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# Chapter 3: Introduction to SQL

**Database System Concepts, 6<sup>th</sup> Ed./7<sup>th</sup> Ed.**

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# Outline

- Overview of The SQL Query Language
- Data Definition
- Basic Query Structure
- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions
- Nested Subqueries
- Modification of the Database



# History

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
  - SQL-86
  - SQL-89
  - SQL-92
  - SQL:1999 (language name became Y2K compliant!)
  - SQL:2003
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system.



# Data Definition Language

The SQL data-definition language (DDL) allows the specification of information about relations, including:

- The schema for each relation.
- The domain of values associated with each attribute.
- Integrity constraints
- And as we will see later, also other information such as
  - The set of indices to be maintained for each relations.
  - Security and authorization information for each relation.
  - The physical storage structure of each relation on disk.



# Domain Types in SQL

- **char(n).** Fixed length character string, with user-specified length  $n$ .
- **varchar(n).** Variable length character strings, with user-specified maximum length  $n$ .
- **int.** Integer (a finite subset of the integers that is machine-dependent).
- **smallint.** Small integer (a machine-dependent subset of the integer domain type).
- **numeric(p,d).** Fixed point number, with user-specified precision of  $p$  digits, with  $d$  digits to the right of decimal point. (ex., **numeric(3,1)**, allows 44.5 to be stored exactly, but not 444.5 or 0.32)
- **real, double precision.** Floating point and double-precision floating point numbers, with machine-dependent precision.
- **float(n).** Floating point number, with user-specified precision of at least  $n$  digits.
- More are covered in Chapter 4.



# Create Table Construct

- An SQL relation is defined using the **create table** command:

```
create table r (A1 D1, A2 D2, ..., An Dn,  
                  (integrity-constraint1),  
                  ...,  
                  (integrity-constraintk))
```

- $r$  is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation  $r$
- $D_i$  is the data type of values in the domain of attribute  $A_i$

- Example:

```
create table instructor (  
    ID          char(5),  
    name        varchar(20),  
    dept_name   varchar(20),  
    salary      numeric(8,2))
```



# Integrity Constraints in Create Table

- **not null**
- **primary key ( $A_1, \dots, A_n$ )**
- **foreign key ( $A_m, \dots, A_n$ ) references  $r$**

*Example:*

```
create table instructor (
    ID          char(5),
    name        varchar(20) not null,
    dept_name   varchar(20),
    salary      numeric(8,2),
    primary key (ID),
    foreign key (dept_name) references department);
```

**primary key** declaration on an attribute automatically ensures **not null**



# And a Few More Relation Definitions

■ **create table** *student* (

```
ID          varchar(5),  
name        varchar(20) not null,  
dept_name   varchar(20),  
tot_cred    numeric(3,0),  
primary key (ID),  
foreign key (dept_name) references department);
```

■ **create table** *takes* (

```
ID          varchar(5),  
course_id   varchar(8),  
sec_id      varchar(8),  
semester    varchar(6),  
year        numeric(4,0),  
grade       varchar(2),  
primary key (ID, course_id, sec_id, semester, year) ,  
foreign key (ID) references student,  
foreign key (course_id, sec_id, semester, year) references section);
```



# And more still

```
■ create table course (
    course_id      varchar(8),
    title          varchar(50),
    dept_name     varchar(20),
    credits        numeric(2,0),
    primary key (course_id),
    foreign key (dept_name) references department);
```



# Updates to tables

## ■ Insert

- **insert into *instructor* values ('10211', 'Smith', 'Biology', 66000);**

## ■ Delete

- Remove all tuples from the *student* relation
  - ▶ **delete from *student***

## ■ Drop Table

- **drop table *r***

## ■ Alter

- **alter table *r* add *A D***
  - ▶ where *A* is the name of the attribute to be added to relation *r* and *D* is the domain of *A*.
  - ▶ All existing tuples in the relation are assigned *null* as the value for the new attribute.
- **alter table *r* drop *A***
  - ▶ where *A* is the name of an attribute of relation *r*
  - ▶ Dropping of attributes not supported by many databases.



# Basic Query Structure

- A typical SQL query has the form:

```
select  $A_1, A_2, \dots, A_n$   
from  $r_1, r_2, \dots, r_m$   
where  $P$ 
```

- $A_i$  represents an attribute
  - $R_j$  represents a relation
  - $P$  is a predicate.
- The result of an SQL query is a relation.



# The select Clause

- The **select** clause lists the attributes desired in the result of a query
  - corresponds to the projection operation of the relational algebra
- Example: find the names of all instructors:

```
select name
      from instructor
```

- NOTE: SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)
  - E.g., *Name*  $\equiv$  *NAME*  $\equiv$  *name*
  - Some people use upper case wherever we use bold font.



# The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword **distinct** after select.
- Find the department names of all instructors, and remove duplicates

```
select distinct dept_name  
from instructor
```

- The keyword **all** specifies that duplicates should not be removed.

```
select all dept_name  
from instructor
```



# The select Clause (Cont.)

- An asterisk in the select clause denotes “all attributes”

```
select *  
from instructor
```

- An attribute can be a literal with no **from** clause

```
select '437'
```

- Results is a table with one column and a single row with value “437”
- Can give the column a name using:

```
select '437' as FOO
```

- An attribute can be a literal with **from** clause

```
select 'A'  
from instructor
```

- Result is a table with one column and  $N$  rows (number of tuples in the *instructors* table), each row with value “A”



# The select Clause (Cont.)

- The **select** clause can contain arithmetic expressions involving the operation, +, -, \*, and /, and operating on constants or attributes of tuples.
  - The query:

```
select ID, name, salary/12
      from instructor
```

would return a relation that is the same as the *instructor* relation, except that the value of the attribute *salary* is divided by 12.

- Can rename “*salary/12*” using the **as** clause:

```
select ID, name, salary/12 as monthly_salary
```



# The where Clause

- The **where** clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.

- To find all instructors in Comp. Sci. dept

```
select name  
from instructor  
where dept_name = 'Comp. Sci.'
```

- Comparison results can be combined using the logical connectives **and**, **or**, and **not**

- To find all instructors in Comp. Sci. dept with salary > 80000

```
select name  
from instructor  
where dept_name = 'Comp. Sci.' and salary > 80000
```

- Comparisons can be applied to results of arithmetic expressions.



# The from Clause

- The **from** clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra.

- Find the Cartesian product *instructor X teaches*

```
select *
  from instructor, teaches
```

- generates every possible instructor – teaches pair, with all attributes from both relations.
- For common attributes (e.g., *ID*), the attributes in the resulting table are renamed using the relation name (e.g., *instructor.ID*)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra).



# Cartesian Product

*instructor*

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
...	...	...	...

*teaches*

<i>ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>
10101	CS-101	1	Fall	2009
10101	CS-315	1	Spring	2010
10101	CS-347	1	Fall	2009
12121	FIN-201	1	Spring	2010
15151	MU-199	1	Spring	2010
22222	PHY-101	1	Fall	2009

<i>Inst.ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>	<i>teaches.ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...



# Examples

- Find the names of all instructors who have taught some course and the course\_id
  - **select** *name, course\_id*  
**from** *instructor , teaches*  
**where** *instructor.ID = teaches.ID*
  
- Find the names of all instructors in the Art department who have taught some course and the course\_id
  - **select** *name, course\_id*  
**from** *instructor , teaches*  
**where** *instructor.ID = teaches.ID and instructor.dept\_name = 'Art'*



# The Rename Operation

- The SQL allows renaming relations and attributes using the **as** clause:

*old-name as new-name*

- Find the names of all instructors who have a higher salary than some instructor in ‘Comp. Sci’.

- **select distinct** *T.name*  
**from** *instructor as T, instructor as S*  
**where** *T.salary > S.salary and S.dept\_name = ‘Comp. Sci.’*

- Keyword **as** is optional and may be omitted

*instructor as T*  $\equiv$  *instructor T*



# Cartesian Product Example

- Relation *emp-super*

<i>person</i>	<i>supervisor</i>
Bob	Alice
Mary	Susan
Alice	David
David	Mary

- Find the supervisor of “Bob”
- Find the supervisor of the supervisor of “Bob”



# String Operations

- SQL includes a string-matching operator for comparisons on character strings. The operator **like** uses patterns that are described using two special characters:
  - percent ( % ). The % character matches any substring.
  - underscore ( \_ ). The \_ character matches any character.
- Find the names of all instructors whose name includes the substring “dar”.

```
select name  
from instructor  
where name like '%dar%'
```

- Match the string “100%”

```
like '100 \%' escape '\'
```

in that above we use backslash (\) as the escape character.



# String Operations (Cont.)

- Patterns are case sensitive.
- Pattern matching examples:
  - ‘Intro%’ matches any string beginning with “Intro”.
  - ‘%Comp%’ matches any string containing “Comp” as a substring.
  - ‘\_\_\_’ matches any string of exactly three characters.
  - ‘\_\_\_ %’ matches any string of at least three characters.
- SQL supports a variety of string operations such as
  - concatenation (using “||”)
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.



# Ordering the Display of Tuples

- List in alphabetic order the names of all instructors

```
select distinct name
  from instructor
 order by name
```

- We may specify **desc** for descending order or **asc** for ascending order, for each attribute; ascending order is the default.
  - Example: **order by name desc**
- Can sort on multiple attributes
  - Example: **order by dept\_name, name**



# Where Clause Predicates

- SQL includes a **between** comparison operator
- Example: Find the names of all instructors with salary between \$90,000 and \$100,000 (that is,  $\geq \$90,000$  and  $\leq \$100,000$ )
  - **select name  
from instructor  
where salary between 90000 and 100000**
- Tuple comparison
  - **select name, course\_id  
from instructor, teaches  
where (instructor.ID, dept\_name) = (teaches.ID, 'Biology');**



# Duplicates

- In relations with duplicates, SQL can define how many copies of tuples appear in the result.
- **Multiset** versions of some of the relational algebra operators – given multiset relations  $r_1$  and  $r_2$ :
  1.  $\sigma_\theta(r_1)$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$ , and  $t_1$  satisfies selections  $\sigma_\theta$ , then there are  $c_1$  copies of  $t_1$  in  $\sigma_\theta(r_1)$ .
  2.  $\Pi_A(r)$ : For each copy of tuple  $t_1$  in  $r_1$ , there is a copy of tuple  $\Pi_A(t_1)$  in  $\Pi_A(r_1)$  where  $\Pi_A(t_1)$  denotes the projection of the single tuple  $t_1$ .
  3.  $r_1 \times r_2$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$  and  $c_2$  copies of tuple  $t_2$  in  $r_2$ , there are  $c_1 \times c_2$  copies of the tuple  $t_1 \cdot t_2$  in  $r_1 \times r_2$



# Duplicates (Cont.)

- Example: Suppose multiset relations  $r_1 (A, B)$  and  $r_2 (C)$  are as follows:

$$r_1 = \{(1, a) (2, a)\} \quad r_2 = \{(2), (3), (3)\}$$

- Then  $\Pi_B(r_1)$  would be  $\{(a), (a)\}$ , while  $\Pi_B(r_1) \times r_2$  would be  $\{(a, 2), (a, 2), (a, 3), (a, 3), (a, 3), (a, 3)\}$
- SQL duplicate semantics:

```
select A1, A2, ..., An
from r1, r2, ..., rm
where P
```

is equivalent to the *multiset* version of the expression:

$$\prod_{A_1, A_2, \dots, A_n} (\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$



# Set Operations

- Find courses that ran in Fall 2009 or in Spring 2010

**(select course\_id from section where sem = 'Fall' and year = 2009)**  
**union**

**(select course\_id from section where sem = 'Spring' and year = 2010)**

- Find courses that ran in Fall 2009 and in Spring 2010

**(select course\_id from section where sem = 'Fall' and year = 2009)**  
**intersect**

**(select course\_id from section where sem = 'Spring' and year = 2010)**

- Find courses that ran in Fall 2009 but not in Spring 2010

**(select course\_id from section where sem = 'Fall' and year = 2009)**  
**except**

**(select course\_id from section where sem = 'Spring' and year = 2010)**



# Set Operations (Cont.)

- Find the salaries of all instructors that are less than the largest salary.
  - **select distinct T.salary  
from instructor as T, instructor as S  
where T.salary < S.salary**
- Find all the salaries of all instructors
  - **select distinct salary  
from instructor**
- Find the largest salary of all instructors.
  - **(select “second query” )  
except  
(select “first query”)**

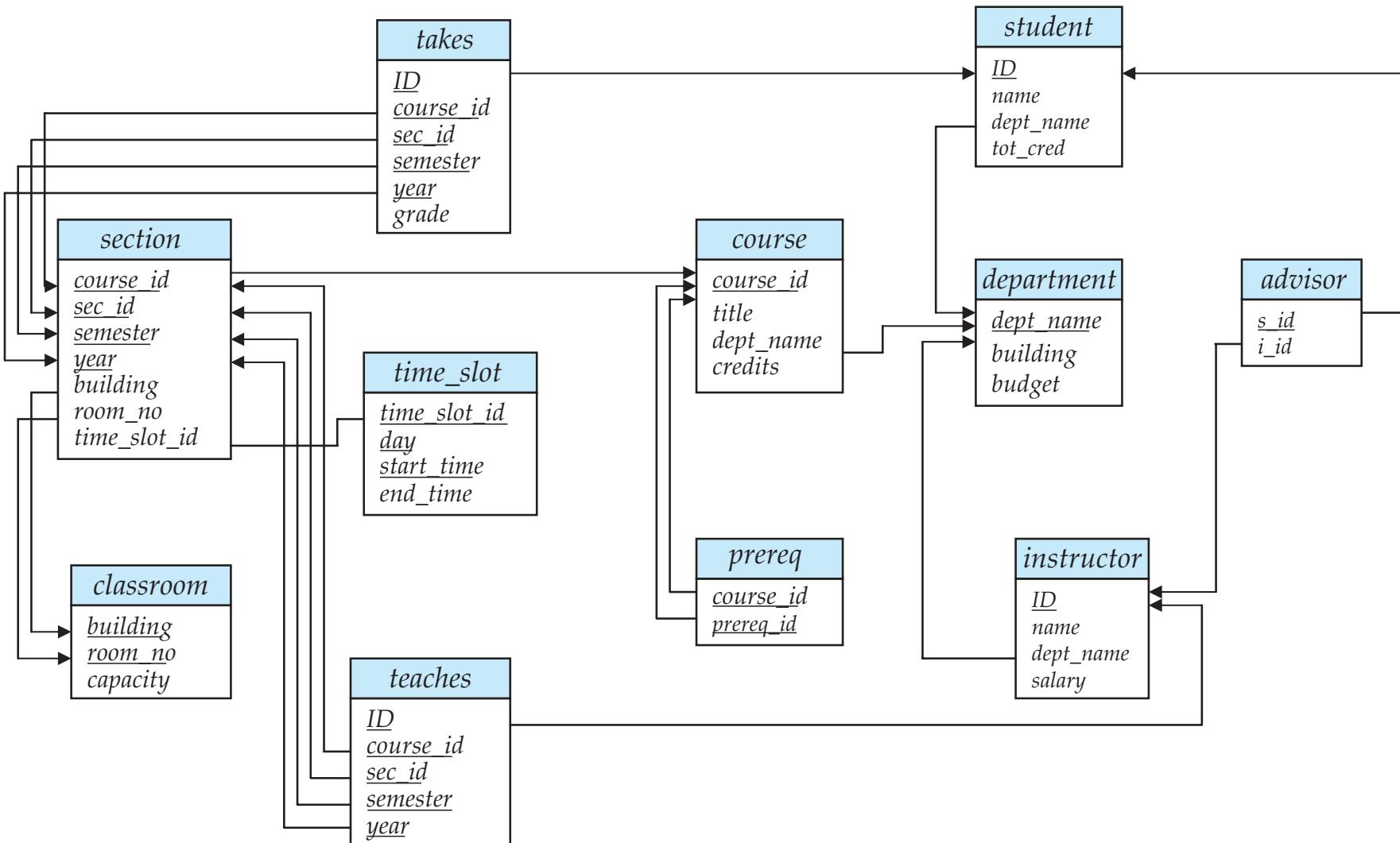


# Set Operations (Cont.)

- Set operations **union**, **intersect**, and **except**
  - Each of the above operations automatically eliminates duplicates
- To retain all duplicates use the corresponding multiset versions **union all**, **intersect all** and **except all**.
- Suppose a tuple occurs  $m$  times in  $r$  and  $n$  times in  $s$ , then, it occurs:
  - $m + n$  times in  $r$  **union all**  $s$
  - $\min(m,n)$  times in  $r$  **intersect all**  $s$
  - $\max(0, m - n)$  times in  $r$  **except all**  $s$



# Schema Diagram for University Database





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## End of Chapter 3 part 1

# Questions???

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# Chapter 3: Introduction to SQL

## Part 2

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# Outline

- Overview of The SQL Query Language
- Data Definition
- Basic Query Structure
- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions
- Nested Subqueries
- Modification of the Database



# Cartesian Product Example

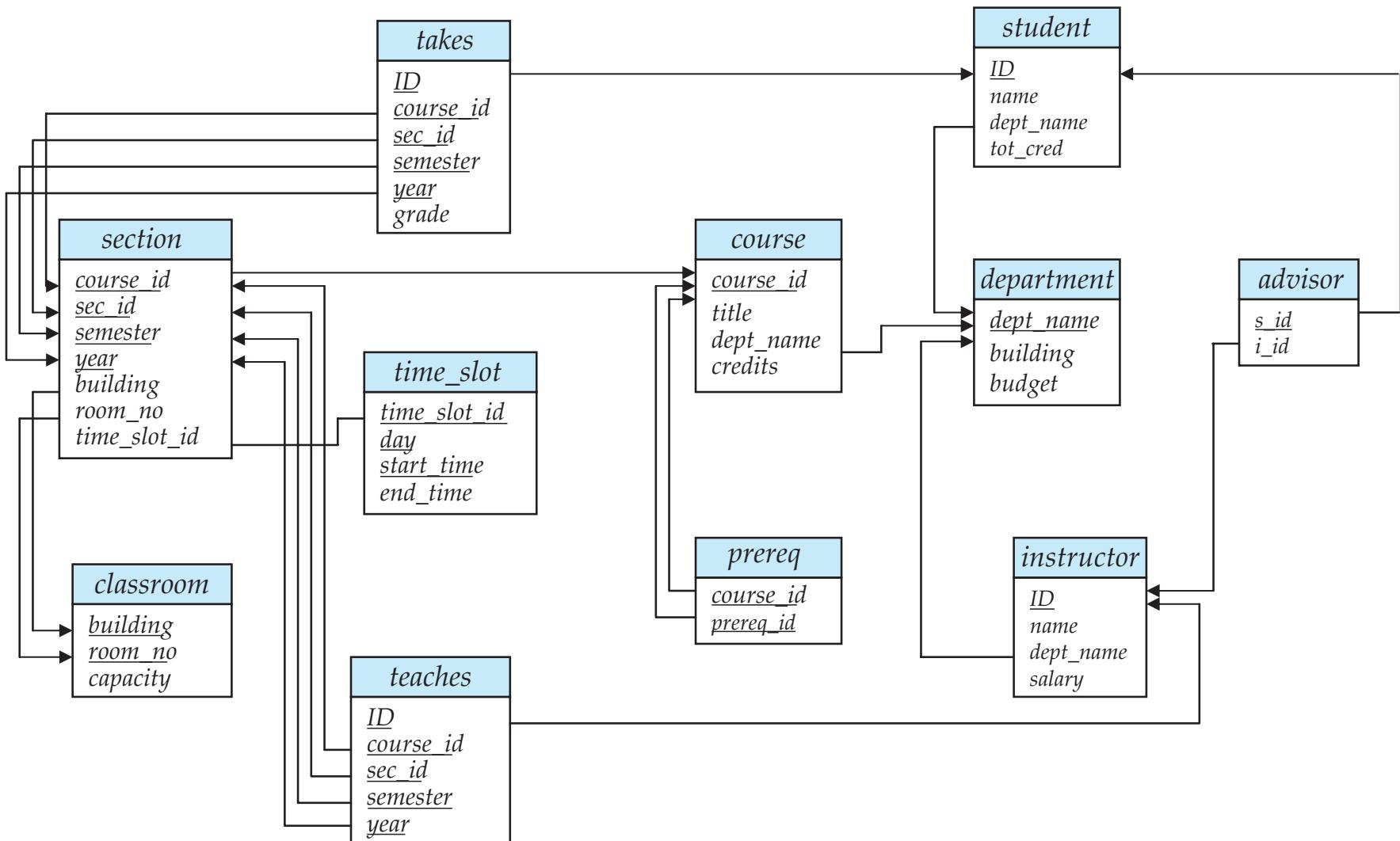
- Relation *emp-super*

<i>person</i>	<i>supervisor</i>
Bob	Alice
Mary	Susan
Alice	David
David	Mary

- Find the supervisor of “Bob”
- Find the supervisor of the supervisor of “Bob”



# Schema Diagram for University Database





# Practice on University Schema

- Find the titles of courses in the Comp. Sci. department that have 3 credits.
- Find IDs of students who got a B+ in CS-101 in Spring 2010
- Find IDs and names of students who got an B in CS-315
- Find the names of all instructors whose salary is greater than at least one instructor in the Biology department
- Increase the salary of each instructor in the Comp. Sci. department by 10%. (Update)



# More Practices

- Find name of the people who owned cars that were involved in accidents in 2009.

*person (driver\_id, name, address)*

*car (license, model, year)*

*accident (report\_number, date, location)*

*owns (driver\_id, license)*

*participated (report\_number, license, driver\_id, damage\_amount)*



# Null Values

- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes
- *null* signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving *null* is *null*
  - Example:  $5 + \text{null}$  returns null
- The predicate **is null** can be used to check for null values.
  - Example: Find all instructors whose salary is null.

```
select name  
from instructor  
where salary is null
```



# Null Values and Three Valued Logic

- Three values – *true, false, unknown*
- Any comparison with *null* returns *unknown*
  - Example:  $5 < \text{null}$  or  $\text{null} \neq \text{null}$  or  $\text{null} = \text{null}$
- Three-valued logic using the value *unknown*:
  - OR:  $(\text{unknown or true}) = \text{true}$ ,  
 $(\text{unknown or false}) = \text{unknown}$   
 $(\text{unknown or unknown}) = \text{unknown}$
  - AND:  $(\text{true and unknown}) = \text{unknown}$ ,  
 $(\text{false and unknown}) = \text{false}$ ,  
 $(\text{unknown and unknown}) = \text{unknown}$
  - NOT:  $(\text{not unknown}) = \text{unknown}$
  - “**P is unknown**” evaluates to true if predicate *P* evaluates to *unknown*
- Result of **where** clause predicate is treated as *false* if it evaluates to *unknown*



# Aggregate Functions

- These functions operate on the multiset of values of a column of a relation, and return a value

**avg:** average value

**min:** minimum value

**max:** maximum value

**sum:** sum of values

**count:** number of values



# Aggregate Functions (Cont.)

- Find the average salary of instructors in the Computer Science department
  - **select avg (salary)**  
**from instructor**  
**where dept\_name= 'Comp. Sci.';**
- Find the total number of instructors who teach a course in the Spring 2010 semester
  - **select count (distinct ID)**  
**from teaches**  
**where semester = 'Spring' and year = 2010;**
- Find the number of tuples in the *course* relation
  - **select count (\*)**  
**from course;**



# Aggregate Functions – Group By

- Find the average salary of instructors in each department
  - `select dept_name, avg (salary) as avg_salary  
from instructor  
group by dept_name;`

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000



# Aggregation (Cont.)

- Attributes in **select** clause outside of aggregate functions must appear in **group by** list

- /\* erroneous query \*/

```
select dept_name, ID, avg (salary)
from instructor
group by dept_name;
```



# Aggregate Functions – Having Clause

- Find the names and average salaries of all departments whose average salary is greater than 42000

```
select dept_name, avg (salary)
from instructor
group by dept_name
having avg (salary) > 42000;
```

Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups

\*\*\* For each course section offered in 2009, find the average total credits (*tot cred*) of all students enrolled in the section, if the section had at least 2 students.



# Null Values and Aggregates

- Total all salaries

```
select sum (salary )  
from instructor
```

- Above statement ignores null amounts
- Result is *null* if there is no non-null amount
- All aggregate operations except **count(\*)** ignore tuples with null values on the aggregated attributes
- What if collection has only null values?
  - count returns 0
  - all other aggregates return null



# Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries. A **subquery** is a **select-from-where** expression that is nested within another query.
- The nesting can be done in the following SQL query

```
select A1, A2, ..., An  
from r1, r2, ..., rm  
where P
```

as follows:

- $A_i$  can be replaced by a subquery that generates a single value.
- $r_i$  can be replaced by any valid subquery
- $P$  can be replaced with an expression of the form:

$B <\text{operation}> (\text{subquery})$

Where  $B$  is an attribute and  $<\text{operation}>$  to be defined later.



# Subqueries in the Where Clause



# Subqueries in the Where Clause

- A common use of subqueries is to perform tests:
  - For set membership
  - For set comparisons
  - For set cardinality.



# Set Membership

- Find courses offered in Fall 2009 and in Spring 2010

```
select distinct course_id
from section
where semester = 'Fall' and year= 2009 and
course_id in (select course_id
from section
where semester = 'Spring' and year= 2010);
```

- Find courses offered in Fall 2009 but not in Spring 2010

```
select distinct course_id
from section
where semester = 'Fall' and year= 2009 and
course_id not in (select course_id
from section
where semester = 'Spring' and year= 2010);
```



# Set Membership (Cont.)

- Find the total number of (distinct) students who have taken course sections taught by the instructor with *ID* 10101

```
select count (distinct ID)
from takes
where (course_id, sec_id, semester, year) in
    (select course_id, sec_id, semester, year
     from teaches
     where teaches.ID= 10101);
```

- Note: Above query can be written in a much simpler manner.  
The formulation above is simply to illustrate SQL features.
- Can you do it in other way?



# Set Comparison – “some” Clause

- Find names of instructors with salary greater than that of some (at least one) instructor in the Biology department.

```
select distinct T.name  
from instructor as T, instructor as S  
where T.salary > S.salary and S.dept name = 'Biology';
```

- Same query using > **some** clause

```
select name  
from instructor  
where salary > some (select salary  
from instructor  
where dept name = 'Biology');
```



# Definition of “some” Clause

- $F <\text{comp}> \text{some } r \Leftrightarrow \exists t \in r \text{ such that } (F <\text{comp}> t)$   
Where  $\text{comp}$  can be:  $<$ ,  $\leq$ ,  $>$ ,  $=$ ,  $\neq$

$(5 < \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \hline \end{array}) = \text{true}$  (read: 5 < some tuple in the relation)

$(5 < \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{false}$

$(5 = \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{true}$

$(5 \neq \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{true}$  (since  $0 \neq 5$ )

$(= \text{some}) \equiv \text{in}$

However,  $(\neq \text{some}) \not\equiv \text{not in}$



# Set Comparison – “all” Clause

- Find the names of all instructors whose salary is greater than the salary of all instructors in the Biology department.

```
select name
from instructor
where salary > all (select salary
                  from instructor
                  where dept name = 'Biology');
```



# Definition of “all” Clause

- $F \text{ <comp> all } r \Leftrightarrow \forall t \in r (F \text{ <comp> } t)$

$(5 < \text{all} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \hline \end{array}) = \text{false}$

$(5 < \text{all} \begin{array}{|c|} \hline 6 \\ \hline 10 \\ \hline \end{array}) = \text{true}$

$(5 = \text{all} \begin{array}{|c|} \hline 4 \\ \hline 5 \\ \hline \end{array}) = \text{false}$

$(5 \neq \text{all} \begin{array}{|c|} \hline 4 \\ \hline 6 \\ \hline \end{array}) = \text{true} \text{ (since } 5 \neq 4 \text{ and } 5 \neq 6\text{)}$

$(\neq \text{all}) \equiv \text{not in}$   
However,  $(= \text{all}) \neq \text{in}$



# Test for Empty Relations

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
- **exists**  $r \Leftrightarrow r \neq \emptyset$
- **not exists**  $r \Leftrightarrow r = \emptyset$



# Use of “exists” Clause

- Yet another way of specifying the query “Find all courses taught in both the Fall 2009 semester and in the Spring 2010 semester”

```
select course_id
  from section as S
 where semester = 'Fall' and year = 2009 and
       exists (select *
                  from section as T
                 where semester = 'Spring' and year= 2010
                   and S.course_id = T.course_id);
```

- **Correlation name** – variable S in the outer query
- **Correlated subquery** – the inner query



# Use of “not exists” Clause

- Find all students who have taken all courses offered in the Biology department.

```
select distinct S.ID, S.name  
from student as S  
where not exists ( (select course_id  
                    from course  
                    where dept_name = 'Biology')  
                  except  
                  (select T.course_id  
                   from takes as T  
                   where S.ID = T.ID));
```

- First nested query lists all courses offered in Biology
- Second nested query lists all courses a particular student took
- Note that  $X - Y = \emptyset \Leftrightarrow X \subseteq Y$
- *Note:* Cannot write this query using = **all** and its variants



# Test for Absence of Duplicate Tuples

- The **unique** construct tests whether a subquery has any duplicate tuples in its result.
- The **unique** construct evaluates to “true” if a given subquery contains no duplicates .
- Find all courses that were offered at most once in 2009

```
select T.course_id  
from course as T  
where unique (select R.course_id  
              from section as R  
              where T.course_id= R.course_id  
                and R.year = 2009);
```



# Subqueries in the Form Clause



# Subqueries in the Form Clause

- SQL allows a subquery expression to be used in the **from** clause
- Find the average instructors' salaries of those departments where the average salary is greater than \$42,000.”

```
select dept_name, avg_salary
  from (select dept_name, avg (salary) as avg_salary
          from instructor
         group by dept_name)
   where avg_salary > 42000;
```

- Note that we do not need to use the **having** clause
- Another way to write above query

```
select dept_name, avg_salary
  from (select dept_name, avg (salary)
          from instructor
         group by dept_name) as dept_avg (dept_name, avg_salary)
   where avg_salary > 42000;
```



# With Clause

- The **with** clause provides a way of defining a temporary relation whose definition is available only to the query in which the **with** clause occurs.
- Find all departments with the maximum budget

```
with max_budget (value) as
    (select max(budget)
     from department)
    select department.name
    from department, max_budget
    where department.budget = max_budget.value;
```



# Complex Queries using With Clause

- Find all departments where the total salary is greater than the average of the total salary at all departments

```
with dept_total(dept_name, value) as
    (select dept_name, sum(salary)
     from instructor
     group by dept_name),
dept_total_avg(value) as
    (select avg(value)
     from dept_total)
select dept_name
from dept_total, dept_total_avg
where dept_total.value > dept_total_avg.value;
```



# Subqueries in the Select Clause



# Scalar Subquery

- Scalar subquery is one which is used where a single value is expected
- List all departments along with the number of instructors in each department

```
select dept_name,  
       (select count(*)  
            from instructor  
            where department.dept_name = instructor.dept_name)  
       as num_instructors  
from department;
```

- Runtime error if subquery returns more than one result tuple



# Modification of the Database

- Deletion of tuples from a given relation.
- Insertion of new tuples into a given relation
- Updating of values in some tuples in a given relation



# Deletion

- Delete all instructors

**delete from** *instructor*

- Delete all instructors from the Finance department

**delete from** *instructor*

**where** *dept\_name*= 'Finance';

- Delete all tuples in the *instructor* relation for those instructors associated with a department located in the Watson building.

**delete from** *instructor*

**where** *dept\_name* in (**select** *dept\_name*

**from** *department*

**where** *building* = 'Watson');



# Deletion (Cont.)

- Delete all instructors whose salary is less than the average salary of instructors

```
delete from instructor  
where salary < (select avg (salary)  
         from instructor);
```

- Problem: as we delete tuples from deposit, the average salary changes
- Solution used in SQL:
  - First, compute **avg** (*salary*) and find all tuples to delete
  - Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)



# Insertion

- Add a new tuple to *course*

```
insert into course
```

```
values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
```

- or equivalently

```
insert into course (course_id, title, dept_name, credits)
```

```
values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
```

- Add a new tuple to *student* with *tot\_creds* set to null

```
insert into student
```

```
values ('3003', 'Green', 'Finance', null);
```



# Insertion (Cont.)

- Add all instructors to the *student* relation with tot\_creds set to 0

```
insert into student
  select ID, name, dept_name, 0
    from instructor
```

- The **select from where** statement is evaluated fully before any of its results are inserted into the relation.

Otherwise queries like

```
insert into table1 select * from table1
```

would cause problem



# Updates

- Increase salaries of instructors whose salary is over \$100,000 by 3%, and all others by a 5%

- Write two **update** statements:

```
update instructor
    set salary = salary * 1.03
    where salary > 100000;
update instructor
    set salary = salary * 1.05
    where salary <= 100000;
```

- The order is important
  - Can be done better using the **case** statement (next slide)



# Case Statement for Conditional Updates

- Same query as before but with case statement

```
update instructor  
set salary = case  
    when salary <= 100000 then salary * 1.05  
    else salary * 1.03  
end
```



# Updates with Scalar Subqueries

- Recompute and update tot\_creds value for all students

**update student S**

```
set tot_cred = (select sum(credits)
   from takes, course
  where takes.course_id = course.course_id and
        S.ID= takes.ID.and
        takes.grade <> 'F' and
        takes.grade is not null);
```

- Sets *tot\_creds* to null for students who have not taken any course
- Instead of **sum(credits)**, use:

```
case
  when sum(credits) is not null then sum(credits)
  else 0
end
```



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# **End of Chapter 3**

## **Part 2**

# **Questions???**

**Database System Concepts, 6<sup>th</sup> Ed./7<sup>th</sup> Ed.**

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# Chapter 4: Intermediate SQL

**Database System Concepts, 6<sup>th</sup> Ed./7<sup>th</sup> Ed.**

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# Chapter 4: Intermediate SQL

- Join Expressions
- Views
- Transactions
- Integrity Constraints
- SQL Data Types and Schemas
- Authorization



# Joins

- For all instructors who have taught courses, find their names and the course ID of the courses they taught.
- Find the course ID, semester, year and title of each course offered by the Comp. Sci. department



# Joins

- For all instructors who have taught courses, find their names and the course ID of the courses they taught.

```
select name, course_id  
from instructor, teaches  
where instructor.ID = teaches.ID
```

- Find the course ID, semester, year and title of each course offered by the Comp. Sci. department

```
select section.course_id, semester, year, title  
from section, course  
where section.course_id = course.course_id and  
dept_name = 'Comp. Sci.'
```



# Natural Join

- Natural join matches tuples with the same values for all common attributes, and retains only one copy of each common column
- **select \***  
**from *instructor* natural join *teaches*;**

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>
10101	Srinivasan	Comp. Sci.	65000	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	CS-347	1	Fall	2009
12121	Wu	Finance	90000	FIN-201	1	Spring	2010
15151	Mozart	Music	40000	MU-199	1	Spring	2010
22222	Einstein	Physics	95000	PHY-101	1	Fall	2009
32343	El Said	History	60000	HIS-351	1	Spring	2010
45565	Katz	Comp. Sci.	75000	CS-101	1	Spring	2010
45565	Katz	Comp. Sci.	75000	CS-319	1	Spring	2010
76766	Crick	Biology	72000	BIO-101	1	Summer	2009
76766	Crick	Biology	72000	BIO-301	1	Summer	2010



# Natural Join (Cont.)

- List the names of instructors along with the titles of courses that they teach



# Natural Join (Cont.)

- Danger in natural join: beware of unrelated attributes with same name which get equated incorrectly
- List the names of instructors along with the titles of courses that they teach
- Incorrect version (equates course.dept\_name with instructor.dept\_name)
  - **select name, title  
from instructor natural join teaches natural join course;**
- Correct version
  - **select name, title  
from instructor natural join teaches, course  
where teaches.course\_id= course.course\_id;**
- Another correct version
  - **select name, title  
from (instructor natural join teaches) join course using(course\_id);**



# Joined Relations

- **Join operations** take two relations and return as a result - another relation.
- A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition). It also specifies the attributes that are present in the result of the join.
- The join operations are typically used as subquery expressions in the **from** clause.



# Join operations – Example

## ■ Relation *course*

<i>course_id</i>	<i>title</i>	<i>dept_name</i>	<i>credits</i>
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

## ■ Relation *prereq*

<i>course_id</i>	<i>prereq_id</i>
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

- Note: prereq information missing for CS-315 and course information missing for CS-347.



Display a list of all students, displaying their *ID*, and *name*, *dept\_name*, and *tot\_cred*, along with the courses that they have taken.

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>tot_cred</i>
00128	Zhang	Comp. Sci.	102
12345	Shankar	Comp. Sci.	32
19991	Brandt	History	80
23121	Chavez	Finance	110
44553	Peltier	Physics	56
45678	Levy	Physics	46
54321	Williams	Comp. Sci.	54
55739	Sanchez	Music	38
70557	Snow	Physics	0
76543	Brown	Comp. Sci.	58
76653	Aoi	Elec. Eng.	60
98765	Bourikas	Elec. Eng.	98
98988	Tanaka	Biology	120

Figure 4.1 The *student* relation.

<i>ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>	<i>grade</i>
00128	CS-101	1	Fall	2009	A
00128	CS-347	1	Fall	2009	A-
12345	CS-101	1	Fall	2009	C
12345	CS-190	2	Spring	2009	A
12345	CS-315	1	Spring	2010	A
12345	CS-347	1	Fall	2009	A
19991	HIS-351	1	Spring	2010	B
23121	FIN-201	1	Spring	2010	C+
44553	PHY-101	1	Fall	2009	B-
45678	CS-101	1	Fall	2009	F
45678	CS-101	1	Spring	2010	B+
45678	CS-319	1	Spring	2010	B
54321	CS-101	1	Fall	2009	A-
54321	CS-190	2	Spring	2009	B+
55739	MU-199	1	Spring	2010	A-
76543	CS-101	1	Fall	2009	A
76543	CS-319	2	Spring	2010	A
76653	EE-181	1	Spring	2009	C
98765	CS-101	1	Fall	2009	C-
98765	CS-315	1	Spring	2010	B
98988	BIO-101	1	Summer	2009	A
98988	BIO-301	1	Summer	2010	null

Figure 4.2 The *takes* relation.



# Outer Join

- An extension of the join operation that avoids loss of information.
  - Computes the join and then adds tuples from one relation that does not match tuples in the other relation to the result of the join.
  - Uses *null* values.
- 
- Display a list of all students, displaying their *ID*, and *name*, *dept name*, and *tot cred*, along with the courses that they have taken.

**select \* from student natural join takes;** - Won't list students who did not take any courses yet. Correct version would be:

**select \* from student natural left outer join takes;**



<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>tot_cred</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>	<i>grade</i>
00128	Zhang	Comp. Sci.	102	CS-101	1	Fall	2009	A
00128	Zhang	Comp. Sci.	102	CS-347	1	Fall	2009	A-
12345	Shankar	Comp. Sci.	32	CS-101	1	Fall	2009	C
12345	Shankar	Comp. Sci.	32	CS-190	2	Spring	2009	A
12345	Shankar	Comp. Sci.	32	CS-315	1	Spring	2010	A
12345	Shankar	Comp. Sci.	32	CS-347	1	Fall	2009	A
19991	Brandt	History	80	HIS-351	1	Spring	2010	B
23121	Chavez	Finance	110	FIN-201	1	Spring	2010	C+
44553	Peltier	Physics	56	PHY-101	1	Fall	2009	B-
45678	Levy	Physics	46	CS-101	1	Fall	2009	F
45678	Levy	Physics	46	CS-101	1	Spring	2010	B+
45678	Levy	Physics	46	CS-319	1	Spring	2010	B
54321	Williams	Comp. Sci.	54	CS-101	1	Fall	2009	A-
54321	Williams	Comp. Sci.	54	CS-190	2	Spring	2009	B+
55739	Sanchez	Music	38	MU-199	1	Spring	2010	A-
70557	Snow	Physics	0	null	null	null	null	null
76543	Brown	Comp. Sci.	58	CS-101	1	Fall	2009	A
76543	Brown	Comp. Sci.	58	CS-319	2	Spring	2010	A
76653	Aoi	Elec. Eng.	60	EE-181	1	Spring	2009	C
98765	Bourikas	Elec. Eng.	98	CS-101	1	Fall	2009	C-
98765	Bourikas	Elec. Eng.	98	CS-315	1	Spring	2010	B
98988	Tanaka	Biology	120	BIO-101	1	Summer	2009	A
98988	Tanaka	Biology	120	BIO-301	1	Summer	2010	null

Figure 4.4 Result of *student* natural left outer join *takes*.



ID	course_id	sec_id	semester	year	grade	name	dept_name	tot_cred
00128	CS-101	1	Fall	2009	A	Zhang	Comp. Sci.	102
00128	CS-347	1	Fall	2009	A-	Zhang	Comp. Sci.	102
12345	CS-101	1	Fall	2009	C	Shankar	Comp. Sci.	32
12345	CS-190	2	Spring	2009	A	Shankar	Comp. Sci.	32
12345	CS-315	1	Spring	2010	A	Shankar	Comp. Sci.	32
12345	CS-347	1	Fall	2009	A	Shankar	Comp. Sci.	32
19991	HIS-351	1	Spring	2010	B	Brandt	History	80
23121	FIN-201	1	Spring	2010	C+	Chavez	Finance	110
44553	PHY-101	1	Fall	2009	B-	Peltier	Physics	56
45678	CS-101	1	Fall	2009	F	Levy	Physics	46
45678	CS-101	1	Spring	2010	B+	Levy	Physics	46
45678	CS-319	1	Spring	2010	B	Levy	Physics	46
54321	CS-101	1	Fall	2009	A-	Williams	Comp. Sci.	54
54321	CS-190	2	Spring	2009	B+	Williams	Comp. Sci.	54
55739	MU-199	1	Spring	2010	A-	Sanchez	Music	38
70557	null	null	null	null	null	Snow	Physics	0
76543	CS-101	1	Fall	2009	A	Brown	Comp. Sci.	58
76543	CS-319	2	Spring	2010	A	Brown	Comp. Sci.	58
76653	EE-181	1	Spring	2009	C	Aoi	Elec. Eng.	60
98765	CS-101	1	Fall	2009	C-	Bourikas	Elec. Eng.	98
98765	CS-315	1	Spring	2010	B	Bourikas	Elec. Eng.	98
98988	BIO-101	1	Summer	2009	A	Tanaka	Biology	120
98988	BIO-301	1	Summer	2010	null	Tanaka	Biology	120

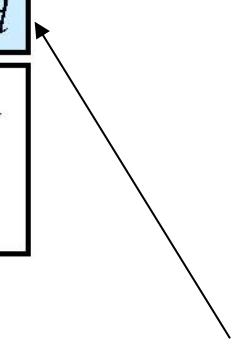
**Figure 4.5** The result of *takes* natural right outer join *student*.



# Left Outer Join

- course **natural left outer join** prereq

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null



Note: read prere\_id as prereq\_id

Course

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

Prereq

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101



# Right Outer Join

- course natural right outer join prereq

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

Course

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

Prereq

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101



# Full Outer Join

■ *course natural full outer join prereq*

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

Course

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

Prereq

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101



# Joined Relations

- **Join operations** take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause
- **Join condition** – defines which tuples in the two relations match, and what attributes are present in the result of the join.
- **Join type** – defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

<i>Join types</i>	<i>Join Conditions</i>
<b>inner join</b> <b>left outer join</b> <b>right outer join</b> <b>full outer join</b>	<b>natural</b> <b>on &lt;predicate&gt;</b> <b>using (<math>A_1, A_1, \dots, A_n</math>)</b>



# Joined Relations – Examples

- **course inner join prereq on**  
 $course.course\_id = prereq.course\_id$

course_id	title	dept_name	credits	prere_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190

- **course left outer join prereq on**  
 $course.course\_id = prereq.course\_id$

course_id	title	dept_name	credits	prere_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	null	null



# Joined Relations – Examples

■ **course natural right outer join prereq**

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101



# Views

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know an instructors name and department, but not the salary. This person should see a relation described, in SQL, by

```
select ID, name, dept_name  
from instructor
```

- A **view** provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a “virtual relation” is called a **view**.



# View Definition

- A view is defined using the **create view** statement which has the form

**create view  $v$  as <query expression>**

where <query expression> is any legal SQL expression. The view name is represented by  $v$ .

- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- View definition is not the same as creating a new relation by evaluating the query expression
  - Rather, a view definition causes the saving of an expression; the expression is substituted into queries using the view.



# Example Views

- A view of instructors without their salary

```
create view faculty as
```

```
  select ID, name, dept_name  
  from instructor
```

- Find all instructors in the Biology department

```
select name
```

```
from faculty
```

```
where dept_name = 'Biology'
```

- Create a view of department salary totals

```
create view departments_total_salary(dept_name, total_salary) as
```

```
  select dept_name, sum (salary)
```

```
  from instructor
```

```
  group by dept_name;
```



# Views Defined Using Other Views

- **create view physics\_fall\_2009 as**  
**select course.course\_id, sec\_id, building, room\_number**  
**from course, section**  
**where course.course\_id = section.course\_id**  
**and course.dept\_name = 'Physics'**  
**and section.semester = 'Fall'**  
**and section.year = '2009';**
- **create view physics\_fall\_2009\_watson as**  
**select course\_id, room\_number**  
**from physics\_fall\_2009**  
**where building= 'Watson' ;**



# Update of a View

- Add a new tuple to *faculty* view which we defined earlier

```
insert into faculty values ('30765', 'Green', 'Music');
```

This insertion must be represented by the insertion of the tuple

```
('30765', 'Green', 'Music', null)
```

into the *instructor* relation.



# Some Updates cannot be Translated Uniquely

- **create view** *instructor\_info* **as**  
**select** *ID, name, building*  
**from** *instructor, department*  
**where** *instructor.dept\_name= department.dept\_name*;
- **insert into** *instructor info* **values** (' 69987' , ' White' , ' Taylor' );
  - ▶ which department, if multiple departments in Taylor?
  - ▶ what if no department is in Taylor?
- Most SQL implementations allow updates only on simple views
  - The **from** clause has only one database relation.
  - The **select** clause contains only attribute names of the relation, and does not have any expressions, aggregates, or **distinct** specification.
  - Any attribute not listed in the **select** clause can be set to null
  - The query does not have a **group by** or **having** clause.



# Transactions

- Unit of work
- Atomic transaction
  - either fully executed or rolled back as if it never occurred
- Isolation from concurrent transactions
- Transactions begin implicitly
  - Ended by **commit work** or **rollback work**
- But default on most databases: each SQL statement commits automatically
  - Can turn off auto commit for a session (e.g. using API)
  - In SQL:1999, can use: **begin atomic .... end**



# Integrity Constraints

- Integrity constraints guard against accidental damage to the database, by ensuring that authorized changes to the database do not result in a loss of data consistency.
  - A checking account must have a balance greater than \$10,000.00.
  - A salary of a bank employee must be at least \$4.00 an hour.
  - A customer must have a (non-null) phone number.



# Constraints on a Single Relation

- **not null**
- **primary key**
- **unique**
- **check (P)**, where P is a predicate



# Not Null and Unique Constraints

## ■ not null

- Declare *name* and *budget* to be **not null**

*name varchar(20) not null*

*budget numeric(12,2) not null*

## ■ unique ( $A_1, A_2, \dots, A_m$ )

- The unique specification states that the attributes  $A_1, A_2, \dots, A_m$  form a candidate key.
- Candidate keys are permitted to be null (in contrast to primary keys).



# The check clause

## ■ **check (P)**

where P is a predicate

Example: ensure that semester is one of fall, winter, spring or summer:

```
create table section (
    course_id varchar (8),
    sec_id varchar (8),
    semester varchar (6),
    year numeric (4,0),
    building varchar (15),
    room_number varchar (7),
    time_slot_id varchar (4),
    primary key (course_id, sec_id, semester, year),
    check (semester in (' Fall', ' Winter', ' Spring',
    ' Summer'))
);
```



# Referential Integrity

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation.
  - Example: If “Biology” is a department name appearing in one of the tuples in the *instructor* relation, then there exists a tuple in the *department* relation for “Biology”.
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a **foreign key** of R if for any values of A appearing in R these values also appear in S.



# Cascading Actions in Referential Integrity

- **create table course (**  
    *course\_id char(5) primary key,*  
    *title varchar(20),*  
    *dept\_name varchar(20) references department*  
**)**
- **create table course (**  
     $\dots$   
    *dept\_name varchar(20),*  
    **foreign key (dept\_name) references department**  
        **on delete cascade**  
        **on update cascade,**  
     $\dots$   
**)**
- alternative actions to cascade: **set null, set default**



# Integrity Constraint Violation During Transactions

- E.g.,

```
create table person (
    ID char(10),
    name char(40),
    mother char(10),
    father char(10),
    primary key ID,
    foreign key father references person,
    foreign key mother references person)
```

- How to insert a tuple?
- What if *mother* or *father* is declared not null?
  - **constraint** *father\_ref* **foreign key** *father references person*,  
**constraint** *mother\_ref* **foreign key** *mother references person*)
  - **set constraints** *father\_ref*, *mother\_ref* **deferred**



# Complex Check Clauses (Skip)

- **check** (*time\_slot\_id* in (select *time\_slot\_id* from *time\_slot*))
  - why not use a foreign key here?
- Every section has at least one instructor teaching the section.
  - how to write this?
- Unfortunately: subquery in check clause not supported by pretty much any database
  - Alternative: triggers (later)
- **create assertion** <assertion-name> **check** <predicate>;
  - Also not supported by anyone



# Built-in Data Types in SQL

- **date:** Dates, containing a (4 digit) year, month and date
  - Example: **date** ‘2005-7-27’
- **time:** Time of day, in hours, minutes and seconds.
  - Example: **time** ‘09:00:30’      **time** ‘09:00:30.75’
- **timestamp:** date plus time of day
  - Example: **timestamp** ‘2005-7-27 09:00:30.75’
- **interval:** period of time
  - Example: **interval** ‘1’ day
  - Subtracting a date/time/timestamp value from another gives an interval value
  - Interval values can be added to date/time/timestamp values



# Other Features

- **create table student**  
*(ID varchar (5),  
name varchar (20) not null,  
dept\_name varchar (20),  
tot\_cred numeric (3,0) default 0,  
primary key (ID))*
- **create index studentID\_index on student(ID)**
- Large objects
  - *book review clob(10KB)*
  - *image blob(10MB)*
  - *movie blob(2GB)*



# User-Defined Types

- **create type** construct in SQL creates user-defined type

```
create type Dollars as numeric (12,2) final
```

- **create table** *department*  
*(dept\_name varchar (20),*  
*building varchar (15),*  
*budget Dollars);*



# Domains

- **create domain** construct in SQL-92 creates user-defined domain types

```
create domain person_name char(20) not null
```

- Types and domains are similar. Domains can have constraints, such as **not null**, specified on them.
- **create domain** *degree\_level* **varchar(10)**  
**constraint** *degree\_level\_test*  
**check (value in ('Bachelors', 'Masters', 'Doctorate'));**



# Large-Object Types

- Large objects (photos, videos, CAD files, etc.) are stored as a *large object*:
  - **blob**: binary large object -- object is a large collection of uninterpreted binary data (whose interpretation is left to an application outside of the database system)
  - **clob**: character large object -- object is a large collection of character data
  - When a query returns a large object, a pointer is returned rather than the large object itself.



# Authorization

Forms of authorization on parts of the database:

- **Read** - allows reading, but not modification of data.
- **Insert** - allows insertion of new data, but not modification of existing data.
- **Update** - allows modification, but not deletion of data.
- **Delete** - allows deletion of data.

Forms of authorization to modify the database schema

- **Index** - allows creation and deletion of indices.
- **Resources** - allows creation of new relations.
- **Alteration** - allows addition or deletion of attributes in a relation.
- **Drop** - allows deletion of relations.



# Authorization Specification in SQL

- The **grant** statement is used to confer authorization

**grant <privilege list>**

**on <relation name or view name> to <user list>**

- <user list> is:

- a user-id
- **public**, which allows all valid users the privilege granted
- A role (more on this later)

- Granting a privilege on a view does not imply granting any privileges on the underlying relations.
- The grantor of the privilege must already hold the privilege on the specified item (or be the database administrator).



# Privileges in SQL

- **select:** allows read access to relation, or the ability to query using the view
  - Example: grant users  $U_1$ ,  $U_2$ , and  $U_3$  **select** authorization on the *branch* relation:  
  
**grant select on instructor to  $U_1$ ,  $U_2$ ,  $U_3$**
- **insert:** the ability to insert tuples.
- **update:** the ability to update using the SQL update statement.
- **delete:** the ability to delete tuples.
- **all privileges:** used as a short form for all the allowable privileges.



# Revoking Authorization in SQL

- The **revoke** statement is used to revoke authorization.

```
revoke <privilege list>
```

```
on <relation name or view name> from <user list>
```

- Example:

```
revoke select on branch from U1, U2, U3
```

- <privilege-list> may be **all** to revoke all privileges the revoker may hold.
- If <revoker-list> includes **public**, all users lose the privilege except those granted it explicitly.
- If the same privilege was granted twice to the same user by different grantees, the user may retain the privilege after the revocation.
- All privileges that depend on the privilege being revoked are also revoked.



# Roles

- **create role** *instructor*;
- Privileges can be granted to roles:
  - **grant select on** *takes* **to** *instructor*;
- Roles can be granted to users, as well as to other roles
  - **create role** *student*
  - **grant** *instructor* **to** Amit;
  - **create role** *dean*;
  - **grant** *instructor* **to** *dean*;
  - **grant** *dean* **to** Satoshi;



# Authorization on Views

- **create view *geo\_instructor* as**  
**(select \***  
**from *instructor***  
**where *dept\_name* = ' Geology' );**
- **grant select on *geo\_instructor* to *staff***



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# Chapter 5: Advanced SQL

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# Chapter 5: Advanced SQL

- Accessing SQL From a Programming Language
  - Dynamic SQL
    - ▶ JDBC and ODBC
  - Embedded SQL
- SQL Data Types and Schemas [skipping]
- Functions and Procedural Constructs [mostly skipping]
- Triggers
- Recursive Queries [skipping]
- Advanced Aggregation Features [skipping]
- OLAP [skipping]



# Procedural Constructs in SQL



# Procedural Extensions and Stored Procedures

- SQL provides a **module** language
  - Permits definition of procedures in SQL, with if-then-else statements, for and while loops, etc.
- Stored Procedures
  - Can store procedures in the database
  - then execute them using the **call** statement
  - permit external applications to operate on the database without knowing about internal details
- Object-oriented aspects of these features are covered in Chapter 22 (Object Based Databases)



# SQL Functions

- Define a function that, given the name of a department, returns the count of the number of instructors in that department.

```
create function dept_count (dept_name varchar(20))
returns integer
begin
    declare d_count integer;
    select count (*) into d_count
    from instructor
    where instructor.dept_name = dept_name
    return d_count;
end
```

- Find the department name and budget of all departments with more than 1 instructors.

```
select dept_name, budget
from department
where dept_count (dept_name ) > 1
```



# SQL Procedures

- The *dept\_count* function could instead be written as procedure:

```
create procedure dept_count_proc (in dept_name varchar(20),
                                   out d_count integer)
begin
```

```
    select count(*) into d_count
    from instructor
    where instructor.dept_name = dept_count_proc.dept_name
```

```
end
```

- Procedures can be invoked either from an SQL procedure or from embedded SQL, using the **call** statement.

```
declare d_count integer;
call dept_count_proc( 'Physics' , d_count);
```

Procedures and functions can be invoked also from dynamic SQL

- SQL:1999 allows more than one function/procedure of the same name (called name **overloading**), as long as the number of arguments differ, or at least the types of the arguments differ



# Triggers



# Triggers

- A **trigger** is a statement that is executed automatically by the system as a side effect of a modification to the database.
- To design a trigger mechanism, we must:
  - Specify when a trigger is to be executed. This is broken up into an *event* that causes the trigger to be checked and a *condition* that must be satisfied for trigger execution to proceed.
  - Specify the actions to be taken when the trigger executes.
- Triggers introduced to SQL standard in SQL:1999, but supported even earlier using non-standard syntax by most databases.
  - Syntax illustrated here may not work exactly on your database system; check the system manuals



# Trigger Example

- E.g. *time\_slot\_id* is not a primary key of *timeslot*, so we cannot create a foreign key constraint from *section* to *timeslot*.
- Alternative: use triggers on *section* and *timeslot* to enforce integrity constraints

```
create trigger timeslot_check1 after insert on section
referencing new row as nrow
for each row
when (nrow.time_slot_id not in (
    select time_slot_id
    from time_slot) /* time_slot_id not present in time_slot */
begin
    rollback
end;
```



# Trigger Example Cont.

```
create trigger timeslot_check2 after delete on timeslot
referencing old row as orow
for each row
when (orow.time_slot_id not in (
    select time_slot_id
    from time_slot)
/* last tuple for time slot id deleted from time slot */
and orow.time_slot_id in (
    select time_slot_id
    from section)) /* and time_slot_id still referenced from section*/
begin
    rollback
end;
```



# Triggering Events and Actions in SQL

- Triggering event can be **insert**, **delete** or **update**
- Triggers on update can be restricted to specific attributes
  - **E.g., after update of takes on grade**
- Values of attributes before and after an update can be referenced
  - **referencing old row as** : for deletes and updates
  - **referencing new row as** : for inserts and updates
- Triggers can be activated before an event, which can serve as extra constraints. E.g. convert blank grades to null.

```
create trigger setnull_trigger before update of takes  
referencing new row as nrow  
for each row  
when (nrow.grade = ' ')  
begin atomic  
    set nrow.grade = null;  
end;
```



# Trigger to Maintain credits\_earned value

- create trigger *credits\_earned* after update of *takes* on (*grade*) referencing new row as *nrow* referencing old row as *orow* for each row
  - when *nrow.grade*  $\neq$  'F' and *nrow.grade* is not null and (*orow.grade* = 'F' or *orow.grade* is null)
  - begin atomic
    - update *student*
    - set *tot\_cred*= *tot\_cred* +
      - (select *credits*
      - from *course*
      - where *course.course\_id*= *nrow.course\_id*)
    - where *student.id* = *nrow.id*;
  - end;



# MySQL Trigger Example

- <http://net.tutsplus.com/tutorials/databases/introduction-to-mysql-triggers/>



# When Not To Use Triggers

- Triggers were used earlier for tasks such as
  - maintaining summary data (e.g., total salary of each department)
  - Replicating databases by recording changes to special relations (called **change** or **delta** relations) and having a separate process that applies the changes over to a replica
- There are better ways of doing these now:
  - Databases today provide built in materialized view facilities to maintain summary data
  - Databases provide built-in support for replication
- Risk of unintended execution of triggers, for example, when
  - loading data from a backup copy
  - replicating updates at a remote site
  - Trigger execution can be disabled before such actions.
- Other risks with triggers:
  - Error leading to failure of critical transactions that set off the trigger
  - Cascading execution



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# Relational Database Design

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# Relational Database Design

- Features of Good Relational Design
- Atomic Domains and First Normal Form
- Decomposition Using Functional Dependencies
- Functional Dependency Theory [skipping details]
- Algorithms for Functional Dependencies [skipping details]
- Decomposition Using Multivalued Dependencies [skip]
- More Normal Form [skip]
- Database-Design Process
- Modeling Temporal Data [skip]



# First Normal Form

- Domain is **atomic** if its elements are considered to be indivisible units
  - Examples of non-atomic domains:
    - ▶ Set of names, composite attributes
    - ▶ Identification numbers like CS101 that can be broken up into parts
- A relational schema R is in **first normal form** if the domains of all attributes of R are atomic
- Non-atomic values complicate storage and encourage redundant (repeated) storage of data
  - Example: Set of accounts stored with each customer, and set of owners stored with each account
  - We assume all relations are in first normal form (and revisit this in Chapter 22: Object Based Databases)



# First Normal Form (Cont'd)

- Atomicity is actually a property of how the elements of the domain are used.
  - Example: Strings would normally be considered indivisible
  - Suppose that students are given roll numbers which are strings of the form *CS0012* or *EE1127*
  - If the first two characters are extracted to find the department, the domain of roll numbers is not atomic.
  - Doing so is a bad idea: leads to encoding of information in application program rather than in the database.



# Higher Normal forms – Devise a Theory for the Following

- Decide whether a particular relation  $R$  is in “good” form.
- In the case that a relation  $R$  is not in “good” form, decompose it into a set of relations  $\{R_1, R_2, \dots, R_n\}$  such that
  - each relation is in good form
  - the decomposition is a lossless-join decomposition
- Our theory is based on:
  - functional dependencies
  - multivalued dependencies [skipping]



# Combine Schemas?

- Suppose we combine *instructor* and *department* into *inst\_dept*
  - (*No connection to relationship set inst\_dept*)
- Result is possible repetition of information

<i>ID</i>	<i>name</i>	<i>salary</i>	<i>dept_name</i>	<i>building</i>	<i>budget</i>
22222	Einstein	95000	Physics	Watson	70000
12121	Wu	90000	Finance	Painter	120000
32343	El Said	60000	History	Painter	50000
45565	Katz	75000	Comp. Sci.	Taylor	100000
98345	Kim	80000	Elec. Eng.	Taylor	85000
76766	Crick	72000	Biology	Watson	90000
10101	Srinivasan	65000	Comp. Sci.	Taylor	100000
58583	Califieri	62000	History	Painter	50000
83821	Brandt	92000	Comp. Sci.	Taylor	100000
15151	Mozart	40000	Music	Packard	80000
33456	Gold	87000	Physics	Watson	70000
76543	Singh	80000	Finance	Painter	120000



# A Combined Schema Without Repetition

- Consider combining relations
  - $\text{sec\_class}(\text{sec\_id}, \text{building}, \text{room\_number})$  and
  - $\text{section}(\text{course\_id}, \text{sec\_id}, \text{semester}, \text{year})$
- into one relation
  - $\text{section}(\text{course\_id}, \text{sec\_id}, \text{semester}, \text{year}, \text{building}, \text{room\_number})$
- No repetition in this case

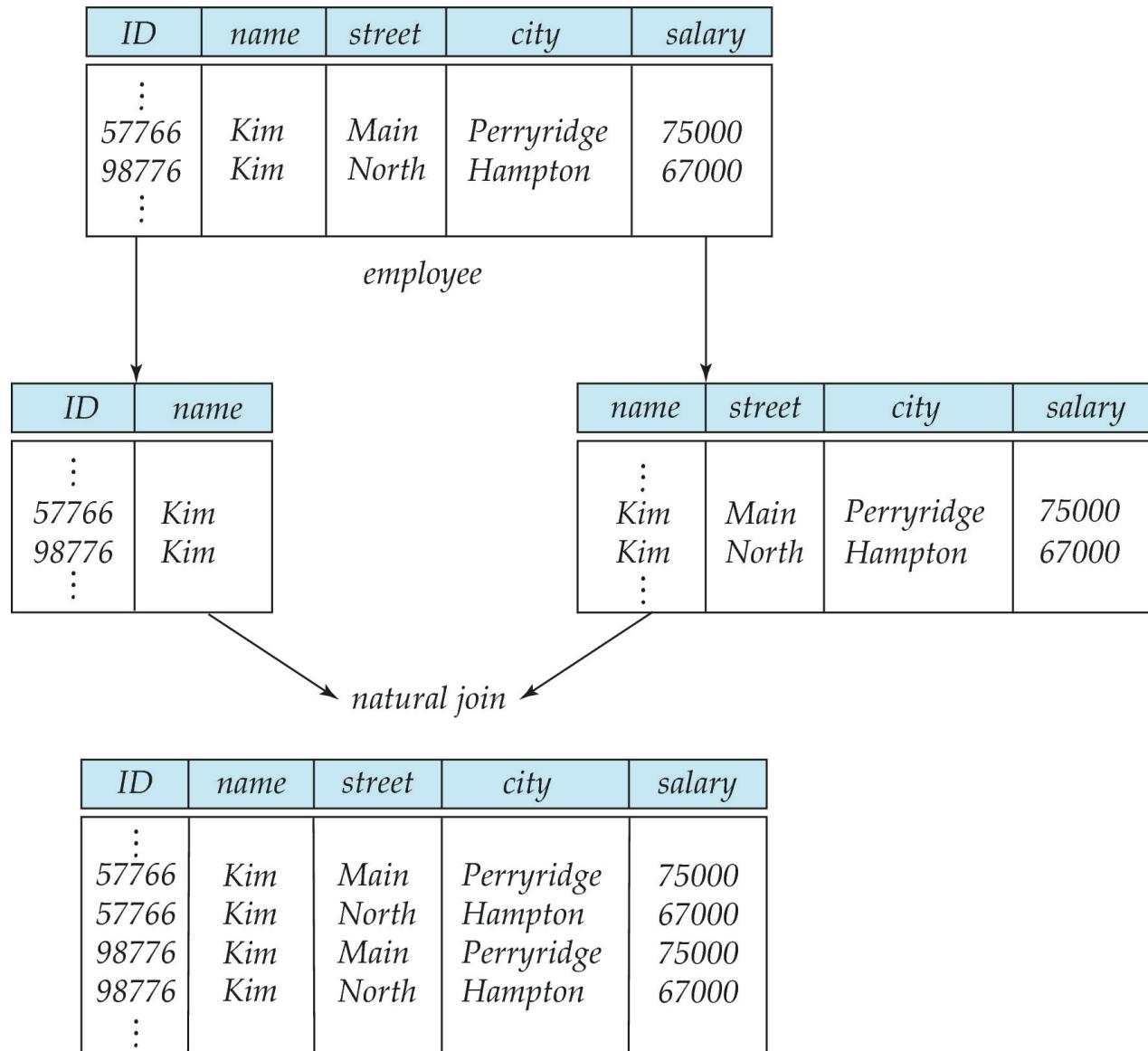


# What About Smaller Schemas?

- Suppose we had started with *inst\_dept*. How would we know to split up (**decompose**) it into *instructor* and *department*?
- Write a rule “if there were a schema (*dept\_name, building, budget*), then *dept\_name* would be a candidate key”
- Denote as a **functional dependency**:  
$$\textit{dept\_name} \rightarrow \textit{building}, \textit{budget}$$
- In *inst\_dept*, because *dept\_name* is not a candidate key, the building and budget of a department may have to be repeated.
  - This indicates the need to decompose *inst\_dept*
- Not all decompositions are good. Suppose we decompose *employee*(*ID, name, street, city, salary*) into
  - employee1* (*ID, name*)
  - employee2* (*name, street, city, salary*)
- The next slide shows how we lose information -- we cannot reconstruct the original *employee* relation -- and so, this is a **lossy decomposition**.



# A Lossy Decomposition





# Example of Lossless-Join Decomposition

- **Lossless join decomposition**

- Decomposition of  $R = (A, B, C)$

$$R_1 = (A, B) \quad R_2 = (B, C)$$

A	B	C
$\alpha$	1	A
$\beta$	2	B

$r$

A	B
$\alpha$	1
$\beta$	2

$\Pi_{A,B}(r)$

B	C
1	A
2	B

$\Pi_{B,C}(r)$

$\Pi_{A,B}(r) \bowtie \Pi_{B,C}(r)$

A	B	C
$\alpha$	1	A
$\beta$	2	B



# Functional Dependencies

- Constraints on the set of legal relations.
- Require that the value for a certain set of attributes determines uniquely the value for another set of attributes.
- A functional dependency is a generalization of the notion of a *key*.



# Functional Dependencies (Cont.)

- Let  $R$  be a relation schema

$$\alpha \subseteq R \text{ and } \beta \subseteq R$$

- The **functional dependency**

$$\alpha \rightarrow \beta$$

**holds on**  $R$  if and only if for any legal relations  $r(R)$ , whenever any two tuples  $t_1$  and  $t_2$  of  $r$  agree on the attributes  $\alpha$ , they also agree on the attributes  $\beta$ . That is,

$$t_1[\alpha] = t_2[\alpha] \Rightarrow t_1[\beta] = t_2[\beta]$$

- Example: Consider  $r(A,B)$  with the following instance of  $r$ .

1	4
1	5
3	7

- On this instance,  $A \rightarrow B$  does **NOT** hold, but  $B \rightarrow A$  does hold.



# Functional Dependency

A	B	C	D
$a_1$	$b_1$	$c_1$	$d_1$
$a_1$	$b_2$	$c_1$	$d_2$
$a_2$	$b_2$	$c_2$	$d_2$
$a_2$	$b_3$	$c_2$	$d_3$
$a_3$	$b_3$	$c_2$	$d_4$

**A  $\rightarrow$  C???**

**C  $\rightarrow$  A???**



# Functional Dependencies (Cont.)

- $K$  is a superkey for relation schema  $R$  if and only if  $K \rightarrow R$
- $K$  is a candidate key for  $R$  if and only if
  - $K \rightarrow R$ , and
  - for no  $\alpha \subset K$ ,  $\alpha \rightarrow R$
- Functional dependencies allow us to express constraints that cannot be expressed using superkeys. Consider the schema:

*inst\_dept (ID, name, salary, dept\_name, building, budget).*

We expect these functional dependencies to hold:

$dept\_name \rightarrow building$

and             $ID \rightarrow building$

but would not expect the following to hold:

$dept\_name \rightarrow salary$



# Use of Functional Dependencies

- We use functional dependencies to:
  - test relations to see if they are legal under a given set of functional dependencies.
    - ▶ If a relation  $r$  is legal under a set  $F$  of functional dependencies, we say that  $r$  **satisfies**  $F$ .
  - specify constraints on the set of legal relations
    - ▶ We say that  $F$  **holds on**  $R$  if all legal relations on  $R$  satisfy the set of functional dependencies  $F$ .
- Note: A specific instance of a relation schema may satisfy a functional dependency even if the functional dependency does not hold on all legal instances.
  - For example, a specific instance of *instructor* may, by chance, satisfy  $name \rightarrow ID$ .



# Functional Dependencies (Cont.)

- A functional dependency is **trivial** if it is satisfied by all instances of a relation
  - Example:
    - ▶  $ID, name \rightarrow ID$
    - ▶  $name \rightarrow name$
  - In general,  $\alpha \rightarrow \beta$  is trivial if  $\beta \subseteq \alpha$



# Closure of a Set of Functional Dependencies

- Given a set  $F$  of functional dependencies, there are certain other functional dependencies that are logically implied by  $F$ .
  - For e.g.: If  $A \rightarrow B$  and  $B \rightarrow C$ , then we can infer that  $A \rightarrow C$
- The set of all func. dependencies logically implied by  $F$  is the **closure** of  $F$ .
- We denote the *closure* of  $F$  by  $F^+$ .
  
- We can find  $F^+$ , the closure of  $F$ , by repeatedly applying **Armstrong's Axioms**:
  - if  $\beta \subseteq \alpha$ , then  $\alpha \rightarrow \beta$  **(reflexivity)**
  - if  $\alpha \rightarrow \beta$ , then  $\gamma\alpha \rightarrow \gamma\beta$  **(augmentation)**
  - if  $\alpha \rightarrow \beta$ , and  $\beta \rightarrow \gamma$ , then  $\alpha \rightarrow \gamma$  **(transitivity)**
- These rules are
  - **sound** (generate only functional dependencies that actually hold), and
  - **complete** (generate all functional dependencies that hold).



# Example

■  $R = (A, B, C, G, H, I)$

$F = \{ A \rightarrow B$   
 $A \rightarrow C$   
 $CG \rightarrow H$   
 $CG \rightarrow I$   
 $B \rightarrow H\}$

■ some members of  $F^+$

- $A \rightarrow H$

- ▶ by transitivity from  $A \rightarrow B$  and  $B \rightarrow H$

- $AG \rightarrow I$

- ▶ by augmenting  $A \rightarrow C$  with G, to get  $AG \rightarrow CG$  and then transitivity with  $CG \rightarrow I$

- $CG \rightarrow HI$

- ▶ by augmenting  $CG \rightarrow I$  to infer  $CG \rightarrow CGI$ , and augmenting of  $CG \rightarrow H$  to infer  $CGI \rightarrow HI$ , and then transitivity



# Boyce-Codd Normal Form

A relation schema  $R$  is in BCNF with respect to a set  $F$  of functional dependencies if for all functional dependencies in  $F^+$  of the form

$$\alpha \rightarrow \beta$$

where  $\alpha \subseteq R$  and  $\beta \subseteq R$ , at least one of the following holds:

- $\alpha \rightarrow \beta$  is trivial (i.e.,  $\beta \subseteq \alpha$ )
- $\alpha$  is a superkey for  $R$

Example schema *not* in BCNF:

*instr\_dept* (ID, name, salary, dept\_name, building, budget)

because  $dept\_name \rightarrow building, budget$   
holds on *instr\_dept*, but *dept\_name* is not a superkey



# Decomposing a Schema into BCNF

- Suppose we have a schema  $R$  and a non-trivial dependency  $\alpha \rightarrow \beta$  causes a violation of BCNF.

We decompose  $R$  into:

- $(\alpha \cup \beta)$
- $(R - (\beta - \alpha))$

- In our example,

- $\alpha = \text{dept\_name}$
- $\beta = \text{building, budget}$

and  $\text{inst\_dept}$  is replaced by

- $(\alpha \cup \beta) = (\text{dept\_name, building, budget})$
- $(R - (\beta - \alpha)) = (\text{ID, name, salary, dept\_name})$



# Example of BCNF Decomposition

- $\text{class}(\text{course\_id}, \text{title}, \text{dept\_name}, \text{credits}, \text{sec\_id}, \text{semester}, \text{year}, \text{building}, \text{room\_number}, \text{capacity}, \text{time\_slot\_id})$
- Functional dependencies:
  - $\text{course\_id} \rightarrow \text{title}, \text{dept\_name}, \text{credits}$
  - $\text{building}, \text{room\_number} \rightarrow \text{capacity}$
  - $\text{course\_id}, \text{sec\_id}, \text{semester}, \text{year} \rightarrow \text{building}, \text{room\_number}, \text{time\_slot\_id}$
- A candidate key  $\{\text{course\_id}, \text{sec\_id}, \text{semester}, \text{year}\}$ .
- BCNF Decomposition:
  - $\text{course\_id} \rightarrow \text{title}, \text{dept\_name}, \text{credits}$  holds
    - ▶ but  $\text{course\_id}$  is not a superkey.
  - We replace  $\text{class}$  by:
    - ▶  $\text{course}(\text{course\_id}, \text{title}, \text{dept\_name}, \text{credits})$
    - ▶  $\text{class-1}(\text{course\_id}, \text{sec\_id}, \text{semester}, \text{year}, \text{building}, \text{room\_number}, \text{capacity}, \text{time\_slot\_id})$



# BCNF Decomposition (Cont.)

- *course* is in BCNF
  - How do we know this?
- *building, room\_number*→*capacity* holds on *class-1*
  - but  $\{building, room\_number\}$  is not a superkey for *class-1*.
  - We replace *class-1* by:
    - ▶ *classroom* (*building, room\_number, capacity*)
    - ▶ *section* (*course\_id, sec\_id, semester, year, building, room\_number, time\_slot\_id*)
- *classroom* and *section* are in BCNF.



# Another example

- Orders(cust\_id, sandwich\_name, size, price, quantity, status)  
primary key (cust\_id, sandwich\_name, size)

Assume the sandwich name and size determines the price.

Example of a non-trivial Functional dependency where LHS is not superkey?

Decomposition into BCNF



# BCNF and Dependency Preservation

- Constraints, including functional dependencies, are costly to check in practice unless they pertain to only one relation
- If it is sufficient to test only those dependencies on each individual relation of a decomposition in order to ensure that *all* functional dependencies hold, then that decomposition is *dependency preserving*.
- Because it is not always possible to achieve both BCNF and dependency preservation, we consider a weaker normal form, known as *third normal form*.



# Third Normal Form

- A relation schema  $R$  is in 3rd normal form (3NF) if for all:

$$\alpha \rightarrow \beta \text{ in } F^+$$

at least one of the following holds:

- $\alpha \rightarrow \beta$  is trivial (i.e.,  $\beta$  is a subset of  $\alpha$ )
- $\alpha$  is a superkey for  $R$
- Each attribute  $A$  in  $\beta - \alpha$  is contained in a candidate key for  $R$ .

(NOTE: each attribute may be in a different cand. key)

- If a relation is in BCNF it is in 3NF (since in BCNF one of the first two conditions above must hold).
- Third condition is a minimal relaxation of BCNF to ensure dependency preservation (will see why later).



# Design Goals

- Goal for a relational database design is:
  - BCNF.
  - Lossless join.
  - (Dependency preservation -- we're skipping this topic)
- If we cannot achieve this, we accept one of
  - Lack of dependency preservation
  - Redundancy due to use of 3NF
- Interestingly, SQL does not provide a direct way of specifying functional dependencies other than superkeys.

Can specify FDs using assertions, but they are expensive to test, (and currently not supported by any of the widely used databases!)
- Even if we had a dependency preserving decomposition, using SQL we would not be able to efficiently test a functional dependency whose left hand side is not a key.



# How good is BCNF?

- There are database schemas in BCNF that do not seem to be sufficiently normalized
- Consider a relation

*inst\_info (ID, child\_name, phone)*

- where an instructor may have more than one phone and can have multiple children

<i>ID</i>	<i>child_name</i>	<i>phone</i>
99999	David	512-555-1234
99999	David	512-555-4321
99999	William	512-555-1234
99999	Willian	512-555-4321

*inst\_info*



# How good is BCNF? (Cont.)

- There are no non-trivial functional dependencies and therefore the relation is in BCNF
- Insertion anomalies – i.e., if we add a phone 981-992-3443 to 99999, we need to add two tuples
  - (99999, David, 981-992-3443)
  - (99999, William, 981-992-3443)



# How good is BCNF? (Cont.)

- Therefore, it is better to decompose *inst\_info* into:

	<i>ID</i>	<i>child_name</i>
<i>inst_child</i>	99999	David
	99999	David
	99999	William
	99999	Willian

	<i>ID</i>	<i>phone</i>
<i>inst_phone</i>	99999	512-555-1234
	99999	512-555-4321
	99999	512-555-1234
	99999	512-555-4321

This suggests the need for higher normal forms, such as Fourth Normal Form (4NF).



# Overall Database Design Process

- We have assumed schema  $R$  is given
  - $R$  could have been generated when converting E-R diagram to a set of tables.
  - $R$  could have been a single relation containing *all* attributes that are of interest (called **universal relation**).
  - Normalization breaks  $R$  into smaller relations.
  - $R$  could have been the result of some ad hoc design of relations, which we then test/convert to normal form.



# ER Model and Normalization

- When an E-R diagram is carefully designed, identifying all entities correctly, the tables generated from the E-R diagram should not need further normalization.
- However, in a real (imperfect) design, there can be functional dependencies from non-key attributes of an entity to other attributes of the entity
  - Example: an *employee* entity with attributes *department\_name* and *building*, and a functional dependency  $\text{department\_name} \rightarrow \text{building}$
  - Good design would have made department an entity
- Functional dependencies from non-key attributes of a relationship set possible, but rare --- most relationships are binary



# Denormalization for Performance

- May want to use non-normalized schema for performance
- For example, displaying *prereqs* along with *course\_id*, and *title* requires join of *course* with *prereq*
- Alternative 1: Use denormalized relation containing attributes of *course* as well as *prereq* with all above attributes
  - faster lookup
  - extra space and extra execution time for updates
  - extra coding work for programmer and possibility of error in extra code
- Alternative 2: use a materialized view defined as
$$\begin{array}{ll} \textit{course} & \textit{prereq} \\ \hline \end{array}$$
  - Benefits and drawbacks same as above, except no extra coding work for programmer and avoids possible errors



# Other Design Issues

- Some aspects of database design are not caught by normalization
- Examples of bad database design, to be avoided:

Instead of *earnings* (*company\_id*, *year*, *amount*), use

- *earnings\_2004*, *earnings\_2005*, *earnings\_2006*, etc., all on the schema (*company\_id*, *earnings*).
  - ▶ Above are in BCNF, but make querying across years difficult and needs new table each year
- *company\_year* (*company\_id*, *earnings\_2004*, *earnings\_2005*, *earnings\_2006*)
  - ▶ Also in BCNF, but also makes querying across years difficult and requires new attribute each year.
  - ▶ Is an example of a **crosstab**, where values for one attribute become column names
  - ▶ Used in spreadsheets, and in data analysis tools