

Small/big-step correspondence: proper typeset proof

Here are the rules for our two semantics for arithmetic expressions.

First, the small step rules:

$$\begin{array}{c}
 \frac{e_1 \mapsto e'_1}{(\text{Plus } e_1 \ e_2) \mapsto (\text{Plus } e'_1 \ e_2)} S_1 \\
 \frac{e_2 \mapsto e'_2}{(\text{Plus } (\text{Num } n_1) \ e_2) \mapsto (\text{Plus } (\text{Num } n_1) \ e'_2)} S_2 \\
 \frac{}{(\text{Plus } (\text{Num } n_1) \ (\text{Num } n_2)) \mapsto (\text{Num } (n_1 + n_2))} S_3 \\
 \frac{e_1 \mapsto e'_1}{(\text{Times } e_1 \ e_2) \mapsto (\text{Times } e'_1 \ e_2)} P_1 \\
 \frac{e_2 \mapsto e'_2}{(\text{Times } (\text{Num } n_1) \ e_2) \mapsto (\text{Times } (\text{Num } n_1) \ e'_2)} P_2 \\
 \frac{}{(\text{Times } (\text{Num } n_1) \ (\text{Num } n_2)) \mapsto (\text{Num } (n_1 \times n_2))} P_3 \\
 \frac{e_1 \mapsto e'_1}{(\text{Let } e_1 \ (x. \ e_2)) \mapsto (\text{Let } e'_1 \ (x. \ e_2))} L_1 \\
 \frac{}{(\text{Let } (\text{Num } n_1) \ (x. \ e_2)) \mapsto e_2[x := (\text{Num } n_1)]} L_3
 \end{array}$$

And the big step rules:

$$\begin{array}{c}
 \frac{}{(\text{Num } n) \Downarrow n} N \\
 \frac{e_1 \Downarrow v_1 \quad e_2 \Downarrow v_2}{(\text{Plus } e_1 \ e_2) \Downarrow (v_1 + v_2)} S \\
 \frac{e_1 \Downarrow v_1 \quad e_2 \Downarrow v_2}{(\text{Times } e_1 \ e_2) \Downarrow (v_1 \times v_2)} P \\
 \frac{e_1 \Downarrow v_1 \quad e_2[x := (\text{Num } v_1)] \Downarrow v_2}{(\text{Let } e_1 \ (x. \ e_2)) \Downarrow v_2} L
 \end{array}$$

Now, to prove them equivalent, we have to prove two directions, to show that

$s \mapsto^* (\text{Num } n)$ iff $s \Downarrow n$, where \mapsto^* (the *reflexive transitive closure*) indicates zero or more steps:

$$\frac{}{e \stackrel{*}{\mapsto} e} \text{refl} \quad \frac{e \mapsto e' \quad e' \stackrel{*}{\mapsto} e''}{e \stackrel{*}{\mapsto} e''} \text{trans}$$

If $s \Downarrow v$ then $s \stackrel{*}{\mapsto} (\text{Num } v)$

We will proceed by rule induction on the cases where $s \Downarrow v$.

Base Case ($s = (\text{Num } n)$), from N

We must show that $(\text{Num } n) \stackrel{*}{\mapsto} (\text{Num } n)$, obvious by rule refl.

Inductive Case ($s = (\text{Plus } e_1 \ e_2)$), from S

We know that $e_1 \Downarrow v_1$ and $e_2 \Downarrow v_2$, which gives us the inductive hypotheses:

- $IH_1 - e_1 \stackrel{*}{\mapsto} (\text{Num } v_1)$
- $IH_2 - e_2 \stackrel{*}{\mapsto} (\text{Num } v_2)$

Showing our overall goal:

$$\begin{aligned} (\text{Plus } e_1 \ e_2) &\stackrel{*}{\mapsto} (\text{Plus } (\text{Num } v_1) \ e_2) && (IH_1 \text{ with } S_1) \\ &\stackrel{*}{\mapsto} (\text{Plus } (\text{Num } v_1) \ (\text{Num } v_2)) && (IH_2 \text{ with } S_2) \\ &\stackrel{*}{\mapsto} (\text{Num } (v_1 + v_2)) && (S_3) \end{aligned}$$

Inductive Case ($s = (\text{Times } e_1 \ e_2)$), from S

Extremely similar to Plus, above.

Inductive Case ($s = (\text{Let } e_1 \ (x. \ e_2))$), from L

We know that $e_1 \Downarrow v_1$ and $e_2[x := (\text{Num } v_1)] \Downarrow v_2$, which gives us the inductive hypotheses:

- $IH_1 - e_1 \stackrel{*}{\mapsto} (\text{Num } v_1)$
- $IH_2 - e_2[x := (\text{Num } v_1)] \stackrel{*}{\mapsto} (\text{Num } v_2)$

Showing our overall goal:

$$\begin{array}{ll}
(\text{Let } e_1 \text{ (x. } e_2)) \stackrel{*}{\mapsto} (\text{Let (Num } v_1) \text{ (x. } e_2)) & (\text{rule } L_1 \text{ with IH}_1) \\
\stackrel{*}{\mapsto} e_2[x := (\text{Num } v_1)] & (L_2) \\
\stackrel{*}{\mapsto} (\text{Num } v_2) & (IH_2)
\end{array}$$

Thus, by mathematical induction, we have shown one direction of the equivalence.

If $s \stackrel{*}{\mapsto} (\text{Num } v)$ then $s \Downarrow v$

Doing rule induction on the assumption $s \stackrel{*}{\mapsto} (\text{Num } v)$ leads to two cases.

Base case ($s = (\text{Num } v)$), from refl

We know that $(\text{Num } v) \Downarrow v$ from rule N.

Inductive case ($s \mapsto s'$ and $s' \stackrel{*}{\mapsto} (\text{Num } v)$), from trans

We have the inductive hypothesis that $s' \Downarrow v$, so it suffices to prove the following lemma in order to discharge this case.

$$\frac{s \mapsto s' \quad s' \Downarrow v}{s \Downarrow v}$$

Lemma: If $s \mapsto s'$ and $s' \Downarrow v$ then $s \Downarrow v$.

Written as a logical statement, this lemma is:

$$\forall v. s \mapsto s' \wedge s' \Downarrow v \Rightarrow s \Downarrow v$$

Equivalently, this can be stated as:

$$s \mapsto s' \Rightarrow \forall v. s' \Downarrow v \Rightarrow s \Downarrow v$$

This formulation lets us proceed by rule induction on the assumption $s \mapsto s'$, proving for each case for any arbitrary v :

$$\forall v. \frac{s' \Downarrow v}{s \Downarrow v}$$

Base case from rule S_3

Here $s = (\text{Plus } (\text{Num } n) (\text{Num } m))$ and $s' = (\text{Num } n + m)$.

We have to show that $(\text{Plus } (\text{Num } n) (\text{Num } m)) \Downarrow v$ assuming that $(\text{Num } n + m) \Downarrow v$. The only way that assumption could hold, looking at the rules of \Downarrow , is if $v = n + m$ from rule N . Therefore we must show that $(\text{Plus } (\text{Num } n) (\text{Num } m)) \Downarrow n + m$, which is trivial from rules S and N .

Inductive case from rule S_1

Here $s = (\text{Plus } e_1 e_2)$ and $s' = (\text{Plus } e'_1 e_2)$. We know that $e_1 \mapsto e'_1$, giving the inductive hypothesis that:

$$\forall v. \frac{e'_1 \Downarrow v}{e_1 \Downarrow v} \text{IH}$$

We must show that $(\text{Plus } e_1 e_2) \Downarrow v$ assuming that $(\text{Plus } e'_1 e_2) \Downarrow v$. Looking at the rules for \Downarrow , the only way that $(\text{Plus } e'_1 e_2) \Downarrow v$ could hold is if $v = x + y$ and $e'_1 \Downarrow x$ and $e_2 \Downarrow y$ (by rule P). By the inductive hypothesis, we have that $e_1 \Downarrow x$. Therefore, $(\text{Plus } e_1 e_2) \Downarrow v$ as required.

Inductive case from rule S_2

Here $s = (\text{Plus } (\text{Num } n) e_2)$ and $s' = (\text{Plus } (\text{Num } n) e'_2)$. We know that $e_2 \mapsto e'_2$, giving the inductive hypothesis that:

$$\forall v. \frac{e'_2 \Downarrow v}{e_2 \Downarrow v} \text{IH}$$

We must show that $(\text{Plus } (\text{Num } n) e_2) \Downarrow v$ assuming that $(\text{Plus } (\text{Num } n) e'_2) \Downarrow v$. Looking at the rules for \Downarrow , the only way that $(\text{Plus } (\text{Num } n) e'_2) \Downarrow v$ could hold is if $v = n + y$ and $e'_2 \Downarrow y$ (by rule P). By the inductive hypothesis, we have that $e_2 \Downarrow y$. Therefore, $(\text{Plus } (\text{Num } n) e_2) \Downarrow v$ as required.

Cases for Times

All analogous to the cases for Plus.

Inductive case from rule L_1

Here $s = (\text{Let } e_1 (x. e_2))$ and $s' = (\text{Let } e'_1 (x. e_2))$. We know that $e_1 \mapsto e'_1$, giving the inductive hypothesis that:

$$\forall v. \frac{e'_1 \Downarrow v}{e_1 \Downarrow v} \text{IH}$$

We must show that $(\text{Let } e_1 (x. e_2)) \Downarrow v$ assuming that $(\text{Let } e'_1 (x. e_2)) \Downarrow v$. Looking at the rules for \Downarrow , the only way for that assumption to hold is if there is some v_x such that $e'_1 \Downarrow v_x$ and $e_2[x := (\text{Num } v_x)] \Downarrow v$. By the inductive hypothesis we have that $e_1 \Downarrow v_x$ and therefore we have that $(\text{Let } e_1 (x. e_2)) \Downarrow v$ from rule L.

Base case from rule L_2

Here $s = (\text{Let } (\text{Num } n) (x. e_2))$ and $s' = e_2[x := (\text{Num } n)]$. We must show that $(\text{Let } (\text{Num } n) (x. e_2))$ assuming that $e_2[x := (\text{Num } n)] \Downarrow v$. This can be shown trivially by application of the rule L.