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TECHNOLOGY (GUANGZHOU)

# Design and Analysis of Algorithms

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# Dynamic Programming

- Not coding in an action movie.

*Dynamic  
Programming!*



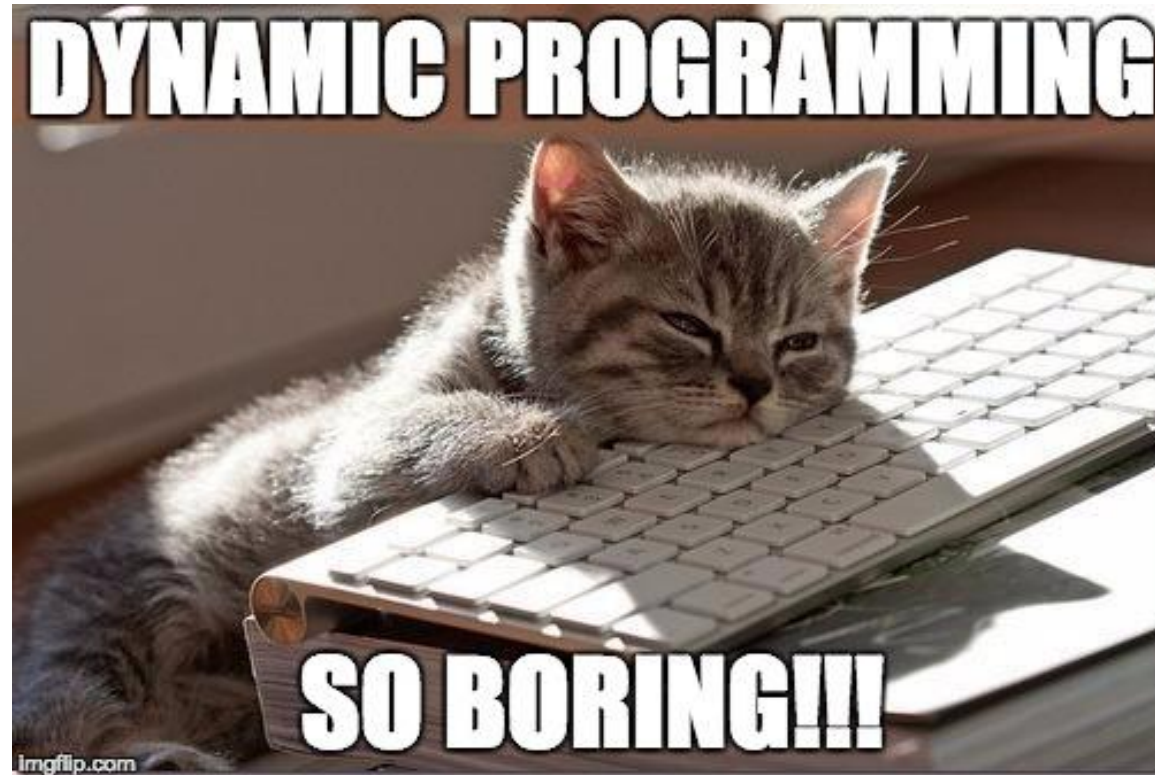
- Dynamic programming is an **algorithm design paradigm**.
- Basic idea:
  - Identify **optimal sub-structure**
    - Optimum to the big problem is built out of optima of small sub-problems
  - Take advantage of **overlapping sub-problems**
    - Only solve each sub-problem once, then use it again and again
  - Keep track of the solutions to sub-problems in a table as you build to the final solution.

- Examples of dynamic programming:
  1. Longest common subsequence
  2. Independent sets in trees
  3. Balanced partition problem



# The goal of this lecture

- For you to get **really bored** of dynamic programming



# Longest Common Subsequence (LCS)

- A subsequence of a sequence/string  $S$  is obtained by deleting zero or more symbols from  $S$ .
- For example, the following are **some** subsequences of “president”: pred, sdn, predent. In other words, the letters of a subsequence of  $S$  appear in order in  $S$ , but they are not required to be consecutive.
- The longest common subsequence problem is to find a maximum length common subsequence between two sequences.

# Longest Common Subsequence

- How similar are these two species?



DNA:

AGCCCTAAGGGCTACCTAGCTT



DNA:

GACAGCCTACAAGCGTTAGCTTG

- Pretty similar, their DNA has a long common subsequence:

AGCCTAAGCTTAGCTT



- Subsequence:
  - BDFH is a **subsequence** of ABCDEFGH
- If X and Y are sequences, a **common subsequence** is a sequence which is a subsequence of both.
  - BDFH is a **common subsequence** of ABCDEFGH and of ABDFGHI
- A **longest common subsequence**...
  - ...is a common subsequence that is longest.
  - The **longest common subsequence** of ABCDEFGH and ABDFGHI is ABDFGH.

# We sometimes want to find these


- Applications in **bioinformatics**



- The unix command **diff**
- Merging in version control
  - **svn**, **git**, etc...

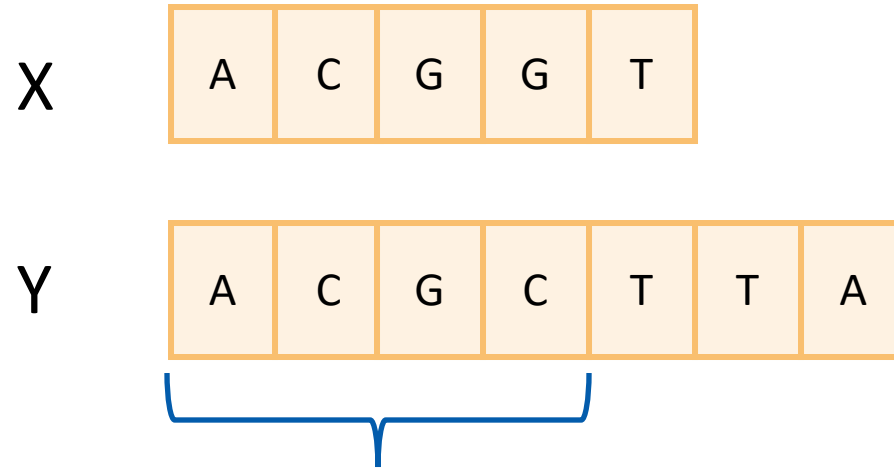
```
anari — anari@nimbook — ...
→ ~ cat file1
A
B
C
D
E
F
G
H
[H
→ ~ cat file2
A
B
D
F
G
H
[I
→ ~ diff file1 file2
3d2
< C
5d3
< E
8a7
> I
→ ~
```

# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure. 
- **Step 2:** Find a recursive formulation for the length of the longest common subsequence.
- **Step 3:** Use dynamic programming to find the length of the longest common subsequence.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual LCS.
- **Step 5:** If needed, code this up like a reasonable person.

# Step 1: Optimal substructure

Prefixes:



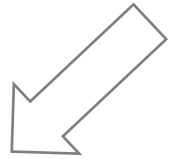
**Notation:** denote this prefix **ACGC** by  $Y_4$

- Our sub-problems will be finding LCS's of prefixes to X and Y.
- Let  $C[i,j] = \text{length\_of\_LCS}(X_i, Y_j)$

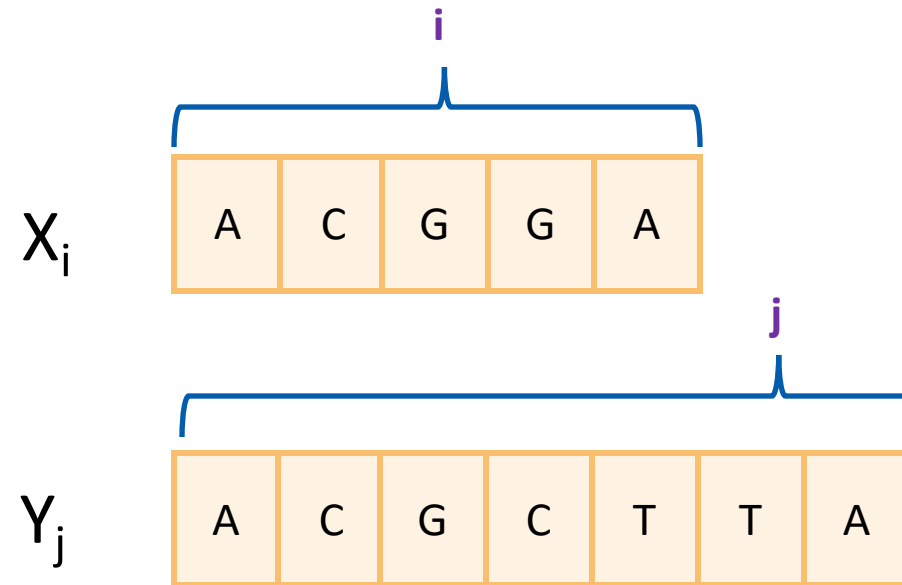
Examples:  $C[2,3] = 2$   
 $C[4,4] = 3$

# Recipe for applying Dynamic Programming

- **Step 1:** Identify **optimal substructure**.
- **Step 2:** Find a **recursive formulation** for the length of the longest common subsequence.
- **Step 3:** Use **dynamic programming** to find the length of the longest common subsequence.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can **find the actual LCS**.
- **Step 5:** If needed, **code this up like a reasonable person**.



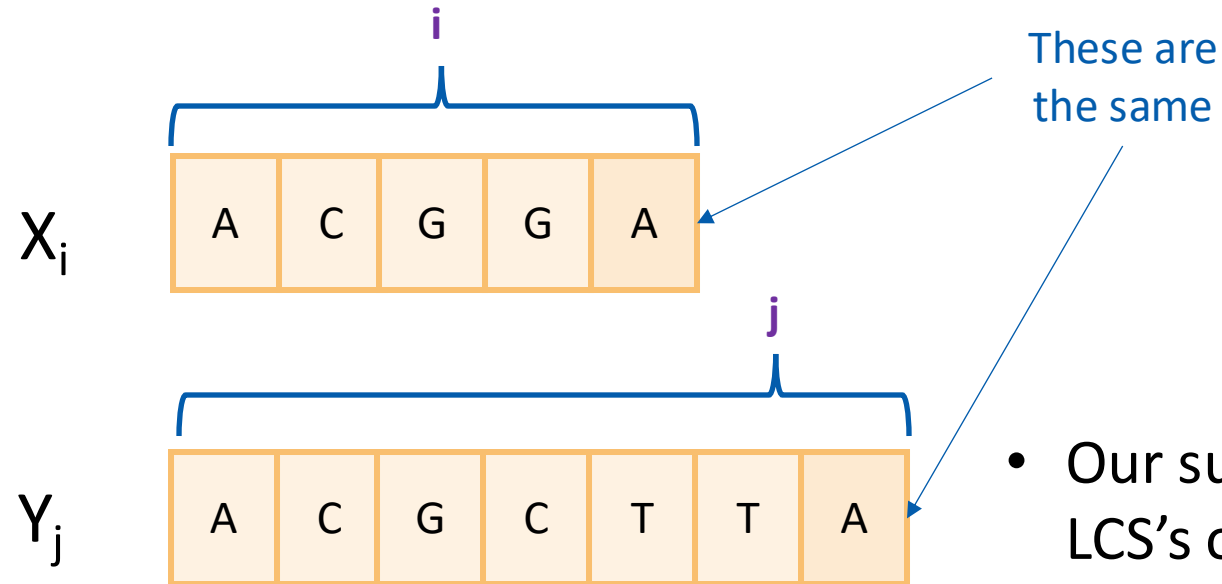
- Write  $C[i,j]$  in terms of the solutions to smaller sub-problems



$$C[i,j] = \text{length\_of\_LCS}(X_i, Y_j)$$



Case 1:  $X[i] = Y[j]$

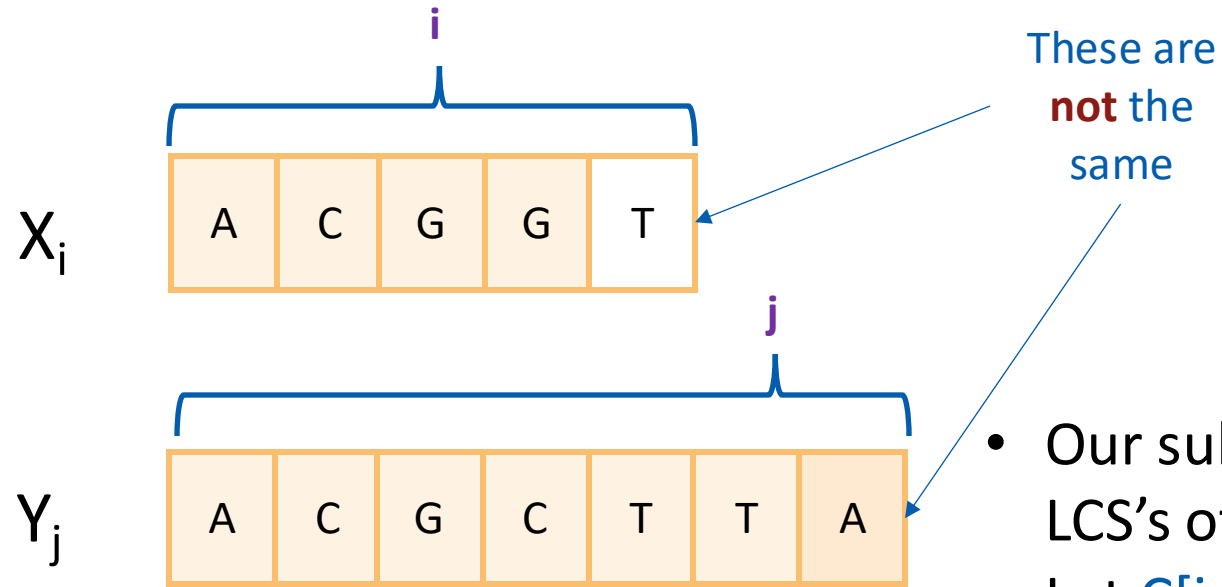


- Our sub-problems will be finding LCS's of prefixes to X and Y.
- Let  $C[i,j] = \text{length\_of\_LCS}(X_i, Y_j)$

- Then  $C[i,j] = 1 + C[i-1,j-1]$ .
  - because  $\text{LCS}(X_i, Y_j) = \text{LCS}(X_{i-1}, Y_{j-1})$  followed by 

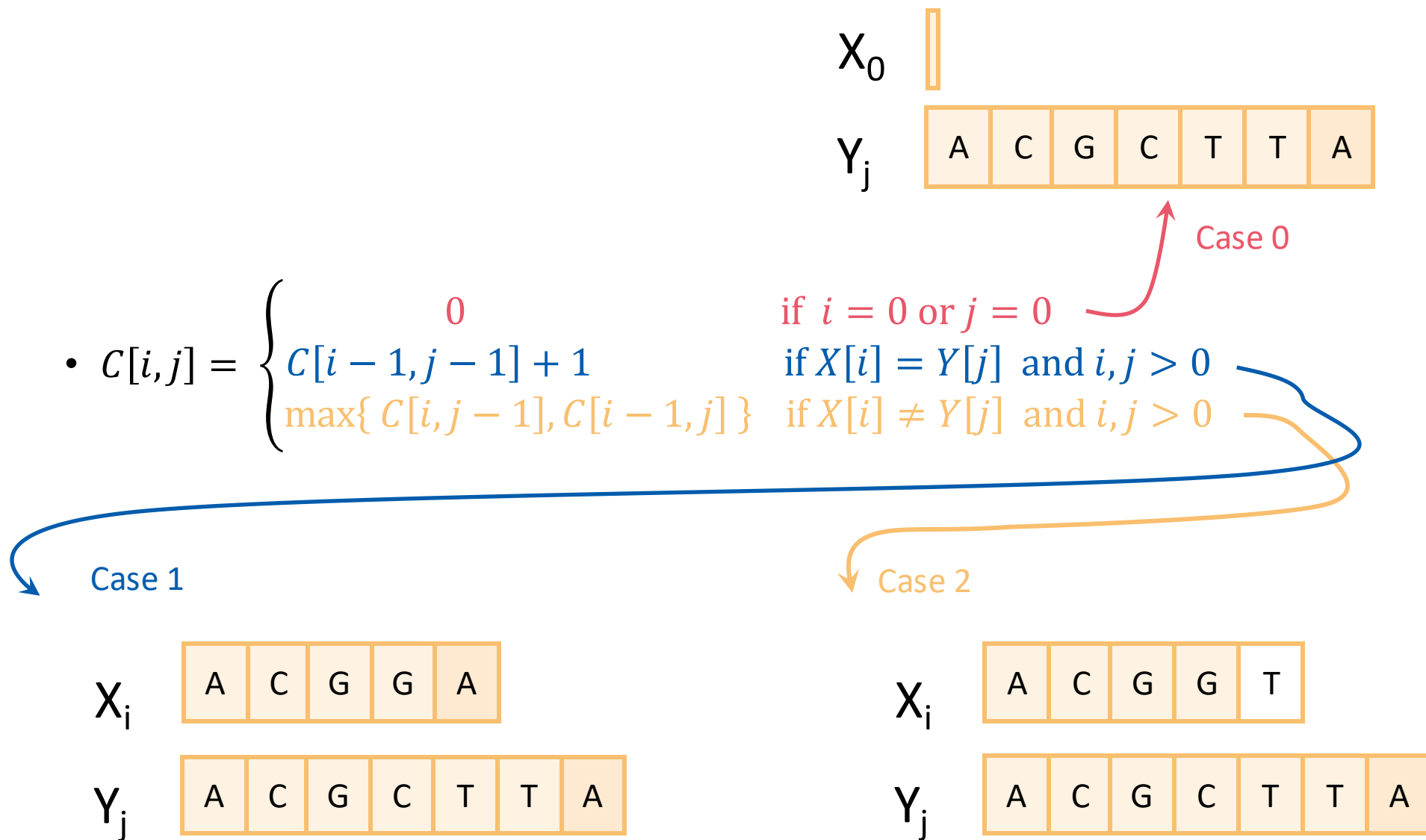
A
---

Case 2:  $X[i] \neq Y[j]$




- Our sub-problems will be finding LCS's of prefixes to X and Y.
- Let  $C[i,j] = \text{length\_of\_LCS}(X_i, Y_j)$
- Then  $C[i,j] = \max\{ C[i-1,j], C[i,j-1] \}$ .
  - either  $\text{LCS}(X_i, Y_j) = \text{LCS}(X_{i-1}, Y_j)$  and **T** is not involved,
  - or  $\text{LCS}(X_i, Y_j) = \text{LCS}(X_i, Y_{j-1})$  and **A** is not involved,
  - (maybe both are not involved, that's covered by the "or").

# Recursive formulation of the optimal solution



# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
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  - **Step 5:** If needed, code this up like a reasonable person.
- 

- **LCS(X, Y):**
  - $C[i,0] = C[0,j] = 0$  for all  $i = 0, \dots, m, j = 0, \dots, n$ .
  - **For**  $i = 1, \dots, m$  and  $j = 1, \dots, n$ :
    - **If**  $X[i] = Y[j]$ :
      - $C[i,j] = C[i-1,j-1] + 1$
    - **Else:**
      - $C[i,j] = \max\{ C[i,j-1], C[i-1,j] \}$
  - Return  $C[m,n]$

Running time:  
 $O(nm)$

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{ C[i,j-1], C[i-1,j] \} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

# Example

Y

A	C	T	G
---	---	---	---

X

A
C
G
G
A

0	0	0	0	0
0				
0				
0				
0				
0				

$$C[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i - 1, j - 1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{C[i, j - 1], C[i - 1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

X

A	C	G	G	A
---	---	---	---	---

Y

A	C	T	G
---	---	---	---



# Example


		Y				
		A	C	T	G	
X	A	0	0	0	0	0
	C	0	1	1	1	1
	G	0	1	2	2	2
	G	0	1	2	2	3
	A	0	1	2	2	3

$$C[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i - 1, j - 1] + 1 & \text{if } X[i] = Y[j] \text{ and } i, j > 0 \\ \max\{C[i, j - 1], C[i - 1, j]\} & \text{if } X[i] \neq Y[j] \text{ and } i, j > 0 \end{cases}$$

X	A	C	G	G	A
Y	A	C	T	G	

So the LCS of X and Y has length 3.

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# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

X

A	C	G	G	A
---	---	---	---	---

Y

A	C	T	G
---	---	---	---

X

A
C
G
G
A

0	0	0	0	0
0	1	1	1	1
0	1	2	2	2
0	1	2	2	3
0	1	2	2	3
0	1	2	2	3

# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

X

A
C
G
G
A

0	0	0	0	0
0	1	1	1	1
0	1	2	2	2
0	1	2	2	3
0	1	2	2	3
0	1	2	2	3

X

A	C	G	G	A
---	---	---	---	---

Y

A	C	T	G
---	---	---	---

- Once we've filled this in, we can work backwards.

# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

X

A	C	G	G	A
---	---	---	---	---

Y

A	C	T	G
---	---	---	---

- Once we've filled this in, we can work backwards.

X

A
C
G
G
A

0	0	0	0	0
0	1	1	1	1
0	1	2	2	2
0	1	2	2	3
0	1	2	2	3

That 3 must have come from the 3 above it.

# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

X

A
C
G
G
A

0	0	0	0	0
0	1	1	1	1
0	1	2	2	2
0	1	2	2	3
0	1	2	2	3
0	1	2	2	3

This 3 came from that 2 –  
we found a match!

X

A	C	G	G	A
---	---	---	---	---

Y

A	C	T	G
---	---	---	---

- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

G



# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

X

A
C
G
G
A

0	0	0	0	0
0	1	1	1	1
0	1	2	2	2
0	1	2	2	3
0	1	2	2	3

That 2 may as well  
have come from  
this other 2.

X

A	C	G	G	A
---	---	---	---	---

Y

A	C	T	G
---	---	---	---

- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

G
---

# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

X

A
C
G
G
A

0	0	0	0	0
0	1	1	1	1
0	1	2	2	2
0	1	2	2	3
0	1	2	2	3

X

A	C	G	G	A
---	---	---	---	---

Y

A	C	T	G
---	---	---	---

- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

G

# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

		0	0	0	0	0
		0	1	1	1	1
		0	1	2	2	2
		0	1	2	2	3
		0	1	2	2	3
		0	1	2	2	3
X	A					
	C					
	G					
	G					
	A					

X	A	C	G	G	A
---	---	---	---	---	---

Y	A	C	T	G
---	---	---	---	---

- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

C	G
---	---

# Example

$$C[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1,j-1] + 1 & \text{if } X[i] = Y[j] \text{ and } i,j > 0 \\ \max\{C[i,j-1], C[i-1,j]\} & \text{if } X[i] \neq Y[j] \text{ and } i,j > 0 \end{cases}$$

Y

A	C	T	G
---	---	---	---

		0	0	0	0	0
	A	0	1	1	1	1
	C	0	1	2	2	2
X	G	0	1	2	2	3
	G	0	1	2	2	3
	A	0	1	2	2	3

X	A	C	G	G	A
---	---	---	---	---	---

Y	A	C	T	G
---	---	---	---	---


- Once we've filled this in, we can work backwards.
- A diagonal jump means that we found an element of the LCS!

A	C	G
---	---	---

This is the LCS!

- Good exercise to write out pseudocode for what we just saw!
  - Or you can find it in lecture notes.
- Takes time  $O(mn)$  to fill the table
- Takes time  $O(n + m)$  on top of that to recover the LCS
  - We walk up and left in an  $n$ -by- $m$  array
  - We can only do that for  $n + m$  steps.
- Altogether, we can find  $\text{LCS}(X,Y)$  in time  $O(mn)$ .

# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
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# Example

Input X:   
Input Y:

Max Size: 10    
Sequences:  Char Variant: 4

**printi**

i : 10	Xi : e	Step: Xi='e' not equal to Yi='g' b[10, 8]='↑' and c[10, 8]=c[9, 8]=6 See line number 13 and 14									
j : 8	Yj : g										
	j	0	1	2	3	4	5	6	7	8	
i	y		p	r	i	n	t	i	n	g	
0	x	0	0	0	0	0	0	0	0	0	
1	s	0	↑0	↑0	↑0	↑0	↑0	↑0	↑0	↑0	
2	p	0	↖1	←1	←1	←1	←1	←1	←1	←1	
3	r	0	↑1	↖2	←2	←2	←2	←2	←2	←2	
4	i	0	↑1	↑2	↖3	←3	←3	↖3	←3	←3	
5	n	0	↑1	↑2	↑3	↖4	←4	←4	↖4	←4	
6	g	0	↑1	↑2	↑3	↑4	↑4	↑4	↑4	↖5	
7	t	0	↑1	↑2	↑3	↑4	↖5	←5	←5	↑5	
8	i	0	↑1	↑2	↖3	↑4	↑5	↖6	←6	←6	
9	m	0	↑1	↑2	↑3	↑4	↑5	↑6	↑6	↑6	
10	e	0	↑1	↑2	↑3	↑4	↑5	↑6	↑6	↑6	

**LCS-LENGTH(X, Y)**

```

1 m = length[X]
2 n = length[Y]
3 for i = 1 to m
4   do c[i, 0] = 0
5 for j = 1 to n
6   do c[0, j] = 0
7 for i = 1 to m
8   do for j = 1 to n
9     do if Xi == Yj
10      then c[i, j] = c[i-1, j-1] + 1
11         b[i, j] = ARROW_CORNER
12      else if c[i-1, j] >= c[i, j-1]
13        then c[i, j]=c[i-1, j]
14            b[i, j] = ARROW_UP
15        else c[i, j]=c[i, j-1]
16            b[i, j] = ARROW_LEFT
17 return c and b

```

**PRINT-LCS(b, X, i, j)**

```

1 if i=0 or j=0
2   then return
3 if b[i, j] == ARROW_CORNER
4   then PRINT-LCS(b, X, i-1, j-1)
5   print Xi
6 elseif b[i, j] == ARROW_UP
7   then PRINT-LCS(b, X, i-1, j)

```

<http://lcs-demo.sourceforge.net>

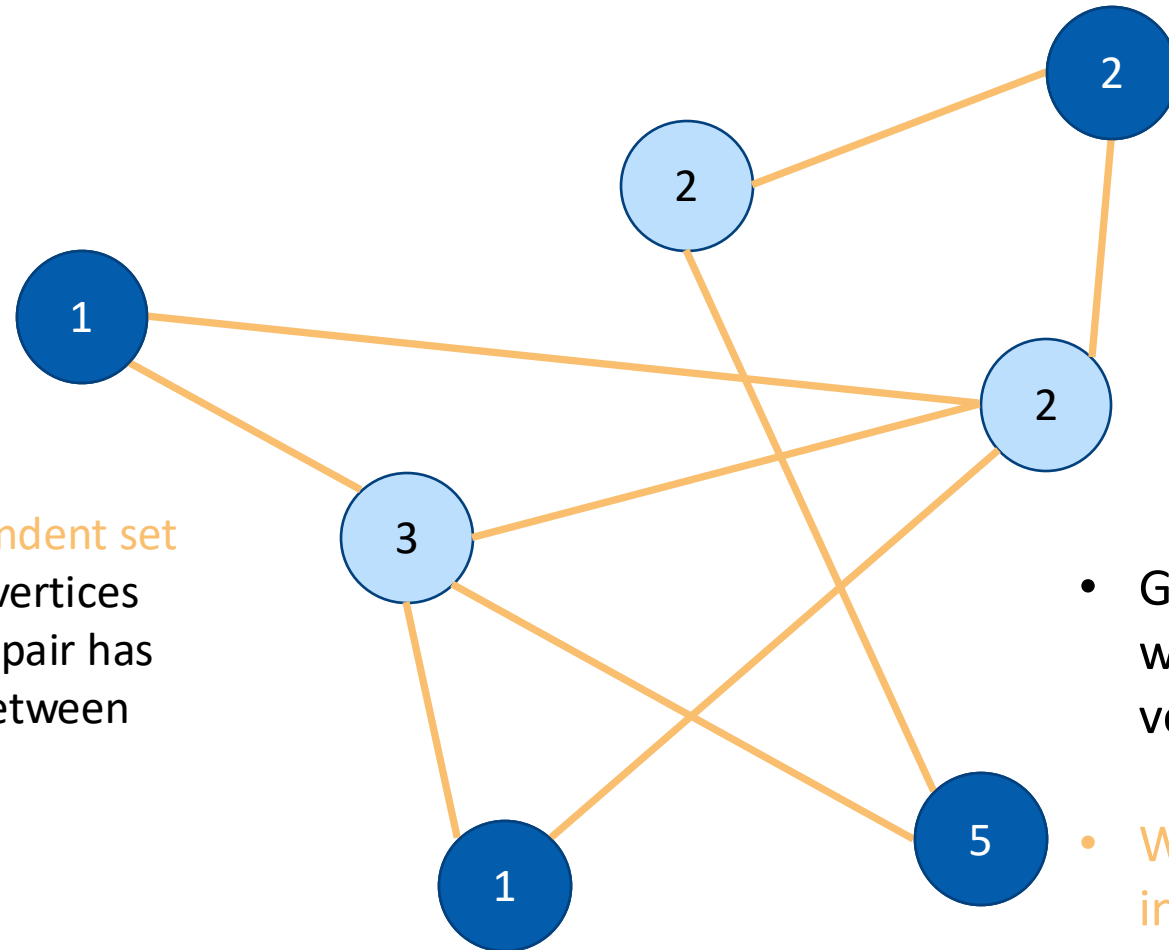
# Our approach actually isn't so bad

- If we are only interested in the length of the LCS we can do a bit better on space:
  - Since we go across the table one-row-at-a-time, we can only keep two rows if we want.
- If we want to recover the LCS, we need to keep the whole table.
- Can we do better than  $O(mn)$  time?
  - A bit better.
    - By a log factor or so.
  - Try to design it (as your lab work)!

# What have we learned?

- We can find  $\text{LCS}(X,Y)$  in time  $O(nm)$ 
  - if  $|Y|=n$ ,  $|X|=m$
- We went through the steps of coming up with a dynamic programming algorithm.
  - We kept a 2-dimensional table, breaking down the problem by decrementing the length of  $X$  and  $Y$ .

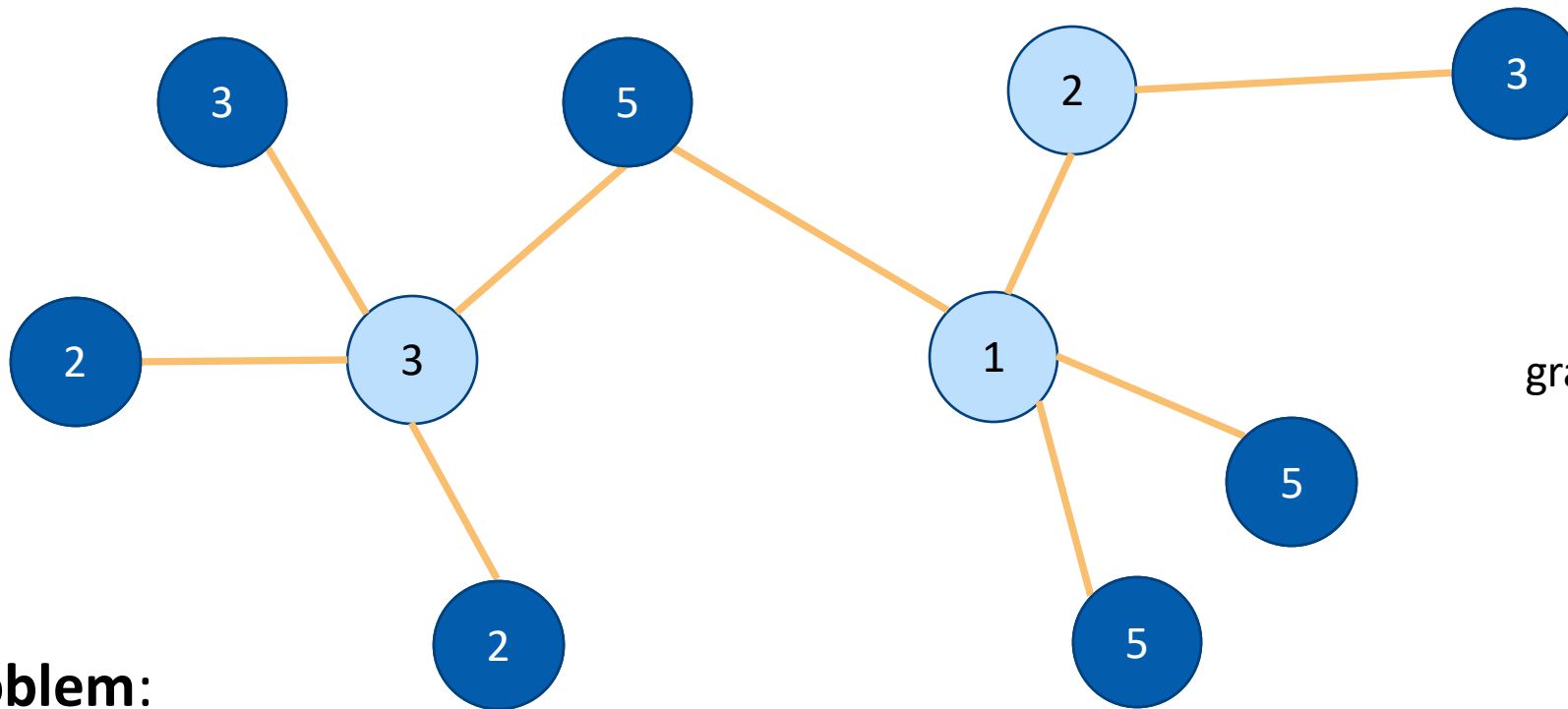
An **independent set** is a set of vertices so that no pair has an edge between them.



- Given a graph with weights on the vertices...
- What is the independent set with the largest weight?

# Independent Set

- Actually, this problem is **NP-complete**.  
So, we are unlikely to find an efficient algorithm.
- But if we also assume that the graph is a **tree**...




**Problem:**

find a maximal independent set in a tree (with vertex weights).

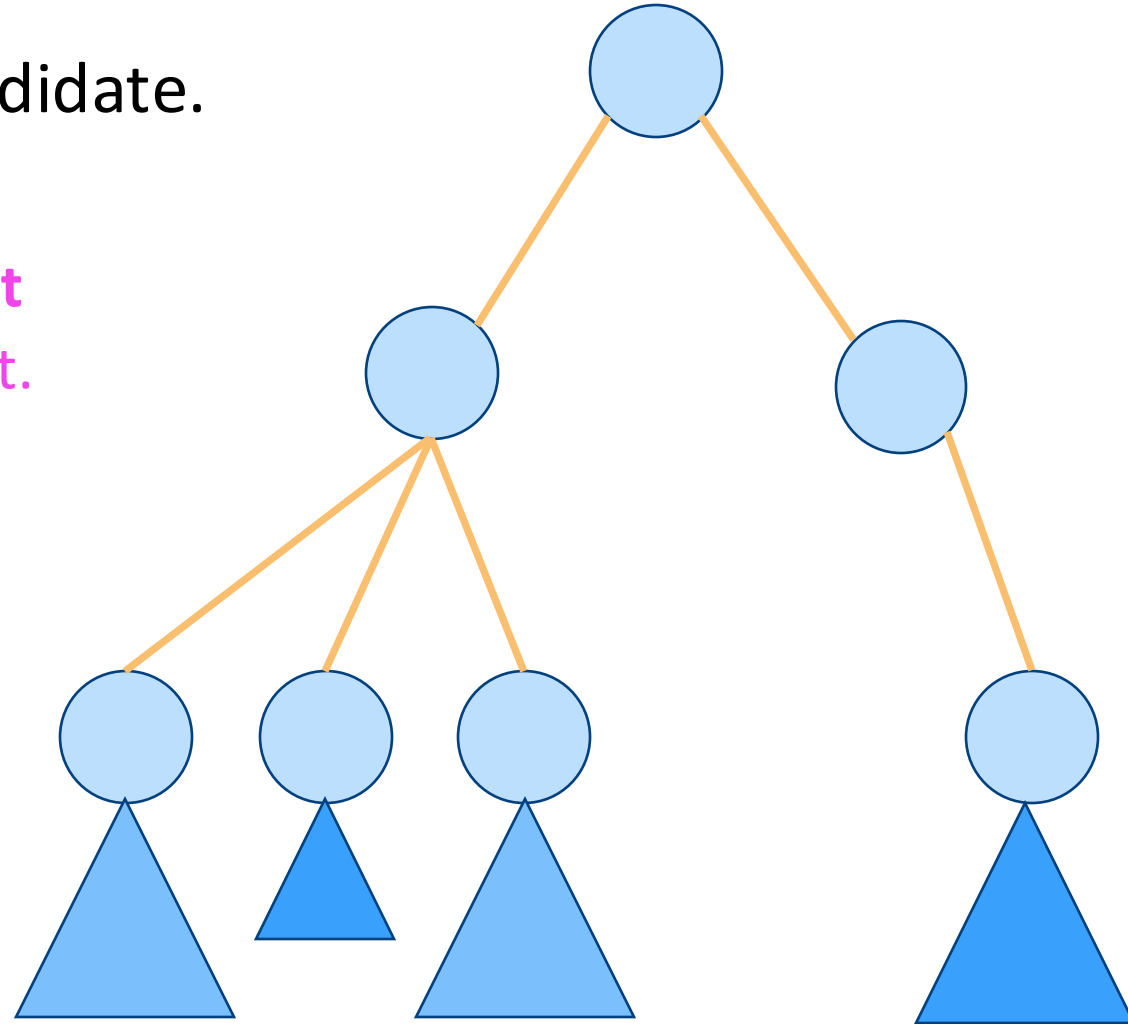
A **tree** is a  
connected  
graph with no  
cycles.



# Recipe for applying Dynamic Programming

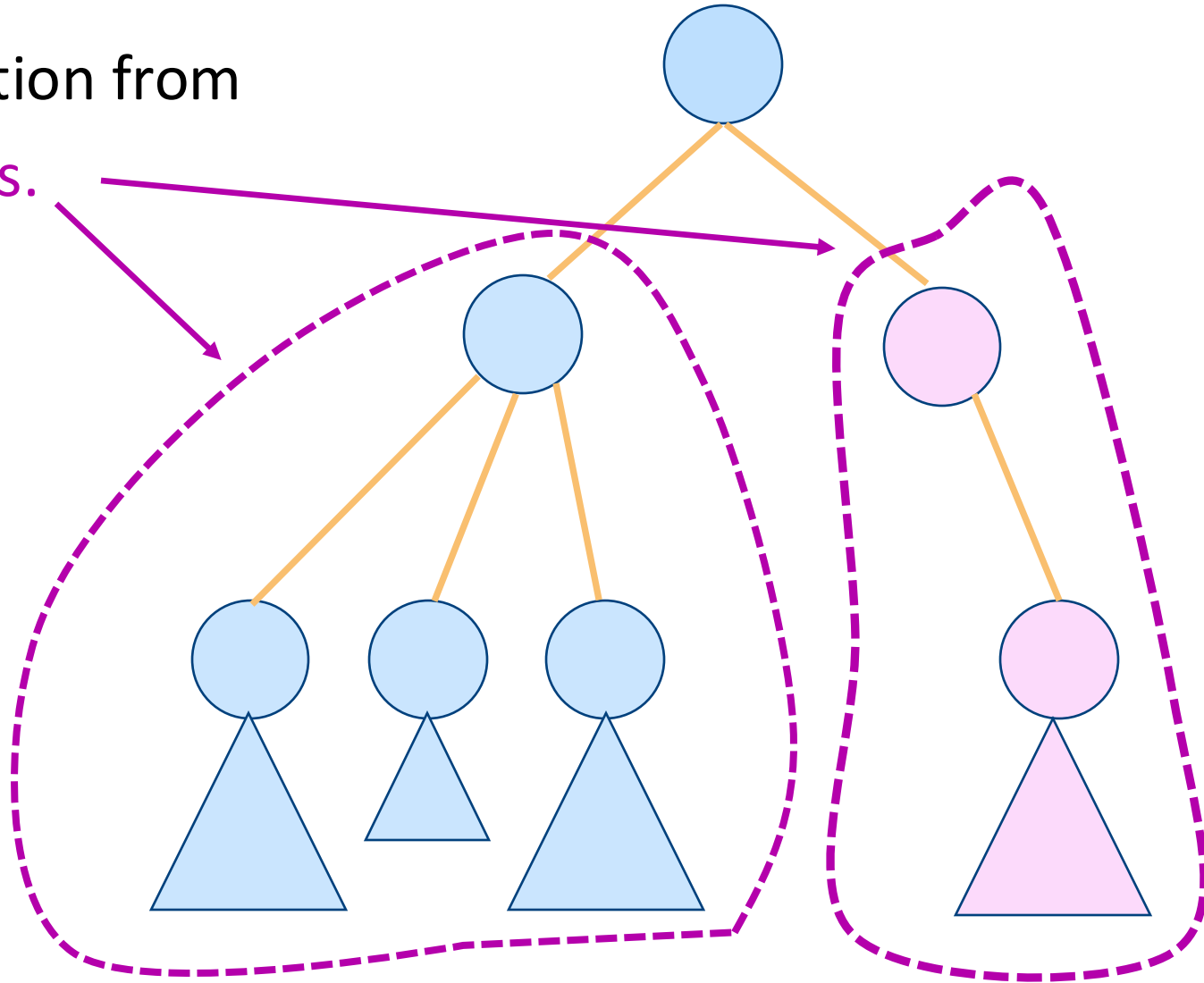
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- **Step 5:** If needed, code this up like a reasonable person.

- **Subtrees** are a natural candidate.
- There are **two cases**:
  1. The root of this tree is **not** in a maximal independent set.
  2. Or it is



# Case 1: the root is not in a maximal independent set

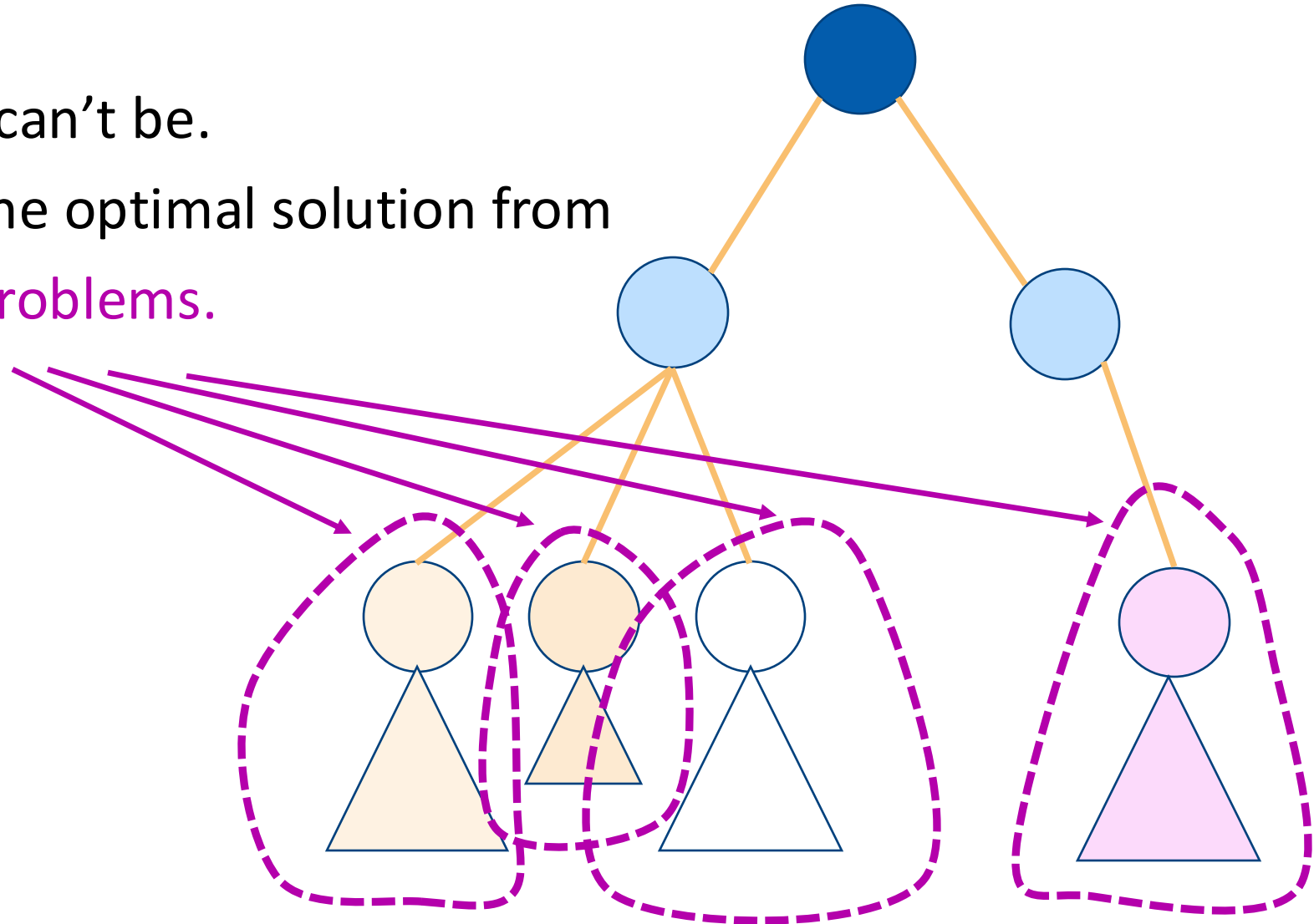
- Use the optimal solution from these smaller problems.





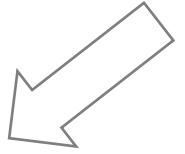
## Case 2 : the root is in an maximal independent set

- Then its children can't be.
- Below that, use the optimal solution from these smaller subproblems.



# Recipe for applying Dynamic Programming

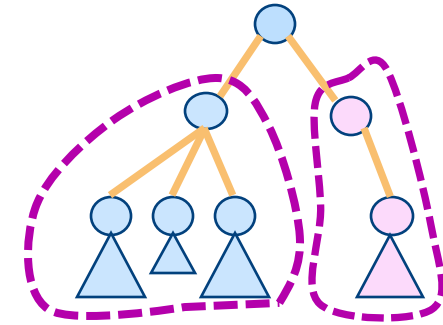
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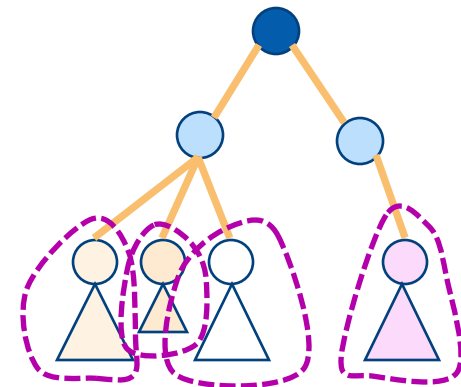
# Recursive formulation: try 1

- Let  $A[u]$  be the weight of a maximal independent set in the tree rooted at  $u$ .

$$A[u] = \max \left\{ \begin{array}{l} \sum_{v \in u.\text{children}} A[v] \\ \text{weight}(u) + \sum_{v \in u.\text{grandchildren}} A[v] \end{array} \right.$$



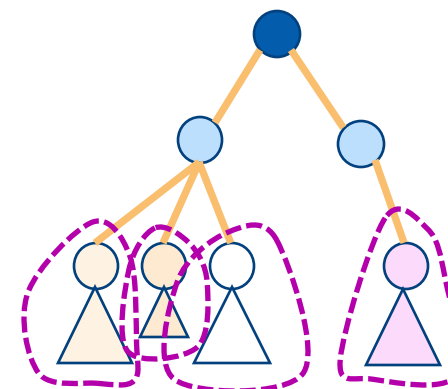
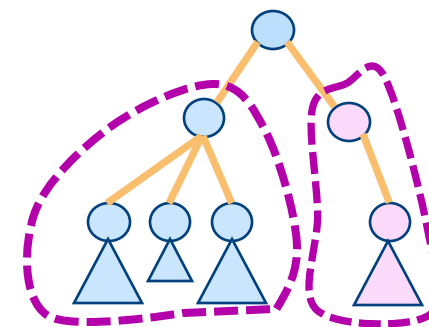
When we implement this, how do we keep track of **this term**?



# Recursive formulation: try 2

## Keep two arrays!

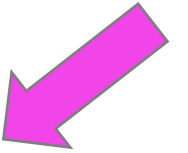
- Let  $A[u]$  be the weight of a maximal independent set in the tree rooted at  $u$ .
- Let  $B[u] = \sum_{v \in u.\text{children}} A[v]$



$$A[u] = \max \begin{cases} \sum_{v \in u.\text{children}} A[v] \\ \text{weight}(u) + \sum_{v \in u.\text{children}} B[v] \end{cases}$$

# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up like a reasonable person.



- MIS\_subtree(u):
  - **if** u is a leaf:
    - $A[u] = \text{weight}(u)$
    - $B[u] = 0$
  - **else:**
    - **for** v in u.children:
      - MIS\_subtree(v)
    - $A[u] = \max\{ \sum_{v \in u.\text{children}} A[v], \text{weight}(u) + \sum_{v \in u.\text{children}} B[v] \}$
    - $B[u] = \sum_{v \in u.\text{children}} A[v]$
- MIS(T):
  - MIS\_subtree(T.root)
  - **return** A[T.root]

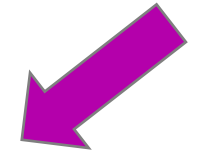
Initialize global arrays A, B  
that we will use in all of  
the recursive calls.

## Running time?

- We visit each vertex once, and for every vertex we do  $O(1)$  work:
  - Make a recursive call
  - Participate in summations of parent node
- Running time is  $O(|V|)$

# Recipe for applying Dynamic Programming

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up like a reasonable person.



You do this one!



# What have we learned?

- We can find maximal independent sets in trees in time  $O(|V|)$  using dynamic programming!
- For this example, it was natural to implement our DP algorithm in a top-down way.

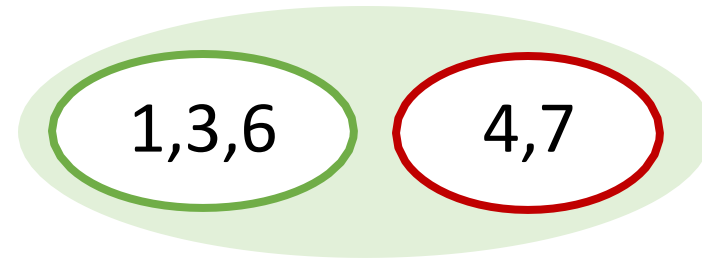


# Balanced Partition (BP) Problem

- We are given  $n$  integers  $I = \{k_1, k_2, \dots, k_n\}$ , s.t.  $0 \leq k_i \leq K$ .
- We like to **partition** them into two sets  $S_1$  and  $S_2$  s.t. the difference  $d$  of the total sizes of the two sets is as small as possible

$$\min_{S_1, S_2} d \text{ s.t. } d = \left| \sum_{i \in S_1} k_i - \sum_{j \in S_2} k_j \right|.$$

$$k_1 = 1, k_2 = 3, k_3 = 4, k_4 = 6, k_5 = 7$$



$$|S_1| = 10 \quad |S_2| = 11$$

$$d = |10 - 11| = 1$$

- Let  $M = \sum_i k_i \leq nK$ .  
max item size
- $m = 0$ : The best we can hope for is  $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - m$  and  $S_2 = M - S_1$ .  
 $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - m$

max item size

$$|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - m$$

- Let  $M = \sum_i k_i \leq nK$ .
- $m = 0$ : The best we can hope for is  $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - 0$  and  $S_2 = M - S_1$ .
- $m = 1$ : If this is **not possible**, the next best is  $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - 1$  and  $S_2 = M - S_1$ .

max item size

$$|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - m$$

- Let  $M = \sum_i k_i \leq nK$ .
- $m = 0$ : The best we can hope for is  $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - 0$  and  $S_2 = M - S_1$ .
- $m = 1$ : If this is **not possible**, the next best is  $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - 1$  and  $S_2 = M - S_1$ .
- $m = 2$ : If this is not possible, the next best is  $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - 2$  and  $S_2 = M - S_1$ .
- $m = 3$ : If this is not possible, the next best is  $|S_1| = \left\lfloor \frac{M}{2} \right\rfloor - 3$  and  $S_2 = M - S_1$ .
- ... try up to  $m = \left\lfloor \frac{M}{2} \right\rfloor$ . This is always possible since we have  $S_1 = \emptyset, S_2 = I$ .
- So, let's check the best we can achieve starting from  $m = 0$ .

# Example

## Possible allocations

$S_1$	10	11	$S_2$
	9	12	
	8	13	
	$\vdots$	$\vdots$	
	1	20	
	0	21	

Can I fill **exactly** a knapsack of size 10?

The “**subsetum** problem”

Given:

$$k_1 = 1, k_2 = 3, k_3 = 4, \\ k_4 = 6, k_5 = 7$$

$$M = 21, \quad \left\lfloor \frac{M}{2} \right\rfloor = 10$$

# Reduction to the Subsetum Problem (SP)

- We **reduced** *BP* to the problem ***SP***:
- ***SP***[ $n, D$ ]: We are given  $n$  integers  $I = \{k_1, \dots, k_n\}$ , s. t.  $0 \leq k_i \leq K$ , and an integer  $D \leq nK$ . Is there a subset  $S$  of them such that  $\sum_{i \in S} k_i = D$ ? (True/False).

# Reduction to the Subsetsum Problem (SP)

- Solution of  $BP$ :
- Solve  $BP$  by finding the smallest value of  $m = 0, 1, \dots, \left\lfloor \frac{M}{2} \right\rfloor$  for which  $SP \left[ n, \left\lfloor \frac{M}{2} \right\rfloor - m \right] = True$ .
- Do we need to solve  $SP$  repeatedly (again and again from scratch) to solve  $BP$ ?
- Can we reuse the solution of subproblems?

- Write the DP equations for  $SP$ .
- Very similar to knapsack problem.
- Can you guess them?



- Recursion for  $SP[n, D]$ :

given items  $1..j$ , is  $j$  used to fill  $X$  exactly?

$$SP[j, X] = \max \{SP[j - 1, X], SP[j - 1, X - k_j]\} , \quad 0 \leq j \leq n, X \leq D,$$

$$SP[j, 0] = 1, j = 0, \dots, n, \quad SP[0, X > 0] = 0, \quad SP[k, X < 0] = 0.$$

- Solution:  $SP[n, D]$ .
- Topological sort:  $j = 0, 1, 2, \dots, n, \quad X = 0, 1, \dots, D$ .
- Complexity: ??  $\rightarrow$  same as Knapsack =  $O(nD)$ .

- Recursion for  $SP[n, D]$ :

given items  $1..j$ , is  $j$  used to fill  $X$  exactly?

$$SP[j, X] = \max \{SP[j - 1, X], SP[j - 1, X - k_j]\} , \quad 0 \leq j \leq n, X \leq D,$$

$$SP[j, 0] = 1, j = 0, \dots, n, \quad SP[0, X > 0] = 0, \quad SP[k, X < 0] = 0.$$

## Solution for BP:

$M$ : sum of item sizes

- Solve  $SP[n, \lfloor M/2 \rfloor]$ , fill in table of sub-problems.
- Find largest  $X = \lfloor M/2 \rfloor, \lfloor M/2 \rfloor - 1, \dots$ , s.t.  $SP[1..n, X] = 1$ .

# Exercise

- Solve *BP* for item sizes 1,2,3,4.  $M = 10, \lfloor M/2 \rfloor = 5$

$$SP[j, X] = \max\{SP[j - 1, X], SP[j - 1, X - k_j]\}, 0 \leq j \leq n, X \leq D,$$

$$SP[j, 0] = 1, j = 0, \dots, n, SP[0, X > 0] = 0, SP[k, X < 0] = 0.$$

	X=0	1	2	3	4	5
j=0						
1						
2						
3						
4						

# Exercise

- Solve *BP* for item sizes 1,2,3,4.  $M = 10, \lfloor M/2 \rfloor = 5$

$$SP[j, X] = \max\{SP[j - 1, X], SP[j - 1, X - k_j]\}, 0 \leq j \leq n, X \leq D,$$

$$SP[j, 0] = 1, j = 0, \dots, n, SP[0, X > 0] = 0, SP[k, X < 0] = 0.$$

	X=0	1	2	3	4	5
j=0	1	0	0	0	0	0
1	1	1	0	0	0	0
2	1	1	1	1	0	0
3	1	1	1	1	1	1
4	1	1	1	1	1	1

- DP is a technique for solving complex optimization problems computationally.
- Key idea is to decompose a problem into a calculation involving the independent solution of similar type problems defined on reduced size systems (recurrence).
- The reduction of the complexity is due to memoization: solving each subproblem only once and remembering the results.

# The End