

Some bounds on binary LCD codes

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Abstract A linear code with a complementary dual (or An LCD code) is defined to be a linear code C whose dual code C^{\perp} satisfies $C \cap C^{\perp} = \{0\}$. Let LD(n, k) denote the maximum of possible values of d among [n, k, d] binary LCD codes. We give the exact values of LD(n, k) for k = 2 for all n and some bounds on LD(n, k) for other cases. From our results and some direct search we obtain a complete table for the exact values of LD(n, k) for $1 \le k \le n \le 12$. As a consequence, we also derive bounds on the dimensions of LCD codes with fixed lengths and minimum distances.

Keywords Binary LCD codes · Bounds · Linear codes

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1 Introduction

A linear code with complementary dual (or An LCD code) was first introduced by Massey [14] as a reversible code in 1964. Afterwards, LCD codes were extensively

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studied in literature and widely applied in data storage, communications systems, consumer electronics, and cryptography.

In [15] Massey showed that there exist asymptotically good LCD codes. Yang and Massey [23] gave a necessary and sufficient condition for a cyclic code to have a complementary dual. In [7] Esmaeili and Yari identified a few classes of LCD quasi-cyclic codes. It is shown by Kandasamy et al. [21] that maximum rank distance codes generated by the trace-orthogonal-generator matrices are LCD codes. Recently, Boonniyoma et al. [2] determined necessary and sufficient conditions for a linear code to be Hermitian complementary dual. Quasi-cyclic codes that are complementary dual are characterized and studied by using their concatenated structure by Güneri et al. [8]. In [18] Sari et al. obtained two classes of MDS negacyclic LCD codes. Zhu et al. [24] deduced the structure of the reversible negacyclic code over some finite fields. Li et al. studied a family of BCH codes over finite fields in [11] and extended the results to parameters of LCD BCH codes in [12].

For bounds of LCD codes, Tzeng and Hartmann [20] proved that the minimum distance of a class of reversible codes is greater than that given by the BCH bound. Sendrier [19] showed that LCD codes meet the asymptotic Gilbert-Varshamov bound using the hull dimension spectra of linear codes. Recently, Dougherty et al. [6] gave a linear programming bound on the largest size of an LCD code of a given length and minimum distance.

Constructions of LCD codes were studied by Mutto and Lal [17]. In 2014, Carlet and Guilley [4] introduced several constructions of LCD codes and investigated an application of LCD codes against side-channel attacks (SCA). Shortly after, Mesnager et al. [16] provided a construction of algebraic geometry LCD codes which could be good candidates to be resistant against SCA. Ding et al. [5] constructed several families of reversible cyclic codes over finite fields. Recently, Liu et al. [13] constructed LCD codes using quasi-orthogonal matrices. Jin [9] used generalized Reed-Solomon codes to contruct several classes of LCD MDS codes. In 2017, Li [10] showed construction of some cyclic Hermitian LCD codes over finite fields and employed Hermitian LCD codes to propose a Hermitian orthogonal direct sum masking scheme that achieves protection against fault injection attacks.

The purpose of this paper is to study exact values of LD(n,k) (see [6]) which is the maximum of possible values of d among [n,k,d] binary LCD codes. We give exact values of LD(n,2) in Section 2. In Section 3, we investigate LD(n,k) and show that LD(n,n-i)=2 for any $i\geq 2$ and $n\geq 2^i$. We prove that $LD(n,k)\leq LD(n,k-1)$ for k odd and that $LD(n,k)\leq LD(n,k-2)$ for k even using the notion of principal submatrices. In Section 4, we give exact values for LK(n,d), the maximum dimension k such that an [n,k,d] LCD code exists for a given n and d. We have included tables for LD(n,k) for $1\leq k\leq n\leq 12$ and LK(n,d) for $1\leq d\leq n\leq 12$.

2 Bounds on minimum distances of [n, 2] LCD codes

We begin by giving some definitions related to LCD codes.

Let GF(q) be the finite field with q elements. An [n, k] linear code C over GF(q) is a k-dimensional subspace of $GF(q)^n$. If C is a linear code, we let

$$C^{\perp} = \{ \mathbf{u} \in GF(q)^n \mid \mathbf{u} \cdot \mathbf{w} = 0 \text{ for all } \mathbf{w} \in C \}$$

We call C^{\perp} the dual or orthogonal code of C.

Definition 1 A linear code with complementary dual (An LCD code) is a linear code C satisfying $C \cap C^{\perp} = \{0\}$.



Note that if C is an LCD code, then so is C^{\perp} because $(C^{\perp})^{\perp} = C$. The following proposition, found in [15], will be frequently used in the later sections.

Proposition 1 Let G be a generator matrix for a code over GF(q). Then $det(GG^T) \neq 0$ in and only if G generates an LCD code.

Throughout the rest of this paper, we consider only binary codes. Dougherty et al. [6] introduced the combinatorial function LCD[n, k], for integers n and k such that $n \ge k$, which denotes the maximum of possible values of d among [n, k, d] binary LCD codes. We use LD(n, k) instead of LCD[n, k] in order to avoid any confusion. Formally, it is defined as follows.

Definition 2 $LD(n, k) := \max \{d \mid \text{there exists a binary } [n, k, d] \text{ LCD code} \}$.

Dougherty et al. [6] gave a few bounds on LD(n, k) and exact values for k = 1. Now we obtain exact values of LD(n, k) for k = 2 and arbitrary n. First, we give a

Now we obtain exact values of LD(n, k) for k = 2 and arbitrary n. First, we give a simple upper bound for the minimum distance of binary [n, k] LCD codes.

Lemma 1
$$LD(n, 2) \le \lfloor \frac{2n}{3} \rfloor$$
 for $n \ge 2$.

Proof By the Griesmer Bound [22], any binary linear [n, k, d] code satisfies

$$n \ge \sum_{i=0}^{k-1} \left\lceil \frac{d}{2^i} \right\rceil.$$

Letting k = 2, we have $n \ge d + \frac{d}{2}$. Hence

$$d \leq \left| \frac{2n}{3} \right|$$
.

Therefore any [n, 2, d] LCD code must satisfy this inequality.

Based on the bound given above, we can obtain the exact values of LD(n, 2).

Theorem 1 Let
$$n \ge 2$$
. Then $LD(n, 2) = \lfloor \frac{2n}{3} \rfloor$ for $n \equiv 1, \pm 2, or 3 \pmod{6}$.

Proof We only need to show the existence of LCD codes with minimum distance achieving the bound $d = \left| \frac{2n}{3} \right|$.

(i) Let $n \equiv 1 \pmod{6}$, i.e. n = 6m + 1 for some positive integer m. Consider the code with generator matrix

$$G = \left[\underbrace{1 \dots 1}_{2m+1} \underbrace{1 \dots 1}_{2m-1} \underbrace{1 \dots 1}_{2m+1} \underbrace{1 \dots 1}_{2m+1} \right].$$



This code has minimum weight $4m = \left\lfloor \frac{2(6m+1)}{3} \right\rfloor$ and $GG^T = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, i.e., $\det(GG^T) = 1 \neq 0$. Therefore this code is an LCD code.

(ii) Let $n \equiv \pm 2 \pmod{6}$, i.e., n = 6m+2 for some non negative integer m, or n = 6m-2 for some positive integer m. Consider the code with generator matrix

$$G = \left[\underbrace{1 \dots 1}_{2m+k} \left| \underbrace{1 \dots 1}_{2m} \left| \underbrace{1 \dots 1}_{2m+k} \right| \underbrace{1 \dots 1}_{2m+k} \right]$$

If k = 1, this code has minimum weight $4m + 1 = \lfloor \frac{2(6m+2)}{3} \rfloor$ and $GG^T = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, i.e., $\det(GG^T) = 1 \neq 0$. Therefore this code is an LCD code.

If k = -1, this code has minimum weight $4m - 2 = \left\lfloor \frac{2(6m-2)}{3} \right\rfloor$ and $GG^T = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, i.e., $\det(GG^T) = 1 \neq 0$. Therefore this code is an LCD code.

(iii) Let $n \equiv 3 \pmod{6}$, i.e., n = 3i for some positive odd integer i. Consider the code with generator matrix

$$G = \left[\underbrace{1 \dots 1}_{0 \dots 0} \middle| \underbrace{1 \dots 1}_{i} \middle| \underbrace{1 \dots 1}_{i} \middle| \underbrace{1 \dots 1}_{i} \right].$$

This code has minimum weight $2i = \left\lfloor \frac{2(3i)}{3} \right\rfloor$ and $GG^T = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$, i.e., $\det(GG^T) = 1 \neq 0$. Therefore this code is an LCD code.

Theorem 2 Let $n \ge 2$. Then $LD(n, 2) = \lfloor \frac{2n}{3} \rfloor - 1$ for $n \equiv 0, -1 \pmod{6}$.

Proof (i) Let $n \equiv 0 \pmod{6}$. Consider the generator matrix G in (iii) of the proof of Theorem 1, taking i to be an even integer. If the weight of any row of G is increased by one, the weight of the sum of the two rows is decreased by one. Hence, G is the only generator matrix for a binary code that achieves the upper bound, up to equivalence. Clearly, $\det(GG^T) = 0$ and so the code is not LCD. It follows that there is no LCD code with minimum distance $\left| \frac{2n}{3} \right|$ for $n \equiv 0 \pmod{6}$.

Next, consider the code with generator matrix

$$G = \left[\underbrace{1 \dots 1}_{i+1} \underbrace{1 \dots 1}_{i-1} \underbrace{1 \dots 1}_{i} \underbrace{1 \dots 1}_{i}\right]$$

This code has minimum weight $2i - 1 = \left\lfloor \frac{2(3i)}{3} \right\rfloor - 1$. We note that $GG^T = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}$, i.e., $det(GG^T) = 1 \neq 0$. Therefore this code is an LCD code.

(ii) Let C be a binary code of length $n \equiv -1 \pmod{6}$, i.e., n = 3i - 1 for some positive even i. Without loss of generality, the generator matrix for C can be expressed in the



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following form such that the first row is the codeword whose weight is the minimum weight d.

$$G = \left[\underbrace{1 \dots 1}_{i_1} \left| \underbrace{1 \dots 1}_{i_2} \right| \underbrace{1 \dots 1}_{i_3} \right]$$

Suppose $d = \left\lfloor \frac{i_2}{3} \right\rfloor^{i_3} = 2i-1$, i.e., $i_1+i_2=2i-1$. This implies that $i_3=i$. Note that $i_2+i_3 \geq 2i-1$ which implies $i_2 \geq i-1$. Similarly, $i_1+i_3 \geq 2i-1$ and so $i_1 \geq i-1$. This leaves only two possible cases: $(i_1,i_2,i_3)=(i-1,i,i), (i,i-1,i)$, each of which gives $GG^T = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$, respectively. In both cases, $\det(GG^T) = 0$ and therefore they are not LCD. So there is no LCD code with minimum distance $\left\lfloor \frac{2n}{3} \right\rfloor$ for $n \equiv -1 \pmod 6$.

Consider the case where $(i_1, i_2, i_3) = (i - 1, i - 1, i + 1)$. Then G generates a code of minimum distance $2i - 2 = \left\lfloor \frac{2(3i-1)}{3} \right\rfloor - 1$. For this case, $\det(GG^T) = 1$ and hence the code is LCD.

So far we have obtained exact values for LD(n, 2). As in Lemma 1, we can have an upper bound for LD(n, k) for $k \ge 3$ as follows.

Lemma 2
$$LD(n,k) \le \lfloor \frac{n \cdot 2^{k-1}}{2^k - 1} \rfloor$$
 for $3 \le k \le n$.

Proof By the Griesmer bound [22], any binary linear [n, k, d] code satisfies

$$n \ge \sum_{i=0}^{k-1} \left\lceil \frac{d}{2^i} \right\rceil$$

Solving it in terms of d and simplifying the expression, we have

$$d \le \left\lfloor \frac{n \cdot 2^{k-1}}{2^k - 1} \right\rfloor.$$

Therefore any [n, k, d] LCD code must satisfy this inequality.

Remark 1 One can generalize the upper bound in Lemma 2 for a q-ary [n, k, d] code. Using the Griesmer bound for q-ary [n, k, d] codes we have that a q-ary [n, k, d] code must have an upper bound for d as follows.

$$d \le \left\lfloor \frac{n \cdot q^{k-1}}{q^k - 1} \right\rfloor \text{ for } k \ge 1.$$

Remark 2 It is interesting to note that optimal binary [n, 2] LCD codes (nearly) attain the Greismer bound. Whether this observation also holds for binary codes of higher dimension is yet to be confirmed. The constructions done in the proof of Theorems 1 and 2 may become more complicated for higher dimensional binary LCD codes and/or for q-ary LCD codes.



3 Bounds on minimum distances of [n, k] LCD codes

In this section we compute the exact value of LD(n, n-i) = 2 and give a relation between LD(n, k) and LD(n, k-1) or LD(n, k-2).

Theorem 3 Given $i \ge 2$, LD(n, n - i) = 2 for all $n \ge 2^i$.

Proof Consider an [n, n-i, d] binary code. By the sphere packing bound, we have $2^{n-i}\sum_{j=0}^t \binom{n}{j} \leq 2^n$ for $t = \lfloor \frac{d-1}{2} \rfloor$. This implies that $\sum_{j=0}^t \binom{n}{j} \leq 2^i$. For all $n \geq 2^i$, it must

be that t = 0 and so $d \le 2$. It then directly follows that $LD(n, n - i) \le 2$.

Next, we show that there exists an [n, n-i, 2] LCD code for $n \ge 2^i$. For i even, let $G = [I_{n-i} \mid \underbrace{11 \cdots 1}_{i}]$, and for i odd, let $G = [I_{n-i} \mid \underbrace{11 \cdots 10}_{i}]$ where 1 denotes the all

one vector and $\mathbf{0}$ the all zero vector, both of which are of size $(n-i) \times 1$. In both cases, $GG^T = I_{n-i}$. Thus, G is a generator matrix for the [n, n-i] LCD code with minimum distance 2.

Hence
$$LD(n, n-i) = 2$$
 for all $n \ge 2^i$.

Note that Theorem 3 suggests that some binary LCD codes with very large size $(n \ge 2^i)$ and k = n - i are optimal codes with largest possible minimum distance.

So far we have shown the exact value of LD(n, 2). In order to obtain bounds for a more general case for any k, we introduce the idea of principal submatrix and pr-sequence which will be used in the next result.

Definition 3 Let A be a $k \times k$ matrix over a field. An $m \times m$ submatrix P of A is called a principal submatrix of A if P is obtained from A by removing all rows and columns of A indexed by the same set $\{i_1, i_2, \dots, i_{k-m}\} \subset \{i_1, i_2, \dots, i_k\}$.

Definition 4 ([1]) Let A be a $k \times k$ symmetric matrix over a field. The *principal rank* characteristic sequence of A (simply, pr-sequence of A or pr(A)) is defined as $pr(A) = r_0 | r_1 r_2 \dots r_k$ where for $1 \le m \le k$

$$r_m = \begin{cases} 1 & \text{if } A \text{has an } m \\ 0 & \text{otherwise} \end{cases} \times m \text{ principal submatrix of rank m}$$

For convenience, define $r_0 = 1$ if and only if A has a 0 in the diagonal.

We say that a pr-sequence is *attainable* if there exists some symmetric matrix satisfying the pr-sequence. For fields of characteristic 2, the only attainable pr-sequences are given in [1].

Proposition 2 Over a field with characteristic 2, a principal rank characteristic sequence is attainable if and only if it has one of the following forms:

$$(i)0]1\overline{1}\overline{0}$$
 $(ii)1]\overline{01}\overline{0}$ $(iii)]1]1\overline{1}\overline{0}$

where $\overline{1} = 11 \dots 1$ (or empty), $\overline{0} = 00 \dots 0$ (or empty), $\overline{01} = 0101 \dots 01$ (or empty).



Theorem 4 *We have the following:*

(i) If
$$k \ge 3$$
 and k is odd, then any $[n, k]$ LCD code C has a $(k - 1)$ dimensional subcode which is also LCD. Hence

$$LD(n,k) \le LD(n,k-1)$$

for any $k \leq n$.

(ii) If $k \ge 4$ and k is even, then any [n, k] LCD code C has a (k - 2)-dimensional LCD subcode. Hence

$$LD(n,k) \le LD(n,k-2)$$

for any $k \leq n$

Proof (i) Suppose $k \ge 3$ and k is odd. We claim that any [n, k] LCD code C has a (k-1) dimensional subcode which is also LCD. Since the minimum distance of a code is always less than or equal to the minimum distance of a subcode, it then immediately follows that $LD(n, k) \le LD(n, k-1)$.

Indeed, let G be a $k \times n$ generator matrix of C and $A = GG^T$. Then A is symmetric and of full rank k. Hence, $r_k \neq 0$ in the pr-sequence of A. Also, since k is odd, case (ii) of Proposition 2 is not attained by A. So the only possible pr-sequences for A are of the form $0]11 \dots 1$ and $1]11 \dots 1$. Therefore, there exists a principal submatrix P_1 of rank k-1 which is obtained from A by deleting some i^{th} row and column of A $(1 \leq i \leq k)$.

Define G_1 to be a $(k-1) \times n$ matrix obtained from G by deleting the i^{th} row of G. Since $G_1G_1^T = P_1$ and $rank(P_1) = k-1 \neq 0$, P_1 is invertible. Then the subcode C_1 with generator matrix G_1 is LCD as well. This proves the claim.

(ii) Likewise, we claim that any [n, k] LCD code C has a (k - 2)-dimensional LCD subcode for any even $k \ge 4$ and so the inequality $LD(n, k) \le LD(n, k - 2)$ follows. Indeed, let G be a $k \times n$ generator matrix of C and $A = GG^T$. Since A is of full rank k, we have the following pr-sequences for A by Proposition 2:

So there exists a principal submatrix P_2 of rank k-2 which is obtained from A by deleting some i^{th} , j^{th} rows and columns of A ($1 \le i \ne j \le k$).

Define G_2 to be a $(k-2) \times n$ matrix obtained from G by deleting the i^{th} and j^{th} rows of G. Since $G_2G_2^T = P_2$ and $rank(P_2) = k-2 \neq 0$, P_2 is invertible. The code generated by G_2 is the desired LCD subcode.

In the above proof, we have presented a construction of LCD subcode using the pr-sequence. This can be extended to other fields based on some results in [1] but a complete classification of attainable pr-sequences is only presented for fields of characteristic 2.

The exact values of LD(n, k) for $1 \le k \le n \le 12$ are given in Table 1. These values were obtained from the main theorems presented in the last two sections and, in some cases, by exhaustive search using MAGMA [3]. From this table and Theorem 4, we infer the following inequality.

Conjecture If $2 \le k \le n$, then $LD(n, k) \le LD(n, k - 1)$. (Note: It suffices to show that this is true when k is even.)



Table 1 $LD(n,k)$ for $1 \le k \le n \le 12$												
n/k	1	2	3	4	5	6	7	8	9	10	11	12
1	1											
2	1	1										
3	3	2	1									
4	3	2	1	1								
5	5	2	2	2	1							
6	5	3	2	2	1	1						
7	7	4	3	2	2	2	1					
8	7	5	3	3	2	2	1	1				
9	9	6	4	4	3	2	2	2	1			
10	9	6	5	4	3	3	2	2	1	1		
11	11	6	5	4	4	4	3	2	2	2	1	
12	11	7	6	5	4	4	3	2	2	2	1	1

4 The maximum dimensions of LCD codes with fixed n and d

In this section, we consider the maximum dimension k for an LCD code with given length n and minimum distance d. To this end, we define another combinatorial function, denoted by LK(n, d).

Definition 5 $LK(n, d) := \max\{k \mid \text{there exists a binary } [n, k, d] \text{ LCD code}\}$

For convenience, define LK(n, d) = 0 if and only if there is no LCD code with the given n and d.

It can be infered from Table 2 that more zeros appear as n gets larger. Dougherty et al. [6] showed that LK(n, d) = 0 for n even and when d = n. This is, in fact, a special case of the following general result.

Table 2	IK(n)	d) for	1 < d <	n < 12
Table 2	L/N UU.	a + i oi	$1 \sim a \sim$	$n \sim 12$

n/d	1	2	3	4	5	6	7	8	9	10	11	12
1	1											
2	2	0										
3	3	2	1									
4	4	2	1*	0								
5	5	4	1	0	1							
6	6	4	2	2	1*	0						
7	7	6	3	2	1*	0	1					
8	8	6	4*	2	2	0	1*	0				
9	9	8	5	4	2*	2	1	0	1			
10	10	8	6	4	3	2	1	0	1*	0		
11	11	10	7	6	3	2	1	0	1	0	1	
12	12	10	7	6	4	3	2*	0	1	0	1*	0



Theorem 5 *The following hold.*

- (i) Suppose that n is even, $k \ge 1$, and $i \ge 0$. If $n \ge 6i$, then there is no [n, k, n 2i] LCD code, i.e., LK(n, n 2i) = 0.
- (ii) Suppose that n is odd, $k \ge 1$, and $i \ge 0$. If n > 6i + 3, then there is no [n, k, n-2i-1] LCD code, i.e., LK(n, n-2i-1) = 0.
- *Proof* (i) Suppose C is an LCD [n, k, n-2i] code with parameters in the hypothesis. Let G be a generator matrix of C.

If k = 1, then $GG^T = 0$ since the minimum distance n - 2i is even. Then by Proposition 1, there is no [n, 1, n - 2i] LCD code with n even.

Now suppose $k \ge 2$. By succesively applying the subcode construction in the proof of Theorem 4, we can find an [n, 2, n-2i] LCD subcode of C. By the Griesmer Bound with k=2, we obtain $n \ge n-2i+\frac{n-2i}{2}$ which implies $n \le 6i$. So there is no [n, 2, n-2i] code if n > 6i. When n meets the Griesmer Bound, i.e., n=6i, there is no [6i, 2, 4i] LCD code because by Theorem 2 the maximum of the possible minimum distance among any [6i, 2] LCD codes is 4i-1.

(ii) A similar argument to (i) shows that there is no [n, 1, n-2i-1] LCD code with n odd because the minimum distance n-2i-1 is even.

Suppose $k \ge 2$. Again, by application of the subcode construction in the proof of Theorem 4, we can find [n, 2, n-2i-1] LCD subcode of C. By the Griesmer Bound with k=2, we have $n \ge n-2i-1+\frac{n-2i-1}{2}$ which implies $n \le 6i+3$. Thus we can say that there is no [n, 2, n-2i-1] code if n > 6i+3. That is, there is no such an LCD code.

In Table 2, the values of LK(n, d) are given for $1 \le d \le n \le 12$. These values are obtained using Table 1, Theorem 1, and two tables from [6]. The values with * are the ones that are corrected here as they are incorrectly reported in Table 1 of [6].

5 Conclusion

This paper devoted to bounds on the minimum distance of LCD codes. In particular, the maximum possible values of d among all [n, k, d] LCD codes, denoted LD(n, k), are presented for $1 \le k \le n \le 12$. Some relations between the values of LD(n, k) for varying parameters are also presented. Then we define another combinatorial function LK(n, d) which is the maximum dimension over all LCD codes of length n and minimum distance d. Using the results from LD(n, k), the values of LK(n, d) are obtained for $1 \le d \le n \le 12$.

It is natural to extend definitions of these combinatorial functions to general q-ary LCD codes. Whether the techniques presented in this paper hold for a general q-ary case is a good topic for future work.

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