
COMP9319 Web Data Compression and Search

LZW,
Adaptive Huffman,
(live lecture)

Note: LZW decoding

- There is one special case that the LZW decoding pseudocode presented is unable to handle.
- This is your exercise to find out in what situation that happens, and how to deal with it.
- I'll go through this at the live lecture.

LZW Notes

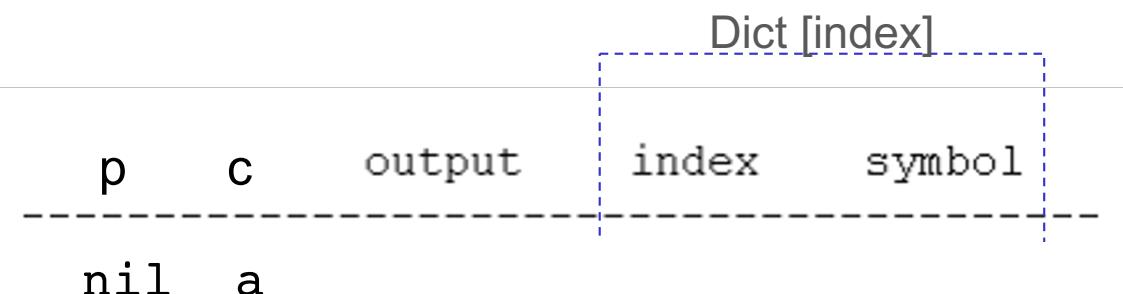
(Handling the special case)

Encoding

Input: abababab

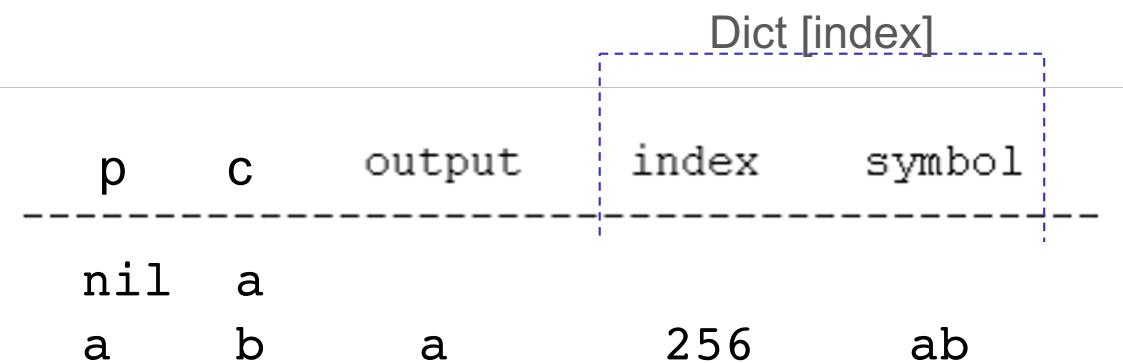


```
p = nil; // p for prev char
while read(c):
    if pc ∈ Dict:
        p = pc;
    else:
        add pc to Dict;
        output code(p);
        p = c;
```



Encoding

Input: abababab



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    else:
        add pc to Dict;
        output code(p);
        p = c;
```

Encoding

Input: abababab



p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba

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p = nil; // p for prev char
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Encoding

Input: abababab



p	c	output	index	symbol
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a	b	a	256	ab
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Encoding

Input: abababab



p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba
a	b			
ab	a	256	258	aba

```
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Encoding

Input: abababab



p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba
a	b			
ab	a	256	258	aba
a	b			

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Encoding

Input: abababab



p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba
a	b			
ab	a	256	258	aba
a	b			
ab	a			

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p = nil; // p for prev char
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        p = c;
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Encoding

Input: abababab



p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba
a	b			
ab	a	256	258	aba
a	b			
ab	a			
aba	b	258	259	abab

```
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while read(c):
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        p = pc;
    else:
        add pc to Dict;
        output code(p);
        p = c;
```

Encoding

Input: abababab



p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba
a	b			
ab	a	256	258	aba
a	b			
ab	a			
aba	b	258	259	abab
b	EOF	b		

```
p = nil; // p for prev char
while read(c):
    if pc ∈ Dict:
        p = pc;
    else:
        add pc to Dict;
        output code(p);
        p = c;
```

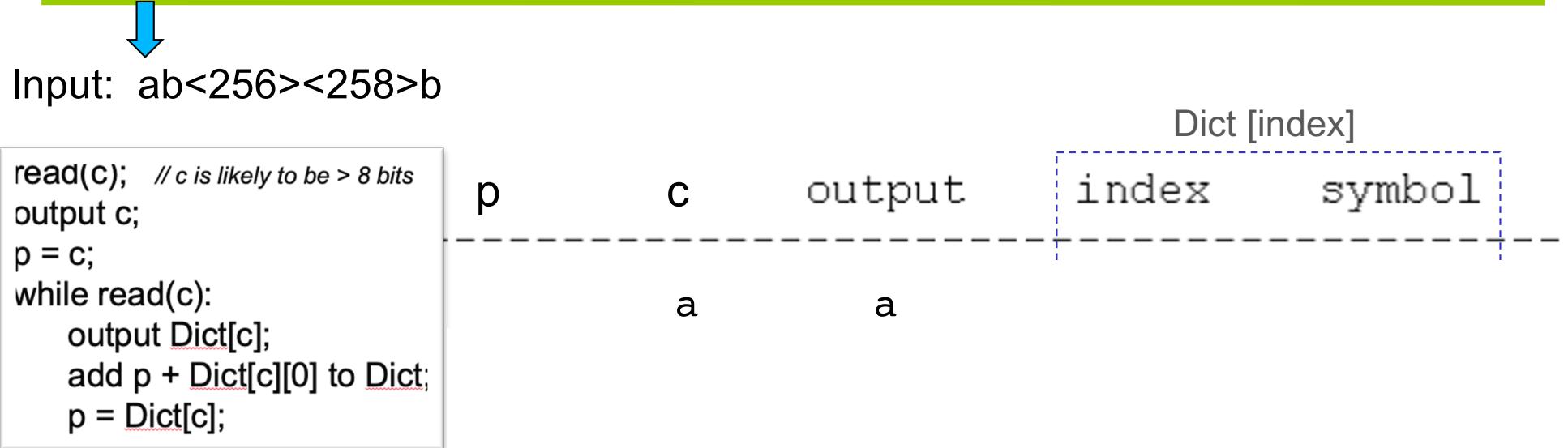
Encoding

Input: abababab

p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba
a	b			
ab	a	256	258	aba
a	b			
ab	a			
aba	b	258	259	abab
b	EOF	b		

```
p = nil; // p for prev char
while read(c):
    if pc ∈ Dict:
        p = pc;
    else:
        add pc to Dict;
        output code(p);
        p = c;
```

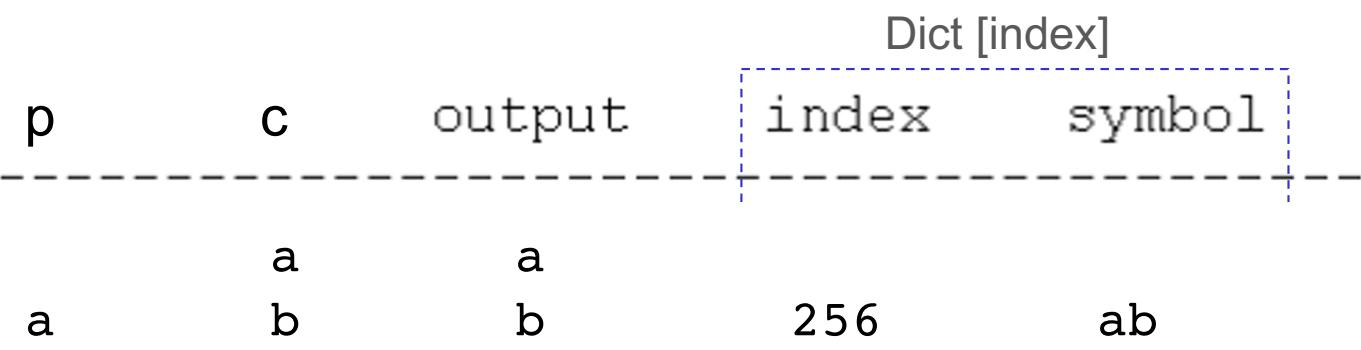
Decoding



Decoding

Input: ab<256><258>b

```
read(c); // c is likely to be > 8 bits  
output c;  
p = c;  
while read(c):  
    output Dict[c];  
    add p + Dict[c][0] to Dict;  
    p = Dict[c];
```



Decoding

Input: ab<256><258>b

```
read(c); // c is likely to be > 8 bits  
output c;  
p = c;  
while read(c):  
    output Dict[c];  
    add p + Dict[c][0] to Dict;  
    p = Dict[c];
```

p	c	output	Dict [index]	
a	a	a	index	symbol
b	b	b	256	ab
b	<256>	ab	257	ba

Decoding

Input: ab<256><258>b

```
read(c); // c is likely to be > 8 bits
output c;
p = c;
while read(c):
    output Dict[c];
    add p + Dict[c][0] to Dict;
    p = Dict[c];
```

p	c	output	Dict [index]	
			index	symbol
	a	a		
a	b	b	256	ab
b	<256>	ab	257	ba
<256>	<258>			WHAT???

Encoding

Input: abababab

p	c	output	index	symbol
nil	a			
a	b	a	256	ab
b	a	b	257	ba
a	b			
ab	a	256	258	<u>aba</u>
a	b			
ab	a			
<u>aba</u>	b	258	259	abab
b	EOF	b		

```
p = nil; // p for prev char
while read(c):
    if pc ∈ Dict:
        p = pc;
    else:
        add pc to Dict;
        output code(p);
        p = c;
```

Decoding

Input: ab<256><258>b

```
read(c); // c is likely to be > 8 bits  
output c;  
p = c;  
while read(c):  
    output Dict[c];  
    add p + Dict[c][0] to Dict;  
    p = Dict[c];
```

p	c	output	Dict [index]	index	symbol
	a	a			
a	b	b	256	ab	
b	<256>	ab	257	ba	
<256>	<258>	<u>ab</u> a	258	aba	

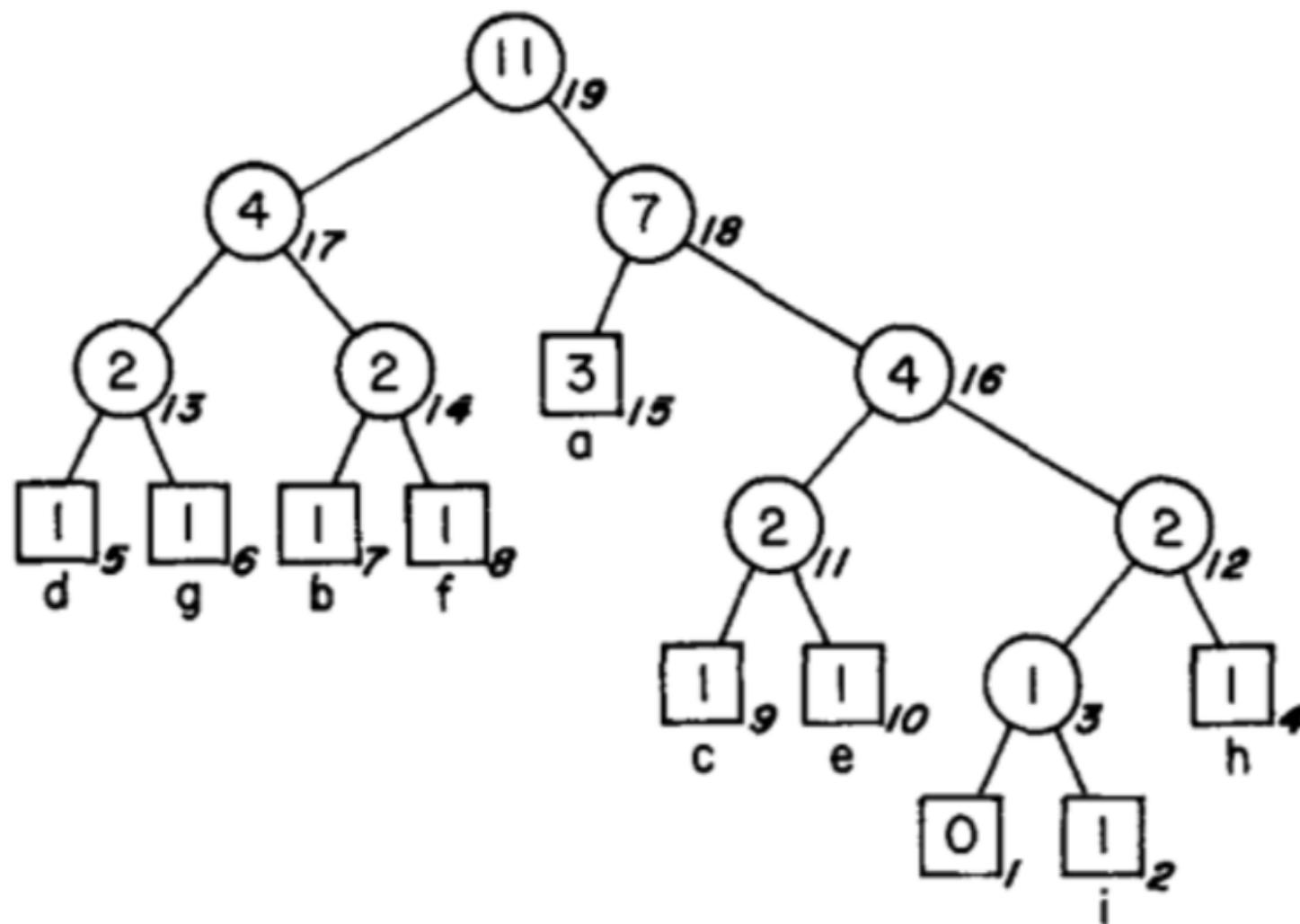
Decoding

Input: ab<256><258>b

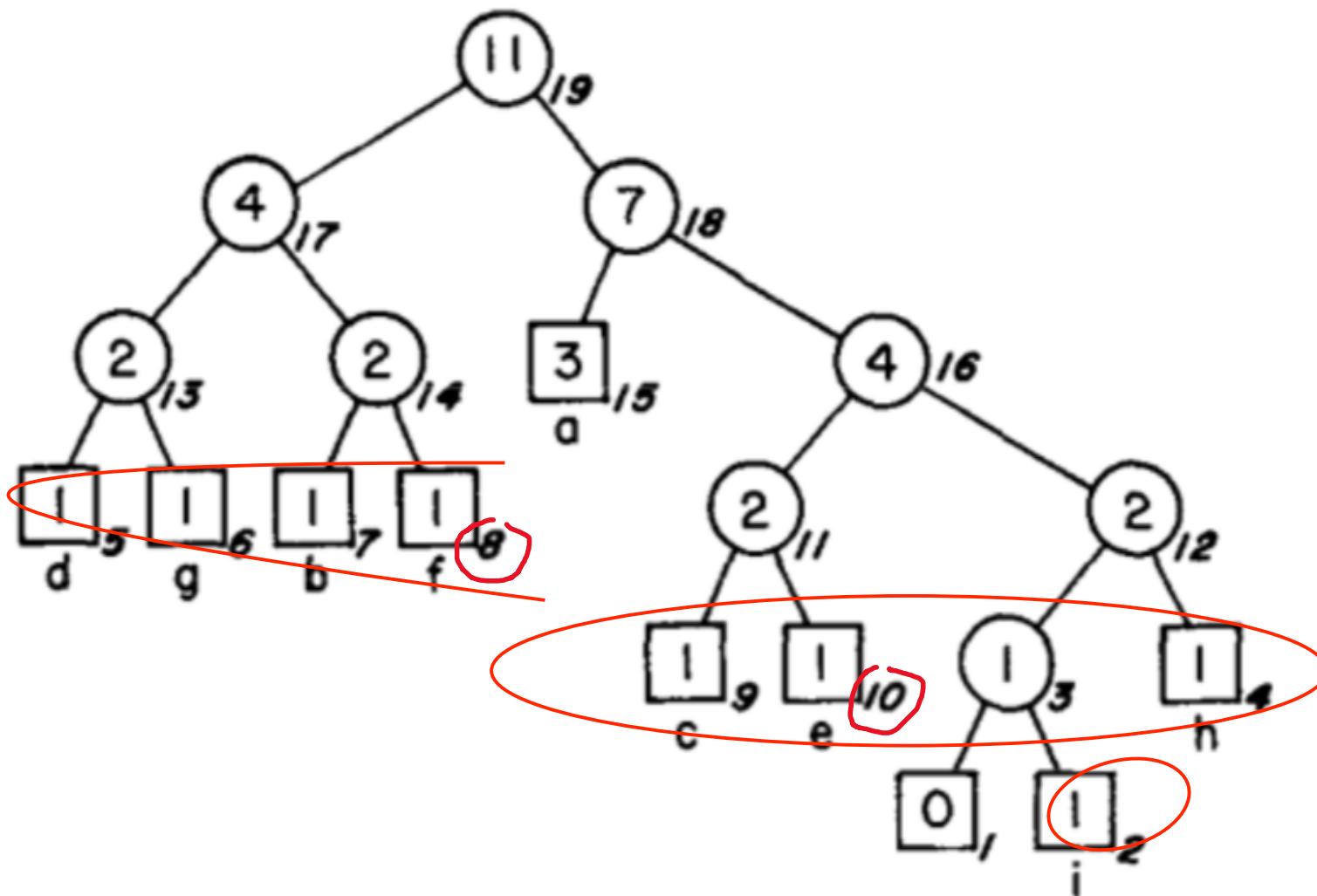
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read(c); // c is likely to be > 8 bits
output c;
p = c;
while read(c):
    output Dict[c];
    add p + Dict[c][0] to Dict;
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```

p	c	output	Dict [index]	
			index	symbol
	a	a		
a	b	b	256	ab
b	<256>	ab	257	ba
<256>	<258>	<u>ab</u> a	258	aba
<258>	b	b	259	abab

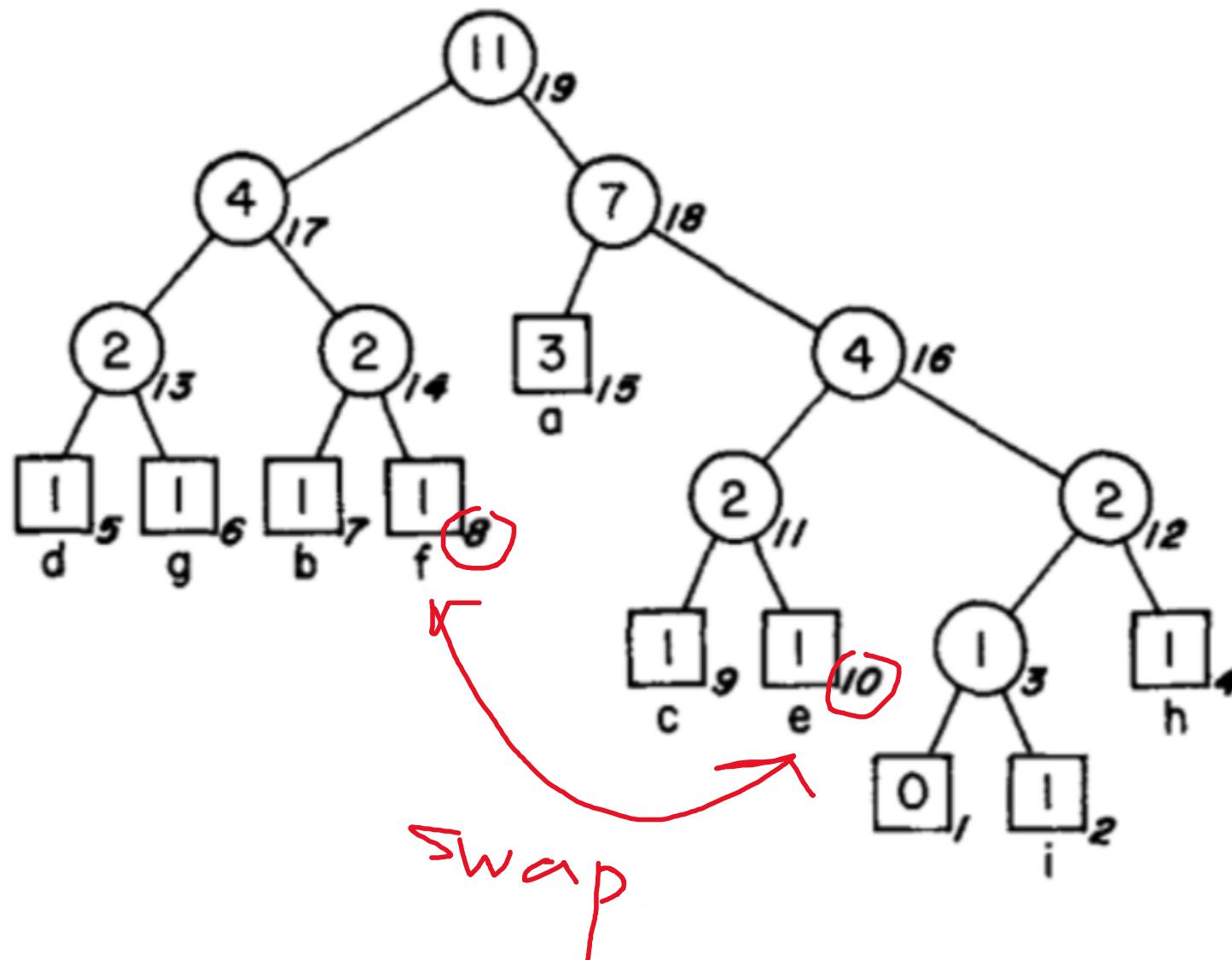
Adaptive Huffman (FGK)



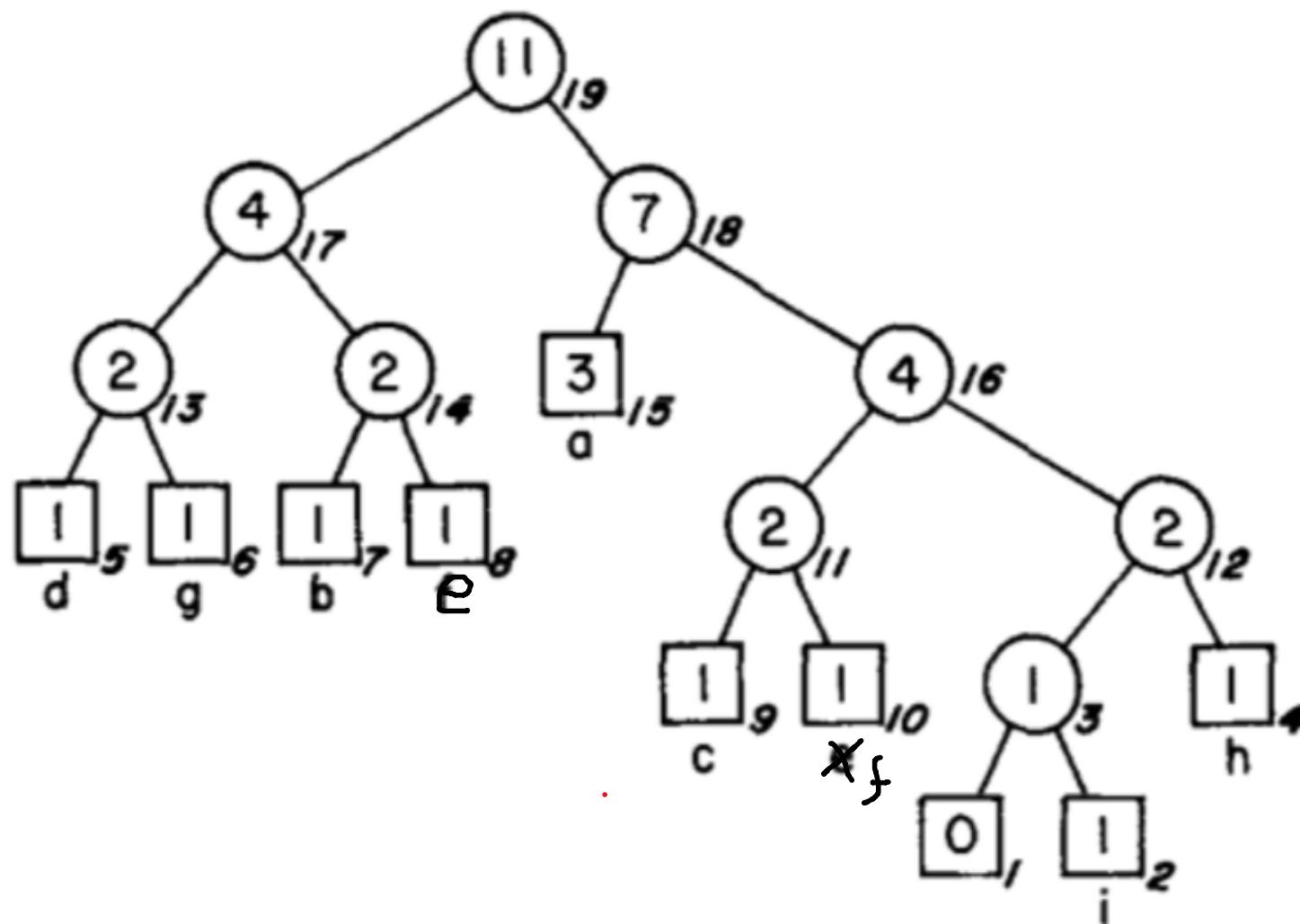
Adaptive Huffman (FGK)



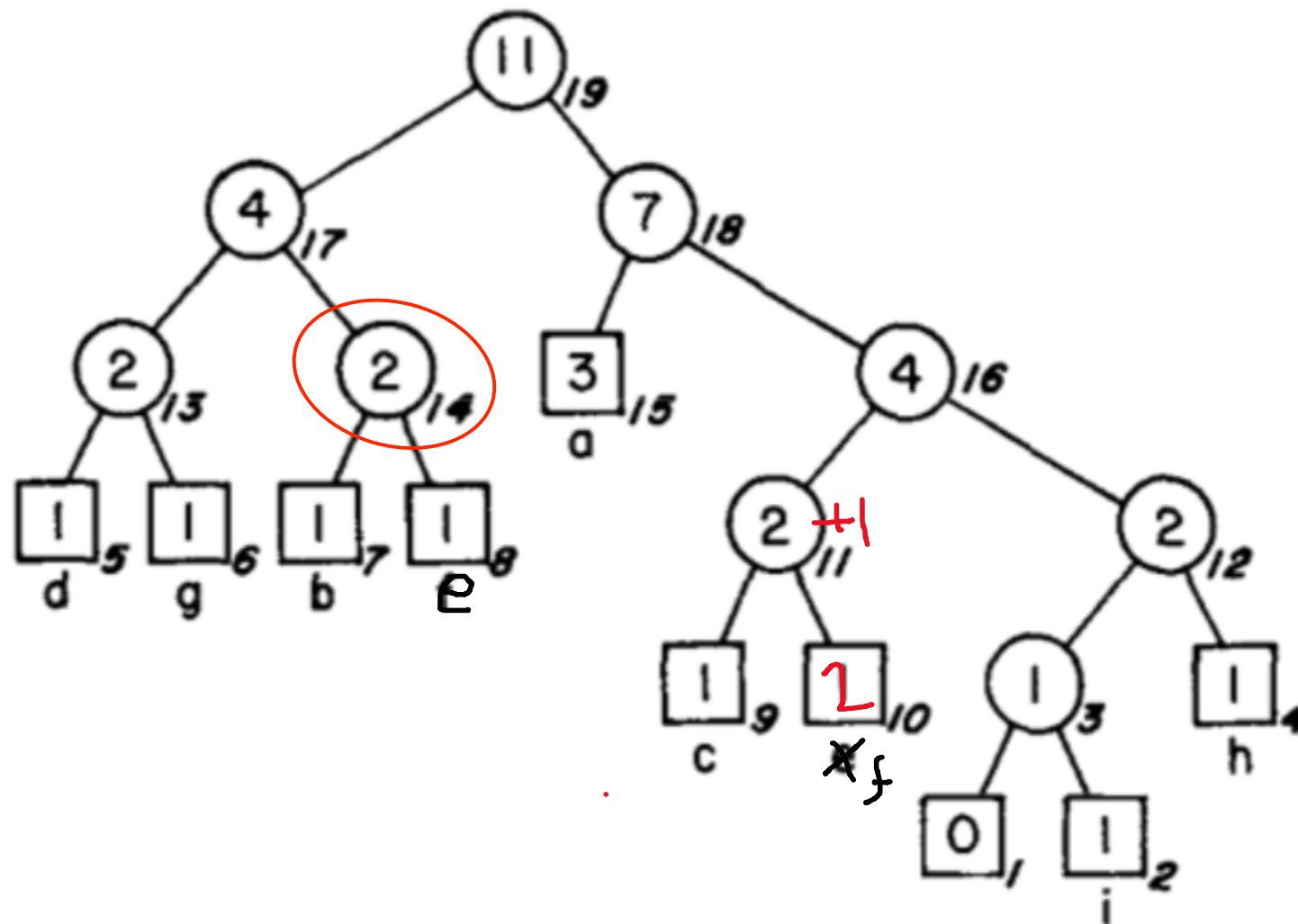
Adaptive Huffman (FGK)



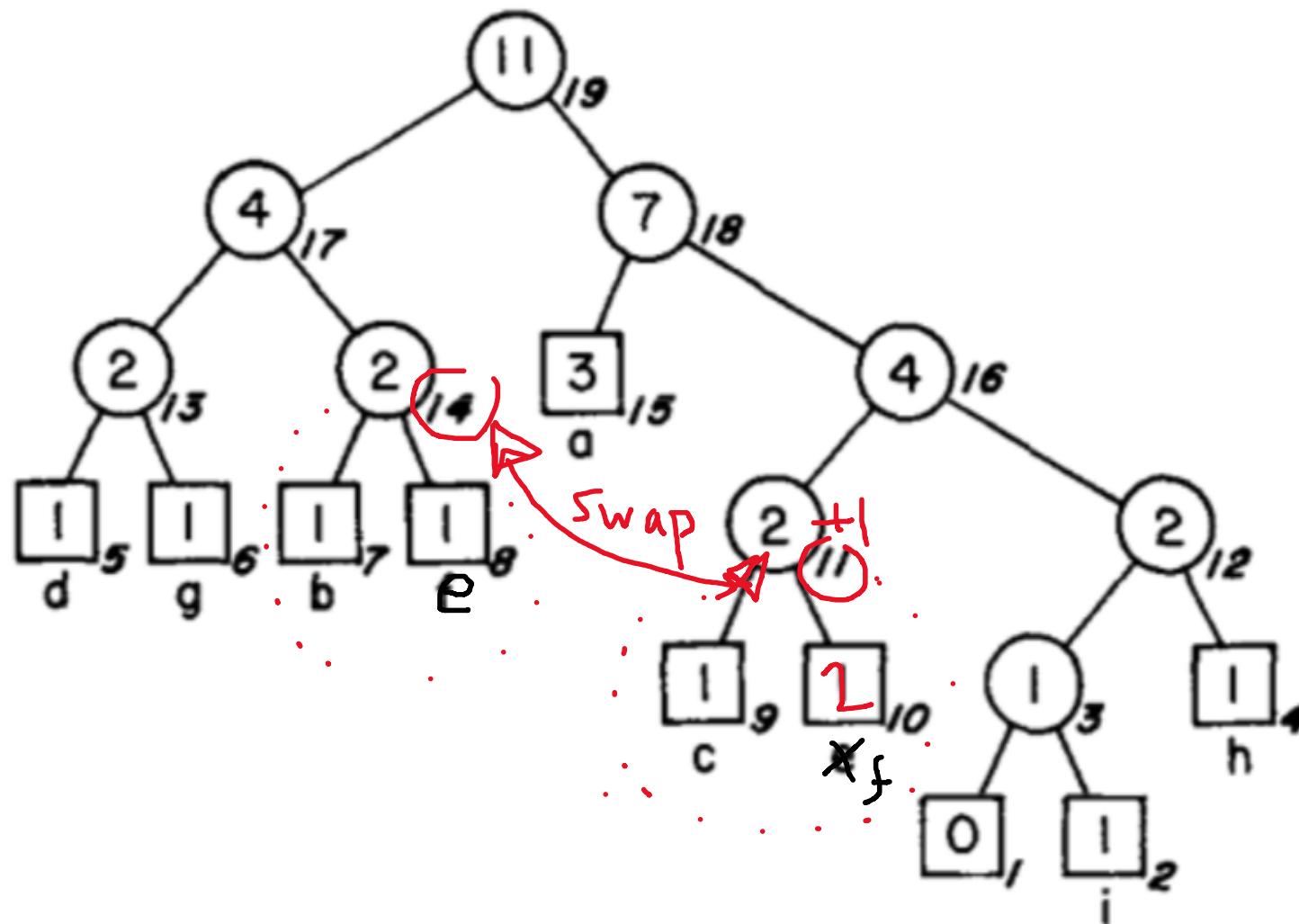
Adaptive Huffman (FGK)



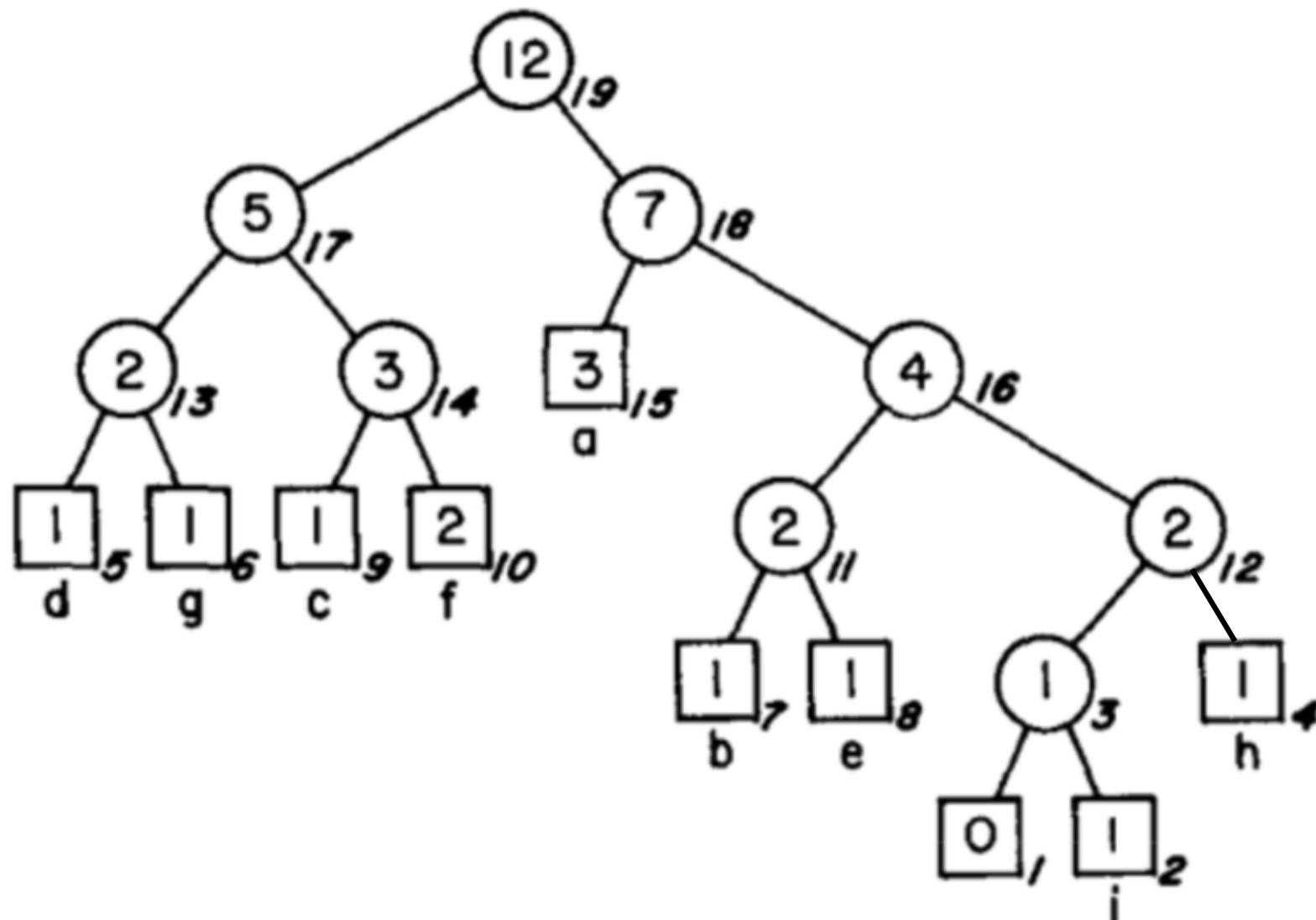
Adaptive Huffman (FGK)



Adaptive Huffman (FGK)



Adaptive Huffman (FGK): when f is inserted



Adaptive Huffman (FGK vs Vitter)

1.

FGK: (Explicit) node numbering

Vitter: Implicit numbering

2.

Vitter's Invariant:

- (*) For each weight w , all leaves of weight w precede (in the implicit numbering) all internal nodes of weight w .

In order to maintain the invariant (*), we must keep separate blocks for internal and leaf nodes.

Adaptive Huffman (Vitter'87)

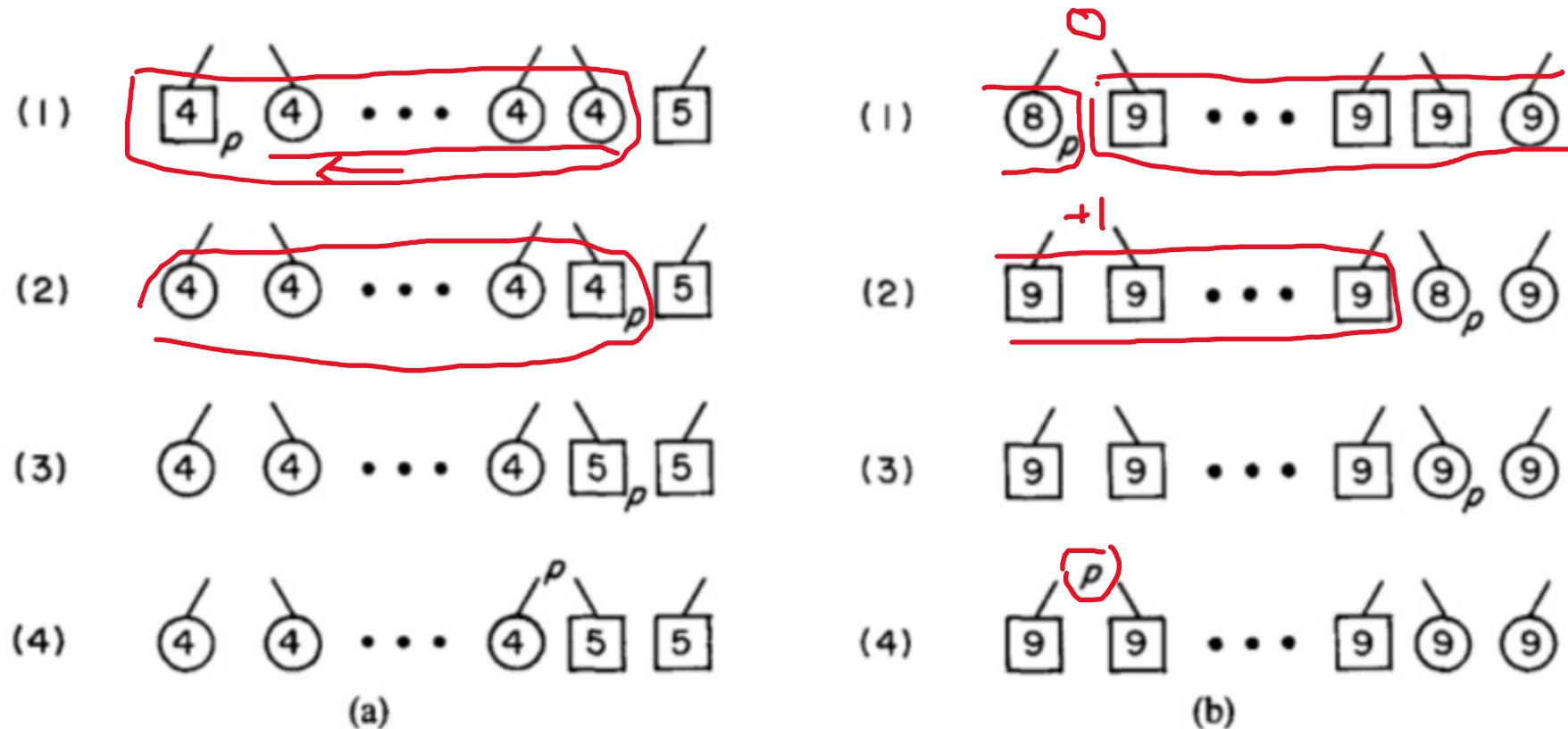


FIG. 6. Algorithm A's *SlideAndIncrement* operation. All the nodes in a given block shift to the left one spot to make room for node p , which slides over the block to the right. (a) Node p is a leaf of weight 4. The internal nodes of weight 4 shift to the left. (b) Node p is an internal node of weight 8. The leaves of weight 9 shift to the left.

The Vitter's algo: swaps then slides

Definition 4.1. Blocks are equivalence classes of nodes defined by $v \equiv x$ iff nodes v and x have the same weight and are either both internal nodes or both leaves. The *leader* of a block is the highest numbered (in the implicit numbering) node in a block.

The blocks are linked together by increasing order of weight; a leaf block always precedes an internal block of the same weight. The main operation of the algorithm needed to maintain invariant (*) is the *SlideAndIncrement* operation, illustrated in Figure 6. The version of *Update* we use for Algorithm Λ is outlined below:

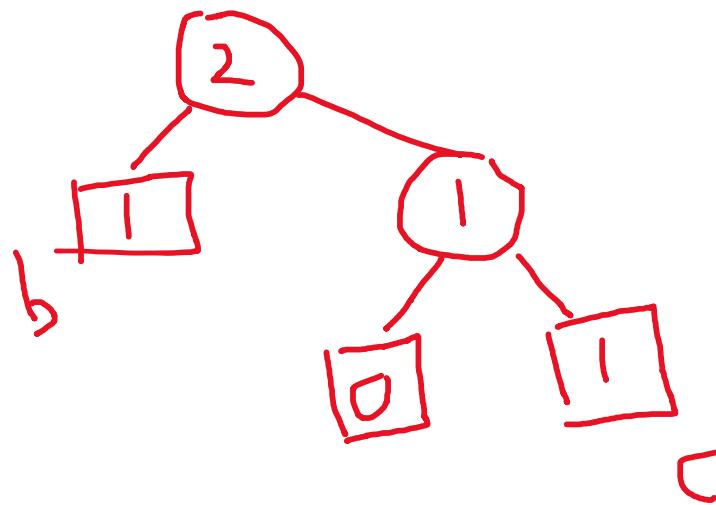
```
procedure Update;  
begin  
leafToIncrement := 0;  
q := leaf node corresponding to  $a_{i_{k+1}}$ ;  
if (q is the 0-node) and ( $k < n - 1$ ) then  
begin {Special Case #1}  
Replace q by an internal 0-node with two leaf 0-node children, such that the right child  
corresponds to  $a_{i_{k+1}}$ ;  
q := internal 0-node just created;  
leafToIncrement := the right child of q  
end  
else begin  
Interchange q in the tree with the leader of its block;   
if q is the sibling of the 0-node then  
begin {Special Case #2}  
leafToIncrement := q;  
q := parent of q  
end  
end;  
while q is not the root of the Huffman tree do  
{Main loop; q must be the leader of its block}  
SlideAndIncrement(q);  
if leafToIncrement ≠ 0 then {Handle the two special cases}  
SlideAndIncrement(leafToIncrement)  
end;
```



Adaptive Huffman (Ex2, Q2)

The initial coding before any transmission is: a=01100001,
b=01100010, c=01100011.

Derive the encoded bitstream produced by the encoder for the
string bcaaabb.



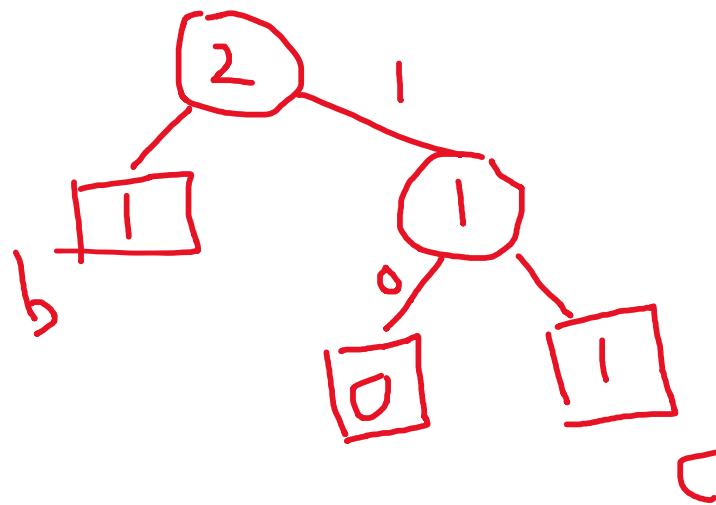
01100010 001100011

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?

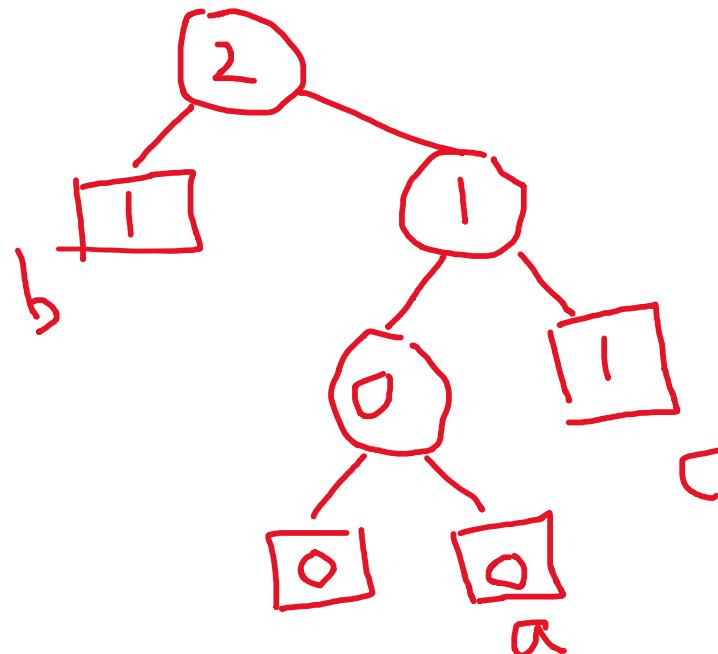


01100010 001100011 1001100001

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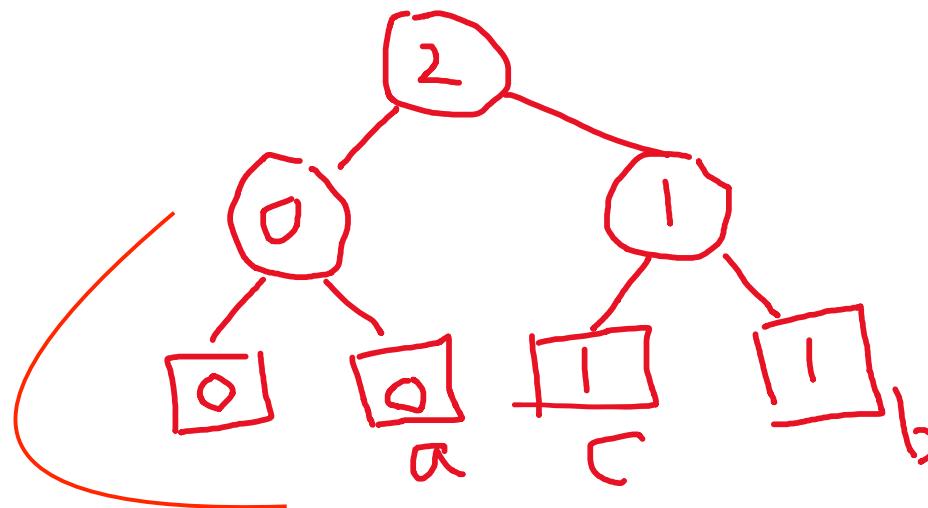


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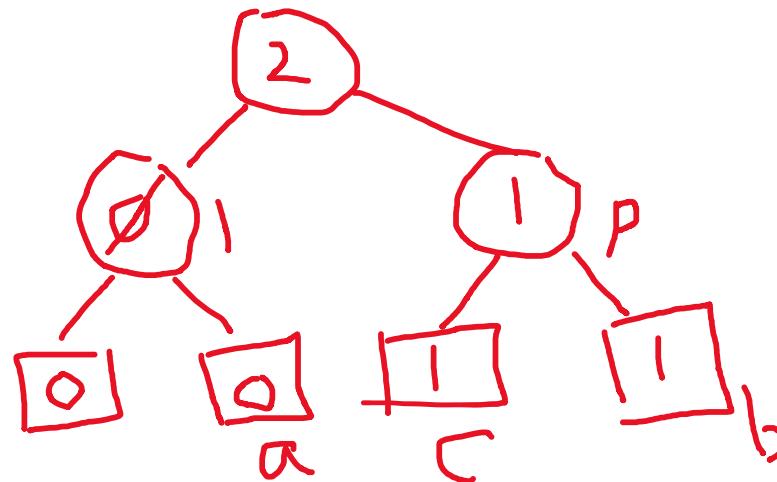


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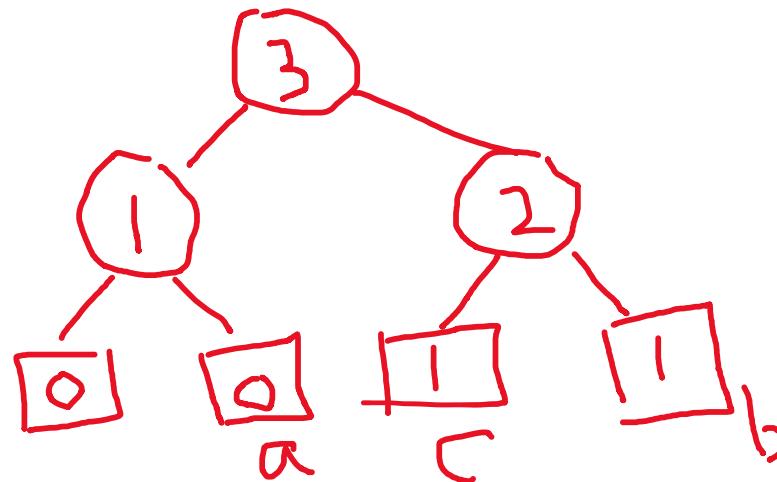


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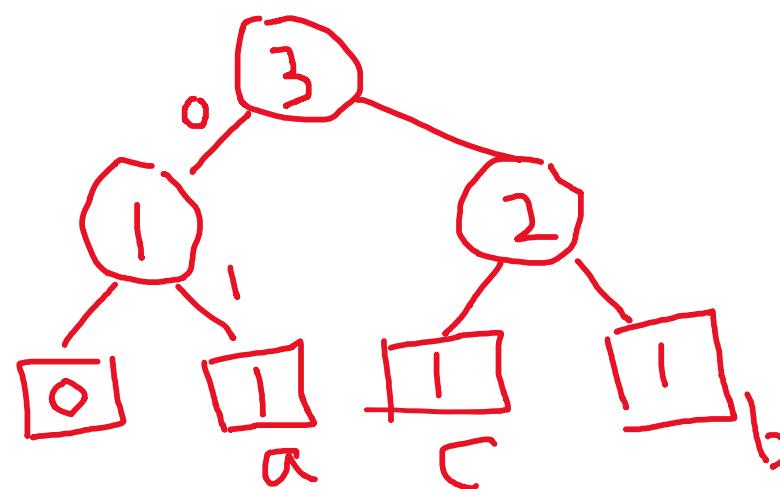
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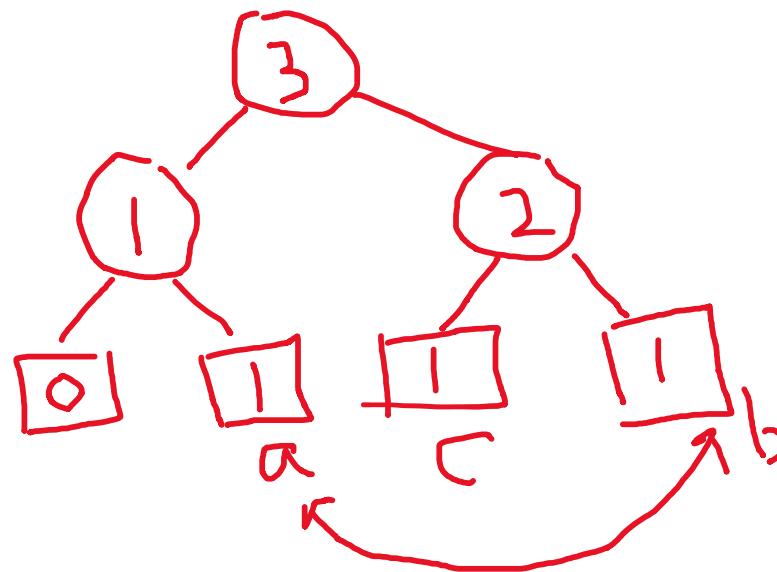
01100010 001100011 1001100001 01

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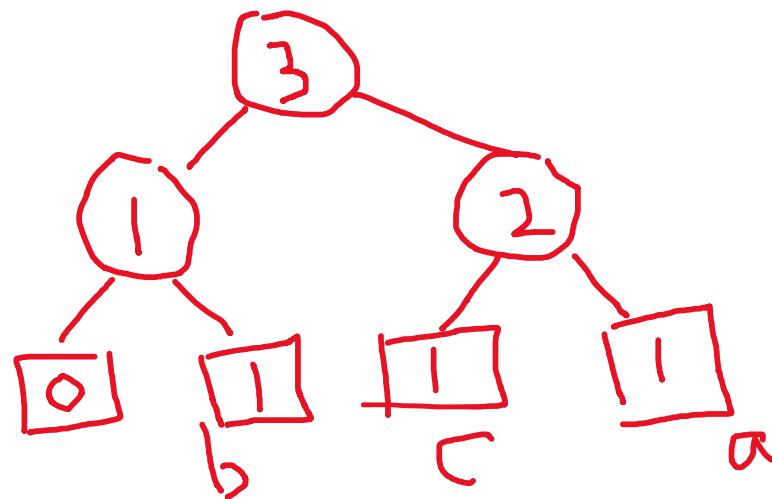
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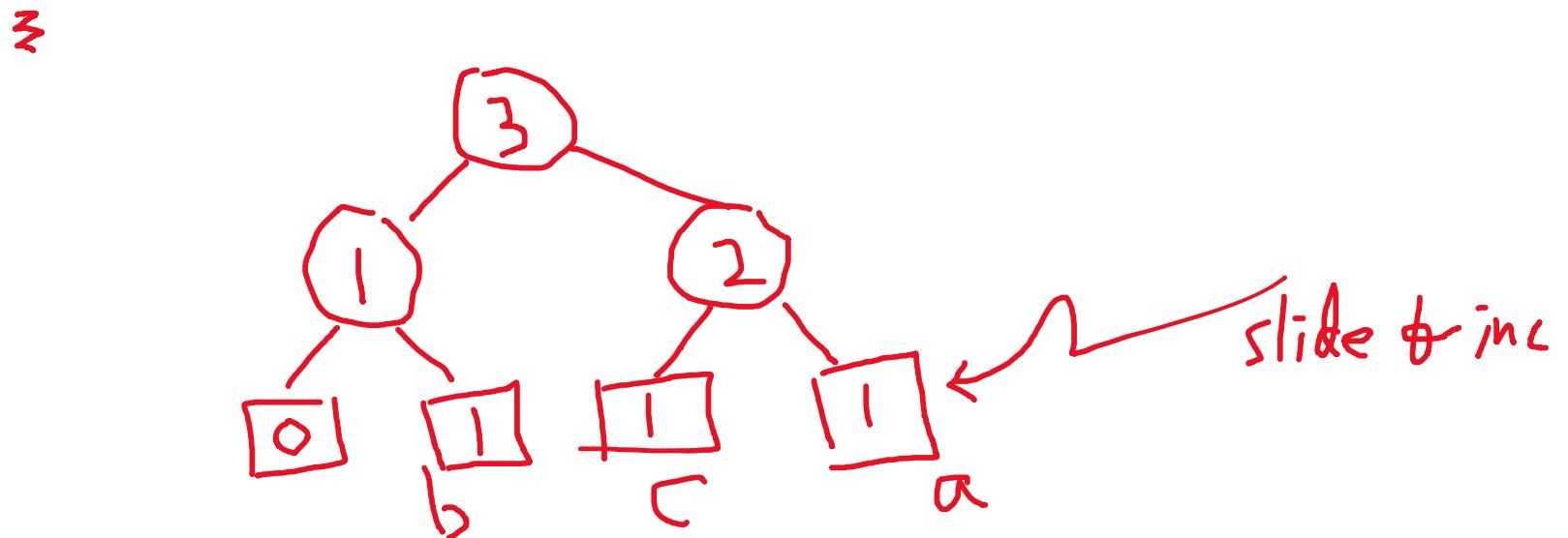


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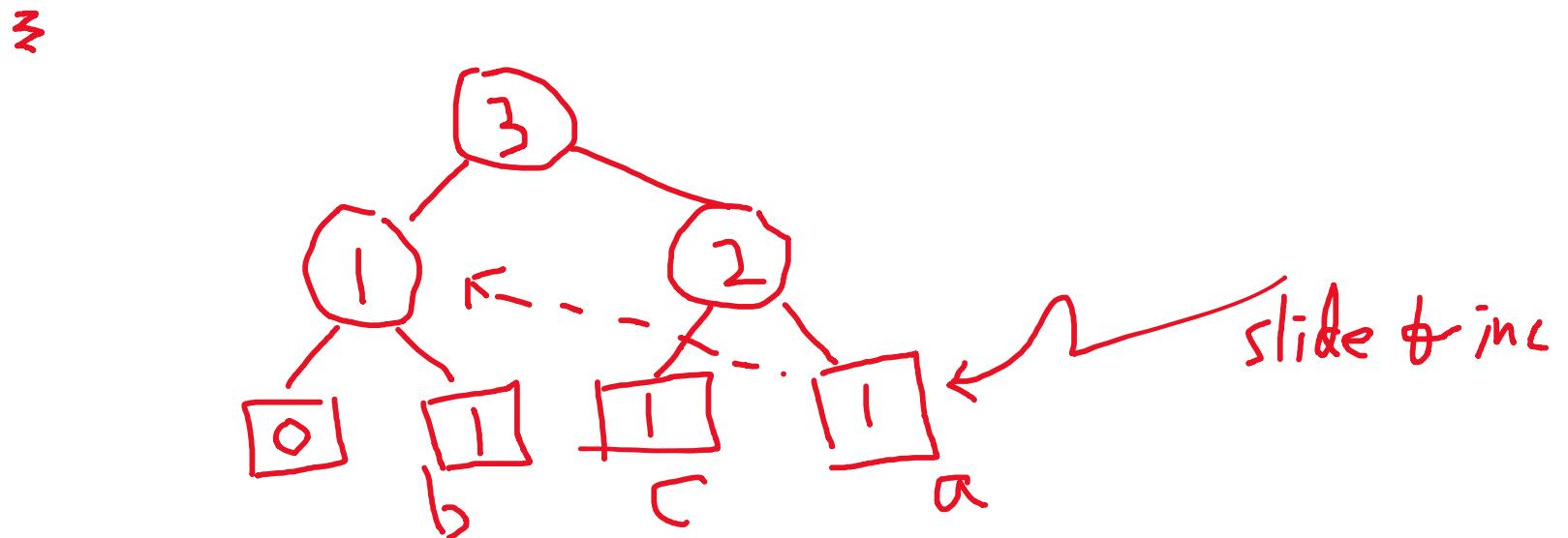
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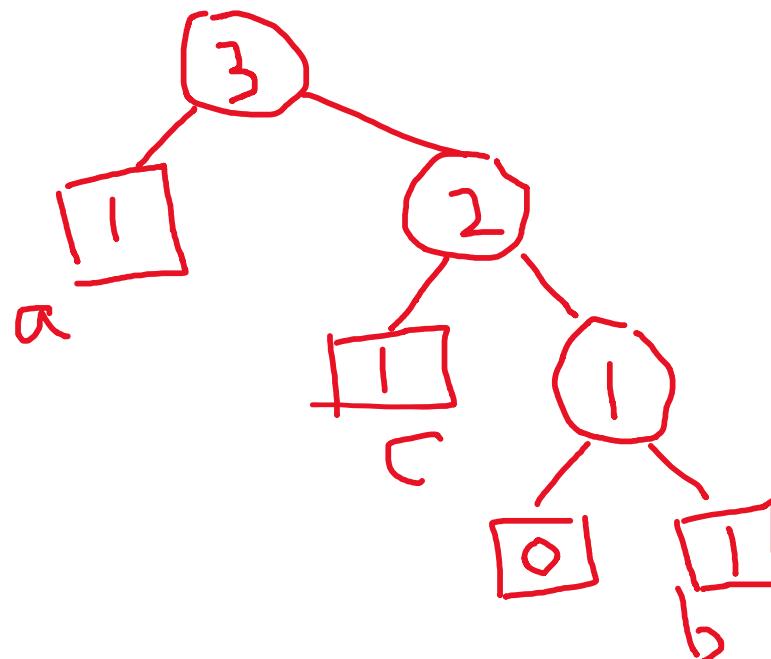
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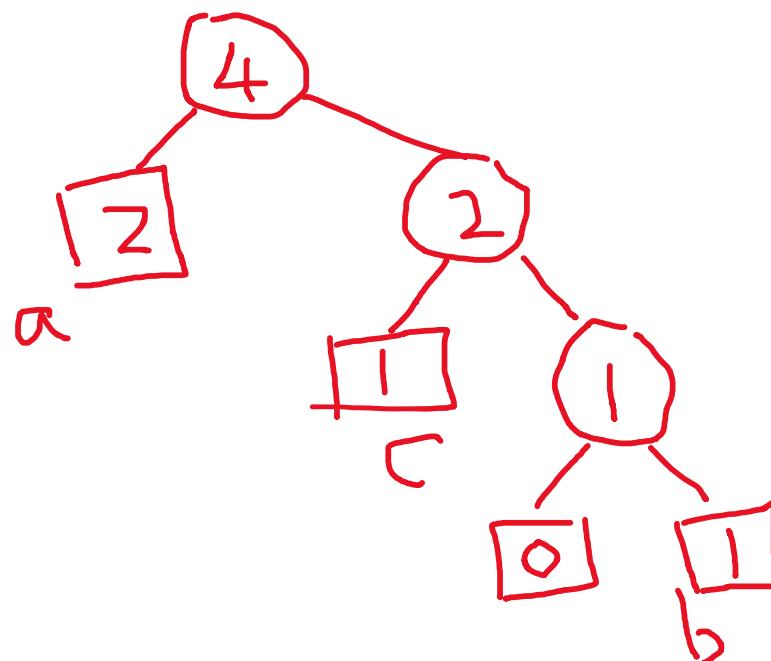
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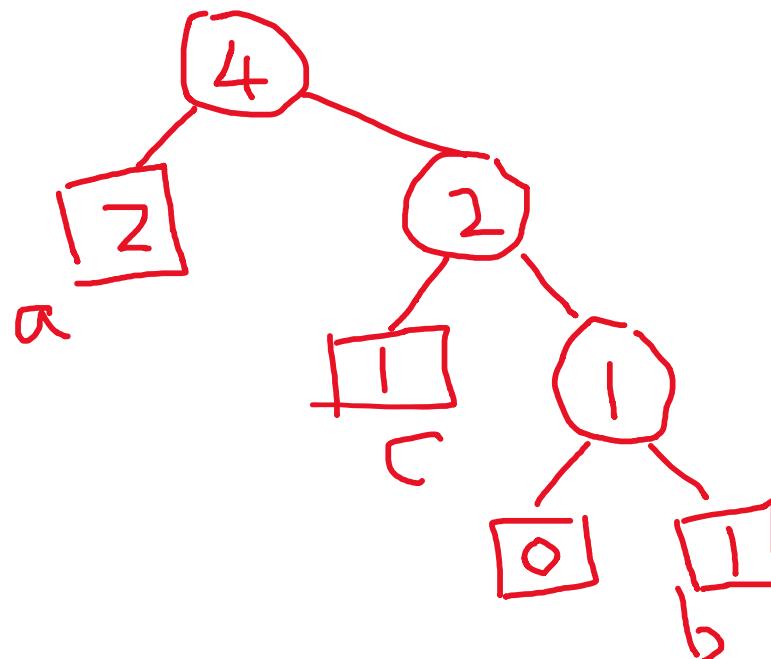
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Adaptive Huffman (Ex2, Q2)

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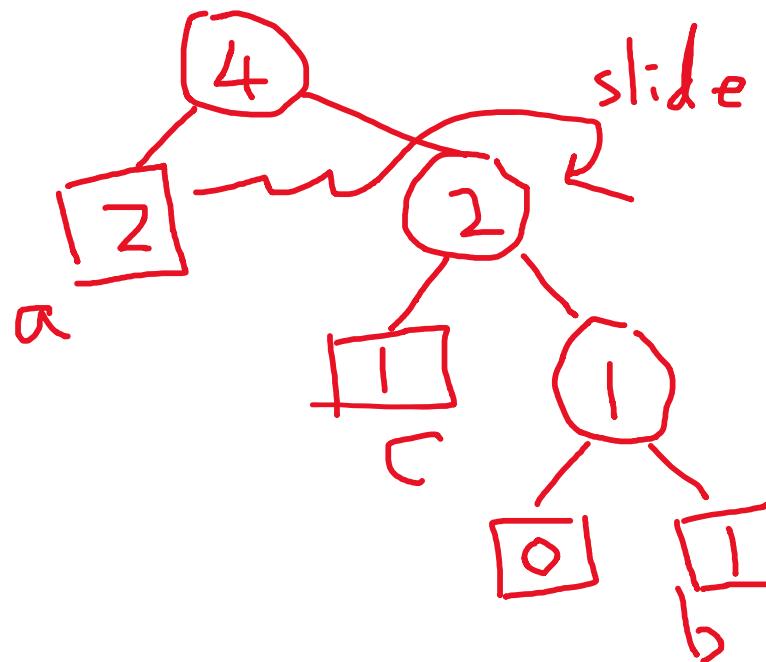
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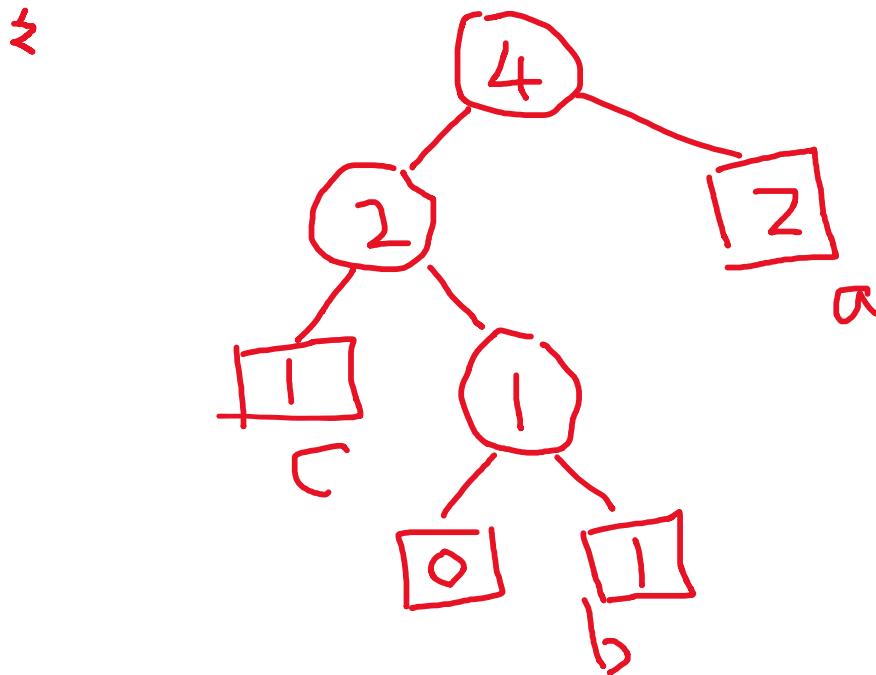
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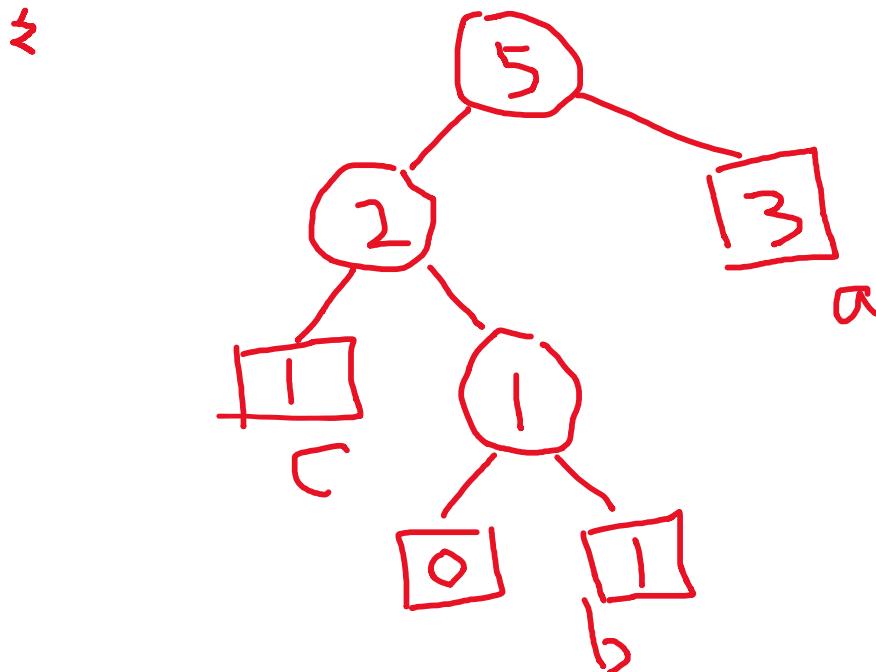


01100010 001100011 1001100001 01 0

Adaptive Huffman (Ex2, Q2)

The initial coding before any transmission is: a=01100001,
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Derive the encoded bitstream produced by the encoder for the string **bcaaabb**.

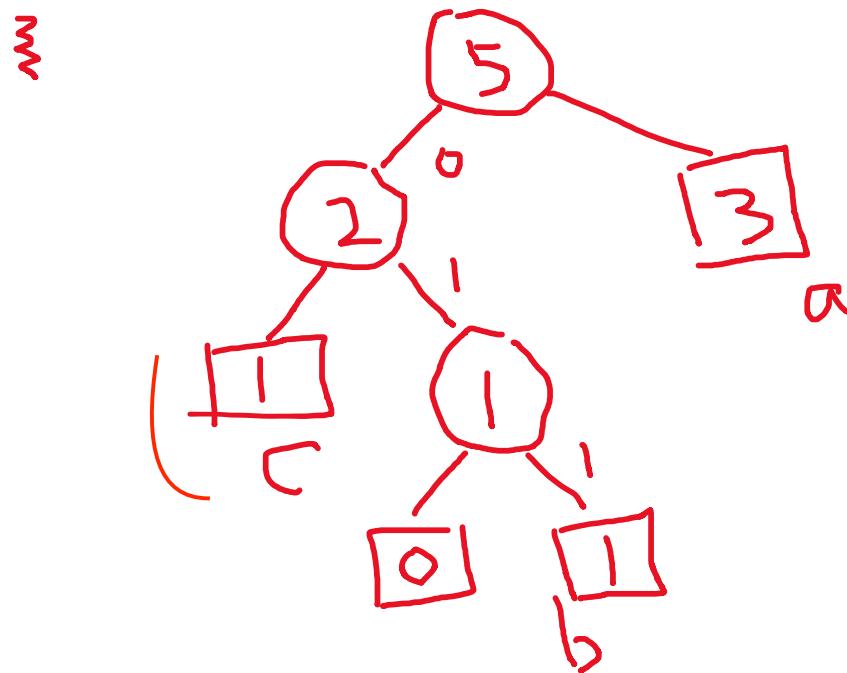


01100010 001100011 1001100001 01 0

Adaptive Huffman (Ex2, Q2)

The initial coding before any transmission is: a=0110001,
b=01100010, c=01100011.

Derive the encoded bitstream produced by the encoder for the string **bcaaabb**.

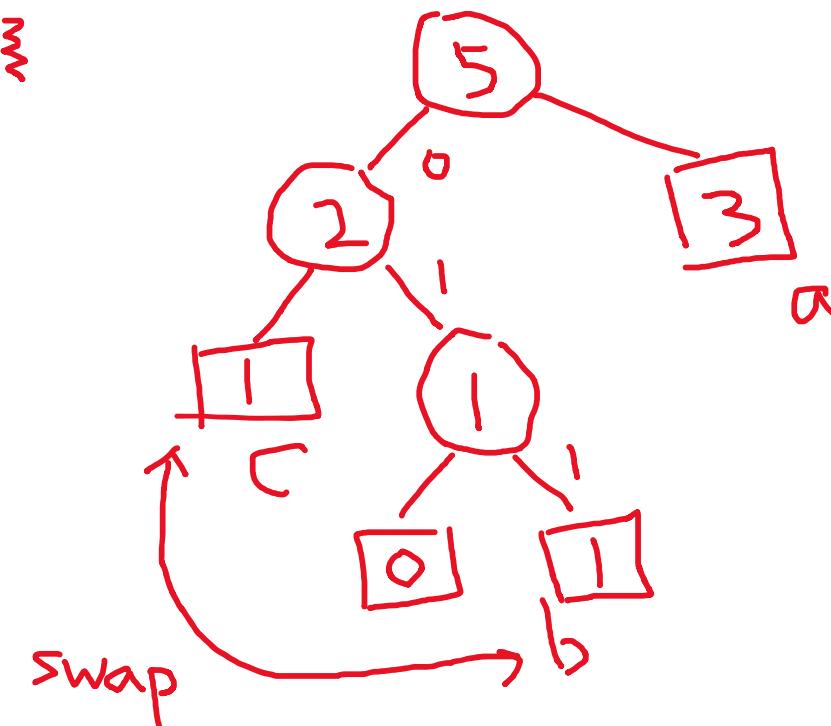


01100010 001100011 1001100001 01 0 011

Adaptive Huffman (Ex2, Q2)

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Derive the encoded bitstream produced by the encoder for the string **bcaaabb**.

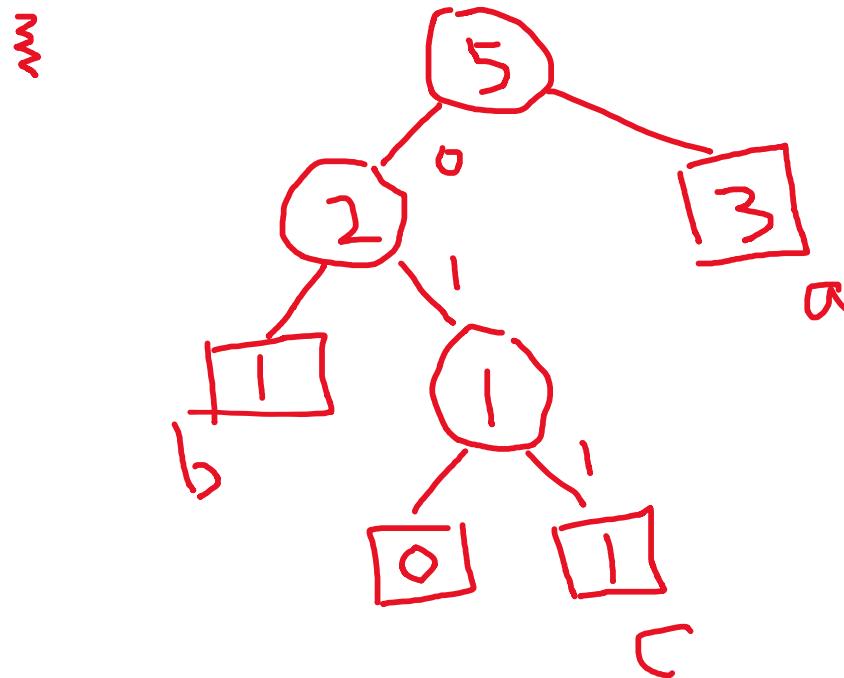


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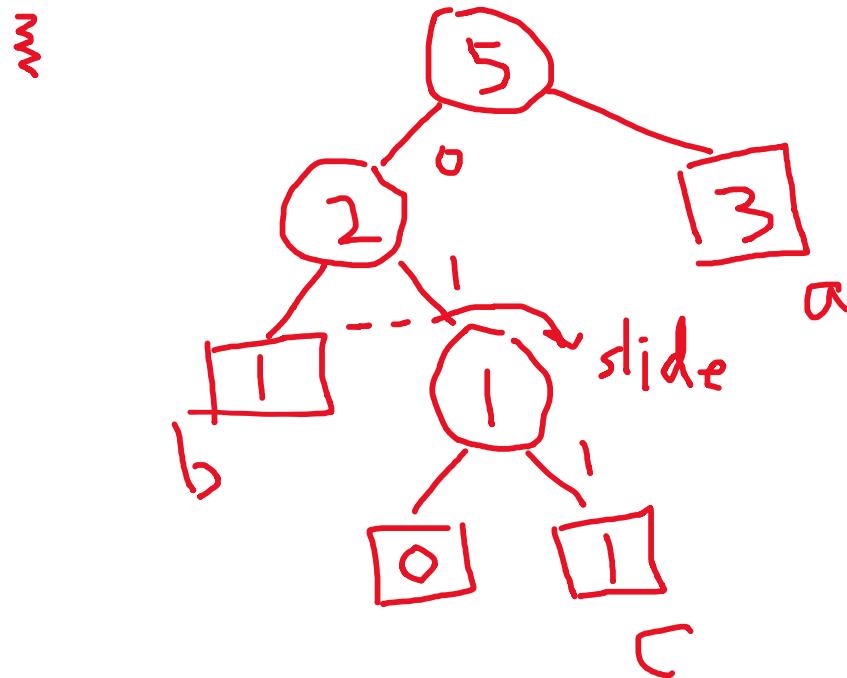


01100010 001100011 1001100001 01 0 011

Adaptive Huffman (Ex2, Q2)

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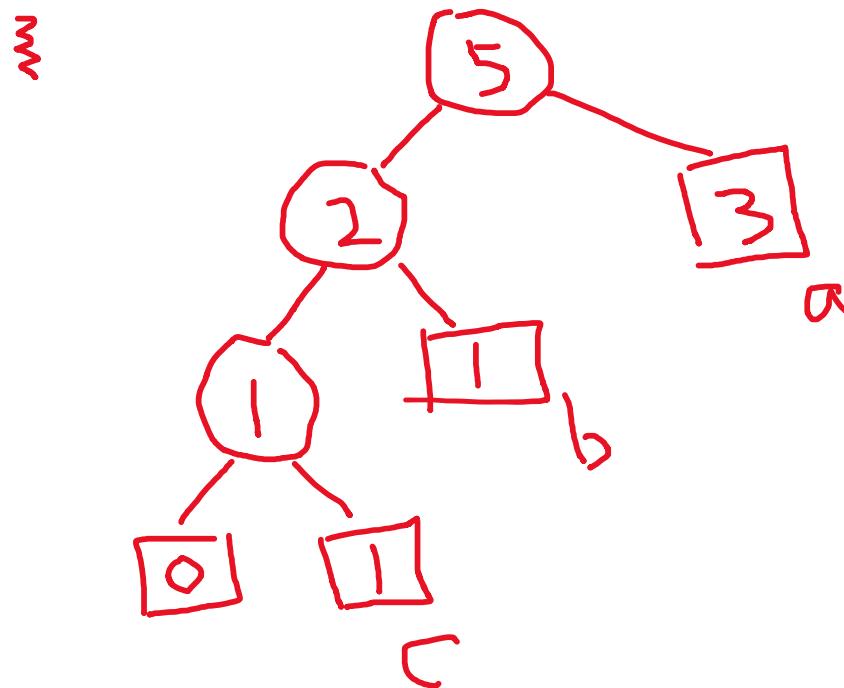


01100010 001100011 1001100001 01 0 011

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The initial coding before any transmission is: a=01100001,
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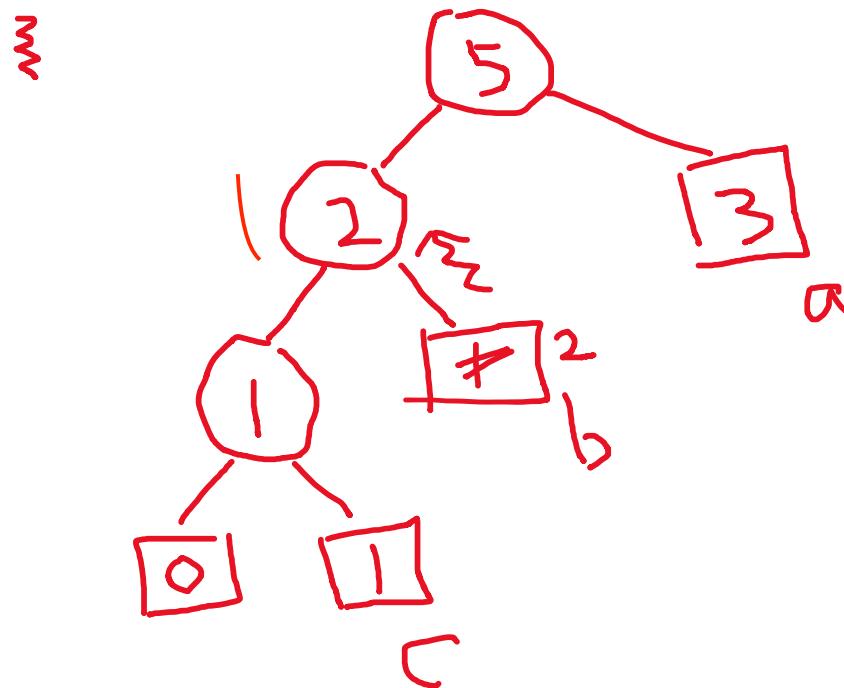


01100010 001100011 1001100001 01 0 011

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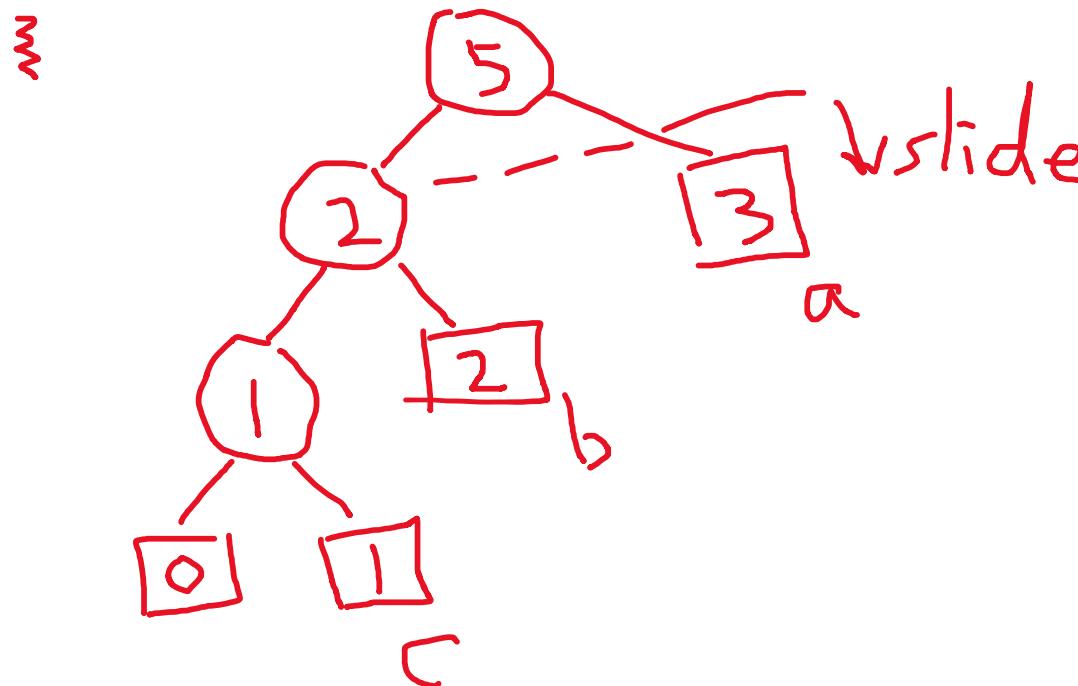


01100010 001100011 1001100001 01 0 011

Adaptive Huffman (Ex2, Q2)

The initial coding before any transmission is: a=0110001,
b=01100010, c=01100011.

Derive the encoded bitstream produced by the encoder for the string **bcaaabb**.

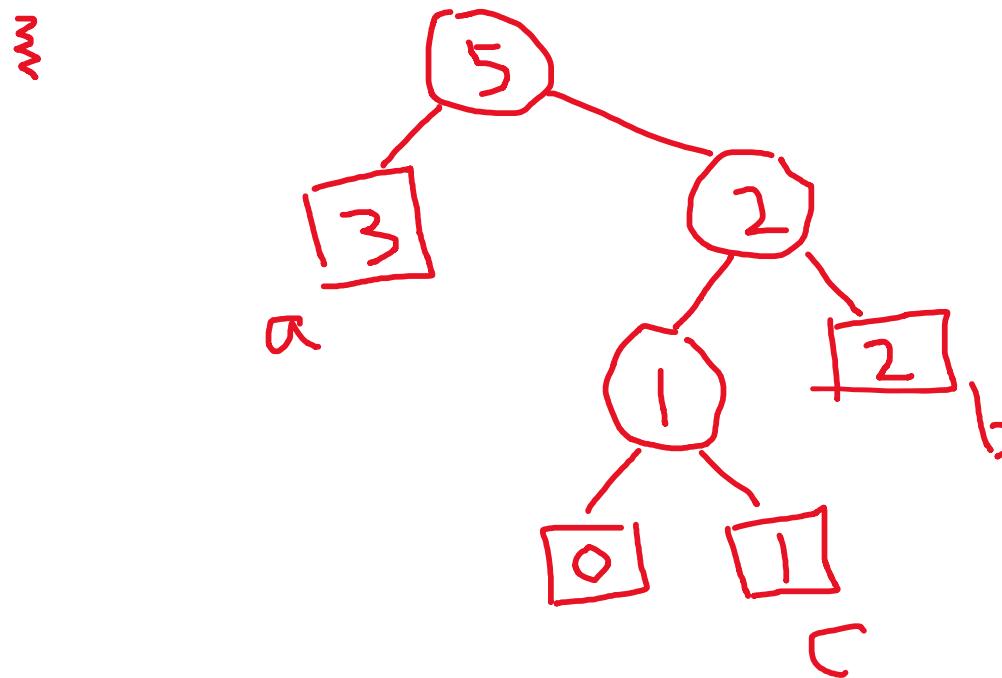


01100010 001100011 1001100001 01 0 011

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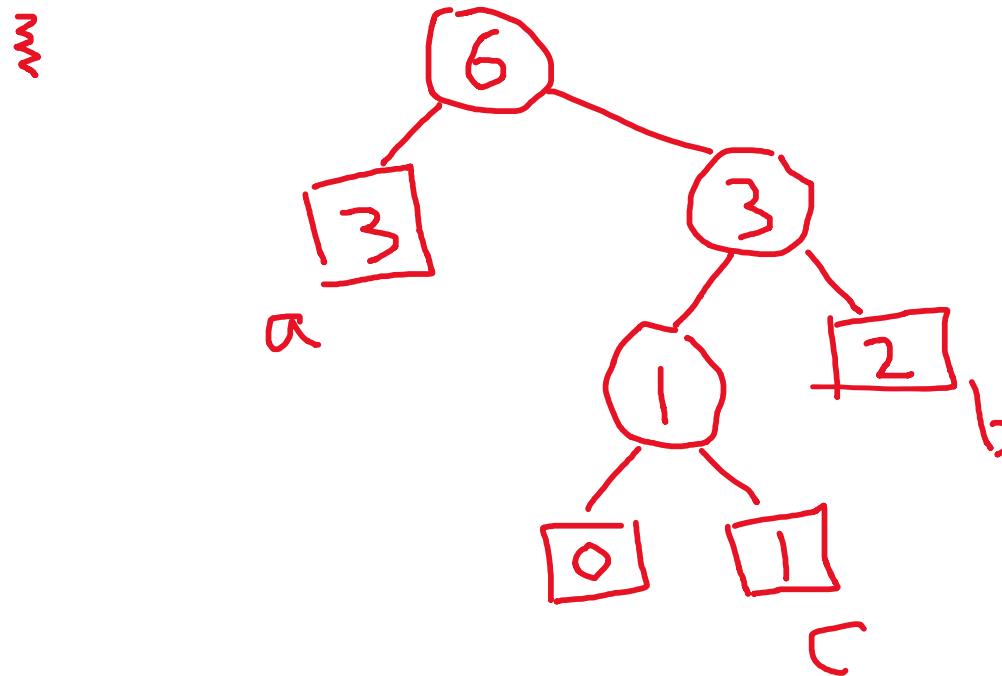


01100010 001100011 1001100001 01 0 011

Adaptive Huffman (Ex2, Q2)

The initial coding before any transmission is: a=01100001, b=01100010, c=01100011.

Derive the encoded bitstream produced by the encoder for the string **bcaaabb**.

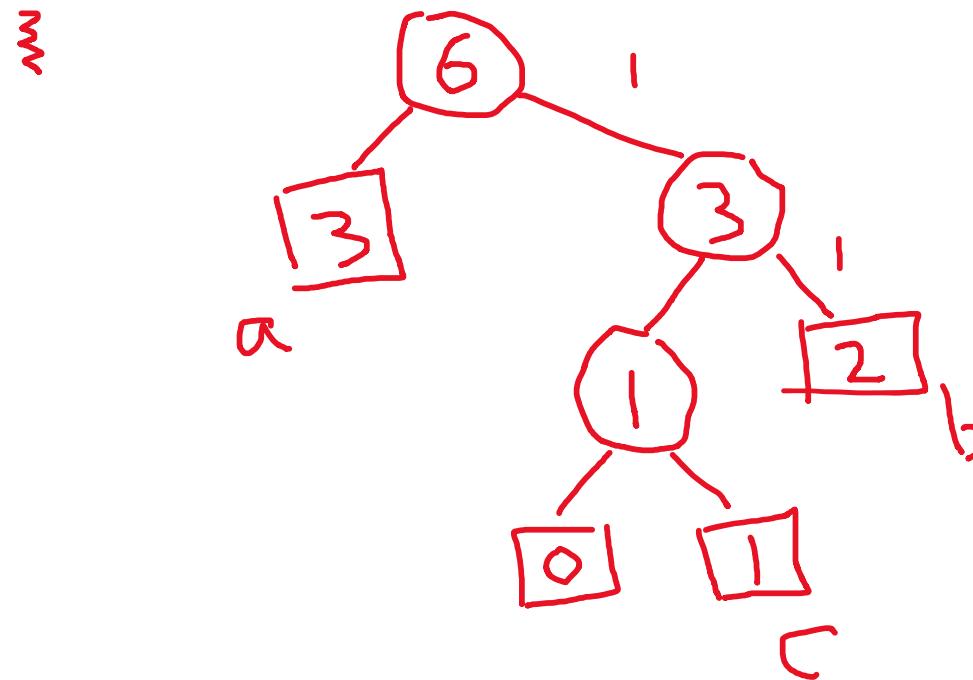


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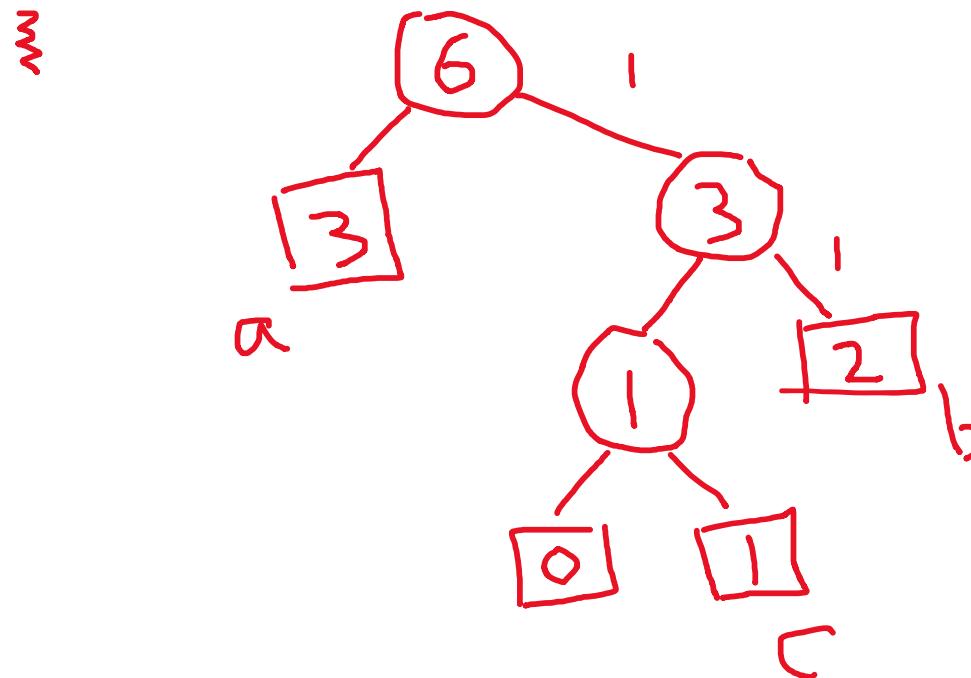


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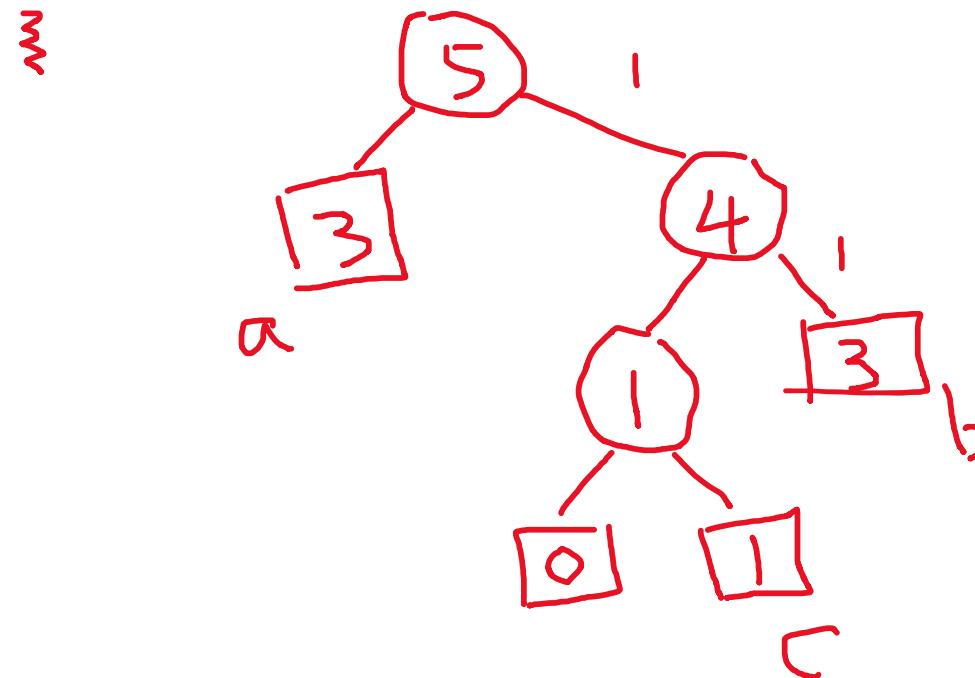


01100010 001100011 1001100001 01 0 011 11

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Derive the encoded bitstream produced by the encoder for the string **bcaaabb**.

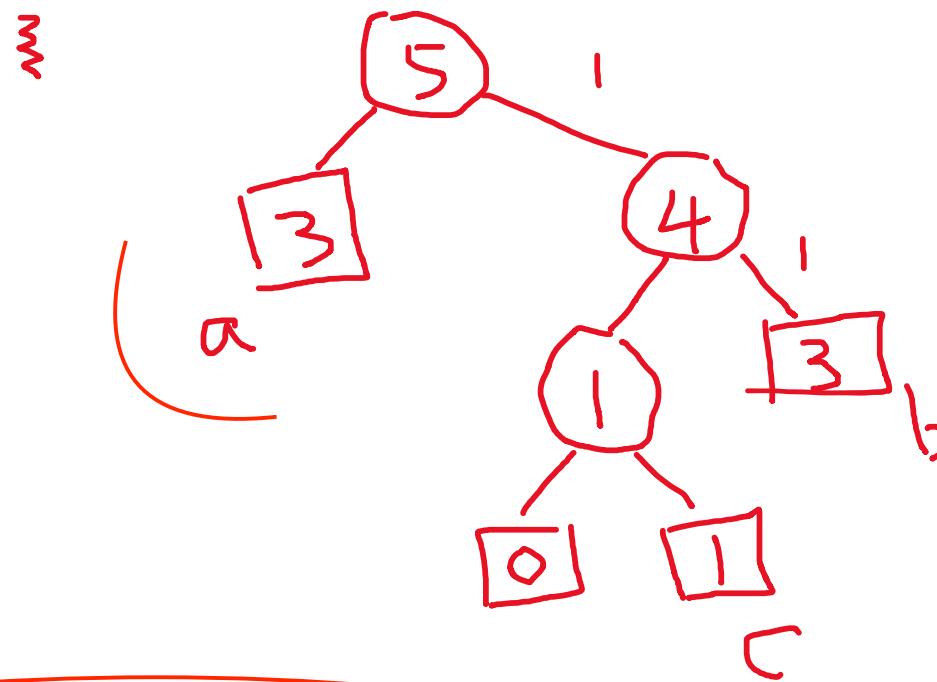


01100010 001100011 1001100001 01 0 011 11

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The initial coding before any transmission is: a=01100001, b=01100010, c=01100011.

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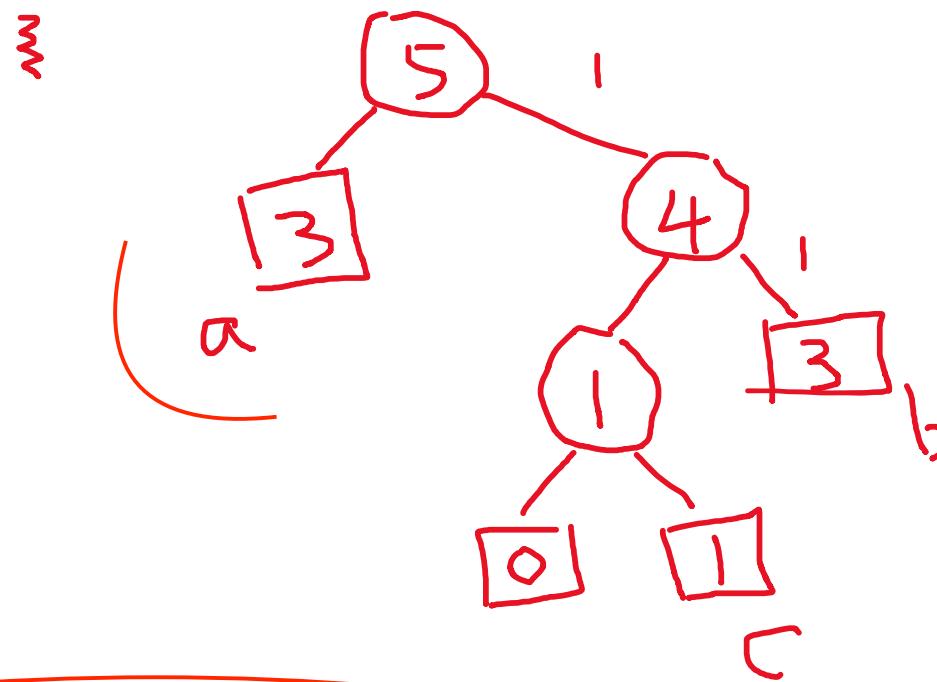


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