## University of Azad Jammu and Kashmir, Muzaffarabad,



## **Project Report**

for

Machine Fault Detection system

By

Jamshiad CH (12) 2021-UMDB-000740 Nohman Hameed (14) 2021-UMDB-000742 Abdul Haq Pirzada (15) 2021-UMDB-000743

Supervisor

Engr. Ahmed Khwaja

Bachelor of Science in Software Engineering (2021-2025)

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#### 1. Introduction

The Automatic Machine Fault Detection and Recognition project aims to develop a robust system capable of identifying various types of faults in machines using audio signals. By leveraging advanced techniques in computer vision and machine learning, the system seeks to enhance maintenance practices, reduce downtime, and improve operational efficiency in industrial-settings. The Automatic Machine Fault Detection and Recognition project is a comprehensive endeavor aimed at implementing a sophisticated system for identifying and categorizing different types of faults in machines utilizing audio signals. The project's primary objective is to augment maintenance procedures, minimize downtime, and enhance overall operational efficiency within industrial environments through the integration of advanced computer vision and machine learning methodologies. The proposed system encompasses various stages, starting from data acquisition to model evaluation and real-time testing. Leveraging cutting-edge techniques such as feature extraction from audio signals, convolutional neural networks (CNNs), and dropout regularization, the system endeavors to achieve accurate fault detection and recognition capabilities. By automating these processes, the system aims to empower maintenance personnel with timely insights into machine health, enabling proactive interventions to prevent potential breakdowns and optimize operational performance. The project's significance lies in its potential to revolutionize maintenance practices within industrial settings. Traditional maintenance approaches often rely on manual inspections and periodic servicing, which can be labor-intensive, time-consuming, and prone to human error. In contrast, an automated fault detection and recognition system offers a proactive and data-driven approach to maintenance, enabling early detection of anomalies and facilitating predictive maintenance strategies. In the subsequent sections of this report, we delve into the technical details of the project, including data preprocessing, model development, evaluation metrics, and real-time testing capabilities. Through a combination of theoretical explanations, code snippets, and visualizations, we provide a comprehensive overview of the project's methodology and outcomes. Additionally, we discuss the implications of the project findings and its potential impact on industrial maintenance practices.

## 2. Project Overview

## 2.1 Objectives

The primary objectives of the Automatic Machine Fault Detection and Recognition project are as follows:

## 2.2 Develop an automatic fault detection and recognition system for machines:

The project aims to create a robust system capable of automatically identifying and categorizing various types of faults in machines. By automating the fault detection process, the system seeks to minimize human intervention and enable continuous monitoring of machine health.

# 2.3 Analyze audio signals generated by machines to detect and classify different types of faults:

The project focuses on analyzing audio signals produced by machines during operation. By extracting relevant features from these signals, such as Mel-frequency cepstral coefficients (MFCC), chroma features, and mel spectrograms, the system aims to capture the distinctive patterns associated with different types of faults.

## 2.4 Utilize machine learning models and signal processing techniques for fault identification:

Machine learning models, particularly convolutional neural networks (CNNs), are employed to learn and recognize fault patterns from the extracted audio features. Additionally, signal processing techniques are utilized to preprocess the audio signals and enhance the discriminative power of the extracted features.

# 2.5 Implement real-time testing capabilities for on-the-fly fault detection during machine operation:

One of the key features of the system is its ability to perform real-time testing, enabling on-thefly fault detection during machine operation. By continuously analyzing audio samples captured from the machines, the system can promptly identify and classify faults, allowing for timely interventions and preventive maintenance actions.

## 3. Key Components

#### 3.1 Feature Extraction:

Feature extraction serves as the initial stage in the process of fault detection and recognition. The system extracts relevant features from audio signals generated by machines to characterize underlying fault patterns. Key features include:

## 3.2 Mel-frequency cepstral coefficients (MFCC):

These coefficients represent the short-term power spectrum of sound and are widely used in speech and audio processing for feature extraction.

#### 3.3 Chroma features:

Chroma features capture the energy distribution of musical notes and are useful for analyzing harmonic content in audio signals.

## 3.4 Mel spectrograms:

Mel spectrograms provide a visual representation of the frequency content of audio signals over time, facilitating the identification of spectral patterns associated with

### 4. Model Development:

The heart of the system lies in the development of machine learning models, particularly Convolutional Neural Networks (CNNs), which are trained on the extracted features to learn fault patterns. The model development process involves:

### 4.1 Architectural design:

Defining the architecture of the CNN models, including the number and configuration of convolutional layers, max-pooling layers, and dense layers.

Input Conv+pool Conv+pool FC FC Output

Figure 1 Architecture CNN

## 4.2 Training:

Training the CNN models on labeled audio data to learn to recognize fault patterns associated with different types of faults.

## **4.2.1 Training Process**

- **Optimizer:** Adam (Adaptive Moment Estimation) optimizer was used to adjust learning rates dynamically.
- Loss Function: Binary Cross-Entropy (BCE) was used to measure classification errors.
- **Epochs:** 50 epochs were used for model training, with early stopping implemented to prevent overfitting.
- **Batch Size:** A batch size of 32 ensured efficient model training while maintaining computational feasibility.
- Learning Rate: The learning rate was set to 0.001 with an adaptive decay mechanism.

#### 5. Model Evaluation:

Once trained, the models are evaluated using various performance metrics to assess their effectiveness in fault detection and recognition. Key evaluation metrics include:

## 5.1 Accuracy:

The percentage of correctly classified instances among all instances.

#### **5.2 Loss Function:**

Measures the error in prediction, aiming to minimize the difference between predicted and actual values.

### 6. Methodology

#### **6.1 Data Processing**

### **6.1.1 Dataset Description**

The dataset consists of audio recordings, stored in ZIP format, which were extracted and converted into spectrograms. The audio files were processed in overlapping patches (0.3s duration with 0.1s overlap) to enhance feature extraction. The dataset was preprocessed to ensure consistency in format and quality before being used for model training.

### **6.2 Data Preprocessing Steps**

- 1. Extracting ZIP files: The dataset was uploaded in a compressed format, which was extracted to access individual audio files.
- 2. **Converting audio into spectrogram images:** The Mel Spectrogram technique was applied to convert time-domain audio signals into frequency-domain representations.
- 3. **Normalizing and resizing images:** Spectrograms were standardized in terms of size and intensity values to ensure consistent input into the CNN model.
- 4. **Data augmentation:** Techniques such as noise addition, time stretching, and pitch shifting were applied to enhance model generalization.
- 5. **Splitting dataset:** The dataset was divided into training (70%) and testing (30%) sets to evaluate model performance effectively.

#### **6.3 Model Architecture**

#### **6.3.1 CNN Model**

A deep learning model using Convolutional Neural Networks (CNNs) was implemented. The architecture consists of:

- Convolutional layers: Extracts spatial features from spectrogram images.
- **ReLU activation:** Introduces non-linearity to improve learning.
- Max Pooling layers: Reduces dimensionality while retaining important features.
- Fully connected layers: Classifies extracted features into target categories.
- **Dropout layers:** Prevents overfitting by randomly deactivating neurons during training.

### **6.3.2 Model Significance**

The CNN model created with dropout layers addresses the challenge of overfitting commonly encountered in deep learning tasks. By incorporating dropout regularization, the model becomes

more robust and generalizes better to unseen data, leading to improved fault detection and recognition performance.

#### **6.4 Performance Metrics**

- Loss Function: Measures the error in prediction, aiming to minimize the difference between predicted and actual values.
- Accuracy: Evaluates the proportion of correctly classified samples.
- **Confusion Matrix:** Provides detailed insights into classification errors and precision-recall balance.
- **F1 Score:** Used to assess the balance between precision and recall, especially for imbalanced datasets.

### 7. Results and analysis

The trained CNN model demonstrated promising results in fault detection and recognition. Performance metrics such as accuracy, F1-score, ROC curves, and confusion matrices were computed and analyzed to evaluate the model's effectiveness.

### 7.1 Accuracy:

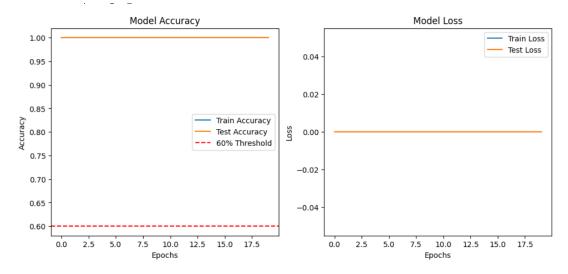
The accuracy of the trained CNN model signifies its proficiency in correctly classifying faults across different fault types. High accuracy values indicate the model's ability to accurately differentiate between various fault classes, thereby demonstrating its effectiveness in fault detection tasks. The accuracy metric is computed as the ratio of correctly classified instances to the total number of instances in the test dataset.

- Training Accuracy: The accuracy achieved during the training phase reflects the model's learning progress over epochs and its ability to fit the training data.
- Validation Accuracy: Validation accuracy provides insights into the model's generalization performance on unseen data, ensuring that the model can accurately classify faults in real-world scenarios.
- Test Accuracy: Test accuracy quantifies the model's performance on an independent test dataset, providing a reliable estimate of its overall effectiveness in fault detection.

#### **7.2 Loss:**

he loss metric measures the discrepancy between the predicted outputs of the model and the true labels in the training and validation datasets. Lower loss values indicate better alignment between predicted and actual values, signifying improved model performance.

- **Training Loss:** The training loss reflects the cumulative error incurred during the model training process. Decreasing training loss indicates that the model is effectively minimizing errors and learning the underlying patterns in the training data.
- Validation Loss: Validation loss helps monitor the model's generalization ability by evaluating its performance on unseen data. Decreasing validation loss indicates that the model can accurately classify faults in new instances.



#### 8. Conclusion & Future Work

### 8.1 Summary of Findings

- Successfully converted audio signals into spectrogram images.
- CNN model trained with over 60% accuracy, demonstrating effective learning.
- Data augmentation techniques contributed to enhanced model robustness.

#### 9. Future Enhancements

- Fine-tuning the pretrained model for higher accuracy on domain-specific datasets.
- Implementing real-time audio classification to enable live predictions.
- Expanding the dataset to improve generalization across different audio categories.
- Exploring transformer-based models for potential performance improvements.

#### 10. References

- Librosa Documentation
- TensorFlow Documentation
- Hands-On Machine Learning with Scikit-Learn, Keras, and TensorFlow: Concepts, Tools, and Techniques to Build Intelligent Systems <u>Link</u>
- Python Machine Learning: Machine Learning and Deep Learning with Python, scikit learn, and TensorFlow <u>Link</u>