings between Txs and the MSR coils change with rotation, this does not affect the current distribution of Rxs. They create unequal power distribution between Txs, which the active full-bridge converter can mitigate. As a consequence, the addition of an MSR isolates Txs and Rxs. An example, the 2Tx/1MSR/4Rx system is shown in Fig. 3 for equal and unequal couplings between the MSR and Txs.

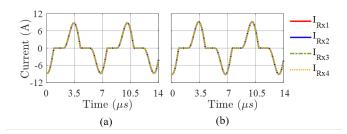


Fig. 3. Rxs' current distributions of 2Tx/1MSR/1Rx. a) Equal couplings between Txs and Resonator. b) Unequal couplings between Txs and Resonator.

## III. DESING METHODOLOGY OF BIFURCATION-FREE SYSTEM WITH AN MSR

#### A. Critical Coupling for Bifurcation Limits

In the proposed system, the Tx and Rx sides are decoupled. The power is transferred with a resonator coil placed between the Tx and Rx coils. The system will be analytically designed for single-Tx/single-Rx with an MSR as presented in Fig. 4.a, and then it will be extended to a multi-Tx/multi-Rx system.

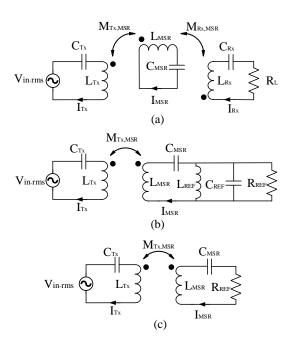


Fig. 4. The circuit representations. a) 1Tx/1MSR/1Rx System. b) Reflected Rx side on the MSR. c) Reduced reflected low quality Rx side on the MSR.

The reflected impedance of Rx modules on the MSR, denoted by  $Z_{ref}$ , is obtained by (1).

$$Z_{ref} = \frac{\omega^2 M_{R,Rx}^2}{j\omega L_{Rx} + \frac{1}{j\omega C_{Rx}} + R_L} \tag{1}$$

Although Rx modules are compensated by SS,  $Z_{ref}$  can be modelled by parallel resonant circuits  $L_{Ref}$ ,  $C_{Ref}$ ,  $R_{Ref}$ 

as given in Fig. 4.b. The reflected parallel resonance circuit impedance could be written as in (2).

$$Z_{ref} = \frac{1}{\frac{1}{j\omega L_{ref}} + j\omega C_{ref} + \frac{1}{R_{ref}}}$$
(2)

By using (1) and (2)  $L_{ref}$ ,  $C_{ref}$  and  $R_{ref}$  can be found as in (3) where  $\Phi = \omega^2 M_{R,Rx}^2$ .

$$L_{ref} = \Phi C_{Rx}, \quad C_{ref} = \frac{L_{Rx}}{\Phi}, \quad R_{ref} = \frac{\Phi}{R_L}$$
 (3)

If the quality factor of the reflected parallel resonant is high enough, the reflected impedance can be assumed to be resistive; thus, it can be reduced to a conventional SS system as shown in Fig. 4.c. The higher quality factor of reflected parallel resonant means the lower quality factor of Rx modules, which can be found by (4).

$$Q_{Rx} = \frac{\omega L_{Rx}}{R_L} = \frac{1}{\omega C_{Rx} R_L} \tag{4}$$

Choosing a lower Rx's quality factor by increasing Rx's capacitance results in only a resistive component on the resonator side. Hence, bifurcation-free design can be achieved by adjusting the quality factor of a resonator with reflected resistance, as given in (5).

$$Q_{MSR} = \frac{\omega_0 L_{MSR}}{R_{ref}} \tag{5}$$

Thus, the critical coupling between the Tx coil and the resonator coil can be formulated as in (6), which has been derived in [26], [29].

$$k_c = \frac{1}{Q_{MSR}} \sqrt{1 - \frac{1}{4Q_{MSR}^2}} \tag{6}$$

#### B. Design Methodology of Single-Tx/Single-MSR/Single-Rx System

In order to obtain a systematic design, the flow chart in Fig. 5 is established. The system is designed as single-Tx/single-Rx, and the effect of the number of modules will be discussed in the following section. Firstly, input power, DC input voltage, DC output voltage, resonant frequency, and load resistance are selected as the initial parameters.

After that, the AC input voltage, transmitter current (with single-Tx), receiver current (with single-Rx) are derived from the initial parameters, as in (7).

$$V_{in-rms} = \frac{2\sqrt{2}}{\pi} V_{in}$$

$$I_{Tx} = \frac{\pi}{2\sqrt{2}} \frac{P_{in}}{V_{in}}$$

$$I_{Rx} = \frac{\pi}{2\sqrt{2}} \frac{P_{out}}{V_{out}}$$
(7)

The ratio of the MSR and the transmitter currents  $(\Psi)$ , shown in (8), is a design parameter that changes the resonator coil size and copper losses. Increasing  $\Psi$  decreases the MSR's size but increases the resonator current, which raises the copper losses.

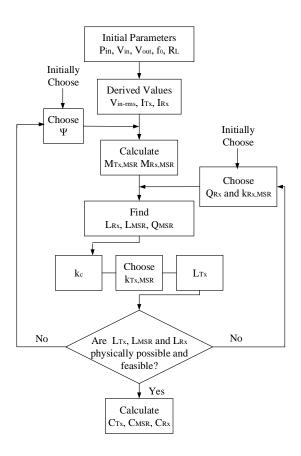


Fig. 5. Flowchart of the proposed design.

The coil sizes of the MSR and transmitter become closer if  $\Psi$  is chosen near unity.

$$\Psi = \frac{I_{MSR}}{I_{Tx}} \tag{8}$$

At the resonant frequency, the induced voltage on the Tx coil is equal to the input voltage. Hence the mutual inductance between Tx and MSR coils can be calculated as in (9).

$$M_{Tx,MSR} = \frac{V_{in}}{\omega_0 I_{MSR}} \tag{9}$$

A similar approach can be made for the mutual inductance between the MSR and Rx side coils as in (10).

$$M_{Rx,MSR} = \frac{V_{out}}{\omega_0 I_{MSR}} \tag{10}$$

Then, the coupling factor between the MSR and Rx coils  $(k_{RX,MSR})$  and the quality factor of Rx ( $Q_{Rx}$ ) are chosen which should be relatively lower, as discussed previously. Furthermore,  $k_{RX,MSR}$  does not affect the bifurcation. Higher  $k_{RX,MSR}$  requires the air gap between these coils to be small, which decreases the size of the MSR coil. Hence, the values should be chosen considering the application and mechanical limits. Accordingly, the Rx side inductance can be calculated as in (11) where  $R_{equ}$  is the equivalent resistance, as seen from

the diode rectifier on the Rx side, and the MSR inductance is calculated as in (12).

$$L_{Rx} = \frac{Q_{Rx}R_{equ}}{\omega_0} \tag{11}$$

$$L_{MSR} = \frac{M_{Rx,MSR}}{k_{Rx,MSR}^2 L_{Rx}}$$
 (12)

Thus, the quality factor of the MSR  $(Q_{MSR})$  is found by  $R_{equ}$  and  $L_{MSR}$  as given in (13).

$$Q_{MSR} = \frac{\omega_0 L_{MSR}}{R_{ref}} = \frac{\omega_0 L_{MSR}}{\frac{\omega_0^2 M_{Rx,MSR}^2}{R_{equ}}}$$
(13)

 $Q_{MSR}$  determines the critical coupling, assuming a low enough Rx quality factor. The coupling between Tx and the MSR  $(k_{Tx,R})$  is chosen below the critical coupling to achieve a bifurcation-free design, and the Tx side coil inductance can be calculated as in (14).

$$L_{Tx} = \frac{M_{Tx,R}}{k_{Tx,MSR}^2 L_R}$$
 (14)

All three coils are adjusted to have the same resonant frequency and hence the  $C_{Tx}$ ,  $C_R$  and  $C_{Rx}$  can be calculated as in (15).

$$C_{Tx} = \frac{1}{L_{Tx}\omega_0^2}$$

$$C_{MSR} = \frac{1}{L_R\omega_0^2}$$

$$C_{Rx} = \frac{1}{L_{Rx}\omega_0^2}$$
(15)

#### C. Expansion to Multi-Tx/Multi-Rx System

Single-Tx/Single-MSR/Single-Rx design steps can be expanded to a multi-Tx/single-MSR/multi-Rx system, in which the only difference is that input and output currents are shared between the modules. On the one hand, the number of Rxs does not affect the design steps. Each Rx has a multiplied load resistance with the number of Rx modules, shown in (16), because the Rxs are connected to a common DC-Bus via a passive diode rectifier.

$$R_{L(Rx)} = n(R_L) \tag{16}$$

The total reflected resistance on the MSR is calculated as given in (17).

$$R_{ref} = \sum_{i_1}^{i=n} \left( \frac{\omega^2 M_{Rx,MSR}^2}{n(R_L)} \right) = \frac{\omega^2 M_{Rx,MSR}^2}{R_L}$$
 (17)

Since the reflected resistance is independent of the number of Rx, the system can be designed as a 1Rx, and the same derived parameters can be used in multi-Rx, regardless of the number of modules.

On the other hand, the number of Tx modules affects the design steps. The reflected resistance on the Tx module is given in (18).

$$R_{Ref-Tx} = \frac{\omega^2 M_{Tx,MSR}^2}{R_{Ref}} \tag{18}$$

Although the reflected resistance is independent of the number of Tx modules, the power of each Tx is the input power divided by the number of Tx modules. Thus, a single-Tx/single-MSR/single-Rx can be designed by reducing the input power per Tx module, and the derived parameters are used in multi-Tx systems.

#### IV. FAULT TOLERANCE ANALYSIS

This section will discuss the effect of the module number on the system fault tolerance and explain the bifurcation-free operation under fault conditions. Although using more modules increases fault tolerance, the physical limitation of the modules and cost determine the optimum number of the modules. There are m Txs and n Rxs where the physical placement of example 2Tx/1MSR/4Rx coils are presented in Fig. 6.

The load resistance is assumed to be constant as it is a field resistance of an SG. Firstly, assuming that i numbers of Tx

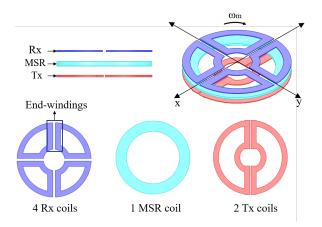


Fig. 6. The coil placements of the proposed multi-Tx/1-MSR/multi-Rx (as an example 2Tx/1MSR/4Rx) system at the fully-aligned case (0°). (For visual clearity, only coils are shown.)

modules are damaged and they turn in open-circuit. At this point, the induced voltage on the load decreases as given in (19).

$$V_{L-faulty} = V_L \left(\frac{m-i}{m}\right) \tag{19}$$

On the one hand, increasing the number of Tx modules makes the power transfer capability rise under a single Tx fault, which results in that the more modules there are, the more fault-tolerant the system is. On the other hand, the placement of the coils becomes more challenging in every step to increase the module number because the coils' end-windings reduce the effective area of the coils and decrease the mutual inductances. In order to increase the number of modules, the required space increases, and the system becomes unfeasible.

Secondly, assuming that i numbers of Rx modules are in open-circuit fault, it is observed that the reflected resistance on the resonator is independent of the faults, as given in (20).

$$R_{Ref-faulty} = (n-i) \left( \frac{\omega^2 M_{RX,MSR}^2}{(n-i)R_L} \right) = \frac{\omega^2 M_{RX,MSR}^2}{R_L}$$
(20)

From Txs' point of view, there is no change in their current and voltage. However, the currents on the Rx modules become larger, and they should be limited to their ratings. Therefore, the transferred power decreases, as given in (21).

$$P_{out-faulty} = P_{out} \frac{(n-i)^2}{n^2}$$
 (21)

If modules are over-designed, it is possible to transfer rated power under faulty conditions. Over-design ratio along with the number of modules to provide constant power under fault conditions are shown in Fig. 7. Increasing the number of modules decreases the overdesign percentage. It makes the system more reliable and fault-tolerant but raises the cost and complexity and creates sizing problems.

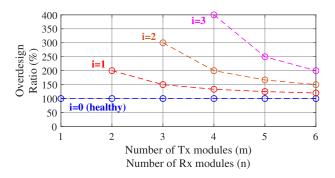


Fig. 7. Over-design rating of each module to transfer the rated power under faulty condition. (i: number of faulty modules.)

The benefits of increasing the module number saturate after some point, whereas the required space and cost continue to increase. For instance, four modules are enough for a single module fault, as can be seen in Fig. 7. Therefore, we design an example system with 2-Tx and 4-Rx where the parameters are given in Table I.

TABLE I 2TX/1MCR/4RX IPT SYSTEM DESIGN PARAMETERS AND

	Fixed		Derived	
	Parameters		Parameters	
	Power Rating	1000 W	Power per Tx	500 W
	Input Voltage Vin	$100 \ V_{DC}$	$k_{cTx,R}$	0.2382
	Output Voltage Vout	$110 \ V_{DC}$	$M_{Tx,R}$	$15.62~\mu H$
	Resonant Frequency $f_r$	150 kHz	$M_{Rx,R}$	17.36 $\mu H$
-	Load Resistance R <sub>L</sub>	10 Ω	$L_{Rx}$	$25.47~\mu H$
	Number of Txs m	2	$L_{R}$	73.98 $\mu H$
	Number of Rxs n	4	$Q_{R}$	4.167
	$\Psi$	1.1	$L_{Tx}$	82.51 $\mu H$
	$Q_{Rx}$	1.5	$C_{Tx}$	13.60 nF
	$k_{Rx,R}$	0.4	$C_{Rx}$	44.20 nF
_	$k_{Tx,R}$	0.2	$C_{R}$	15.21 nF

Another concern is that bifurcation-free operation should be provided even under fault conditions. The bifurcation limit is determined by the reflected resistance of the Rx modules onto the MSR coil, as previously calculated in (20). The reflected resistance does not vary under fault conditions, which means that  $Q_{MSR}$  stays constant as given in (5). Therefore, the bifurcation-free operation is guaranteed under fault conditions, and no additional control method is required.

#### V. CURRENT SHARING ANALYSIS

In this section, the effect of introducing the MSR on the current/power distribution of the modules is analyzed. 2Tx/1MSR/4Rx system, shown in Fig. 6, is examined. Firstly, the MSR coil does not exist, and 2Tx/4Rx system is analyzed. In this configuration, the magnetic flux generated by Tx coils is not uniformly distributed radially, and the magnetic flux density decreases at the end-windings of coils. The mutual inductances between Tx and Rx coils are given in Table. II for the fully-aligned and 45° misaligned positions.

	Fully-Aligne		
$k_{Tx_1,Rx_1} \\ 0.25$	$k_{Tx_1,Rx_2} \\ 0.25$	$k_{Tx_1,Rx_3} -0.03$	$k_{Tx_1,Rx_4} -0.03$
$k_{Tx_2,Rx_1} -0.03$	$k_{Tx_2,Rx_2} -0.03$	$k_{Tx_2,Rx_3} \\ 0.25$	$k_{Tx_2,Rx_4} \\ 0.25$

# 

2Rx coils rather than the 4Rx coils can be analyzed thanks to the magnetic symmetry. The sum of the reflected conductance of modules gives the total reflected conductance, as given in (22).

$$\frac{1}{R_{RX(1)}} + \frac{1}{R_{RX(2)}} = \frac{1}{R_{RX}}$$
 (22)

The module's voltages are equal, calculated as in (23), where  $Z_{RX}$  is the impedance of Rx as given (24).

$$V_{RX(1)(2)} = R_{RX(1)(2)} \frac{j\omega M_{(1)(2)} I_{TX}}{Z_{RX} + R_{RX(1)(2)}}$$
(23)

$$Z_{RX} = j\omega L_{RX} + \frac{1}{i\omega C_{RX}}$$
 (24)

Then, the condition to have equal module's voltages is obtained as in (25), and it is brought into a closed-form, as given in (26) where  $\alpha$  is defined as  $\frac{M_{(1)}}{M_{(2)}}$ .

$$|R_{RX(1)}\frac{M_1}{Z_{RX} + R_{RX(1)}}| = |R_{RX(2)}\frac{M_2}{Z_{RX} + R_{RX(2)}}| \quad (25)$$

$$0 = ((\alpha^2 - 1)R_L^2 - |Z_S|^2)R_1^2 + 2R_LR_1 + (\alpha^2 - 1)|Z_SR_L|^2$$
(26)

The quadratic equation in (26) can be solved, and the reflected resistance of the first module can be calculated as in (27). The ratio of modules' power is presented in general form

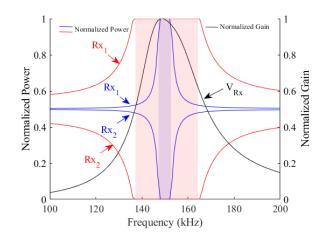


Fig. 8. The normalized power of Rx modules and normalized output voltage. (Blue lines are for  $45^o$  misaligned condition of 2Tx-4Rx system and red lines for manufacturing tolerances of 2Tx-1MSR-4Rx system.)

as a function of the quality factor of the load  $Q_{RX}$ , defined as  $\frac{\omega_o L_{RX}}{R_{RX}}$ , presented in (28).

$$R_{RX(1)} = \frac{R_{RX}|Z_{RX}|^2}{(1 - \alpha^2)R_{RX}^2 + |Z_{RX}|^2} + R_{RX}Z_{RX}\frac{\sqrt{|Z_{RX}|^2\alpha^2 - R_{RX}^2(1 - \alpha^2)^2}}{(1 - \alpha^2)R_{RX}^2 + |Z_{RX}|^2}$$
(27)

$$\frac{P_1}{P} = \frac{R_{RX}}{R_{RX(1)}} = \frac{(1 - \alpha^2) \frac{\omega^2 \omega_o^2}{Q_{RX}(\omega^2 - \omega_o^2)} + 1}{1 + \sqrt{\alpha^2 + ((1 - \alpha^2)^2 \frac{\omega^2 \omega_o^2}{Q_{RX}(\omega^2 - \omega_o^2)})}}$$
(28)

The current distribution between Rx modules depends on coupling differences and operating frequency. For 45° misaligned condition, the current distributions and output voltage are shown in Fig. 8. The power distribution is improved while the operating frequency moves away from the resonant frequency, but changing the operating frequency decreases the output voltage and the output power.

MSR is a full-circle-shaped coil so the mutual inductance with Tx coils does not change with rotation. Rx modules are relatively stationary coils with the MSR coil; therefore, the couplings between the MSR and Rxs are also constant. However, Rx modules can have slightly different mutual inductances due to the manufacturing tolerances. Effect of these coupling differences can be minimized by adjusting the operating frequency, as shown in Fig. 8. The operating frequency is chosen to be higher than the resonant frequency in order to achieve ZVS, and this is enough to balance the current distribution.

# VI. SIMULATION RESULTS AND FURTHER INVESTIGATIONS ON THE ANALYTICAL MODEL

The analytical model may deviate due to the assumptions such as reduced reflected impedance under the low-quality factor, the first harmonic approach (FHA) used in the analytical model, and the neglected cross-couplings ( $(k_{Tx,Tx} k_{Rx,Rx})$ ) and direct couplings ( $k_{Tx,Rx}$ ). This section investigates the impact of these assumptions.

#### A. Critical Coupling Limitations

In this part, the critical coupling calculated by the analytical model is compared with simulation results to validate the analytical model, which should guarantee the bifurcation-free operation. In addition, the input phase angle is calculated without assuming that low  $Q_{Rx}$ , and input phase angles are presented for different  $Q_{Rx}$  and  $k_{Tx,MSR}$ .

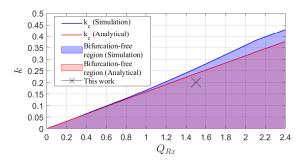


Fig. 9. Comparison of critical couplings for different  $Q_{RX}$  values.

Firstly, the analytical design procedure is repeated along with  $Q_{RX}=0.2$  to  $Q_{RX}=2.2$ . For  $k_{Rx,R}=0.4$  and  $\Psi=1.1$ , the critical couplings are calculated by both analytical and simulation models. As can be seen in Fig. 9, the analytical results deviate from the simulation for higher  $Q_{Rx}$  (>1) values. The deviation can be ignored due to the critical coupling of the analytical model is always smaller than the simulation results. Therefore, the selected coupling below the critical value calculated by the analytical model always gives a bifurcation-free system. Secondly, the input impedance can be expressed as in (29) where  $Z_{Rx}$ ,  $Z_{MSR}$ ,  $Z_{Tx}$  are provided in (30).

$$Z_{in} = Z_{Tx} + \frac{\omega^2 M_{Tx,MSR}^2}{Z_{MSR} + \frac{\omega^2 M_{Rx,MSR}^2}{Z_{Rx} + R_L}}$$
(29)

$$Z_{Rx} = j \left(\frac{\omega^2 - \omega_0^2}{\omega}\right) Q_{Rx} R_L$$

$$Z_{MSR} = j \left(\frac{\omega^2 - \omega_0^2}{\omega}\right) \frac{V_{out^2}}{\omega_0 \Psi^2 I_{in}^2 k_{Rx,MSR}^2 Q_{Rx} R_L}$$

$$Z_{Tx} = j \left(\frac{\omega^2 - \omega_0^2}{\omega}\right) \frac{\Psi I_{in} R_L V_{in^2}}{\omega_0^2 2 I_{in}^2 k_{Tx,MSR}^2 Q_{MSR} V_{out}}$$
(30)

The input phase angle is zero at  $\Im(Zin)=0$ . The number of  $\omega$  that provides this equation determines the bifurcation condition. For constant  $k_{Tx,MSR}$ , a lower  $Q_{Rx}$  causes bifurcation, as shown in Fig. 10.a where three zero phase angle frequencies exist. For constant  $Q_{Rx}$ , the system is in bifurcation at a higher  $k_{Tx,MSR}$  as shown in Fig. 10.b where three zero phase angle frequencies exist.

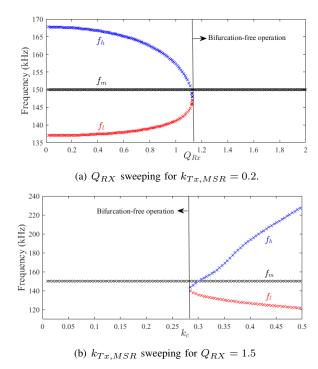


Fig. 10. Zero phase angle frequencies  $(\omega_l, \omega_m, \text{ and } \omega_h)$  for different  $Q_{RX}$  and  $k_{Tx,MSR}$ .

#### B. The Effect of Diode Rectifier

In this part, the voltage gain calculated by the analytical model is compared with the simulation results. The analytical design methodology is based on fundamental harmonic approximation (FHA), which is presented under these assumptions: no-higher order harmonics and continuous coil current, also known as continuous current mode (CCM) [30]. However, an IPT system can also operate in discontinuous current mode (DCM) because of the diode rectifiers and the low-quality factor of Rx modules [31]. In DCM, the currents of the coils have odd harmonics  $(3^{rd}, 5^{th})$ , which are comparable to the fundamental component. In our system, we observe DCM operation where the current waveforms are shown in Fig. 11.

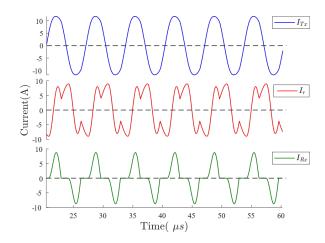


Fig. 11. 1Tx-1R-1Rx current waveforms during DCM operation.

Thus, the analytical model deviates due to the DCM opera-

tion, and it also causes the voltage gain to increase due to third harmonics. The design procedure is repeated along with different  $Q_{Rx}$ , as shown in Fig. 12. The voltage gain is 10% greater than the analytical calculation for our system due to DCM operation. However, this deviation can be tolerated since it does not affect the critical couplings.

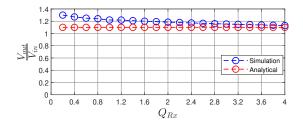


Fig. 12. The voltage gain over different  $Q_{Rx}$  for FHA based analytical model and simulation results with DCM operation.

#### C. The Effect of Cross and Direct Couplings

The analytical model is created without consideration of the cross-couplings ( $k_{Tx,Tx}$ ,  $k_{Rx,Rx}$ ) and direct couplings ( $k_{Tx,Rx}$ ), which may change the resonant frequency, gain, and bifurcation conditions. Therefore, the analytical model should be checked with the addition of these components.

1) The cross coupling between Tx modules: Introducing cross-couplings between Tx modules causes an additional induced voltage component at Tx modules alongside the voltage induced by the MSR coil, which is calculated as in (31).

$$V_{in,Tx_{1}} = \left(j\omega L_{Tx} + \frac{1}{j\omega C_{Tx}}\right) I_{Tx_{1}} + j\omega \left(M_{Tx_{1},Tx_{2}}\right) I_{Tx_{2}} + j\omega \left(M_{Tx_{1},MSR}\right) I_{MSR}$$
(31)

If these cross-couplings do not exist  $(M_{Tx_1,Tx_2}=0)$ , the resonant frequency is calculated as  $f_r=\frac{1}{2\pi\sqrt{L_{Tx}C_{Tx}}}$ . Thus, with this assumption, the resonant frequency is not affected by the Txs current, which means that the resonant frequency is equal for healthy and faulty conditions.

However, introducing  $k_{Tx,Tx}$  makes the resonant frequency sensitive to the Tx currents. The resonant frequency of one of the Tx modules is calculated as in (32).

$$f_{r(Tx_1)} = \frac{1}{2\pi\sqrt{\left(L_{Tx} + \frac{I_{Tx_2}}{I_{Tx_1}}M_{Tx_1, Tx_2}\right)C_{Tx}}}$$
(32)

For cross-coupled Tx modules, the resonant frequency is same in healthy operation, and the Tx modules' current are equal. However, in faulty operation or under unbalanced Tx currents, the resonant frequency changes, which may affect the ZVS conditions, efficiency and voltage gain. In this situation, a closed-loop frequency control can be used to adjust Tx's currents. Another solution is to minimize  $k_{Tx,Tx}$  by a proper coil design, which will be discussed in section VII.

2) The cross couplings between Rx modules: The cross-couplings between the Rx modules generate additional induced voltages on each Rx module, which increase the output voltage even in healthy condition. The induced voltage of one Rx module is calculated as in (33).

$$V_{Rx_1} = j\omega M_{(Rx_1, MSR} I_{MSR}) + \sum_{i=2}^{4} (j\omega M_{(Rx_1, Rx_2)} I_{Rx_i})$$
(33)

Moreover, the cross-couplings deviate the resonant frequency, which is calculated as in (34)

$$f_{r(Rx_1)} = \frac{1}{2\pi\sqrt{\left(L_{Rx} + \sum_{i=2}^{4} \frac{I_{Rx_i}}{I_{Rx_1}} M_{Rx_1, Rx_i}\right)C_{Rx}}}$$
(34)

Another issue is the bifurcation limits. The cross-coupling changes the effective  $Q_{Rx}$  as in (35).

$$Q_{Rx} = \frac{\omega \left( L_{Rx} + \sum_{i=2}^{4} M_{Rx_1, Rx_i} \right)}{R_L}$$
 (35)

Therefore, positive cross-couplings increase the effective  $Q_{Rx}$  and negative cross-coupling decreases the effective  $Q_{Rx}$ . The bifurcation limits should be updated by this variation, as previously shown in Fig. 10.

3) The direct couplings between Tx and Rx modules: The direct couplings between the Tx-Rx modules change the resonant frequency and voltage gain, which can be analyzed by equating the imaginary parts of the reflected impedance to Tx and the Tx side impedance, as given in (36).

$$\Im\left(Z_{Tx}\right) = -\Im\left(\frac{\omega^2 M_{Tx,MSR}^2}{Z_{MSR}} + \frac{\omega^2 M_{Tx,Rx}^2}{Z_{Rx}}\right)$$
(36)

The input and output voltage formulas are modified as given in (37). The  $M_{Tx,MSR}$  and  $M_{Rx,MSR}$  should be adjusted if the voltages are desired to be the same as the voltages without direct-couplings.

$$V_{in} = \omega_0 I_{MSR} M_{Tx,MSR} \angle \phi_{I_{MSR}} + \omega_0 I_{Rx} M_{Tx,Rx} \angle \phi_{I_{Rx}}$$

$$V_{out} = \omega_0 I_{MSR} M_{Rx,MSR} \angle \phi_{I_{MSR}} + \omega_0 I_{Tx} M_{Tx,Rx}$$
(37)

#### D. The Effect of Mechanical Rotation

A circular MSR coil creates a rotational symmetry, which provides constant  $M_{Tx,MSR}$  and  $M_{Rx,MSR}$ . However, if an axial misalignment occurs (due to the manufacturing tolerances) between stationary and rotating parts.  $M_{Tx,MSR}$  becomes a function of rotation angle. In an analytical model, the induced voltage onto MSR coil from Tx coils depends on  $M_{Tx,MSR}$ ,  $I_{Tx}$ ,  $\omega$  as shown in (38).

$$V_{MSR(t)} = \frac{d}{dt} M_{Tx,MSR} \hat{I}_{Tx} sin(\omega t + \phi_{I_{Tx}})$$
 (38)

However, a variable  $M_{Tx,MSR}$  induces extra components, as given (39) where  $\omega_m$  is the mechanical angular frequency. In

order to simplify the calculation,  $M_{Tx,MSR}$  is assumed to be a sine function with the peak value of  $\hat{M}_{Tx,MSR}$ .

$$V_{MSR(t)} = \frac{d}{dt} \hat{M}_{Tx,MSR} \hat{I}_{Tx} sin(\omega t + \phi_{I_{Tx}}) + \frac{d}{dt} \hat{M}_{Tx,MSR} sin(\omega_m t) \hat{I}_{Tx}$$
(39)

Furthermore, the operating frequency of IPT is about 150 kHz, and the rated rotational speed of the system is 1500 RPM, which is equal to 25 Hz. Since there are 6000 periods in one full rotation, the IPT system can be assumed to be stationary. The induced voltage coming from the change in mutual is less than 0.02% of the induced voltage from the Tx current. Therefore, the effect of the mechanical rotation can be ignored.

#### VII. THE PROPOSED COIL GEOMETRY

This section presents the proposed coil geometry to minimize cross-couplings and direct couplings. As previously shown in Fig. 6, the Tx and Rx coils are designed to create a full circle, delivering magnetic symmetry for the rotation.

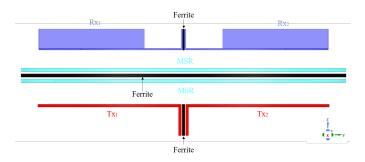


Fig. 13. The proposed coil structure to minimize cross-couplings as shown from the side-view.

The coils should provide the self and mutual inductances while keeping the cross-coupling and direct couplings at minimum to avoid resonant frequency and gain deviations. Firstly, Tx coils are formed as half-circles as shown in Fig. 6, but in this configuration, end-windings causes cross-couplings. Then, the coils are modified by bending the end-windings, and placing ferrite, as shown in Fig. 13. Thus, the magnetic field is shielded, and the cross-couplings can be minimized. Secondly, the MSR coil can be designed as two coils connected in series, the one is coupled with Tx coils, and the second is coupled with the Rx coils. The coils are magnetically isolated by the ferrite strips between them, as shown in Fig. 13. Finally, the cross-couplings between Rx modules can be minimized by bending the end windings like the Tx modules. Alternatively, extra high frequency coupled inductors (HFCI) can be used as discussed in the next section.

#### VIII. EXPERIMENTAL RESULTS

To verify the proposed system, a 1 kW 2Tx/1MSR/4Rx prototype is built as shown in Fig. 14. The parameters of the experimental setup are shown in Table III. In order to achieve high fault tolerance, Rx modules are over-designed, which provides the system to transfer rated power under Rx faults.

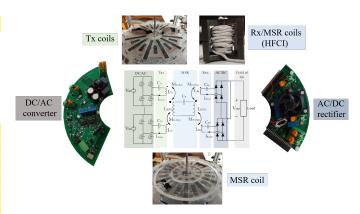


Fig. 14. Experimental setup for the proposed IPT system [29]

### TABLE III EXPERIMENTAL SETUP PARAMETERS

Tx side MOSFET	BSC600N25NS3G		
Tx side Gate Driver	2EDF7275F		
Rx side Recrifier Diodes	C3D10060G		
Litz Wire	400x0.08 mm (2 mm <sup>2</sup> )		
Ferrite shield	I20x2 N48		
Tx coil D <sub>in</sub> and D <sub>out</sub>	70-280 mm		
Tx coil # of turns	13		
Tx coil inductances	83.1-83.5 $\mu H$		
Resonator IPT coil Din and Dout	65-280 mm		
Resonator IPT coil # of turns	5		
Resonator IPT coil inductance	$23 \mu H$		
$C_{Tx}$	13.6 nF		
$C_{R}$	15.21 nF		
$C_{Rx}$	44.2 nF		
Airgap	10 (mm)		
Resonant frequency (f <sub>0</sub> )	150 kHz		
Operating frequency (f)	155 kHz		

#### A. IPT Coil Design Considerations

Unlike most IPT systems, the air gap of the proposed system is under the designer's control. In the proposed system, the couplings between Tx and Rx coils are eliminated, and so the MSR coil is separated into five coils that are connected in series, where one coil couples with Tx coils and the other four coils couple with Rx coils. The couplings between the MSR and Rxs could be chosen to be larger than the couplings between the MSR and Txs to reduce the resonator coil size, which is not limited by bifurcation phenomena. However, it is hard to achieve these high couplings via conventional coils of IPT systems, so high frequency coupled inductors (HFCI) can be used as both Rxs and the MSR are in the same rotating frame. In HFCI design, PQ32x20 with N87 material with an air gap of 0.4 mm was found to be the optimum magnetic core. The finalized HFCI parameters are presented in Table IV.

#### B. System Tests

Several operating conditions are tested with the given experimental setup. The healthy operation of the system is verified at first. Then faulty conditions are tested. Detailed results are discussed in the following sections.

1) Normal Operation: Firstly, the system is operated at 156 kHz, slightly above the resonant frequency, which satisfies the zero voltage switching. The experimental results under

TABLE IV PARAMETERS OF THE HIGH FREQUENCY COUPLED INDUCTORS

Parameters	$HFCI_1$	$HFCI_2$	$HFCI_3$	$HFCI_4$	
$L_R(\mu H)$	13.37	13.27	13.19	13.25	
$L_{Rx}(\mu H)$	26.88	26.85	26.89	27.07	
$M(\mu H)$	18.05	18.14	18.01	18.09	
Core Size			PQ 35x35		
Core Material			N87		
Airgap			0.4 mm		
Number of R Turns			6		
Number of Rx Turns			9		

normal operation are given in Fig. 15. The Rx currents are nearly equal and have a third harmonic component. The reflected third harmonic component can also be seen at the resonator current. Resonator currents are slightly smaller than the Tx current in experimental results due to the losses, which are not included in the analytical model.

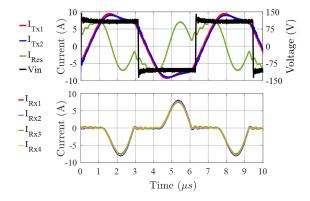


Fig. 15. Input voltage, Tx side currents, resonator current and Rx currents for healthy operation. ( $I_{Tx1,2}\approx 6.5~A_{rms},I_R=5.4~A_{rms}$ )

- 2) Single and Double Rx Open Circuit Fault: In Fig. 16.a, operation under a single Rx open circuit fault is presented. Results are similar to healthy operation, and the third harmonic component is reduced compared to the healthy operation. Since Rxs are over-designed in the experimental setup, the rated power is transferred; otherwise, the transferred power should be reduced as presented in (21). Increasing the number of Rx modules will result in less stress on the remaining modules under fault; however, it also increases the chance of a failure due to the increased number of components. Then the effect of simultaneous faults in two Rx modules is investigated. The results are presented in Fig. 16.b. The effective quality factor of the Rx modules becomes greater than healthy operation since the current of the remaining modules increases. Hence, the system operates in CCM, and the third harmonic is almost diminished.
- 3) Single Tx Open Circuit Fault: Finally, the fault tolerance of the system is tested with a single open circuit Tx fault. As the Tx modules are not over-designed, the power is reduced from 1000W to 250W, as shown in Fig. 16.c.

Under an open-circuited Tx fault, the flux linkage is reduced by half, which also decreases the resonator current, but the power is shared between the Rx modules equally. The rated power could be transferred if Tx modules are over-designed.

TABLE V
EFFICIENCIES OF THE SYSTEM UNDER HEALTHY AND FAULTY
OPERATIONS

Operation	Efficiency
Healthy operation	90.9 %
Single Rx open faulty operation	89.6 %
Double Rx open faulty operation	89.5 %
Single Tx faulty operation	81.6 %

4) Efficiency under fault: The system efficiencies under healthy and faulty operations are given in Table V. Single-Tx fault's efficiency is lower than healthy operation due to lower input voltage as expected. However, Txs have the same voltage and current value in single-Rx and double-Rx faults, providing compatible efficiency with the healthy operation, which confirms the fault tolerance of the proposed system.

## IX. Comparison with Existing Studies in the Literature

A few contactless slip ring studies in the literature are presented in the Table. VI. Inductive power transfer (IPT) and capacitive power transfer (CPT) systems are commonly used, depending on the airgap and power requirements.

TABLE VI

COMPARISON WITH EXISTING STUDIES IN THE LITERATURE.

	[32]	[33]	[34]	[35]	This work
Coil	1Tx	1Tx	1Tx	1Tx	2Tx
Type	1Rx	1Rx	1Rx	1Rx	4Rx
Topology	CPT	IPT	IPT	IPT	IPT
Frequency (kHz)	>650	250	50	50	150
Power (W)	340	300	500	1000	1000
Airgap (mm)	0.081	18	-	0.6	10
Diameter (mm)	76.2	120	100	-	280
Efficiency (%)	85	92-95	>80	92.7	90.9

In this article, a modular structure is presented, providing a reliable system that can even operate under fault. The system reliability can also be increased by adding a redundant converter for 1Tx-1Rx systems. Unlike 1Tx-1Rx system with a redundant converter, the proposed modular structure is also helpful for reducing voltage and current ratings. Moreover, the modular structure allows the system to operate under more than one fault conditions. Therefore, the proposed system is more suitable than the conventional 1Tx-1Rx systems for fault-tolerant applications. Although the MSR seems to create a new single point of failure, which also exist in 1Tx-1Rx systems with redundant converters, the failure expectation for MSR, which comprises of only passive components such as inductors and capacitors, is much lower than for semiconductor devices.

#### X. CONCLUSION

This paper proposes a multi-Tx/multi-Rx system as an alternative to conventional slip rings for field excited synchronous

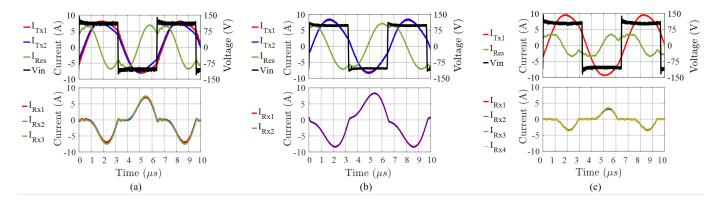


Fig. 16. The waveforms of input voltage, Tx side currents, resonator current and Rx currents for faulty operations. a) Single open circuited Rx fault. b) Double open circuited Rx fault. c) Single open circuited Tx fault.

machines. Thus, fault-tolerant and maintenance-free contactless slip rings are achieved. However, multi-Tx/multi-Rx systems are prone to unequal power-sharing between modules, reducing reliability. Therefore, a novel solution, introducing a middle-stage resonator, is presented to improve unequal power-sharing. Furthermore, the efficiency competing with the conventional slip rings is achieved by avoiding bifurcation, which guarantees zero voltage switching. In this paper, firstly, the design methodology of a multi-Tx/multi-Rx IPT system with a middle-stage resonator was presented. Then, a fault tolerance analysis was examined, and the selection of modules number was discussed. Finally, a 1 kW 2Tx/1MSR/4Rx prototype was built to validate the proposed system, and it was observed that the experimental results are coherent with analytical results under single-Rx, double-Rx, and single-Tx faults. Consequently, a fault-tolerant multi-Tx/ multi-Rx IPT system to excite the field of synchronous machines is achieved, decreasing maintenance requirements and increasing reliability.

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