

Major Donor and Independent Expenditure Committee Campaign Statement

CALIFORNIA
FORM **461**

Who Uses Form 461:*

Major Donors

- An individual or entity that makes monetary or nonmonetary contributions (including loans) to state or local officeholders, candidates and committees totaling \$10,000 or more in a calendar year.

“Contribution” includes direct monetary contributions (e.g., tickets to campaign fundraisers), loan guarantees and loan forgiveness, nonmonetary contributions of goods or services, and discounts not provided to the public generally.

Independent Expenditures:

- An individual or entity that makes independent expenditures totaling \$1,000 or more in a calendar year to support or oppose state or local candidates or ballot measures.

An “independent expenditure” is an expenditure for a communication that expressly advocates the nomination, election or defeat of a clearly identified candidate or ballot measure that is not made to—or at the behest of—an officeholder, candidate, or committee.

*There are different forms and reporting requirements for committees that receive contributions totaling \$1,000 or more during a calendar year (“recipient committees”).

Personal Contributions by Candidates and Officeholders:

Effective August 1999, Form 461 is also required if, during a calendar year, a candidate or officeholder uses **personal** funds to make:

- Contributions totaling \$10,000 or more to other state or local candidates, officeholders or committees; or
- Independent expenditures totaling \$1,000 or more to support or oppose other state or local candidates, officeholders, or ballot measures.

Contribution Limits:

California state and local candidates and officeholders are subject to contribution limits under state law only when they are being voted on in a special election held to fill an office which has become vacant. The limits apply separately for a special election cycle and for a special runoff election cycle. In addition, local candidates may be subject to contribution limits imposed by local ordinance. Questions concerning local limits should be addressed to election officials in the local jurisdiction.

Additional Important Information:

Refer to the FPPC [Information Manual on Campaign Disclosure Provisions for Major Donor Committees and Independent Expenditure Committees](#) for information required to be provided to you pursuant to the Information Practices Act of 1977, and for important information about:

- When and where to file Form 461.
- Aggregation of contributions and expenditures by certain combinations of individuals and entities (e.g., parent and subsidiaries).
- Exceptions to the definition of “contribution” and “expenditure” for certain types of activities (e.g., volunteer personal services, regularly published newsletters).
- Reporting “late contributions” and “late independent expenditures” made during the 16 days immediately prior to an election.
- Form 465–Supplemental Independent Expenditure Report.

Major Donor and Independent Expenditure Committee Campaign Statement

(Government Code Sections 84200-84216.5)

Type or print in ink.

MAJOR DONOR AND INDEPENDENT EXPENDITURE
COMMITTEE STATEMENT

☐ Amendment

SEE INSTRUCTIONS ON REVERSE

Statement covers period

from _____

through _____

Date of election if applicable:
(Month, Day, Year)

Date Stamp

CALIFORNIA
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Page _____ of _____

For Official Use Only

1. Name and Address of Filer

NAME OF FILER

(Include name(s) of all affiliated entities whose contributions are included in this statement.)

MAILING ADDRESS (NO. AND STREET)

CITY STATE ZIP CODE

RESPONSIBLE OFFICER
(If filer is other than an individual)

AREA CODE/DAYTIME PHONE

2. Nature and Interests of Filer (Complete each applicable section.)

☐ A FILER THAT IS AN INDIVIDUAL MUST LIST THE NAME, ADDRESS, AND BUSINESS INTERESTS OF EMPLOYER OR, IF SELF-EMPLOYED, THE NAME, ADDRESS, AND NATURE OF THE BUSINESS

NAME OF EMPLOYER/BUSINESS

BUSINESS INTERESTS

ADDRESS OF EMPLOYER/BUSINESS

☐ A FILER THAT IS A BUSINESS ENTITY MUST DESCRIBE THE BUSINESS ACTIVITY IN WHICH IT IS ENGAGED

☐ A FILER THAT IS AN ASSOCIATION MUST PROVIDE A SPECIFIC DESCRIPTION OF ITS INTERESTS

☐ A FILER THAT IS NOT AN INDIVIDUAL, BUSINESS ENTITY, OR ASSOCIATION MUST DESCRIBE THE COMMON ECONOMIC INTEREST OF THE GROUP OR ENTITY

3. Summary

(Amounts may be rounded to whole dollars.)

- Expenditures and contributions (including loans) of \$100 or more made this period. (Part 5.) \$ _____
- Unitemized expenditures and contributions (including loans) under \$100 made this period. \$ _____
- Total expenditures and contributions made this period. (Add Lines 1 + 2.) **SUBTOTAL** \$ _____
- Total expenditures and contributions made from prior statement. (Enter amount from Line 5 of last statement filed. If this is the first statement for the calendar year, enter zero.) \$ _____
- Total expenditures and contributions (including loans) made since January 1 of the current calendar year. (Add Lines 3 + 4.) **TOTAL** \$ _____

4. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on _____
DATE

By _____
SIGNATURE OF INDIVIDUAL DONOR OR
RESPONSIBLE OFFICER IF OTHER THAN AN INDIVIDUAL

Instructions for Major Donor and Independent Expenditure Committee Campaign Statement

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For definitions and detailed information about completing Form 461, refer to the FPPC Information Manual on Campaign Disclosure Provisions for Major Donor Committees and Independent Expenditure Committees.

Period Covered by a Statement:

The "period covered" by a campaign statement begins the day after the closing date of the last campaign statement you filed. For example, if the closing date of the last statement was June 30, the beginning date of the next statement will be July 1.

If this is the first campaign statement for the calendar year, begin with January 1.

The closing date of the statement depends on the type of statement you are filing.

Date of Election:

Enter the date of the election if you are filing Form 461 as a city or a county major donor or independent expenditure committee and the city or county's election will be held this year.

Name and Address of Filer:

Enter the legal name of the individual or entity filing the statement. If the filer is commonly known to the public by another name, that name may be used. When a "combination of persons" (e.g., a parent and subsidiaries or a corporation and majority shareholder) makes contributions and independent expenditures that must be reported on Form 461, list the name of each person.

Enter the name of the responsible officer of an entity or organization filing the statement.

Nature and Interests of Filer:

When more than one person or entity is listed under "Name of Filer," identify the nature and interests of each. (Use appropriately labeled continuation sheets, if necessary.)

Verification:

A responsible officer of an entity or an entity filing jointly with any number of affiliates must sign the Form 461, or an attorney or a certified public accountant may sign on behalf of the entity or entities. A statement filed by an individual must be signed by the individual.

Amendments:

To amend previously filed Form 461, check the "Amendment" box, enter the period covered by the statement you are amending, and complete Part 1. Disclose the amended information, including Part 3, if applicable, and complete the Verification.

Major Donor and
Independent Expenditure Committee
Campaign Statement

SEE INSTRUCTIONS ON REVERSE
NAME OF FILER

Type or print in ink.
Amounts may be rounded
to whole dollars.

INDEPENDENT EXPENDITURE COMMITTEE AND
MAJOR DONOR COMMITTEE STATEMENT

Statement covers period from _____ through _____	CALIFORNIA FORM 461 Page _____ of _____
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5. Contributions (Including Loans, Forgiveness of Loans, and Loan Guarantees) and Expenditures Made
(If more space is needed, use additional copies of this page for continuation sheets.)

DATE	NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	TYPE OF PAYMENT	DESCRIPTION OF PAYMENT (IF OTHER THAN MONETARY CONTRIBUTION OR LOAN)	CANDIDATE AND OFFICE, MEASURE AND JURISDICTION, OR COMMITTEE	AMOUNT THIS PERIOD	CUMULATIVE AMOUNT RELATIVE TO THIS CANDIDATE, MEASURE, OR COMMITTEE
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure		<input type="checkbox"/> Support <input type="checkbox"/> Oppose		Calendar Year \$ _____ Other \$ _____
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure		<input type="checkbox"/> Support <input type="checkbox"/> Oppose		Calendar Year \$ _____ Other \$ _____
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure		<input type="checkbox"/> Support <input type="checkbox"/> Oppose		Calendar Year \$ _____ Other \$ _____
		<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure		<input type="checkbox"/> Support <input type="checkbox"/> Oppose		Calendar Year \$ _____ Other \$ _____
SUBTOTAL \$						

Instructions for Major Donor and Independent Expenditure Committee Campaign Statement

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If a total of \$100 or more is contributed or expended during a calendar year to support or oppose a single candidate, committee or measure, disclose the name and address of the payee. If the payee is a committee, also disclose the identification number assigned to that committee by the Secretary of State. If no ID number has been assigned, provide the name and address of that committee's treasurer.

Contributions and expenditures of less than \$100 to support or oppose a single candidate, committee or measure during a calendar year are totaled and reported as a lump sum on Line 2 of the Summary.

Date of Contribution:

A monetary contribution is made on the date it is mailed, delivered, or otherwise transmitted to the candidate or committee. A nonmonetary contribution is made on the earlier of the following: 1) the date you made an expenditure for goods or services at the behest of the candidate or committee; or 2) the date the candidate or committee or an agent of the candidate or committee obtained possession or control of the goods or services.

Candidate/Office, Measure/ Jurisdiction, or Committee:

Disclose the name of the candidate and the office sought or held, the name of the ballot measure and its jurisdiction, or the name of the committee if a nonmonetary contribution was made to a general purpose committee. Check the

appropriate box to indicate whether the payment was made to support or oppose the candidate or measure listed.

Amount/Cumulative Amount:

Disclose the amount of the contribution or expenditure this period **relative to the candidate or committee supported or opposed**.

If a single payment supports or opposes more than one candidate or measure, provide the name and address of the vendor. Enter the amount paid to the vendor in the "Description of Payment" column. Identify each candidate or measure, and enter the amount of the contribution or expenditure attributable to each in the "Amount" column.

For each candidate, committee, or ballot measure, also disclose the cumulative amount contributed or expended to date **relative to the candidate, committee or ballot measure** since January 1 of the current calendar year.

If a candidate or committee receiving a contribution is subject to state contribution limits, also disclose the cumulative amount contributed during the limitation cycle.

Reporting Loans:

Check the "loan" box for loans made, a loan forgiveness or a loan guarantee. You need not report loan repayments received. If a loan is made and forgiven during the same calendar year, report the amount of the forgiveness under "Description of Payment." The same is true if a

loan guarantee is made and you make payments to the lender during the same calendar year.

Non-Monetary Contributions:

Report the fair market value of non-monetary contributions. The fair market value is the amount it would cost to purchase the goods or services on the open market, which may be more than the amount it cost to provide them. For example, the fair market value of donated catering services would normally be higher than the actual cost to the caterer.

Reporting Subvendors:

If an agent (including an independent contractor) makes payments on your behalf ("subvendor payments"), disclose those payments in addition to the payments made to the agent. For example, you pay a public relations firm, which pays for an advertisement supporting a ballot measure. In addition to disclosing the payment(s) made **to** the public relations firm, itemize payments of \$100 or more made **by** the firm related to the advertisement. Do not include payments that are not for the purpose of making contributions or independent expenditures (e.g., for overhead or operating expenses).

Report the name and address of the agent followed by the name and address of each subvendor. Disclose amounts paid to the agent and subvendor in the "Description of Payment" column.