# PolarAir: A Compressed Sensing Scheme for Over-the-Air Federated Learning

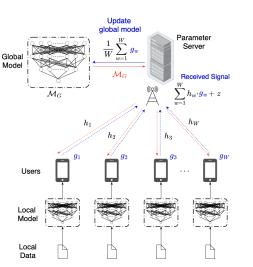
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ITW 2023 Saint-Malo, France

## Introduction: Over-the-Air Federated Learning

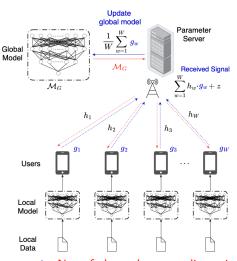
- ▶ Joint training of ML models with distributed data
- Exploit the additive nature of the wireless medium
- Uplink naturally computes sum of gradients



$$y = \sum_{w=1}^{W} h_w g_w + z$$

- ightharpoonup No fading (AWGN)  $h_w=1$
- ightharpoonup SISO precompensate for  $h_w$

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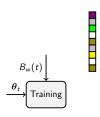
▶ No. of channel uses = dimension of  $g_w = no.$  of parameters

#### Problem Statement

- ► Train a ResNet Network over an AWGN channel
- ► Fix the Test Accuracy
- ▶ Minimize the number of channel uses needed to achieve it

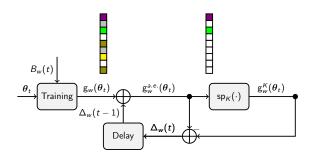
#### Main Objective

- Design Compressed Sensing Algorithm
- ► Examine its behavior during the training

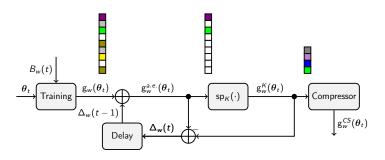


- The access point broadcasts the current model,  $\theta_t$ , and workers use the available batch,  $B_w(t)$  to compute the gradient  $g_w(\theta_t)$
- Add the error from the previous sparsification step,  $g_w^{e.a.}(\theta_t) = g_w(\theta_t) + \Delta_w(t-1)$
- ightharpoonup Compute the error  $\Delta_w(t) = \mathrm{g}_w^{a.e.}(\theta_t) \mathrm{g}_w^K(\theta_t)$
- ightharpoonup Sparsify  $g_w^{a.e.}(\theta_t)$
- Compress the sparsified vector

<sup>1</sup>Proposed by M. M. Amiri and D. Gündüz's 2019 ISIT paper



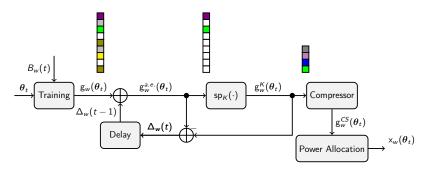
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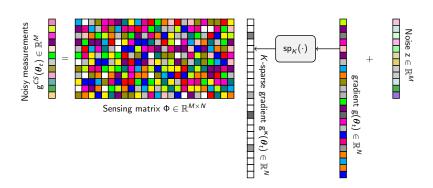
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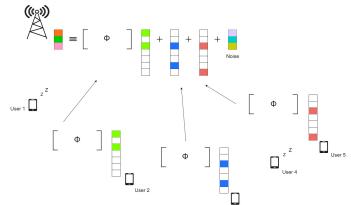
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## Compressed Sensing



- ► Gradient (model parameter updates) is *K*-sparse
- **Each** worker transmits  $g^{CS}(\theta_t) = \Phi g_w^{\kappa}(\theta_t)$

# Over-the-air Federated Learning with Compressed Sensing



▶ Received signal at the access point y

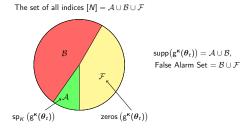
$$y(t) = \sum_{w=1}^{W} \Phi(t) g_w^{\kappa}(\theta_t) + z(t) = \Phi(t) \sum_{w=1}^{W} g_w^{\kappa}(\theta_t) + z(t)$$
$$= \Phi(t) g^{\kappa}(\theta_t) + z(t)$$

▶ The channel "computes" the aggregated gradient

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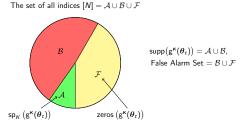
#### Recovery of aggregated gradient

- ▶ Sum of the W, K-sparse vectors has  $K' \in [K : KW]$  non-zero entries
- We choose to recover top-K entries from  $y(t) = \Phi(t)g^{\kappa}(\theta_t) + z(t)$



#### Recovery of aggregated gradient

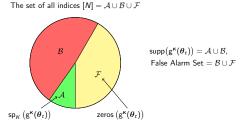
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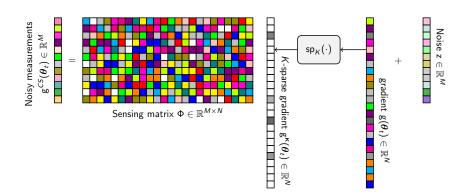


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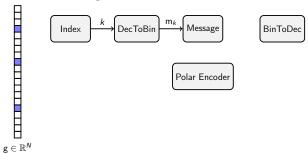
$$y(t) = \underbrace{\Phi(t)g_A}_{\text{top-}K \text{ entries}} + \underbrace{\Phi(t)g_B}_{\text{other non-zero}} + z(t)$$

▶ What we want is task-specific compression

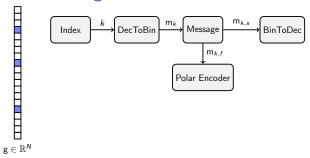
#### Limitations of current schemes



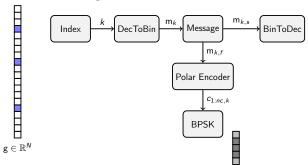
- ▶ Many go-to recovery algorithms have complexity  $\mathcal{O}(MN)$
- State-of-the-art machine learning models can have very large N
- Our goal construct a structured Φ and a recovery algorithm with lower complexity



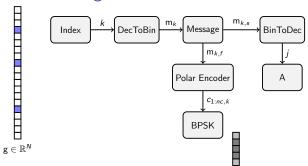
- ightharpoonup Convert non-zero index k to a binary string  $m_k$ .
- ▶ Divide  $m_k$  into two parts:  $m_{k,f}$ ,  $m_{k,s}$ .
- $ightharpoonup m_{k,s}$  is encoded and the coded bits are modulated using BPSK
- Based on  $m_{k,s}$ , a spreading sequence is chosen from the Master set A, where  $A = \{a_1, a_2, \dots, a_{n \text{len}(m_k,s)}\} \in \mathbb{R}^{L \times 2^{\text{len}(m_k,s)}}$ .
- ▶ The modulated bits are multiplied by spreading sequence  $a_i \in A$ .
- ► The active column  $\phi_{\ell}$  is multiplied by  $\varphi_{\ell}$



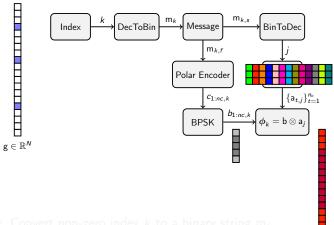
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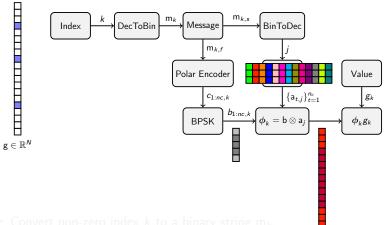
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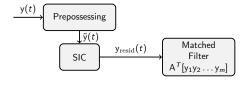
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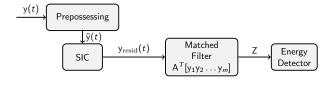
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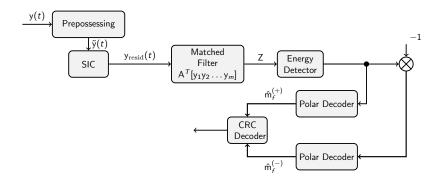
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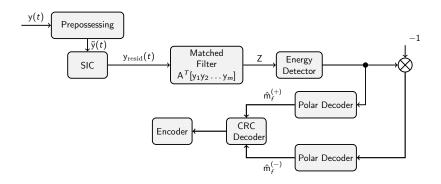
- ► Matched Filter: Estimate the symbols
- Energy Detector: Recover active sequence
- Unknown sign of gradient, use two Polar Decoders
- ► Encoder: Construct the Active Columns of Φ
- Least Squares: Estimate the values of the gradient



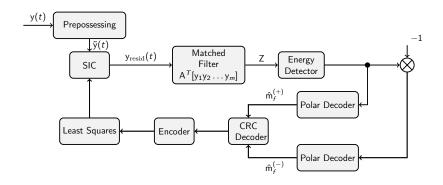
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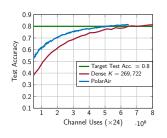


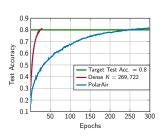
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#### Results - Test Accuracy





- ► CIFAR-10 dataset, classification task, ResNet model
- ightharpoonup N = 269722, we sparsified to K = 270, W = 8 users
- ightharpoonup pprox 30% less channel uses
- ► Much better compared to Dense (Genie)

## Results - Probability of Missed Detection & False Alarm

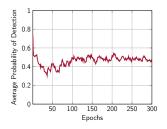


Figure: Average probability of Detection.

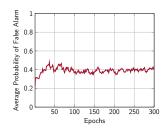


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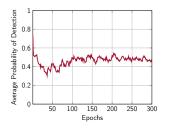
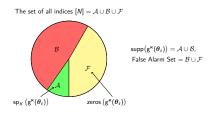


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Figure: Average probability of False Alarm.



#### Results - behavior of the sum of sparse gradients

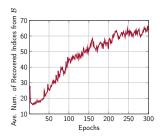


Figure: Average Number of Recovered Indices from  $\mathcal{B}$ .

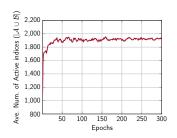
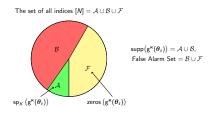


Figure: Average number of active indices as a function of epochs.



#### Conclusion

- ▶ PolarAir is a method to compress Deep Learning Models for OTAFL
- ▶ The complexity is  $\mathcal{O}(K^3 + K^2 \log N)$
- $ightharpoonup \approx 30\%$  less channel uses compared to naive approach





Figure: Group's WebPage

Figure: Presentation

Figure: Source Code