

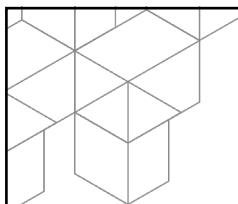
When I look at an article in Russian, I say: This is really written in English, but has been coded in some strange symbols; I will now proceed to decode.

Warren Weaver, Excerpt from a letter written in 1955

All images from Wikimedia Commons unless specified.



1



Natural Language Processing (NLP)

COMP6713 – 2025 Term 1



Convener

Dr. Aditya Joshi

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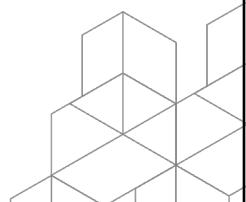
Week 8

Machine Translation



Schedule

2025 Term 1



2

UNSW SYDNEY | Australia's Global University

Week 8
Machine Translation

Introduction Task definition Terminology	SMT Alignment Language model IBM Models Moses	NMT Transformer decoding Pivot-based MT Subword-based MT Post-editing
MT Evaluation BLEU BLEURT Other metrics	Chapter 7 of Bhattacharyya, Joshi, 'Natural Language Processing', Wiley, 2023.	
	Chapter 13 of Jurafsky, Martin, 'Speech and Language Processing', https://web.stanford.edu/~jurafsky/slp3/13.pdf	

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Topics this week

```

graph LR
    Data[Data] --> Features[Features to look for]
    Features --> Ways[Ways to combine the features]
    Ways --> Learn[Learn a model based on these combinations]
  
```

Generation 1				
Generation 2				
Generation 3				

Human Engineering Computational model Neural model

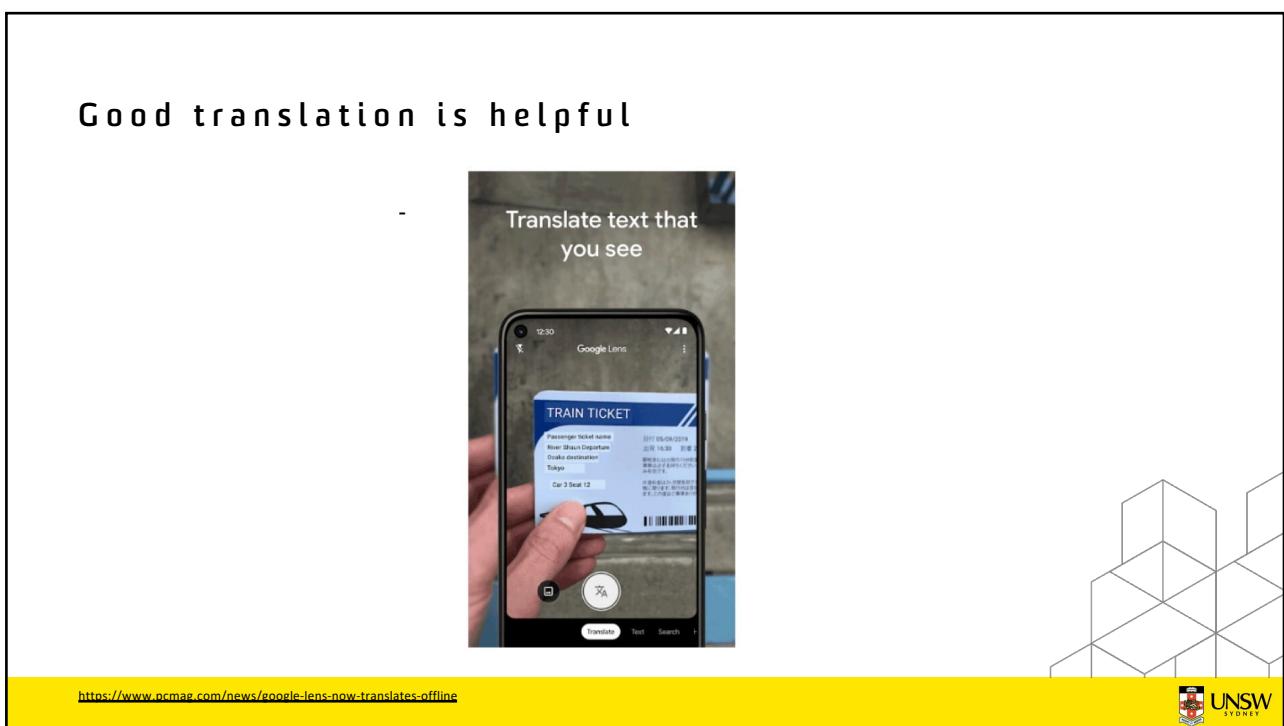
Statistical Machine Translation Evaluation Metrics

Transformer decoding NMT LLM-based translation

4



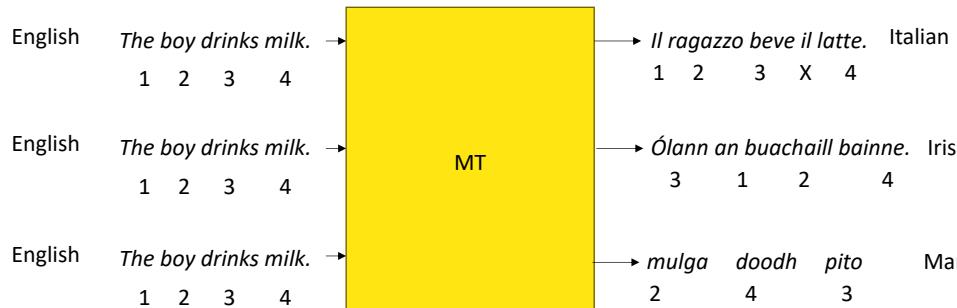
5



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Machine Translation (MT)

Objective: Translate text from a **source** language to a **target** language such that the meaning is preserved.



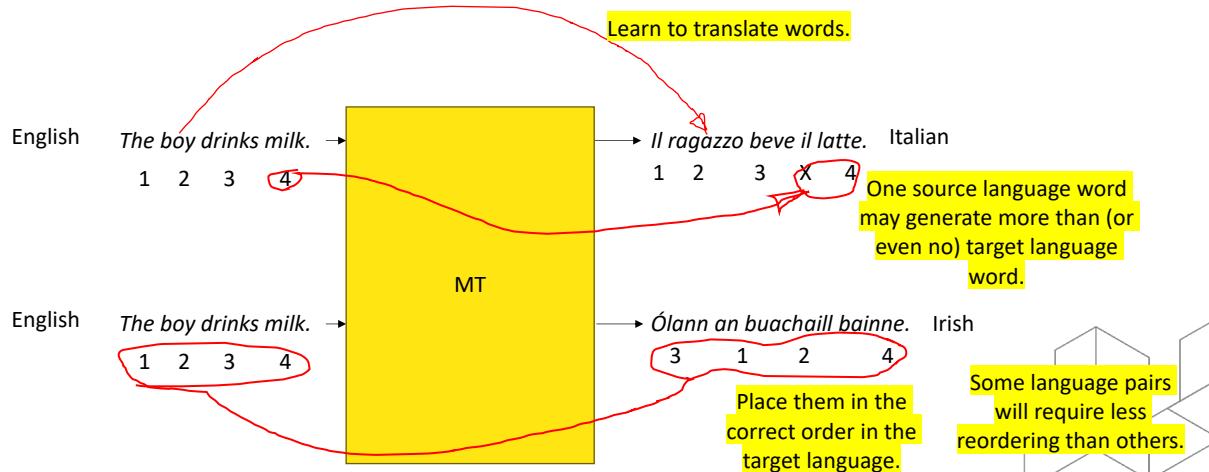
Represents sequence-to-sequence tasks in NLP

Motivation for Transformer (weeks 3 and 4)



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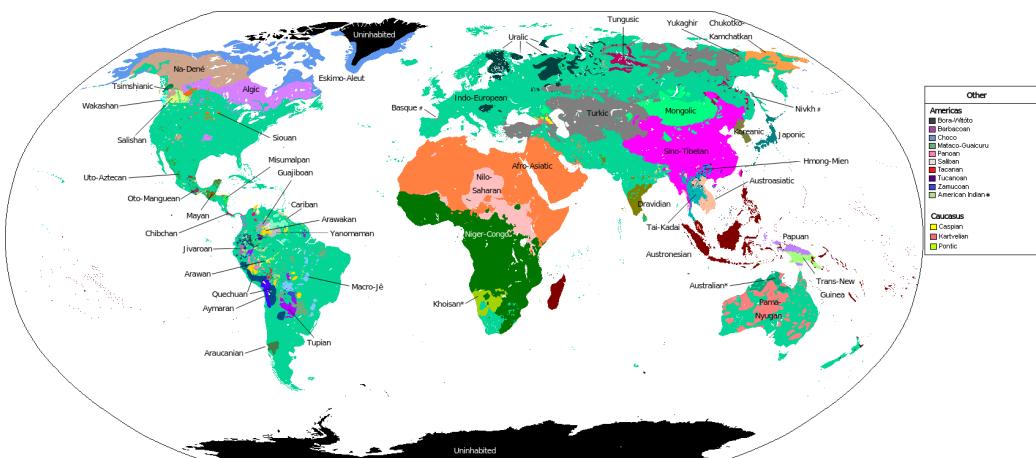
Requirements of MT



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Language families



https://en.wikipedia.org/wiki/Language_family



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Challenges

Promotional divergence

E: John usually goes home ← S: Juan suele ira casa ('John tends to go home')

Lexical divergence

"sweater", "jumper", "jacket"...

70 words for snow in Eskimo language

Pragmatics

"Tiramisu" -> "pick me up"



Can you think of similar examples in the languages other than English that you speak?

https://en.wikipedia.org/wiki/Eskimo_words_for_snow



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Exercise

Taking inspiration from fictional languages in pop culture....



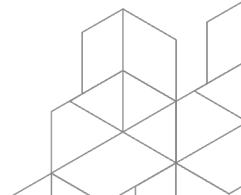
English	Language X
The boy eats rice.	ghada mada vadgha
The girl eats rice.	ghadi mada vadghi
The girl drinks water.	bheedhi mali vadghi
The boy drinks milk.	bheedhi kook vadgha

Parallel corpus: Pairs of sentences in the source and target languages

You learned to form correspondences between words in the two languages.
-> Alignment

What is the translation of 'boy' in language X?

You learned the word order in the target language -> Language model



<https://ozclo.org.au/wp-content/uploads/2014/11/2008-first-round-problems.pdf>
<https://movieweb.com/iconic-fictional-languages-movies-tv-shows/>

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Why machine translation?

Early MT system: SYSTRAN

Russian to English Translation

Funded by US Air Force

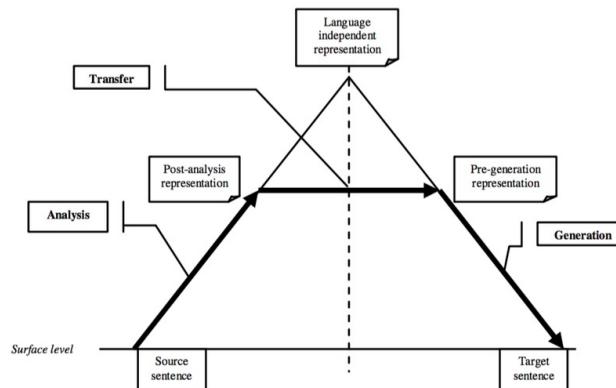
Systems today:

Google, Bing....

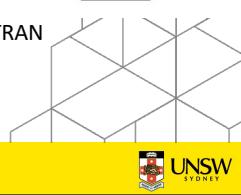
Bridge knowledge gap

Make information accessible

"Connect people!"



Rule-based MT: SYSTRAN



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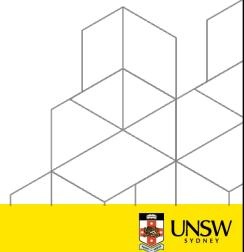
MT using zero-shot prompting

```
In [1]: from transformers import T5Tokenizer, T5ForConditionalGeneration
tokenizer = T5Tokenizer.from_pretrained("google/flan-t5-large")
model = T5ForConditionalGeneration.from_pretrained("google/flan-t5-large")

input_text = "translate English to German: How old are you?"
input_ids = tokenizer(input_text, return_tensors="pt").input_ids

outputs = model.generate(input_ids)
print(tokenizer.decode(outputs[0]))
```

<pad> Wie alte sind Sie?</s>



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Australia's
Global
University

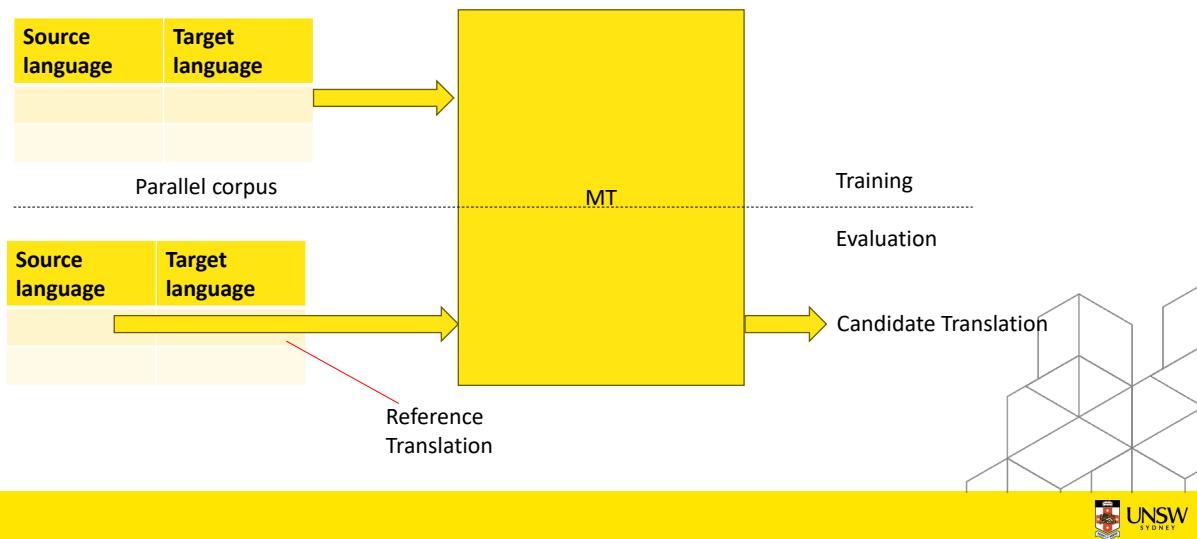
Part 1

MT Evaluation

BLEU: Papineni, Kishore, et al. "Bleu: a method for automatic evaluation of machine translation." *Proceedings of the 40th annual meeting of the Association for Computational Linguistics*. 2002.
 Sellam, Thibault, Dipanjan Das, and Ankur Parikh. "BLEURT: Learning Robust Metrics for Text Generation." *Proceedings of the 58th Annual Meeting of the Association for Computational Linguistics*. Association for Computational Linguistics, 2020.

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Evaluating MT systems



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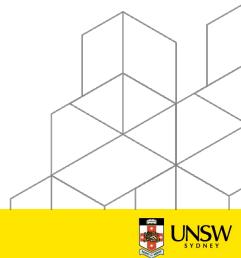
BLEU

Bilingual Evaluation Understudy
Automatic metric for the evaluation of MT

$$\text{BLEU} = \text{BP} \cdot \exp \left(\sum_{n=1}^N w_n \log p_n \right)$$

BP: Recall expressed as brevity penalty
pn: Clipped n-gram precision
wn: Fixed weight associated with a value of n

Several limitations are known; often used in conjunction with other metrics (coming up soon)



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We need a mechanism to compare pairs of sentences

Given a reference translation and an output translation, we need a metric that measures the quality of the translation.

Source sentence: The boy drinks milk.

Reference translation: Il ragazzo beve il latte

What are the possible options?

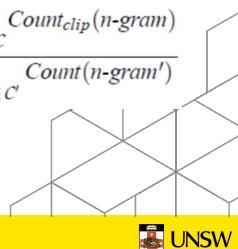
(A) What proportion of words in the output translation occur in the reference translation? (Precision)

(B) What proportion of words in the output translation occur in the reference translation, assuming a word can appear at most as many times as it does in the reference? (clipped precision)

Candidate translation	Precision	Clipped Precision
il ragazzo bevo il latte	4/5	4/5
beve beve beve beve beve	5/5	1/5
ragazzo beve beve il il	5/5	3/5

$$p_n = \frac{\sum_{C \in \{Candidates\}} \sum_{n\text{-gram} \in C} Count_{clip}(n\text{-gram})}{\sum_{C' \in \{Candidates\}} \sum_{n\text{-gram}' \in C'} Count(n\text{-gram}')}$$

Clipped precision ignores repeating words.



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... is precision enough?

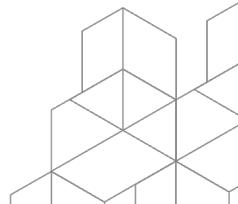
Source sentence: The boy drinks milk.

Reference translation: Il ragazzo beve il latte

(C) What proportion of words in the reference translation occur in the candidate translation? (recall)

(D) Precision takes care of matching words. Can we just look at the proportion of reference and candidate sentence lengths? (r/c)

Candidate translation	Precision	Clipped Precision	Recall	r/c
il ragazzo bevo il latte	4/5	4/5	4/5	5/5
il ragazzo	2/2	2/3	2/5	2/5
il ragazzo beve	3/3	3/3	3/5	3/5

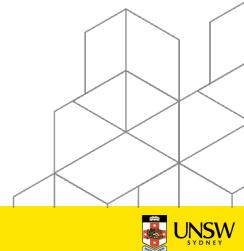
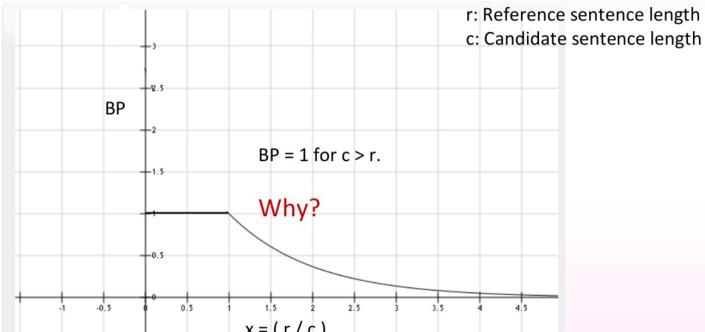


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Recall as a brevity penalty

$$\text{BP} = \begin{cases} 1 & \text{if } c > r \\ e^{(1-r/c)} & \text{if } c \leq r \end{cases}$$

r: Reference sentence length
c: Candidate sentence length



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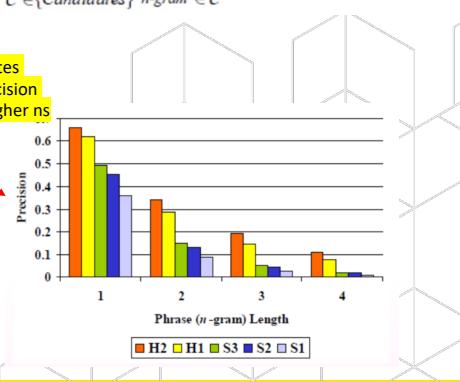
The formula for BLEU

$$\text{BLEU} = \text{BP} \cdot \exp \left(\sum_{n=1}^N w_n \log p_n \right)$$

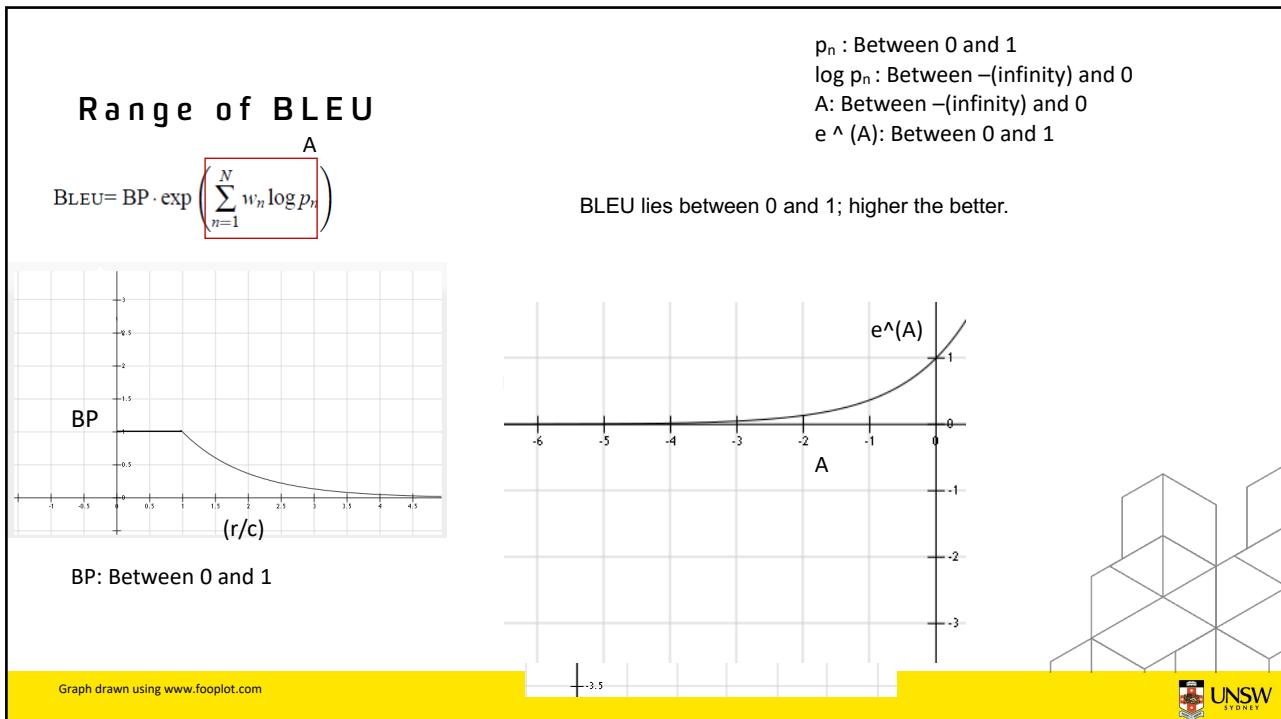
$$p_n = \frac{\sum_{C \in \{\text{Candidates}\}} \sum_{n\text{-gram} \in C} \text{Count}_{clip}(n\text{-gram})}{\sum_{C' \in \{\text{Candidates}\}} \sum_{n\text{-gram}' \in C'} \text{Count}(n\text{-gram}')}$$

Accommodates
decay in precision
values for higher ns

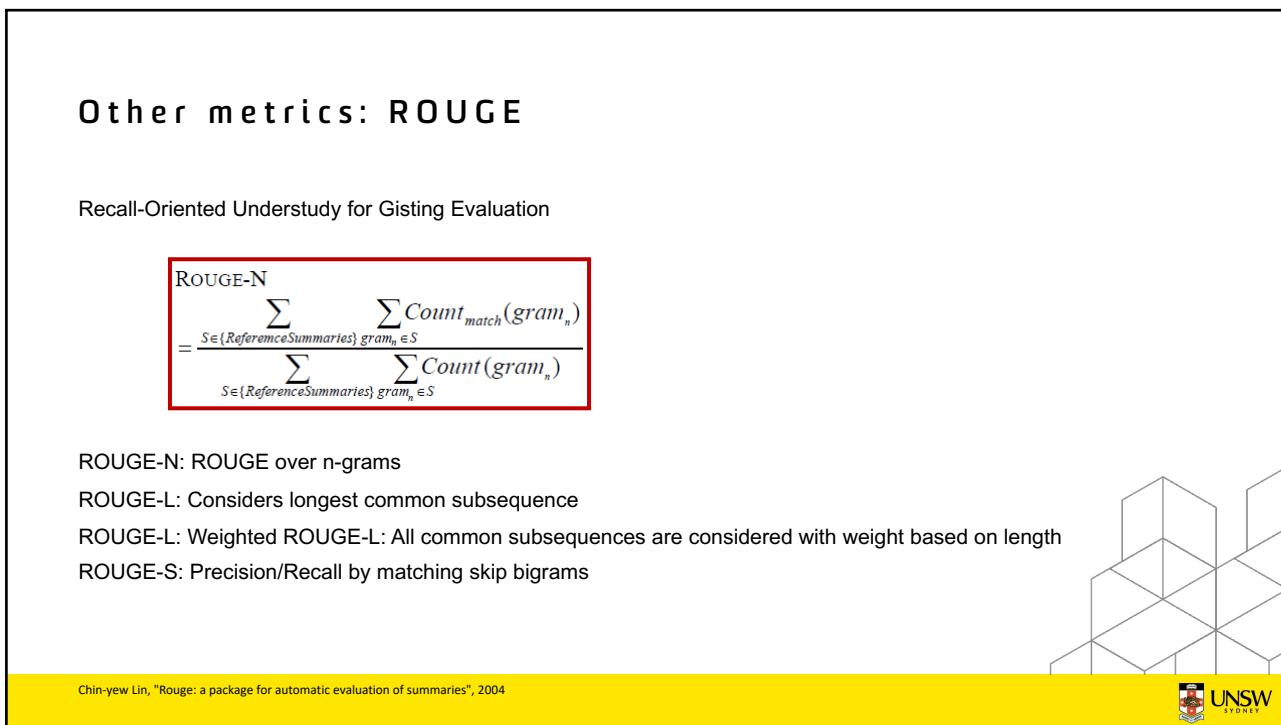
$$\text{BP} = \begin{cases} 1 & \text{if } c > r \\ e^{(1-r/c)} & \text{if } c \leq r \end{cases}$$



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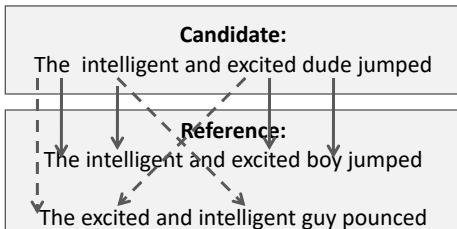
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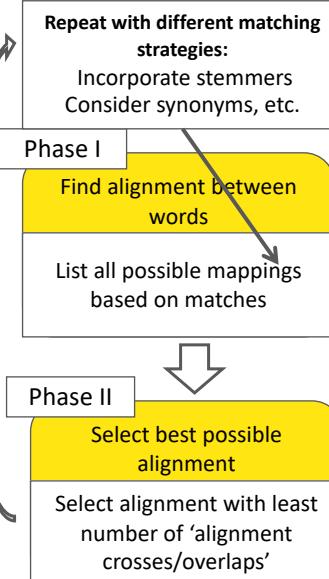
22

Other metrics: METEOR

Harmonic mean of precision and recall over unigrams



Satanjeev Banerjee and Alon Lavie, "METEOR: An Automatic Metric for MT Evaluation with Improved Correlation with Human Judgments", Proceedings of the ACL 2005 Workshop on Intrinsic and Extrinsic Evaluation Measures for MT and/or Summarization, 2005



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Manual Evaluation Strategies

Assigning fluency and adequacy scores on five (Absolute)

Adequacy: Is the meaning translated correctly?

5 = Yes

4 = Mostly

3 = Much

2 = Little

1 = None

Fluency: Is the sentence grammatically valid?

5 = Yes

4 = Mostly

3 = Much

2 = Little

1 = None

Ranking translated sentences relative to each other (Relative)

Ranking translations of syntactic constituents drawn from the source sentence (Relative)

Automated metrics like BLEU correlate poorly with human judgments. ☺

Chris Callison-Burch, Cameron Fordyce, Philipp Koehn, Christof Monz and Josh Schroeder, "(Meta-) Evaluation of Machine Translation", ACL Workshop on Statistical Machine Translation 2007



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BLEU + BERT = BLEURT

Text generation metric based on BERT

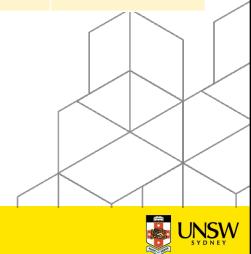
Step 1: Create synthetic data for a regression task: sentence pair \rightarrow score

sentence1
sentence2
sentence3
....

1. BERT mask-filling
2. Backtranslation
3. Random word-dropping

Perturbation of sentence1
Perturbation of sentence2
Perturbation of sentence3
....

Reference	Candidate	Expected output label
sentence1	perturb1	BLEU(sentence1, perturb1)
sentence2	perturb2	BLEU(sentence2, perturb2)
....		



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BLEU + BERT = BLEURT

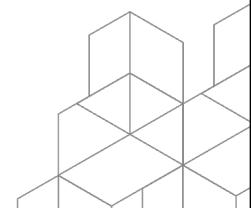
Text generation metric based on BERT

Step 2: Fine-tune on human ratings

Reference	Candidate	Human rating
refsentence1	candsentenc e1	hr1
refsentence2	candsentenc e2	hr2
....		



Synthetic data based on BLEU scores Human rating data



Sellam, Thibault, Dipanjan Das, and Ankur Parikh. "BLEURT: Learning Robust Metrics for Text Generation." *Proceedings of the 58th Annual Meeting of the Association for Computational Linguistics*. Association for Computational Linguistics, 2020.

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In [1]: `from nltk.translate import bleu_score
reference1 = "The cat is out of the bag"
reference2 = "The cat is outside the bag"`

In [2]: `candidate = "The cat is in the bag"
BLEUscore = bleu_score.sentence_bleu([reference1.split(), reference2.split()], candidate.split())
print(BLEUscore)`

In [3]: `from rouge_score import rouge_scorer
scorer = rouge_scorer.RougeScorer(['rouge1', 'rougeL'], use_stemmer=True)
scores = scorer.score(reference1, candidate)`

In [4]: `scores`
Out[4]: `{'rouge1': Score(precision=0.8333333333333334, recall=0.7142857142857143, fmeasure=0.7692307692307692),
'rougeL': Score(precision=0.8333333333333334, recall=0.7142857142857143, fmeasure=0.7692307692307692)}`

In [5]: `from bleurt import score`

In [7]: `scorer = score.BleurtScorer()
scores = scorer.score(references=[reference1], candidates=[candidate])
assert isinstance(scores, list) and len(scores) == 1
print(scores)`
[0.4304013252258301]

Available in
in-class
demos.

 UNSW
SYDNEY

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Some parallel datasets

EuroParl

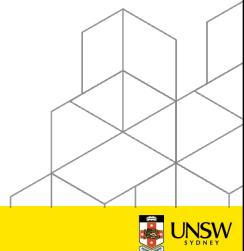
Proceedings of the European Parliament; 21 European languages

United Nations parallel corpus

Six languages: Arabic, Chinese, English, French, Russian, Spanish

OpenSubtitles corpus

Movie and TV subtitles



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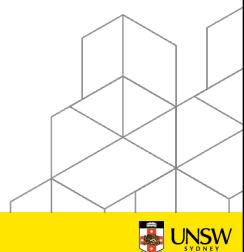
Noisy-channel model

Remember the opening quote of this module.

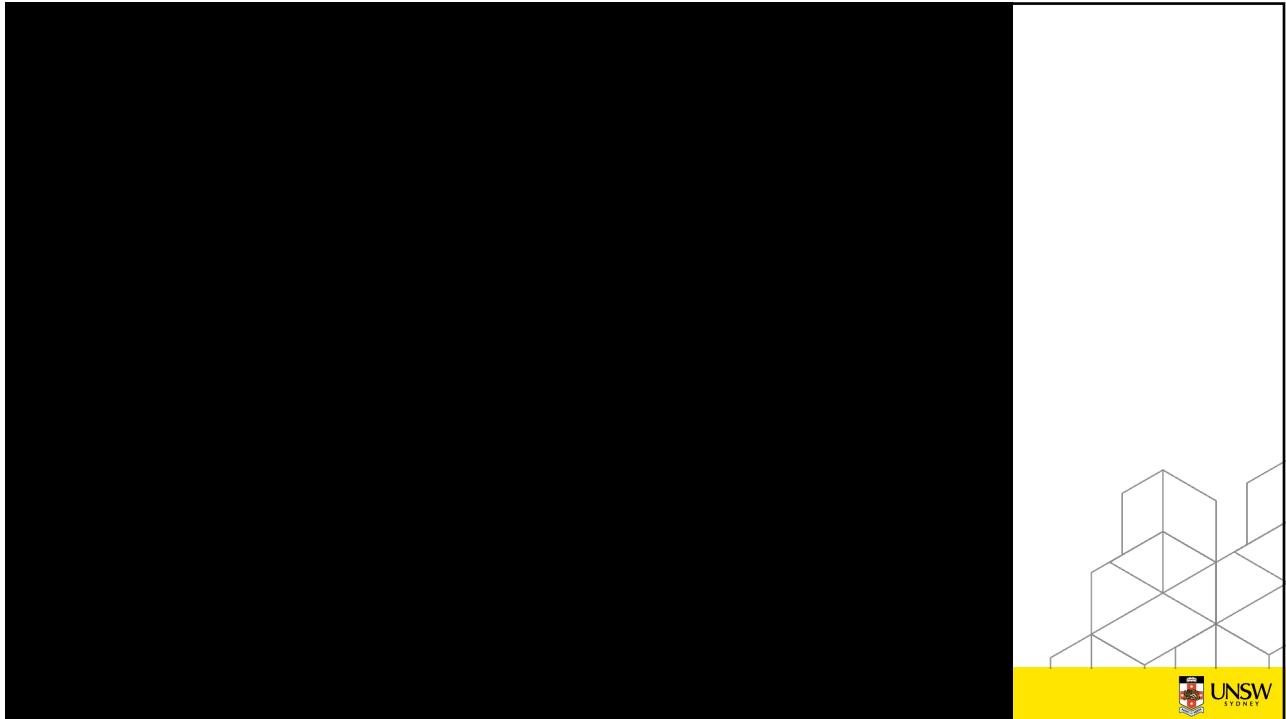
Noisy channel:

A noisy channel distorts the input signal

Can we recover the input signal based on the output obtained at the end of the noisy channel?



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Language model $P(t)$

$P(t)$ is the probability of a target language sentence

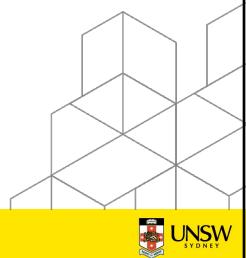
Joint probability over words

Can be learned from an unsupervised corpus

Reflects fluency of a sentence

Remember: perplexity?

Challenge: Can you think of ways in which $P(t)$ can be used to prefer certain 'style' (e.g.: newspaper headlines?)



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IBM Models



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Expectation-maximization algorithm



We need alignments to compute the translations... and we need the translations to compute the alignment **Demo time!**

Expectation-Maximization (EM) Algorithm

Initialise model parameters

Assign probabilities to missing data : E step

Estimate model parameters from data : M step

Repeat E-M steps

Example EM for MT

Initialise word translation ("tr" function): (a) uniform priors, (b) use a dictionary?

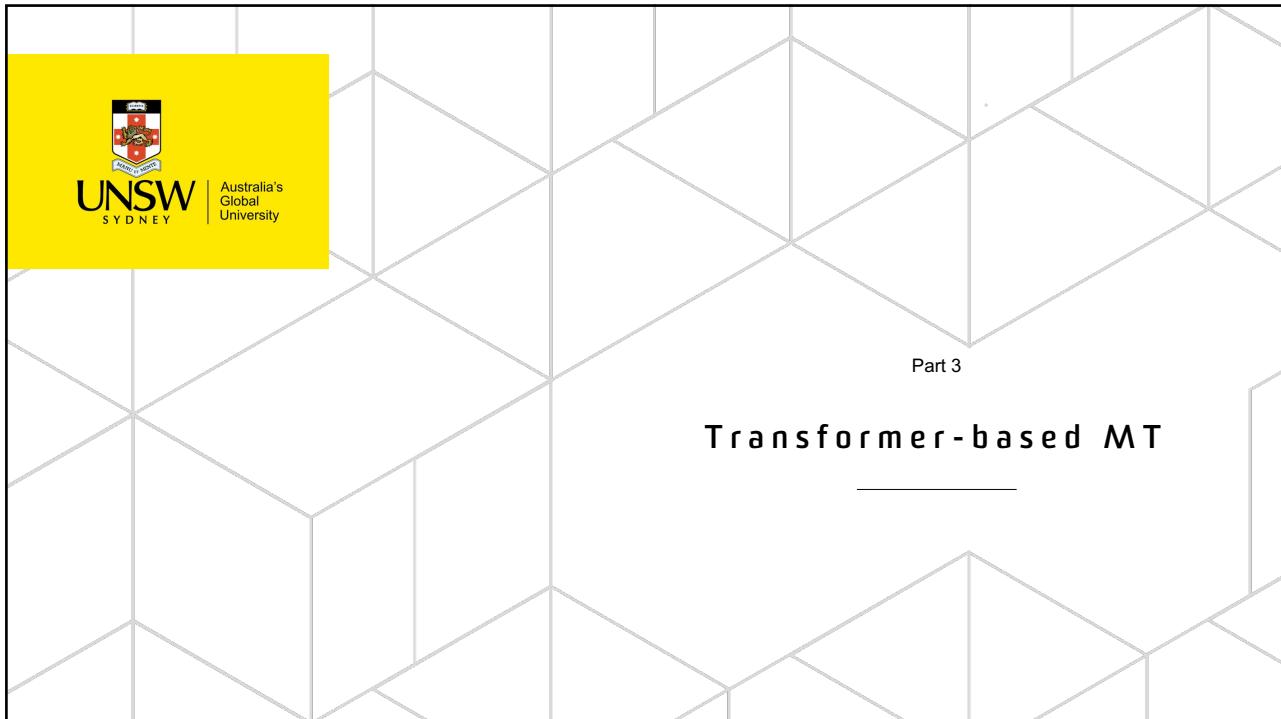
Compute alignments and resultant probabilities

Remember the alignment will be a 'probability'. Therefore, you will end up needing to update the 'tr' function.

Repeat.



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The slide has a light gray background with a large, abstract geometric pattern of intersecting lines and shapes in the upper half. In the lower half, there is a solid yellow horizontal bar. On the right side of this bar, there is a small graphic of the UNSW Sydney crest and the text "UNSW SYDNEY". Inside the yellow bar, the word "Core idea" is written in a bold, sans-serif font. Below it, there is a block of text: "Many practical NLP tasks can be cast as word prediction" and "A powerful-enough language model can solve them with a high degree of accuracy". At the bottom of the slide, the number "36" is written in a small, black, sans-serif font.

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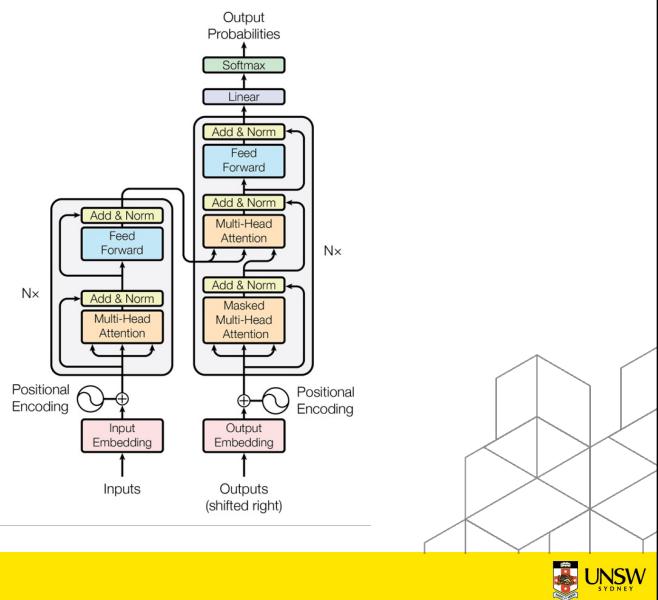
Transformer: Recap

Transformer is a seq2seq architecture (Week 3)

Encoder-Decoder

Multi-head attention

Decoder generates the output sequence



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Transformer Decoder: Recap

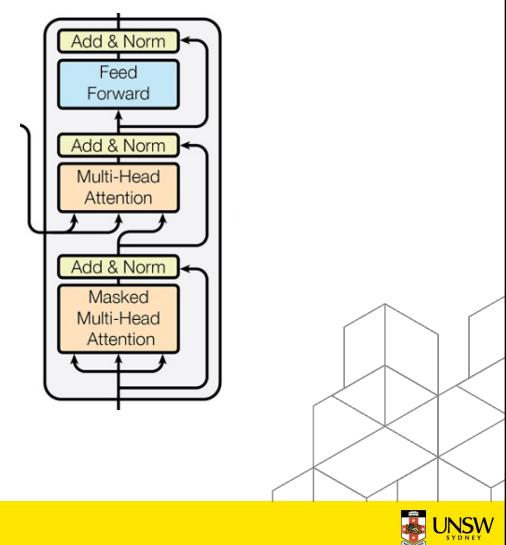
Decoder uses two types of attention:

Masked multi-head attention

For position i , this is computed only over positions 0 to $i-1$

Multi-head (cross) attention

Use output of the encoder to compute attention; apply to the output of the current decoder

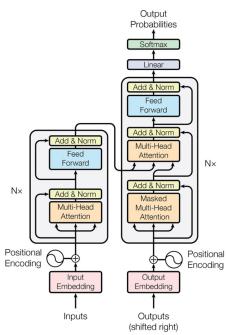


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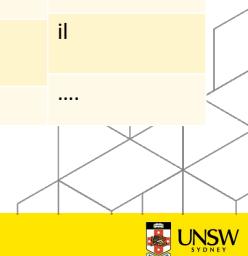
During training...

Teacher forcing: During training, the input sequences are always as present in the training set. The observed output is used to compute loss and update parameters.



the boy drinks milk
il ragazzo beve il latte

Input Sequence	Output Sequence	Expected Output	Observed Output
the boy drinks milk	<s>	il	ragazzo
the boy drinks milk	<s> il	ragazzo	mangia
the boy drinks milk	<s> il ragazzo	beve	il
....



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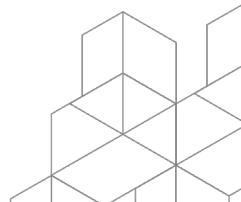
Side-note: OpenNMT

Open-source neural machine translation

Maintained by SYSTRAN and Ubiqus

Pytorch: <https://github.com/OpenNMT/OpenNMT-py>

A good tutorial by Yasmin Moslem: <https://github.com/ymoslem/OpenNMT-Tutorial>



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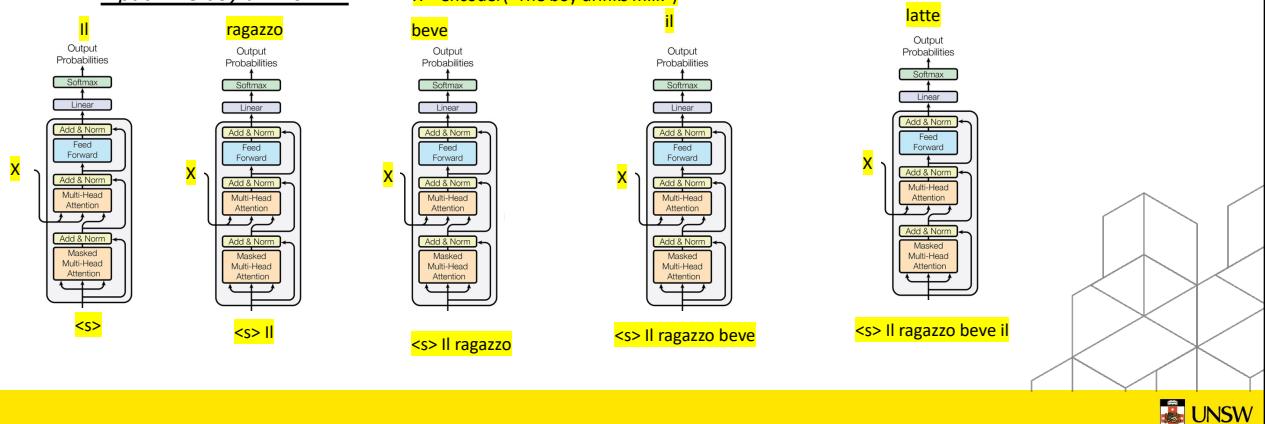
Transformer Decoding (During inference)

Use a pre-trained/fine-tuned Transformer model to generate an output sequence

Auto-regressive decoding: Generate words one after the other (from left to right; or right-to-left)

Input: The boy drinks milk

$X = \text{encoder}(\text{"The boy drinks milk"})$



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Decoding

Desirables:

- Variability/diversity of output
- Coherence with respect to input

Strategies:

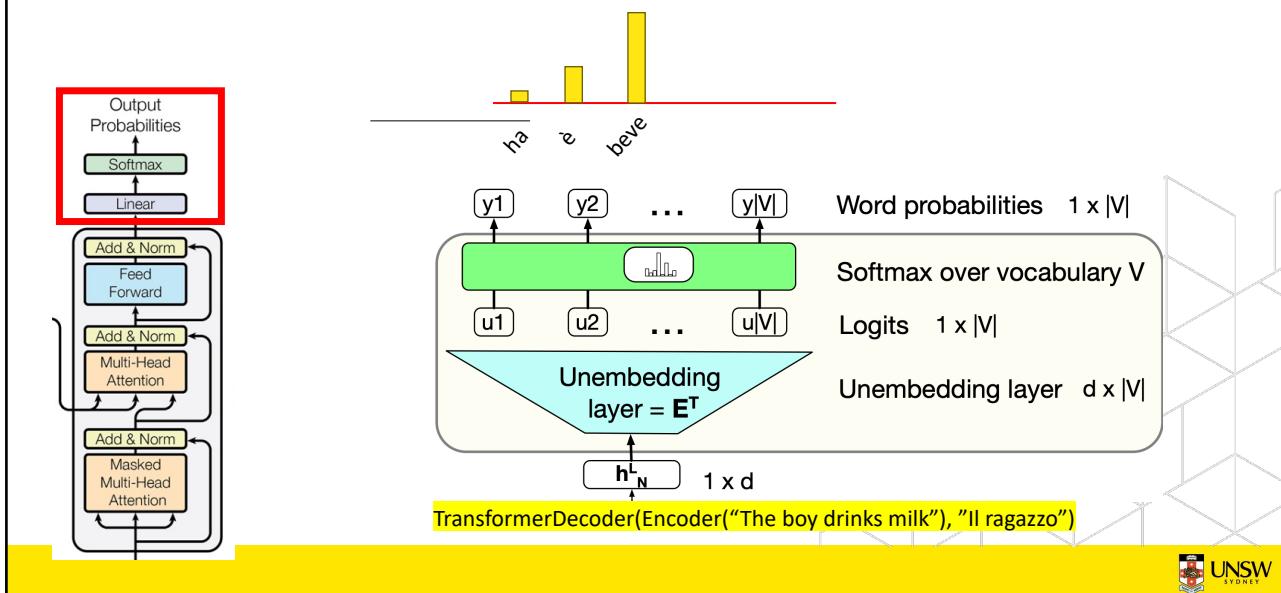
- Greedy decoding
- Decoding by sampling
- Beam search

Refer to Chapter 10 of Jurafsky-Martin for greedy decoding and sampling.



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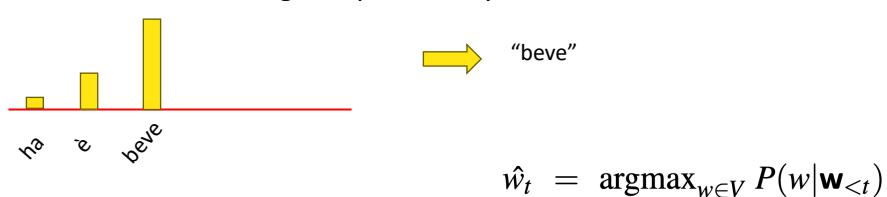
Let's revisit the Language Model Head...



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Greedy decoding

Generate a word with the highest probability



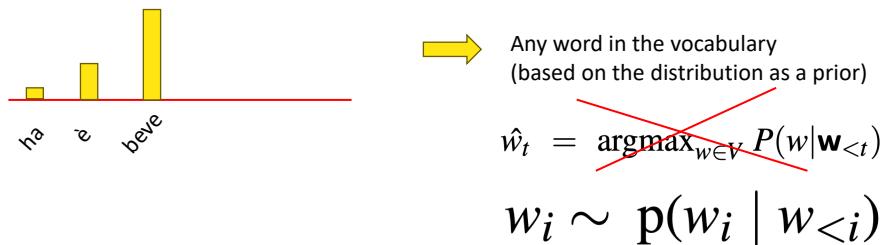
- The same output sequence for a given input sequence
- Therefore, no variability/diversity in output
- If the output is wrong, it will always be wrong (deterministic!)



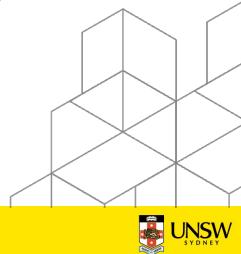
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Decoding by sampling (1/3)

Random sampling: Generate a word by ‘sampling’ from the distribution



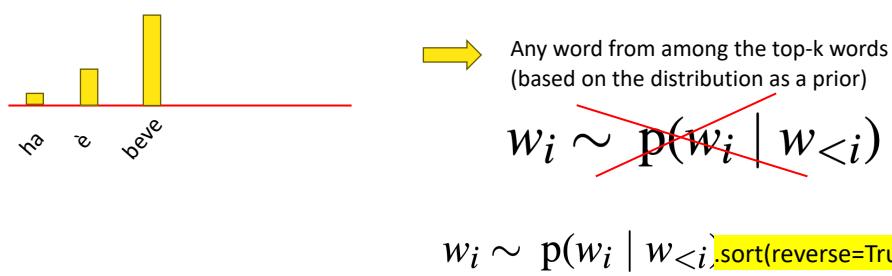
- Different output sequence for a given input sequence
- Some variability/diversity in output
- Because ‘any’ word can be generated, some outputs may be really weird



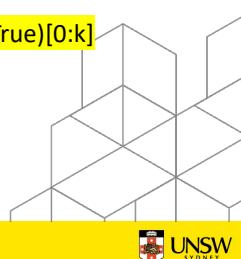
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Decoding by sampling (2/3)

Top-k sampling: Generate a word by ‘sampling’ from the top-k words



- k is a parameter
- More controlled than random sampling; less deterministic than greedy decoding (when k>1)
- k=1 -> Greedy decoding



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Decoding by sampling (3/3)

Other strategies

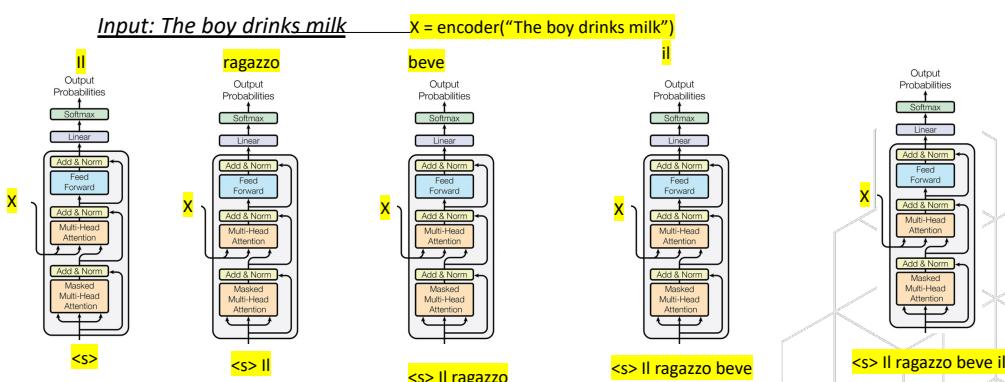
- top-p sampling: Probability mass p instead of number of words k
- Temperature sampling: Re-shape the distribution



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Is this the only way to generate output?

Can we generate MULTIPLE possible sequences and then output the 'best'?
Recall Viterbi decoding!



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Beam search

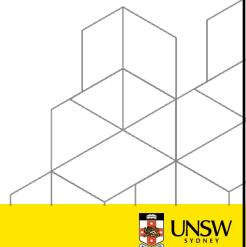
A useful algorithm for decoding using Transformer

Key idea:

Store multiple choices for incomplete sequences.

Pick the best sequence when you have generated the complete output sequences.

Typical beam widths for MT: 5-10



Fun fact: Beam search was first introduced in a PhD Thesis in 1976! (B T Lowerre, CMU)

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Pseudocode

```

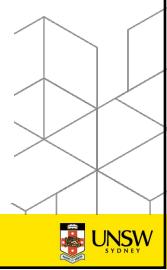
beam width -> # options to consider
Start with an empty output string
frontier: 'leaf' on the generation path
Consider all frontiers and generate the next word
If you encounter end of sequence, decrement beam width
Select beam-width number of paths

```

```

function BEAMDECODE( $c$ , beam_width) returns best paths
     $y_0, h_0 \leftarrow 0$ 
     $path \leftarrow ()$ 
     $complete\_paths \leftarrow ()$ 
     $state \leftarrow (c, y_0, h_0, path)$  ;initial state
     $frontier \leftarrow \{state\}$  ;initial frontier
    while frontier contains incomplete paths and beamwidth > 0
         $extended\_frontier \leftarrow \langle \rangle$ 
        for each state in frontier do
             $y \leftarrow DECODE(state)$ 
            for each word  $i \in Vocabulary$  do
                successor  $\leftarrow NEWSTATE(state, i, y_i)$ 
                extended_frontier  $\leftarrow ADDTOBEAM(successor, extended\_frontier, beam\_width)$ 
        for each state in extended_frontier do
            if state is complete do
                complete_paths  $\leftarrow APPEND(complete\_paths, state)$ 
                extended_frontier  $\leftarrow REMOVE(extended\_frontier, state)$ 
                beam_width  $\leftarrow beam\_width - 1$ 
            frontier  $\leftarrow extended\_frontier$ 
        return completed_paths
    function NEWSTATE(state, word, word_prob) returns new state
    function ADDTOBEAM(state, frontier, width) returns updated frontier
        if LENGTH(frontier) < width then
            frontier  $\leftarrow INSERT(state, frontier)$ 
        else if SCORE(state) > SCORE(WORSTOF(frontier))
            frontier  $\leftarrow REMOVE(WORSTOF(frontier))$ 
            frontier  $\leftarrow INSERT(state, frontier)$ 
        return frontier

```



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Example



Demo time!



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Aharoni, Roee, Melvin Johnson, and Orhan Firat. "Massively Multilingual Neural Machine Translation." *NAACL 2019*.
 Raffel, Colin, et al. "Exploring the limits of transfer learning with a unified text-to-text transformer." *Journal of machine learning research*. 2020.
 Wang, Yizhong, et al. "Self-Instruct: Aligning Language Models with Self-Generated Instructions." *The 61st Annual Meeting Of The Association For Computational Linguistics*. 2023.
 Alves, Duarte M., et al. "Tower: An Open Multilingual Large Language Model for Translation-Related Tasks." *arXiv preprint arXiv:2402.17733* (2024).

Part 4

LLM-based MT

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Mu^lti-lingual Neural Machine Translation (MNMT)

Ability to translate from multiple source and target languages

Data:

- Parallel corpora for multiple pairs of languages

- Often English-centric

Typical techniques:

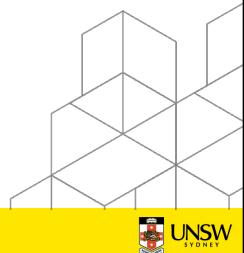
- Continued pre-training

- Instruction tuning

Examples of models:

- TowerLLM [1]

- Marian NMT [2]



[1] <https://unbabel.com/announcing-tower-an-open-multilingual-lm-for-translation-related-tasks/>
 [2] <https://mariannmt.github.io/docs/>

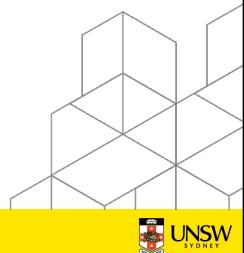
53

.. so how does the model know which language to translate to?

Option 1: Special token at the beginning of the data

How are you? -> ¿Cómo estás?

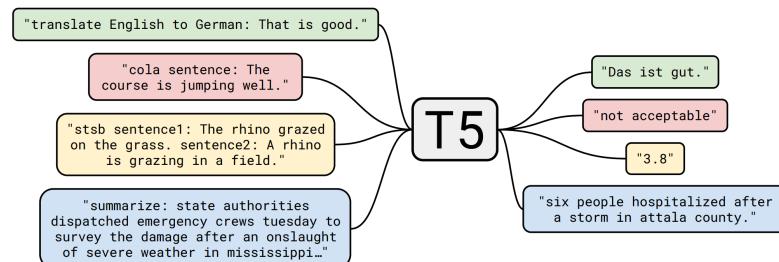
<2es> How are you? -> ¿Cómo estás?



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Option 2: Instruction tuning

Convert all tasks to an “instruction: input -> output format”



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Instruction tuning

Concatenate Instruction and Input to form the input to a decoder-only model
Fine-tune the model to learn to generate the correct output



Classification tasks:
Generate the output label
Generate the instance based on the task and the output label.

Generation tasks:
Generate the input sequence
Generate the output based on the input sequence.

← What would this look like for MT?

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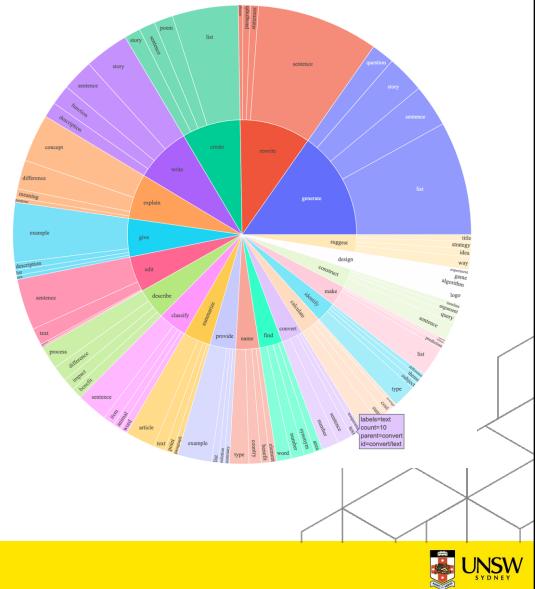
Figure 2: A high-level overview of SELF-INSTRUCT. The process starts with a small seed set of tasks as the task pool. Random tasks are sampled from the task pool, and used to prompt an off-the-shelf LM to generate both new instructions and corresponding instances, followed by filtering low-quality or similar generations, and then added back to the initial repository of tasks. The resulting data can be used for the instruction tuning of the language model itself later to follow instructions better. Tasks shown in the figure are generated by GPT3.



Stanford Alpaca: Instruction-following LLaMA model

Based on LLaMA: Large Language Model Meta AI
Instruction-tuned on a large set of tasks

Let's explore the github repo:
https://github.com/tatsu-lab/stanford_alpaca



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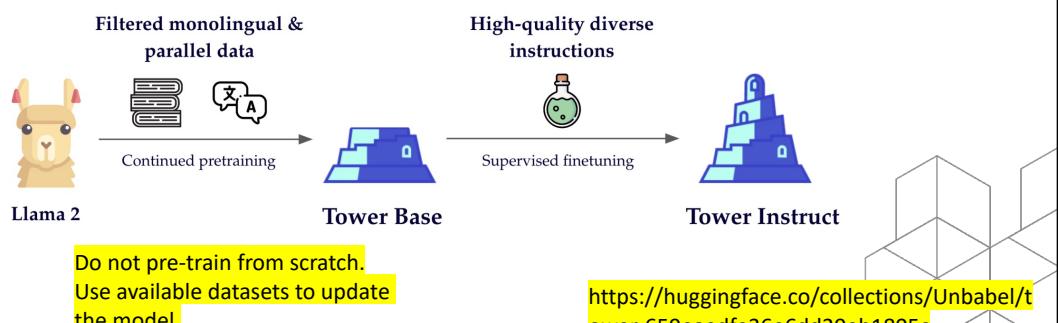
TowerLLM



Open Multilingual Large Language Model for Translation-Related Tasks

Demo time!

10 languages: English (en), German (de), French (fr), Dutch (nl), Italian (it), Spanish (es), Portuguese (pt), Korean (ko), Russian (ru), and Chinese (zh).



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The slide features the UNSW Sydney logo in the top left corner, consisting of a yellow square with the university's crest and the text "UNSW SYDNEY Australia's Global University". The background is a light gray with a complex, abstract geometric pattern of overlapping triangles and lines forming a hexagonal-like structure.

Part 5

Special cases of MT

Arteche, Mikel, et al. "Unsupervised Neural Machine Translation." *International Conference on Learning Representations*. 2018.

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Assumption so far

The assumption so far is that sufficient parallel data is available.
Not the case for many languages/dialects of languages.

"MT in low-resource setting"

Let's say: Arabic to Italian MT

A yellow horizontal bar is located at the bottom of the slide. In the bottom right corner of this bar, there is a small UNSW Sydney logo.

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Pivot-based MT

Case 1: Parallel data between source and target languages is not available



Sentence in Arabic \rightarrow Arabic \rightarrow English \rightarrow English \rightarrow Italian \rightarrow Sentence in Italian

Pivot language:
English

The choice of pivot language may depend on language similarity.

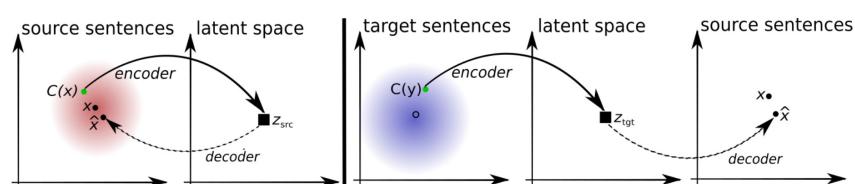


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Unsupervised MT

Case 2: Parallel data between source and target languages is limited, but monolingual data in the two languages is available

What is the 'extreme' condition?



$C(x) \rightarrow$ Noisy input (Perturbations)

Learn a common embedding space for the two languages

Image from: <https://arxiv.org/pdf/1711.00043.pdf>



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Unsupervised MT: Denoising & Backtranslation

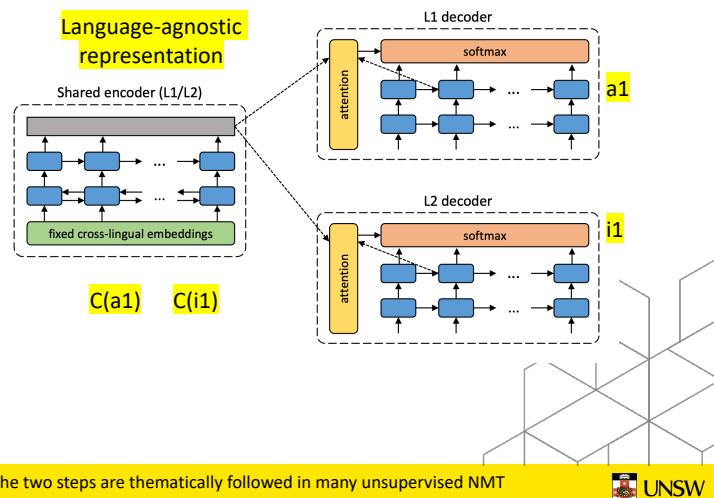
Two alternating steps:

→ (1) Denoising

(2) Back-translation

Arabic
a1

Italian
i1



Note: The diagram uses pre-Transformer encoder/decoders. The two steps are thematically followed in many unsupervised NMT



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Unsupervised MT: Denoising & Backtranslation

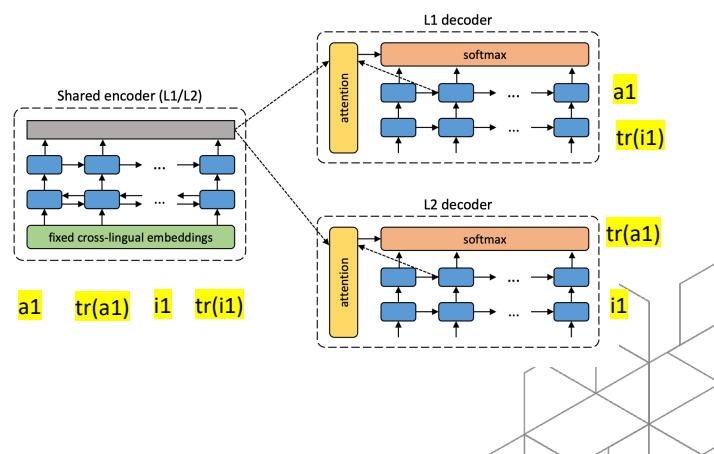
Two alternating steps:

(1) Denoising

→ (2) Back-translation

Arabic
a1

Italian
i1



Try this library: <https://github.com/artexm/monoses>

Note: The diagram uses pre-Transformer encoder/decoders. The two steps are thematically followed in many unsupervised NMT



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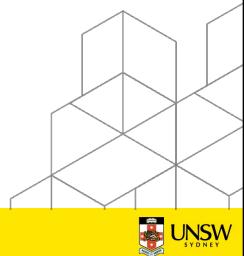
Post-editing for MT

Post-editing: Humans may edit the output of an MT system



Can the post-editing be automated?

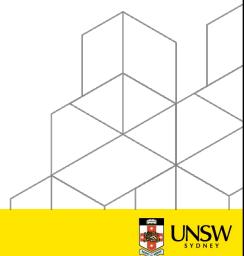
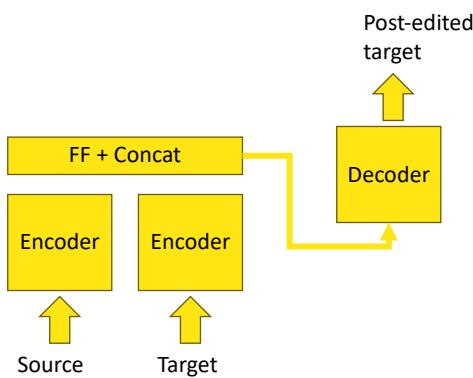
Can you think of rule-based methods?



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Automatic Post-editing (APE) for MT

Automatic correction of the output of an MT system



66

Summary

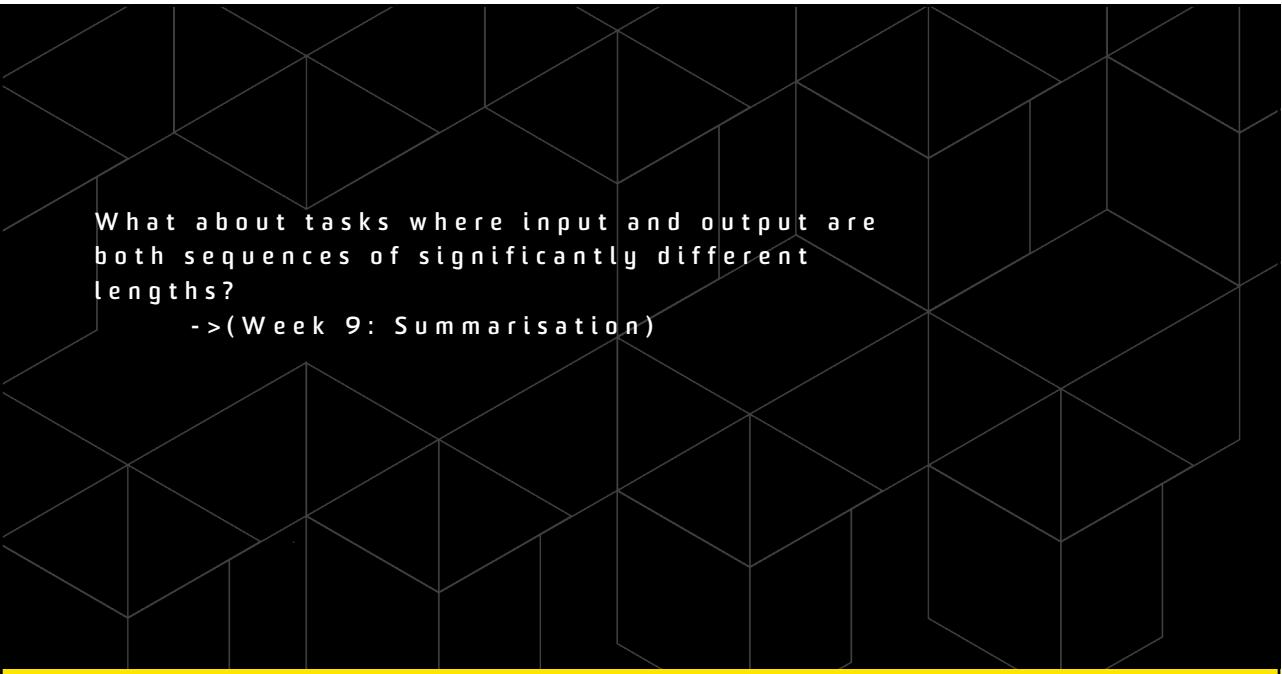
Part	Key Concepts	Demos
Introduction	Terminology; history	Zero-shot MT
MT Evaluation	BLEU, METEOR, ROUGE, BLEURT	Libraries to compute the metrics
Statistical MT	Alignment & language models; IBM models	-
Transformer & MT	Transformer decoding: Greedy/sampling/beam search	OpenNMT tutorial Decoding strategies
LLM-based MT	Instruction tuning TowerLLM	Instruction tuning for MT
Special cases of MT	Pivot-based MT Unsupervised MT: Denoising & backtranslation Automatic post-editing	



Advanced/Optional Reading

- Tay, Yi, et al. "Efficient transformers: A survey." *ACM Computing Surveys* 55.6 (2022): 1-28.
- Maruf, Sameen, Fahimeh Saleh, and Gholamreza Haffari. "A survey on document-level neural machine translation: Methods and evaluation." *ACM Computing Surveys (CSUR)* 54.2 (2021): 1-36.
- Ranathunga, Surangika, et al. "Neural machine translation for low-resource languages: A survey." *ACM Computing Surveys* 55.11 (2023): 1-37.
- Apidianaki, Marianna. "From word types to tokens and back: A survey of approaches to word meaning representation and interpretation." *Computational Linguistics* 49.2 (2023): 465-523.
- Tang, Yuqing, et al. "Multilingual translation with extensible multilingual pretraining and finetuning." arXiv preprint arXiv:2008.00401 (2020).





What about tasks where input and output are both sequences of significantly different lengths?

->(Week 9: Summarisation)

