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# Design and Analysis of Algorithms

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# Dynamic Programming

- Dynamic programming: new technique for solving **optimization problems**.
- **Understand** why we use dynamic programming.
- **Apply** DP on many examples.

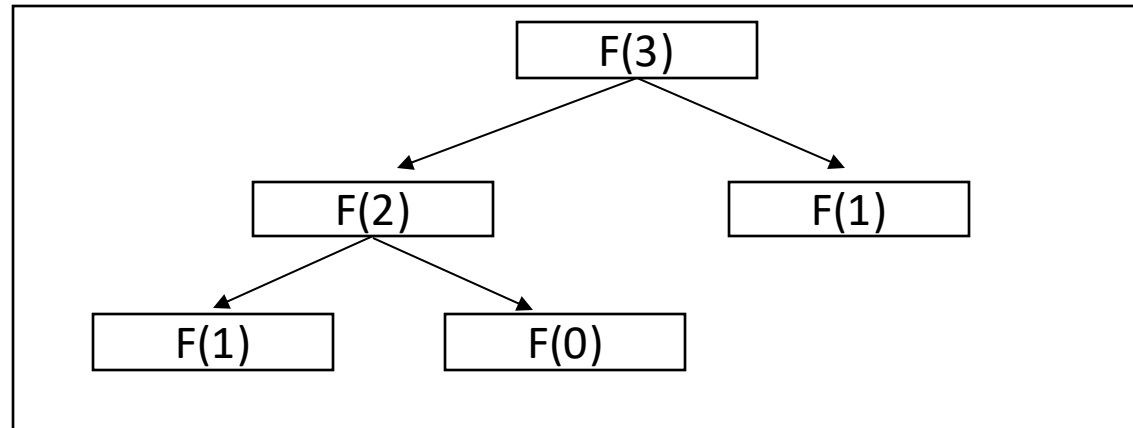
# What is Dynamic Programming (DP) ?

- First used by Richard Bellman in the 1950s
- Conceived to optimally plan multistage processes
- Usually refers to simplifying a decision by breaking it down into a sequence of decision steps over time

1. **Recursion**: Divide the problem into sub-problems, so that their solutions can be combined into a solution to the problem.
2. **Tabulation of sub-problems**: Solve each sub-problem just once and save its solution in a “look-up” table.

- Computing the  $n^{\text{th}}$  Fibonacci number recursively:
  - $F(n) = F(n-1) + F(n-2)$
  - $F(0) = 0$
  - $F(1) = 1$

```
def Fib(n):  
    if (n <= 1)  
        return 1;  
    else  
        return Fib(n - 1) + Fib(n - 2);
```



- What is the Recurrence relationship?
  - $T(n) = T(n-1) + T(n-2) + 1$
- What is the solution to this?
  - Clearly it is  $O(2^n)$ , but this is not tight.
  - A lower bound is  $\Omega(2^{n/2})$ .
  - You should notice that  $T(n)$  grows very similarly to  $F(n)$ , so in fact  $T(n) = \Theta(F(n))$ .
- Obviously not very good, and we know that there is a better way to solve it!

- Computing the  $n^{\text{th}}$  Fibonacci number using as follow:
  - $F(0) = 0$
  - $F(1) = 1$
  - $F(2) = 1+0 = 1$
  - ...
  - $F(n-2) =$
  - $F(n-1) =$
  - $F(n) = F(n-1) + F(n-2)$

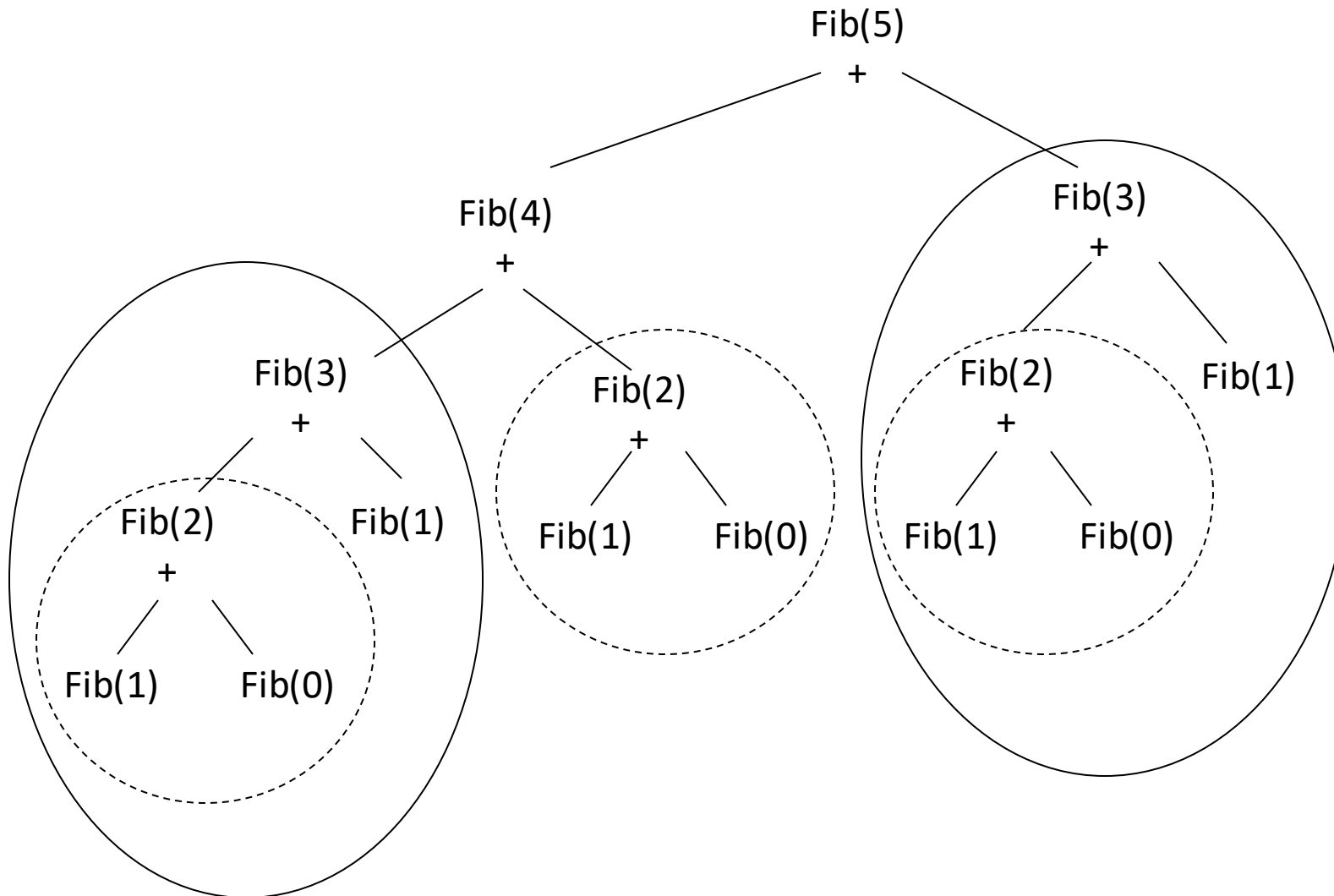
|   |   |   |       |          |          |        |
|---|---|---|-------|----------|----------|--------|
| 0 | 1 | 1 | . . . | $F(n-2)$ | $F(n-1)$ | $F(n)$ |
|---|---|---|-------|----------|----------|--------|

- Efficiency:
  - Time –  $O(n)$
  - Space –  $O(n)$  → can be improved to  $O(1)$



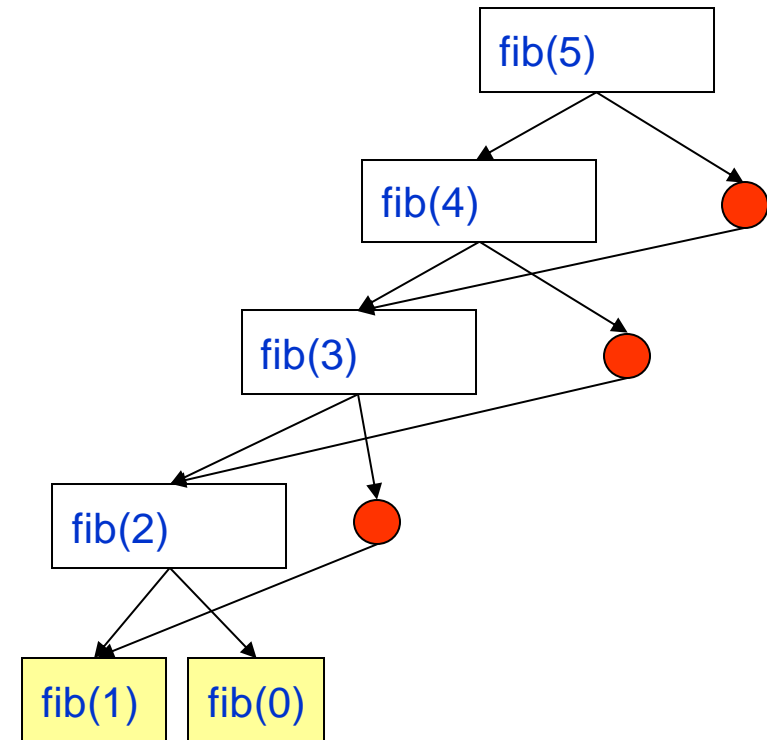
- The approach is only  $\Theta(n)$ .
- Why is the **naive recursion** so inefficient?
  - Recomputes many sub-problems.
  - How many times is  $F(n-3)$  computed? Try to draw a solving tree by yourself and answer this question.
  - Does  $F(n-3)$  necessarily need to be computed so many times?

# Fibonacci Numbers



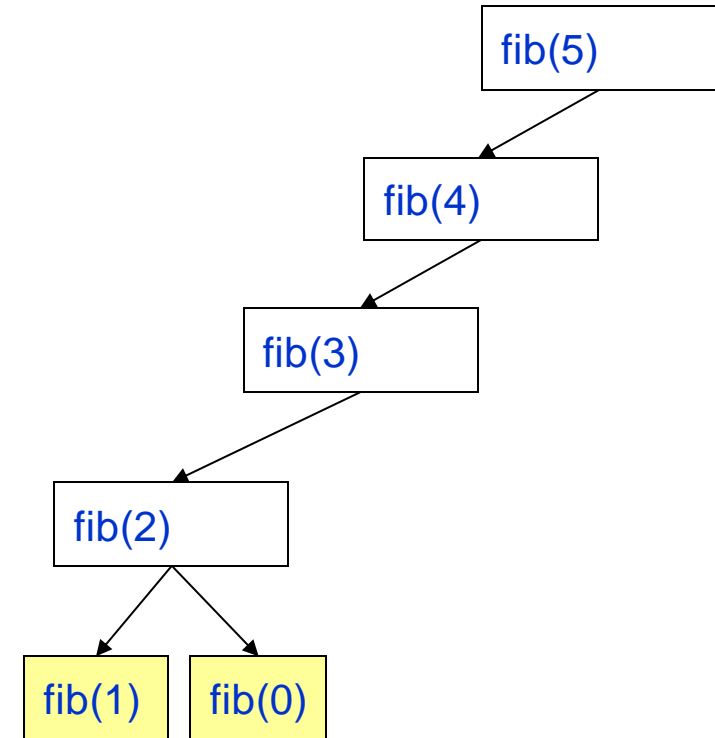
- Easy solution to avoid duplicate computation: ‘memoization’
  - Remember solutions of all the sub-problems
  - Trade space for time

| Sub-problem | Opt Solution |
|-------------|--------------|
| fib(4)      | 3            |
| fib(3)      | 2            |
| fib(2)      | 1            |
| fib(1)      | 1            |
| fib(0)      | 0            |

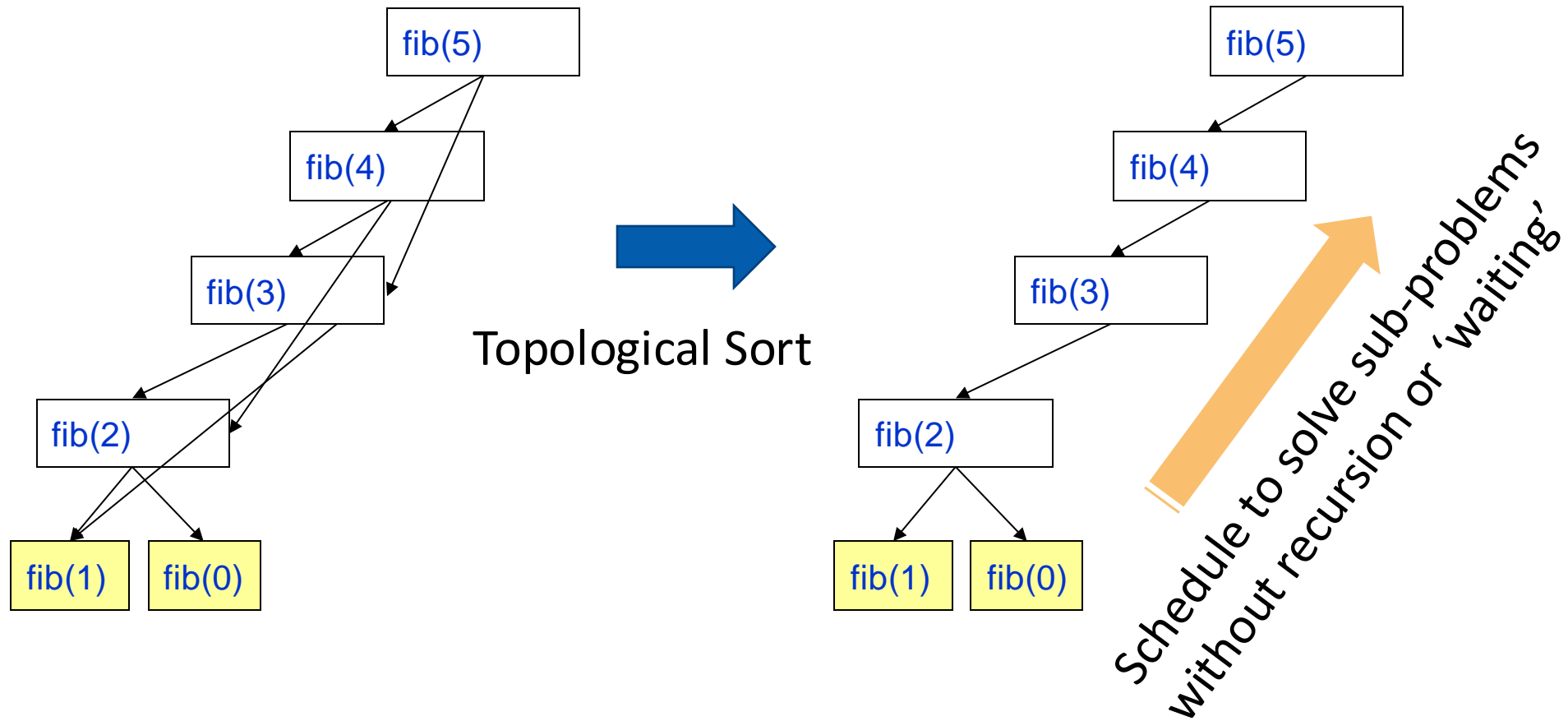


## ■ Ideas

- Ensure all needed recursive calls are already computed and memorized → a good schedule of computation
- (Optional) Reused space to store previous recursive call results
- → Arrive at the same efficient (special) solution for Fib()



# Analyze the sub-problems



This process is Dynamic Programming!

- Dynamic Programming is an algorithm design technique for *optimization problems*: often minimizing or maximizing.
- Like divide and conquer, DP solves problems by **combining solutions to sub-problems**.
- Unlike divide and conquer, sub-problems are **not independent**.
  - Sub-problems may share sub-sub-problems.

- The term Dynamic Programming comes from Control Theory, not computer science. Programming refers to the use of tables (arrays) to construct a solution.
- In Dynamic Programming, we usually reduce time by increasing the amount of **space**.
- We solve the problem by solving sub-problems of increasing size and saving each optimal solution in a table (usually).
- The table is then used for finding the optimal solution to larger problems.
- Time is saved since each sub-problem is solved only once.

# Two Ways to Think and Implement DP

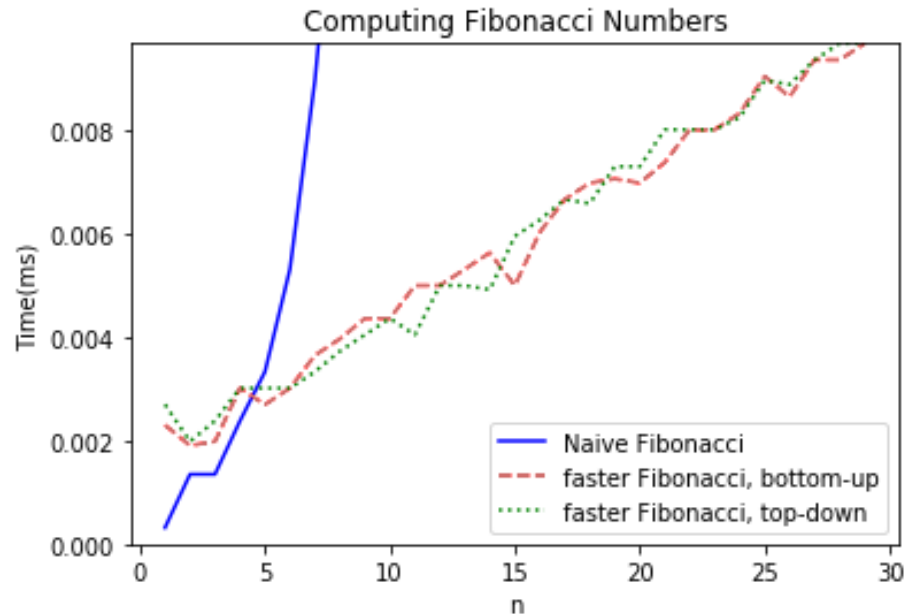
- Top down:
    - Think of it like a recursive algorithm.
    - To solve the big problem:
      - Recurse to solve smaller problems
        - Those recurse to solve smaller problems
        - etc..
  - The difference from divide and conquer:
    - Keep track of what small problems you've already solved to prevent re-solving the same problem twice.
    - Aka, "memoization"
- Bottom up:
    - For Fibonacci:
      - Solve the small problems first
        - fill in  $F[0], F[1]$
      - Then bigger problems
    - ...
    - Then bigger problems
      - fill in  $F[n-1]$
    - Then finally solve the real problem.
      - fill in  $F[n]$



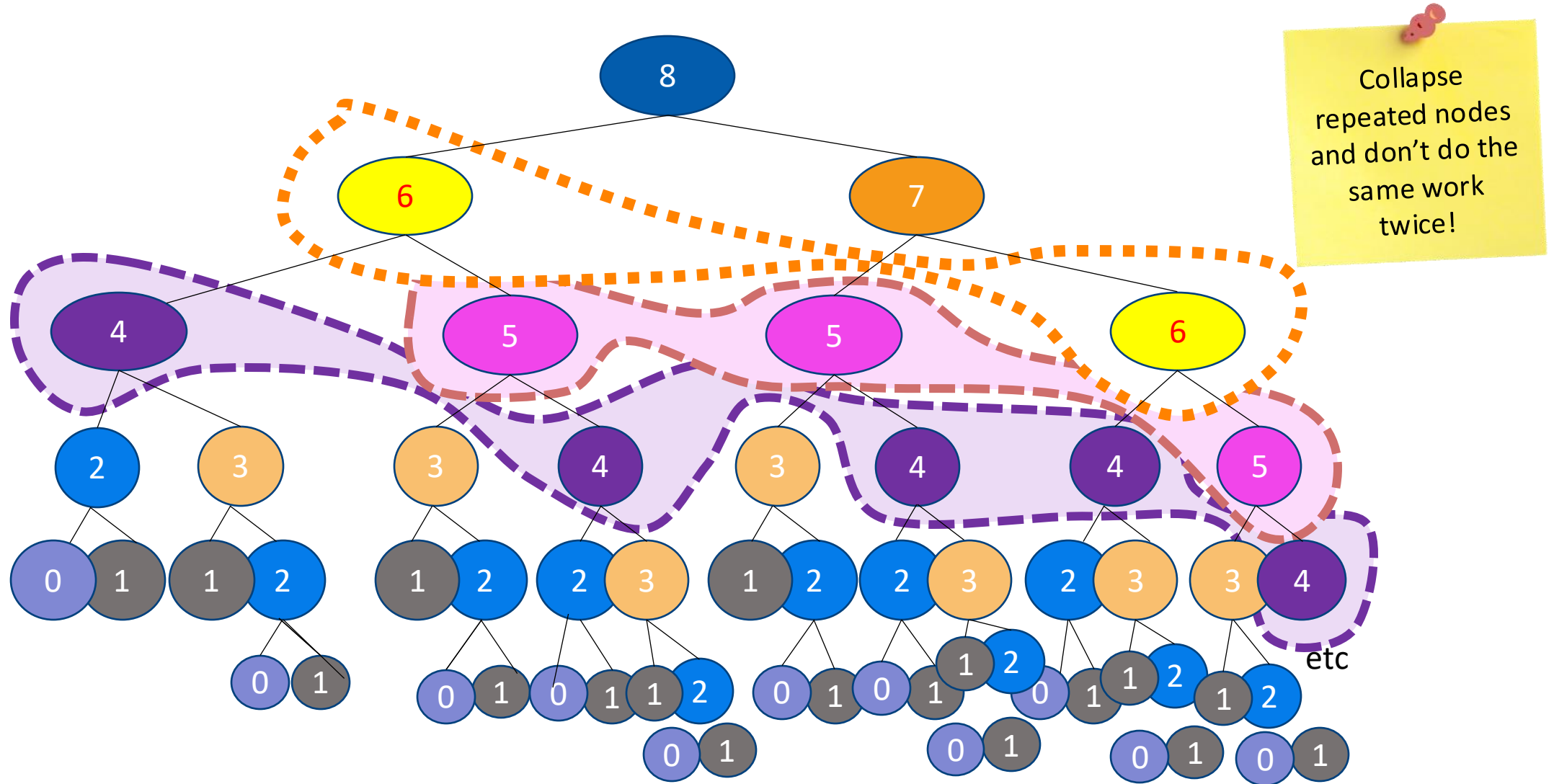
# Example of Top-Down Fibonacci

- define a global list `F = [0,1,None, None, ..., None]`
- **def** `Fibonacci(n):`
  - **if** `F[n] != None:`
    - **return** `F[n]`
  - **else:**
    - `F[n] = Fibonacci(n-1) + Fibonacci(n-2)`
  - **return** `F[n]`

Memoization: Keeps track (in `F`) of the stuff you've already done.



# Memoization Visualization



# The Process of Applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the length of the longest common subsequence.
- **Step 3:** Use dynamic programming to find the length of the longest common subsequence.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual LCS.
- **Step 5:** If needed, code this up like a reasonable person.

- Underpins many optimization problems, e.g.,
  - Matrix Chaining optimization
  - Longest Common Subsequence
  - 0-1 Knapsack Problem
  - Transitive Closure of a direct graph
  - Shortest path
- Next we will give many example problems to help understand the basic idea of Dynamic Programming.

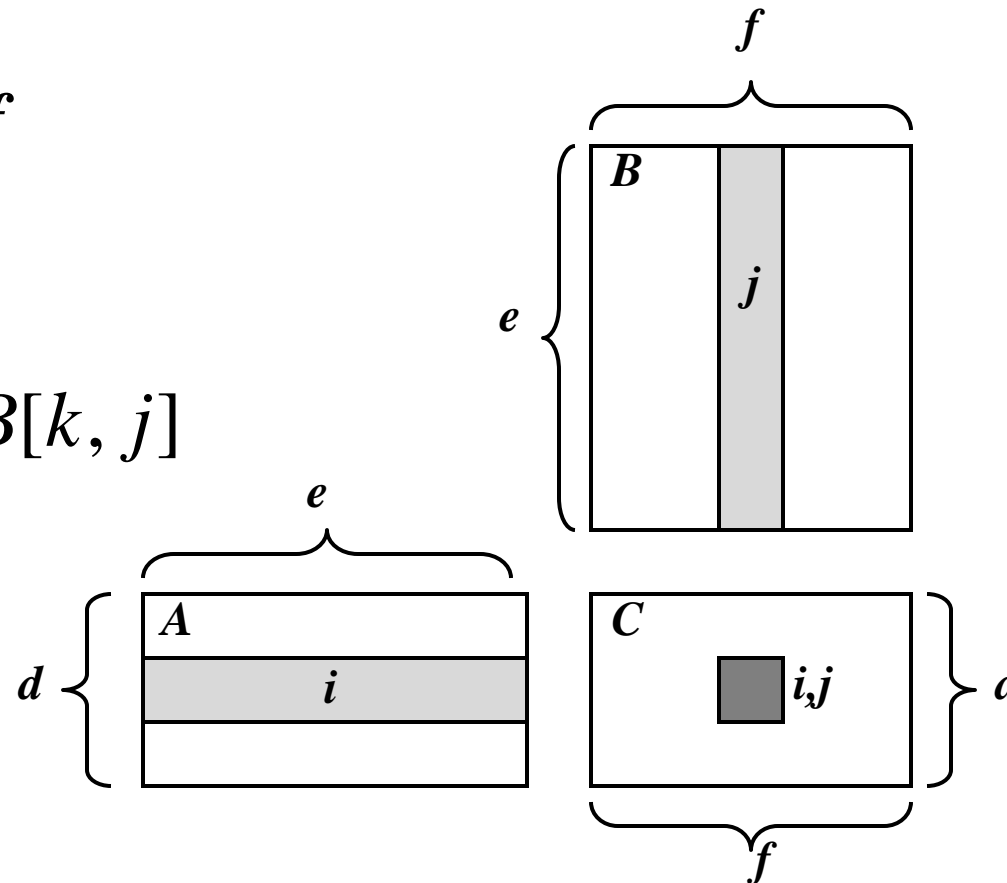
- Review: Matrix Multiplication.

- $C = A * B$

- $A$  is  $d \times e$  and  $B$  is  $e \times f$

- $O(d \cdot e \cdot f)$  time

$$C[i, j] = \sum_{k=0}^{e-1} A[i, k] * B[k, j]$$



- **Matrix Chain-Product:**

- Compute  $A = A_0 * A_1 * \dots * A_{n-1}$
- $A_i$  is  $d_i \times d_{i+1}$
- Problem: How to parenthesize?

- **Example**

- B is  $3 \times 100$
- C is  $100 \times 5$
- D is  $5 \times 5$
- $(B * C) * D$  takes  $1500 + 75 = 1575$  ops
  - $(3 \times 100 \times 5) + (3 \times 5 \times 5)$
- $B * (C * D)$  takes  $1500 + 2500 = 4000$  ops

- **Matrix Chain-Product Alg.:**

- Try all possible ways to parenthesize  $A=A_0 * A_1 * \dots * A_{n-1}$
- Calculate number of ops for each one
- Pick the one that is best

- Running time:

- The number of parenthesizations is equal to the number of binary trees with  $n - 1$  nodes
- This is **exponential!**
- It is called the Catalan number, and it is almost  $4^n$ .
- This is a terrible algorithm!

- Idea #1: repeatedly select the product that uses the fewest operations.
- Counter-example:
  - A is  $101 \times 11$
  - B is  $11 \times 9$
  - C is  $9 \times 100$
  - D is  $100 \times 99$
  - Greedy idea #1 gives  $A*((B*C)*D)$ , which takes  $109989+9900+108900=228789$  ops
  - $(A*B)*(C*D)$  takes  $9999+89991+89100=189090$  ops
- The greedy approach is not giving us the optimal value.



- The optimal solution can be defined in terms of optimal sub-problems
  - There has to be a final multiplication (root of the expression tree) for the optimal solution.
  - Say, the final multiplication is at index  $k$ :  
 $(A_0 * \dots * A_k) * (A_{k+1} * \dots * A_{n-1})$ .
- Let us consider all possible places for that final multiplication:
  - There are  $n-1$  possible **splits**. Assume we know the minimum cost of computing the matrix product of each combination  $A_0 \dots A_i$  and  $A_{i+1} \dots A_{n-1}$ . Let's call these  $N_{0,i}$  and  $N_{i+1,n-1}$ .
- Recall that  $A_i$  is a  $d_i \times d_{i+1}$  dimensional matrix, and the final result will be a  $d_0 \times d_n$ .

- Define the following:

$$N_{0,n-1} = \min_{0 \leq k < n-1} \{ N_{0,k} + N_{k+1,n-1} + d_0 d_{k+1} d_n \}$$

- Then the optimal solution  $N_{0,n-1}$  is the sum of two optimal sub-problems,  $N_{0,k}$  and  $N_{k+1,n-1}$  plus the time for the last multiplication.

- Define **sub-problems**:
  - Find the best parenthesization of an arbitrary set of consecutive products:  
 $A_i * A_{i+1} * \dots * A_j$ .
  - Let  $N_{i,j}$  denote the **minimum** number of operations done by this sub-problem.
    - Define  $N_{k,k} = 0$  for all  $k$ .
  - The optimal solution for the whole problem is then  $N_{0,n-1}$ .

- The characterizing equation for  $N_{i,j}$  is:

$$N_{i,j} = \min_{i \leq k < j} \{ N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1} \}$$

- Note that, for example  $N_{2,6}$  and  $N_{3,7}$ , both need solutions to  $N_{3,6}$ ,  $N_{4,6}$ ,  $N_{5,6}$ , and  $N_{6,6}$ . Solutions from the set of no matrix multiplies to four matrix multiplies.
  - This is an example of high sub-problem overlap, and clearly pre-computing these will significantly speed up the algorithm.

- We could implement the calculation of these  $N_{i,j}$ 's using a straightforward recursive implementation of the equation (aka not pre-compute them).

**Algorithm** *RecursiveMatrixChain*( $S, i, j$ ):

**Input:** sequence  $S$  of  $n$  matrices to be multiplied

**Output:** number of operations in an optimal parenthesization of  $S$

if  $i=j$

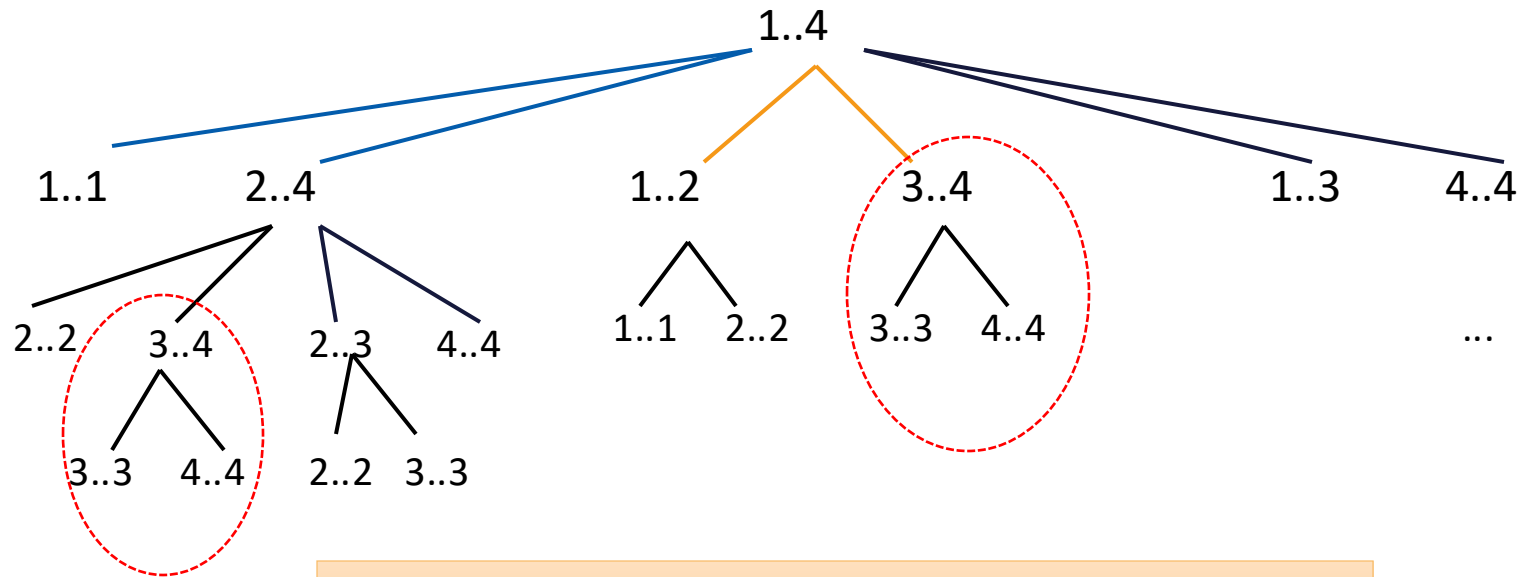
    then return 0

for  $k \leftarrow i$  to  $j$  do

$N_{i,j} \leftarrow \min\{N_{i,j}, \text{RecursiveMatrixChain}(S, i, k)$   
         $+ \text{RecursiveMatrixChain}(S, k+1, j) + d_i d_{k+1} d_{j+1}\}$

return  $N_{i,j}$

$$N_{i,j} = \min_{i \leq k < j} \{N_{i,k} + N_{k+1,j} + \dots\}$$



How to schedule the sub-problems?

- High sub-problem overlap, with independent sub-problems indicate that a dynamic programming approach may work.
- Construct optimal sub-problems “bottom-up.” and remember them.
- $N_{i,i}$ 's are easy, so start with them
- Then do problems of *length* 2,3,... sub-problems, and so on.
- Running time:  $O(n^3)$

**Algorithm** *matrixChain*( $S$ ):

**Input:** sequence  $S$  of  $n$  matrices to be multiplied

**Output:** number of operations in an optimal parenthesization of  $S$

for  $i \leftarrow 1$  to  $n - 1$  do

$N_{i,i} \leftarrow 0$

for  $b \leftarrow 1$  to  $n - 1$  do

    {  $b = j - i$  is the length of the problem }

    for  $i \leftarrow 0$  to  $n - b - 1$  do

$j \leftarrow i + b$

$N_{i,j} \leftarrow +\infty$

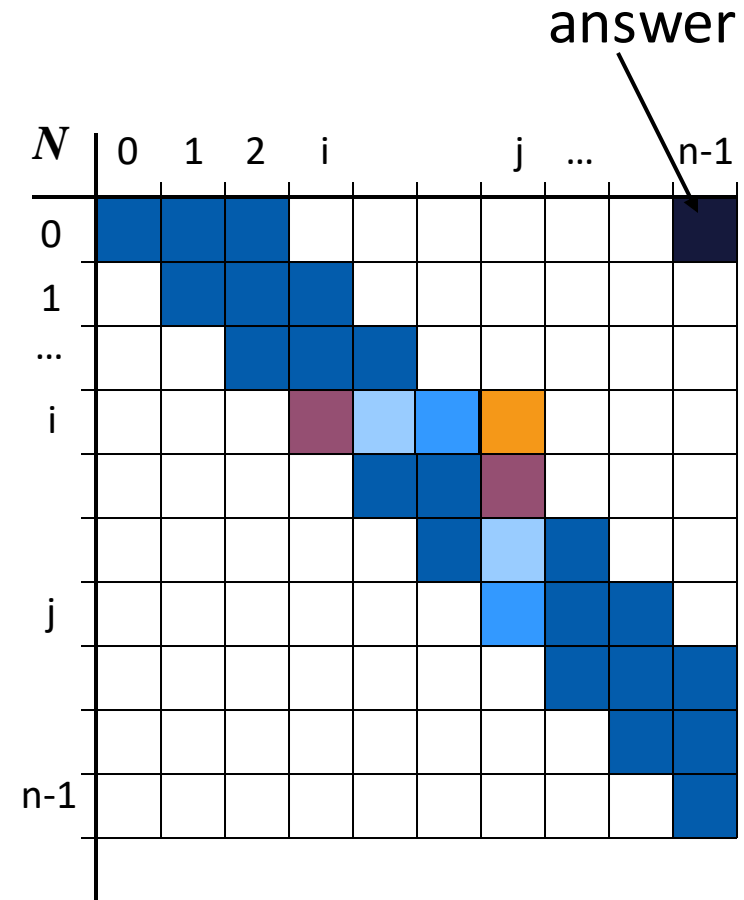
        for  $k \leftarrow i$  to  $j - 1$  do

$N_{i,j} \leftarrow \min\{N_{i,j}, N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1}\}$

return  $N_{0,n-1}$



- The bottom-up construction fills in the N array by diagonals
- $N_{i,j}$  gets values from previous entries in i-th row and j-th column
- Filling in each entry in the N table takes  $O(n)$  time.
- Total run time:  $O(n^3)$
- Getting actual parenthesization can be done by remembering “k” for each N entry



$$N_{i,j} = \min_{i \leq k < j} \{ N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1} \}$$

- $A_0: 30 \times 35$ ;  $A_1: 35 \times 15$ ;  $A_2: 15 \times 5$ ;  
 $A_3: 5 \times 10$ ;  $A_4: 10 \times 20$ ;  $A_5: 20 \times 25$

|   | 0 | 1      | 2     | 3     | 4      | 5      |   |
|---|---|--------|-------|-------|--------|--------|---|
| 0 | 0 | 15,750 | 7,875 | 9,375 | 11,875 | 15,125 | 0 |
| 1 |   | 0      | 2,625 | 4,375 | 7,125  | 10,500 | 1 |
| 2 |   |        | 0     | 750   | 2,500  | 5,375  | 2 |
| 3 |   |        |       | 0     | 1,000  | 3,500  | 3 |
| 4 |   |        |       |       | 0      | 5,000  | 4 |
| 5 |   |        |       |       |        | 0      | 5 |

$$N_{i,j} = \min_{i \leq k < j} \{N_{i,k} + N_{k+1,j} + d_i d_{k+1} d_{j+1}\}$$

$$N_{1,4} = \min\{$$

$$N_{1,1} + N_{2,4} + d_1 d_2 d_5 = 0 + 2500 + 35 * 15 * 20 = 13000,$$

$$N_{1,2} + N_{3,4} + d_1 d_3 d_5 = 2625 + 1000 + 35 * 5 * 20 = 7125,$$

$$N_{1,3} + N_{4,4} + d_1 d_4 d_5 = 4375 + 0 + 35 * 10 * 20 = 11375$$

}

$$= 7125$$

$$(A_0 * (A_1 * A_2)) * ((A_3 * A_4) * A_5)$$

|   | 0 | 1      | 2     | 3     | 4      | 5      |   |
|---|---|--------|-------|-------|--------|--------|---|
| 0 | 0 | 15,750 | 7,875 | 9,375 | 11,875 | 15,125 | 0 |
| 1 |   | 0      | 2,625 | 4,375 | 7,125  | 10,500 | 1 |
| 2 |   |        | 0     | 750   | 2,500  | 5,375  | 2 |
| 3 |   |        |       | 0     | 1,000  | 3,500  | 3 |
| 4 |   |        |       |       | 0      | 5,000  | 4 |
| 5 |   |        |       |       |        | 0      | 5 |

|   | 0 | 1 | 2 | 3 | 4 | 5 |   |
|---|---|---|---|---|---|---|---|
| 0 |   | 0 | 0 | 2 | 2 | 2 | 0 |
| 1 |   |   | 1 | 2 | 2 | 2 | 1 |
| 2 |   |   |   | 2 | 2 | 2 | 2 |
| 3 |   |   |   |   | 3 | 4 | 3 |
| 4 |   |   |   |   |   | 4 | 4 |
| 5 |   |   |   |   |   |   | 5 |

- Some final thoughts
  - We ~~reduced~~ replaced a  $\mathcal{O}(2^n)$  algorithm with a  $\Theta(n^3)$  algorithm.
  - While the generic top-down recursive algorithm would have solved  $\mathcal{O}(2^n)$  sub-problems, there are  $\Theta(n^2)$  sub-problems.
    - Implies a high overlap of sub-problems.
  - The sub-problems are independent:
    - Solution to  $A_0A_1\dots A_k$  is independent of the solution to  $A_{k+1}\dots A_n$ .

- Determine the cost of each pair-wise multiplication, then the **minimum** cost of multiplying three consecutive matrices (2 possible choices), using the pre-computed costs for two matrices.
- Repeat until we compute the minimum cost of all  $n$  matrices using the costs of the minimum  $n-1$  matrix product costs.
  - $n-1$  possible choices.

- Optimal substructure
  - an optimal solution to the problem contains within it optimal solutions to subproblems.
- Overlapping substructure
  - the same subproblems are solved multiple times.

# The 0/1 Knapsack Problem

- Given: A set  $S$  of  $n$  items (**one piece each**), with each item  $i$  having
  - $w_i$  - a positive weight
  - $b_i$  - a positive benefit
- Goal: Choose items with maximum total benefit but with weight at most  $W$ .
- If we are **not** allowed to take fractional amounts, then this is the **0/1 knapsack problem**.
  - In this case, we let  $T$  denote the set of items we take
  - Objective: maximize

$$\sum_{i \in T} b_i$$

- Constraint:

$$\sum_{i \in T} w_i \leq W$$

Linear Programming formulation

# Example

- Given: A set  $S$  of  $n$  items, with each item  $i$  having
  - $b_i$  - a positive “benefit”
  - $w_i$  - a positive “weight”
- Goal: Choose items with maximum total benefit but with weight at most  $W$ .

Items:

1

2

3

4

5

Weight:

4 in

2 in

2 in

6 in

2 in

Benefit:

\$20

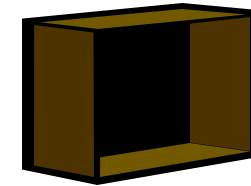
\$3

\$6

\$25

\$80

“knapsack”



box of width 9 in

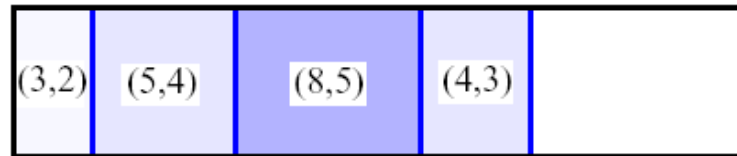
Solution:

- item 5 (\$80, 2 in)
- item 3 (\$6, 2 in)
- item 1 (\$20, 4 in)

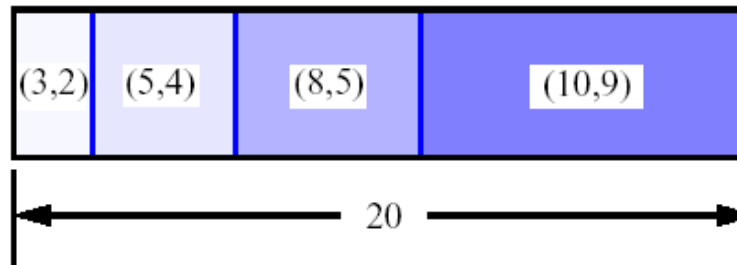


- $S_k$ : Set of items numbered 1 to  $k$ .
- Define  $B[k]$  = best selection from  $S_k$ .
- Problem: does not have sub-problem optimality:
  - Consider set  $S=\{(3,2),(5,4),(8,5),(4,3),(10,9)\}$  of (benefit, weight) pairs and total weight  $W = 20$

Best for  $S_4$ :



Best for  $S_5$ :



- $S_k$ : Set of items numbered 1 to  $k$ .
- Define  $B[k, w]$  to be the best selection from  $S_k$  with weight at most  $w$
- This does have sub-problem optimality.

$$B[k, w] = \begin{cases} B[k-1, w] & \text{if } w_k > w \\ \max\{B[k-1, w], B[k-1, w - w_k] + b_k\} & \text{else} \end{cases}$$

- I.e., the best subset of  $S_k$  with weight at most  $w$  is either:
  - the best subset of  $S_{k-1}$  with weight at most  $w$  or
  - the best subset of  $S_{k-1}$  with weight at most  $w - w_k$  plus item  $k$

# Knapsack Example

Knapsack of capacity  $W = 5$

$w_1 = 2, v_1 = 12$     $w_2 = 1, v_2 = 10$

$w_3 = 3, v_3 = 20$     $w_4 = 2, v_4 = 15$

item   weight   value

1   2   \$12

2   1   \$10

3   3   \$20

4   2   \$15

| Max item<br>allowed | Max Weight |    |    |    |    |           |
|---------------------|------------|----|----|----|----|-----------|
|                     | 0          | 1  | 2  | 3  | 4  | 5         |
| 0                   | 0          | 0  | 0  | 0  | 0  | 0         |
| 1                   | 0          | 0  | 12 | 12 | 12 | 12        |
| 2                   | 0          | 10 | 12 | 22 | 22 | 22        |
| 3                   | 0          | 10 | 12 | 22 | 30 | 32        |
| 4                   | 0          | 10 | 15 | 25 | 30 | <b>37</b> |

$$B[k, w] = \begin{cases} B[k-1, w] & \text{if } w_k > w \\ \max\{B[k-1, w], B[k-1, w - w_k] + b_k\} & \text{else} \end{cases}$$

- Since  $B[k, w]$  is defined in terms of  $B[k-1, *]$ , we can use two arrays of instead of a matrix.
- Running time is  $O(nW)$ .
- Not a polynomial-time algorithm since  $W$  may be large.
- Called a pseudo-polynomial time algorithm.

## Algorithm

**Input:** set  $S$  of  $n$  items with benefit  $b_i$  and weight  $w_i$ ; maximum weight  $W$

**Output:** benefit of best subset of  $S$  with weight at most  $W$

let  $A$  and  $B$  be arrays of length  $W + 1$

for  $w \leftarrow 0$  to  $W$  do

$B[w] \leftarrow 0$

for  $k \leftarrow 1$  to  $n$  do

copy array  $B$  into array  $A$

for  $w \leftarrow w_k$  to  $W$  do

if  $A[w - w_k] + b_k > A[w]$

then

$B[w] \leftarrow A[w - w_k] + b_k$

return  $B[W]$

# All-pairs shortest paths

**Input:** Digraph  $G = (V, E)$ , where  $V = \{1, 2, \dots, n\}$ , with edge-weight function  $w : E \rightarrow \mathbb{R}$ .

**Output:**  $n \times n$  matrix of shortest-path lengths  $\delta(i, j)$  for all  $i, j \in V$ .

**IDEA:**

- Run Bellman-Ford once from each vertex.

Bellman-Ford\*(G,s):

- $d^{(0)}[v] = \infty$  for all  $v$  in  $V$
- $d^{(0)}[s] = 0$
- **For**  $i=0, \dots, n-1$ :
  - **For**  $v$  in  $V$ :
    - $d^{(i+1)}[v] \leftarrow \min( d^{(i)}[v] , \min_{u \text{ in } v.\text{inNeighbors}} \{d^{(i)}[u] + w(u,v)\} )$
- If  $d^{(n-1)} \neq d^{(n)}$  :
  - **Return** NEGATIVE CYCLE ☹️
- Otherwise,  $\text{dist}(s,v) = d^{(n-1)}[v]$

Bellman-Ford is also an example of...

***Dynamic Programming!***

Running time:  $O(mn)$

# All-pairs shortest paths

**Input:** Digraph  $G = (V, E)$ , where  $V = \{1, 2, \dots, n\}$ , with edge-weight function  $w : E \rightarrow \mathbb{R}$ .

**Output:**  $n \times n$  matrix of shortest-path lengths  $\delta(i, j)$  for all  $i, j \in V$ .

## IDEA:

- Run Bellman-Ford once from each vertex.
- Time =  $O(V^2E)$ .
- Dense graph ( $\Theta(n^2)$  edges)  $\Rightarrow \Theta(n^4)$  time in the worst case.

*Good first try! Can we use DP to solve it?*

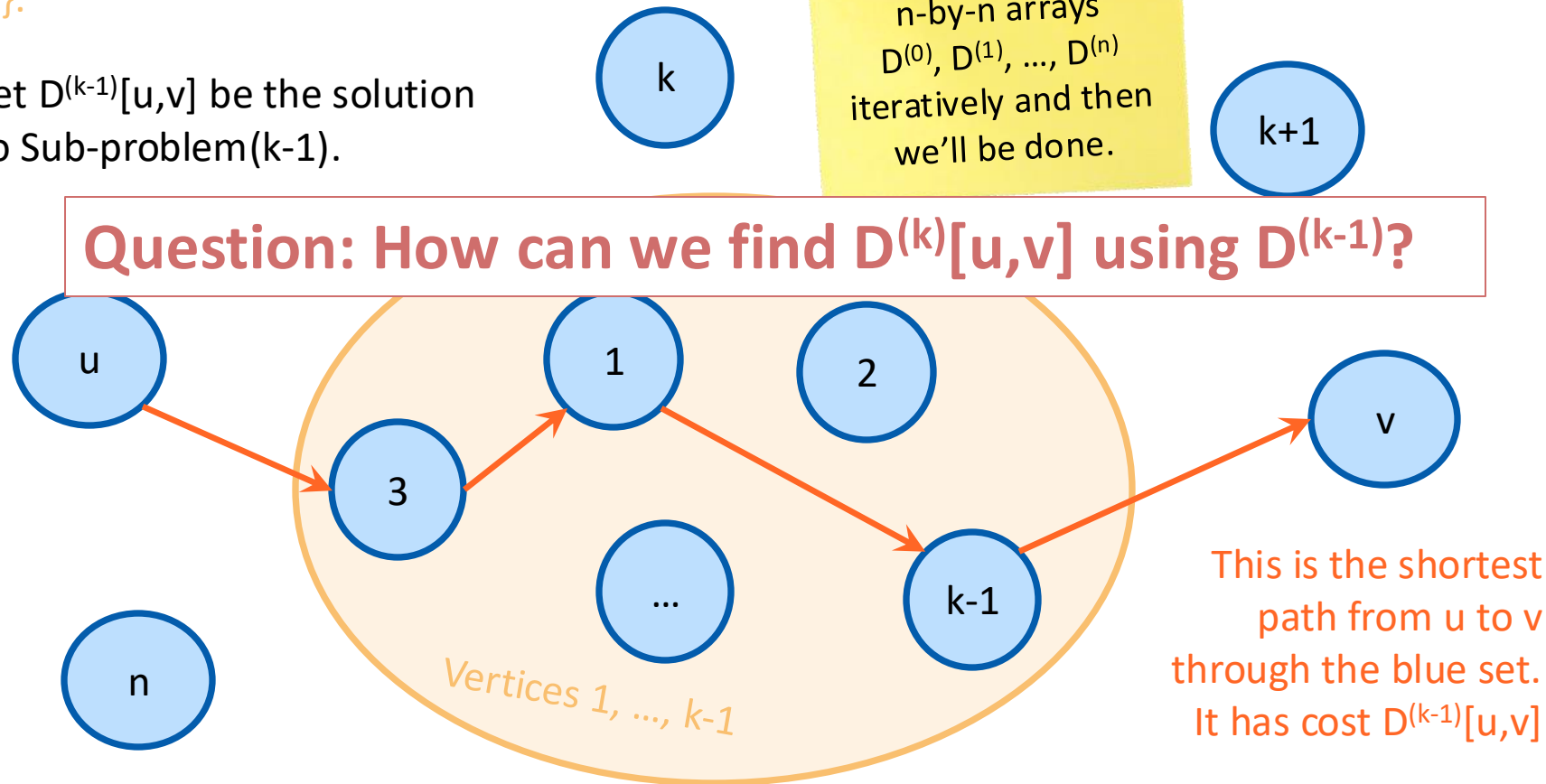
## Sub-problem(k-1):

For all pairs,  $u, v$ , find the cost of the shortest path from  $u$  to  $v$ , so that all the internal vertices on that path are in  $\{1, \dots, k-1\}$ .

Let  $D^{(k-1)}[u, v]$  be the solution to Sub-problem(k-1).

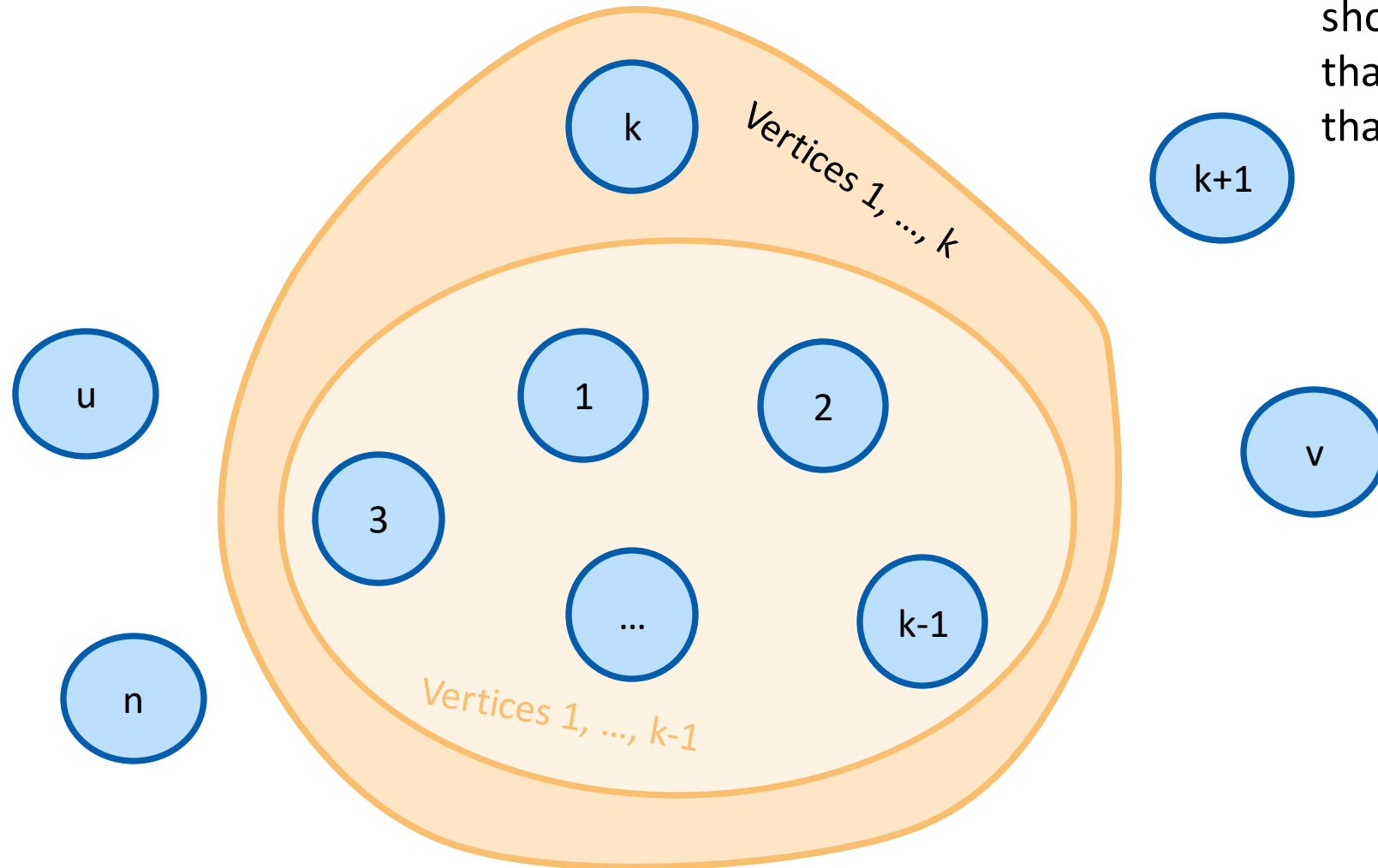
Our DP algorithm will fill in the  $n$ -by- $n$  arrays  $D^{(0)}, D^{(1)}, \dots, D^{(n)}$  iteratively and then we'll be done.

Label the vertices  $1, 2, \dots, n$   
(We omit some edges in the picture below – meant to be a cartoon, not an example).





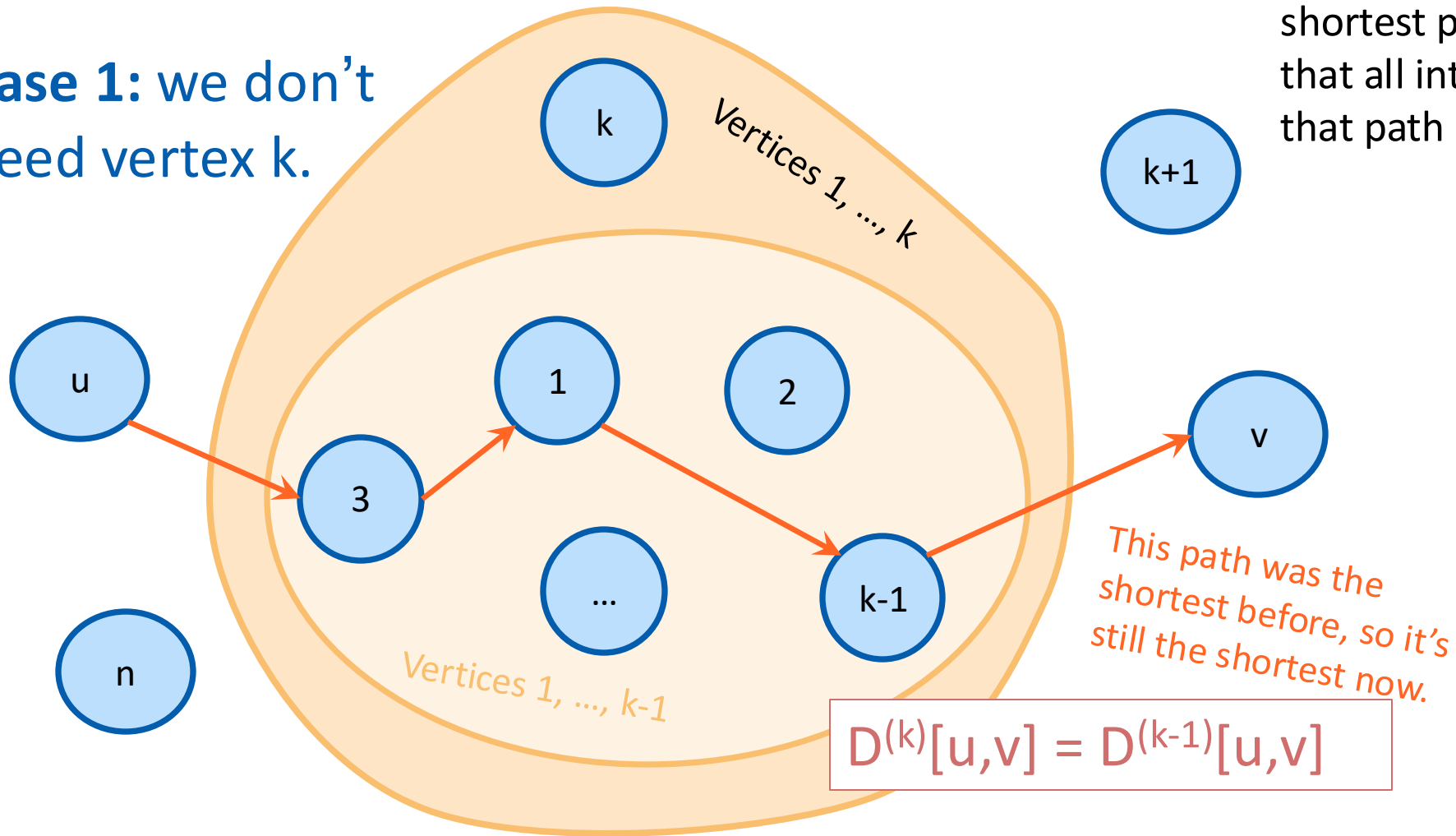
# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?



$D^{(k)}[u,v]$  is the cost of the shortest path from  $u$  to  $v$  so that all internal vertices on that path are in  $\{1, \dots, k\}$ .

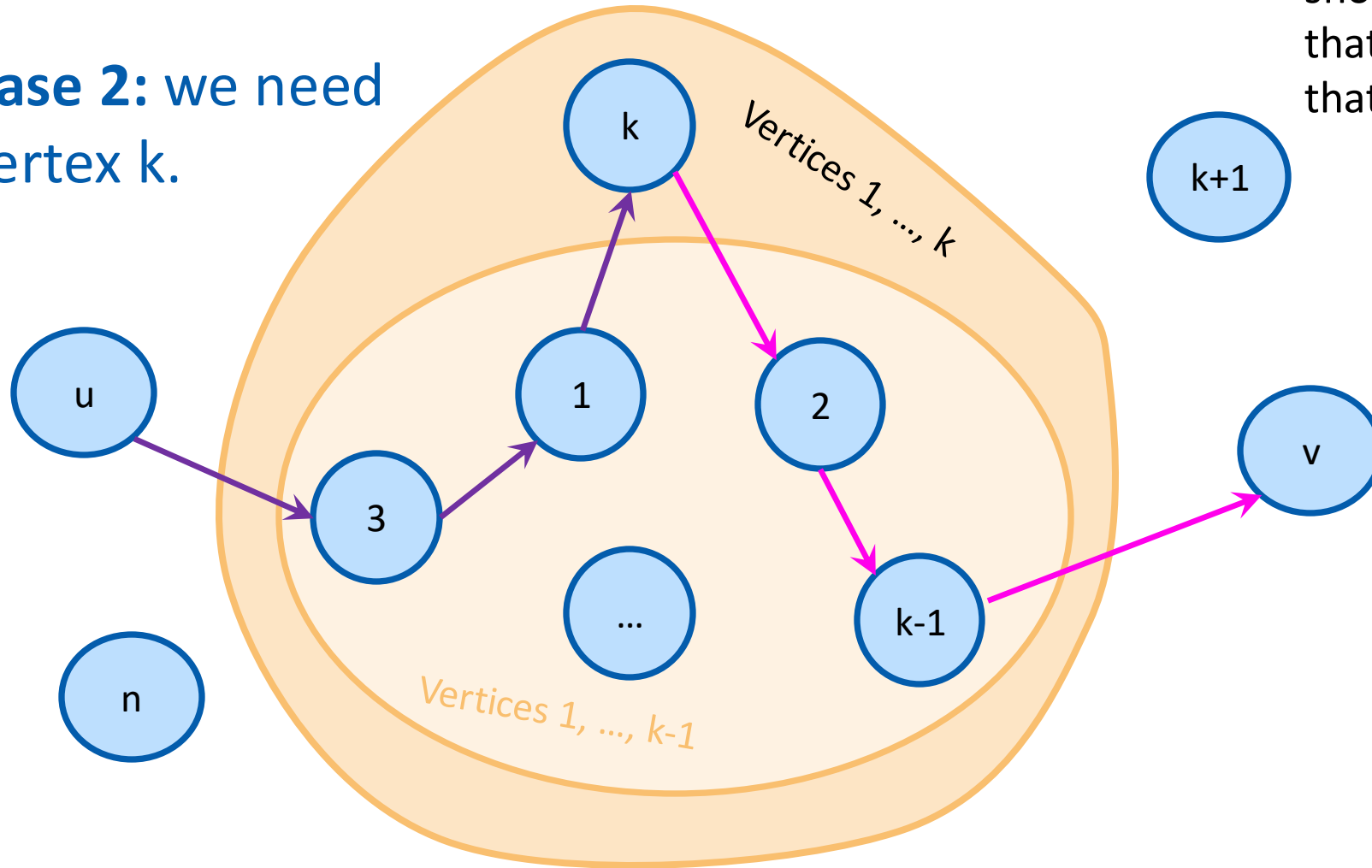
# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

**Case 1:** we don't need vertex  $k$ .



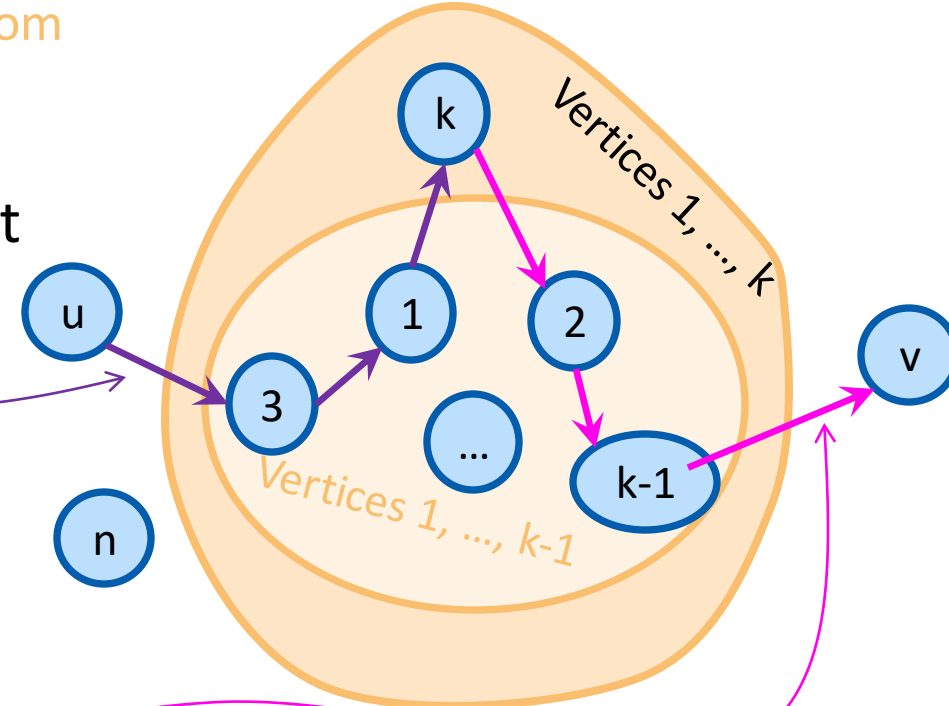
# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

**Case 2:** we need vertex  $k$ .



$D^{(k)}[u,v]$  is the cost of the shortest path from  $u$  to  $v$  so that all internal vertices on that path are in  $\{1, \dots, k\}$ .

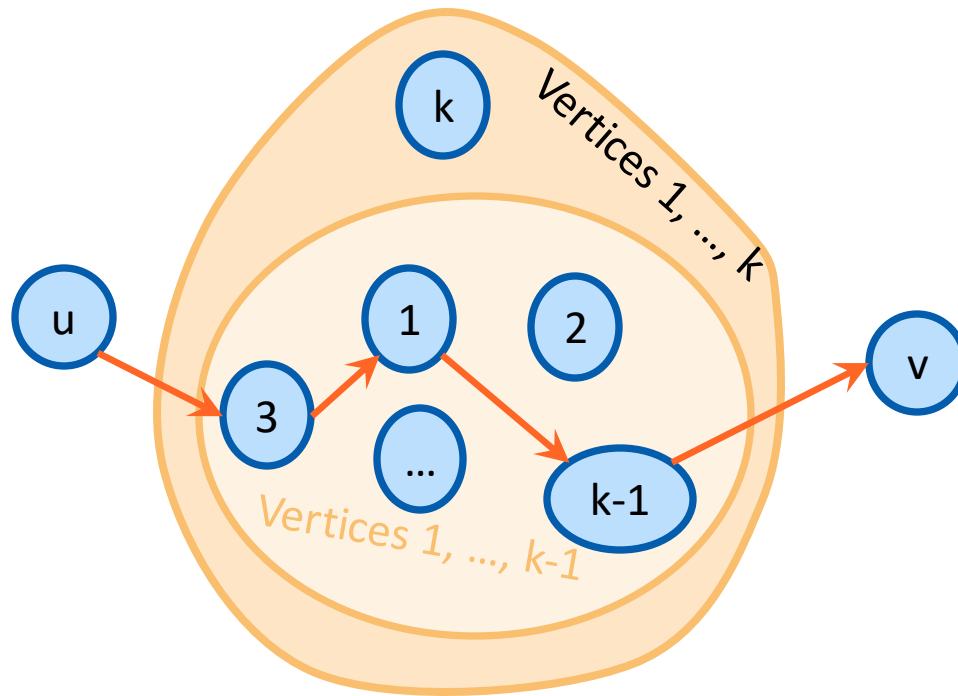
## Case 2: we need vertex k.

- Suppose there are **no negative cycles**.
  - Then WLOG the shortest path from u to v through {1,...,k} is **simple**.
- If **that path** passes through k, it must look like this: 
- This path** is the shortest path from u to k through {1,...,k-1}.
  - sub-paths of shortest paths are shortest paths
- Similarly for **this path**.

$$D^{(k)}[u,v] = D^{(k-1)}[u,k] + D^{(k-1)}[k,v]$$

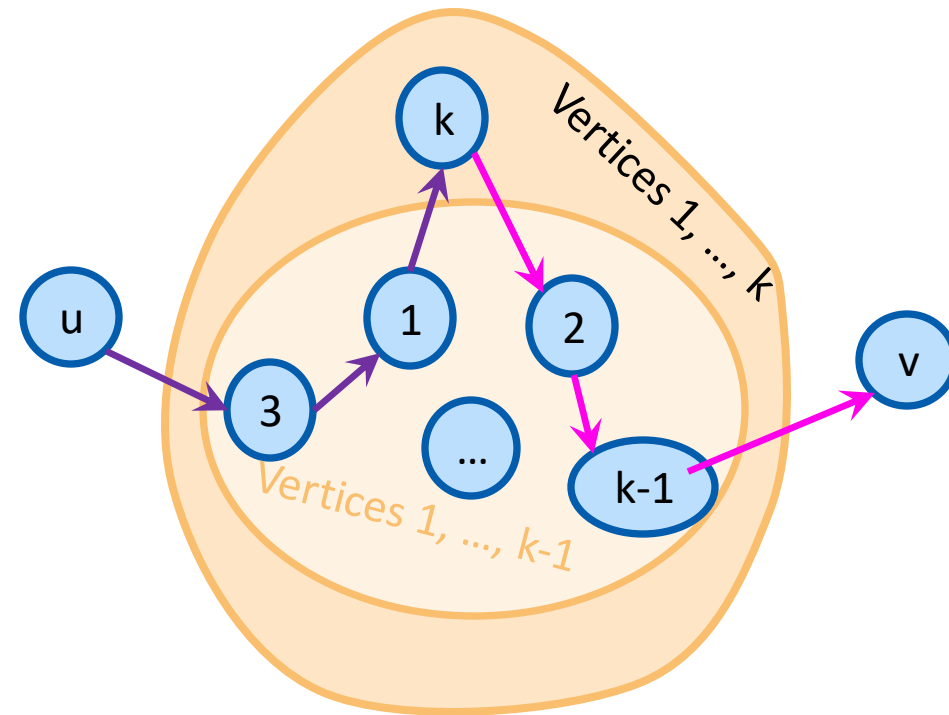
# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

Case 1: we don't need vertex  $k$ .



$$D^{(k)}[u,v] = D^{(k-1)}[u,v]$$

Case 2: we need vertex  $k$ .



$$D^{(k)}[u,v] = D^{(k-1)}[u,k] + D^{(k-1)}[k,v]$$

# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

- $D^{(k)}[u,v] = \min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$

**Case 1:** Cost of  
shortest path  
through  $\{1, \dots, k-1\}$

**Case 2:** Cost of shortest path  
from  $u$  to  $k$  and then from  $k$  to  $v$   
through  $\{1, \dots, k-1\}$

- Optimal substructure:
  - We can solve the big problem using solutions to smaller problems.
- Overlapping sub-problems:
  - $D^{(k-1)}[k,v]$  can be used to help compute  $D^{(k)}[u,v]$  for lots of different  $u$ 's.

# How can we find $D^{(k)}[u,v]$ using $D^{(k-1)}$ ?

- $D^{(k)}[u,v] = \min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$

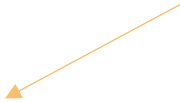
Case 1: Cost of  
shortest path  
through  $\{1, \dots, k-1\}$

Case 2: Cost of shortest path  
from  $u$  to  $k$  and then from  $k$  to  $v$   
through  $\{1, \dots, k-1\}$

- Using our *Dynamic programming* paradigm, this immediately gives us an algorithm!



# Floyd-Warshall algorithm

- Initialize n-by-n arrays  $D^{(k)}$  for  $k = 0, \dots, n$ 
  - $D^{(k)}[u, u] = 0$  for all  $u$ , for all  $k$
  - $D^{(k)}[u, v] = \infty$  for all  $u \neq v$ , for all  $k$
  - $D^{(0)}[u, v] = \text{weight}(u, v)$  for all  $(u, v)$  in  $E$ . 
- **For**  $k = 1, \dots, n$ :
  - **For** pairs  $u, v$  in  $V^2$ :
    - $D^{(k)}[u, v] = \min\{ D^{(k-1)}[u, v], D^{(k-1)}[u, k] + D^{(k-1)}[k, v] \}$
- **Return**  $D^{(n)}$

The base case checks out:  
the only path through zero  
other vertices are edges  
directly from  $u$  to  $v$ .

This is a bottom-up *Dynamic programming* algorithm.



# We've basically just shown

- Theorem:

If there are **no negative cycles** in a weighted directed graph  $G$ , then the Floyd-Warshall algorithm, running on  $G$ , returns a matrix  $D^{(n)}$  so that:

$$D^{(n)}[u,v] = \text{distance between } u \text{ and } v \text{ in } G.$$

- Running time:  $O(n^3)$

- Better than running Bellman-Ford  $n$  times!

Work out the  
details of a proof!



- Storage:

- Need to store **two**  $n$ -by- $n$  arrays, and the original graph.

As with Bellman-Ford, we don't really need to store all  $n$  of the  $D^{(k)}$ .

# What if there *are* negative cycles?

- Just like Bellman-Ford, Floyd-Warshall can detect negative cycles:
  - “Negative cycle” means that there’s some  $v$  so that there is a path from  $v$  to  $v$  that has cost  $< 0$ .
  - Aka,  $D^{(n)}[v,v] < 0$ .
- Algorithm:
  - Run Floyd-Warshall as before.
  - If there is some  $v$  so that  $D^{(n)}[v,v] < 0$ :
    - **return** negative cycle.

# What have we learned?

- The Floyd-Warshall algorithm is another example of *dynamic programming*.
- It computes All Pairs Shortest Paths in a directed weighted graph in time  $O(n^3)$ .

# Can we do better than $O(n^3)$ ?

- There is an algorithm that runs in time  $O(n^3/\log^{100}(n))$ .
  - *[Williams, “Faster APSP via Circuit Complexity”, STOC 2014]*
- If you can come up with an algorithm for All-Pairs-Shortest-Path that runs in time  $O(n^{2.99})$ , that would be a really big deal.
  - Let me know if you can!
  - See *[Abboud, Vassilevska-Williams, “Popular conjectures imply strong lower bounds for dynamic problems”, FOCS 2014]* for some evidence that this is a very difficult problem!

*Nothing on this slide is required knowledge in the exam!*

- Two shortest-path algorithms:
  - Bellman-Ford for single-source shortest path
  - Floyd-Warshall for all-pairs shortest path
- ***Dynamic programming!***
  - This is a fancy name for:
    - Break up an optimization problem into smaller problems
      - The optimal solutions to the sub-problems should be sub-solutions to the original problem.
    - Build the optimal solution iteratively by filling in a table of sub-solutions.
      - Take advantage of overlapping sub-problems!

# The End