人工智能技术及应用

Artificial Intelligence and Application

Classification

Classification

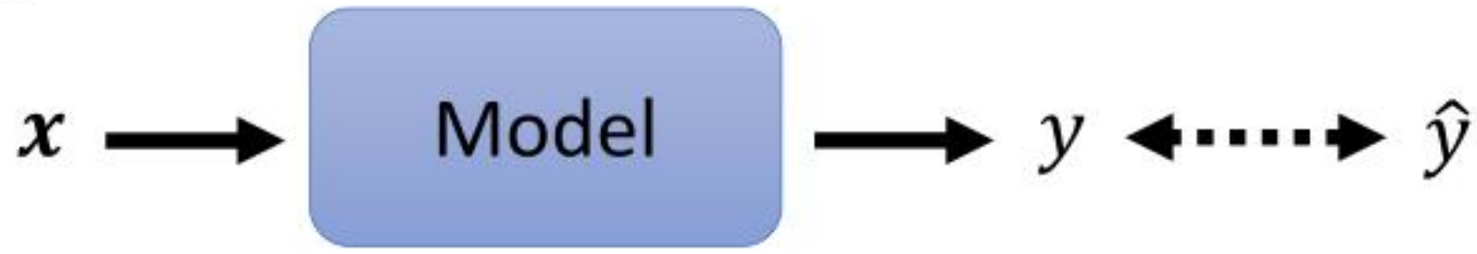
- Credit Scoring
  - Input: income, savings, profession, age, past financial history ……
  - Output: accept or refuse
- Medical Diagnosis
  - Input: current symptoms, age, gender, past medical history ……
  - Output: which kind of diseases
- Handwritten character recognition

Input: output: 金

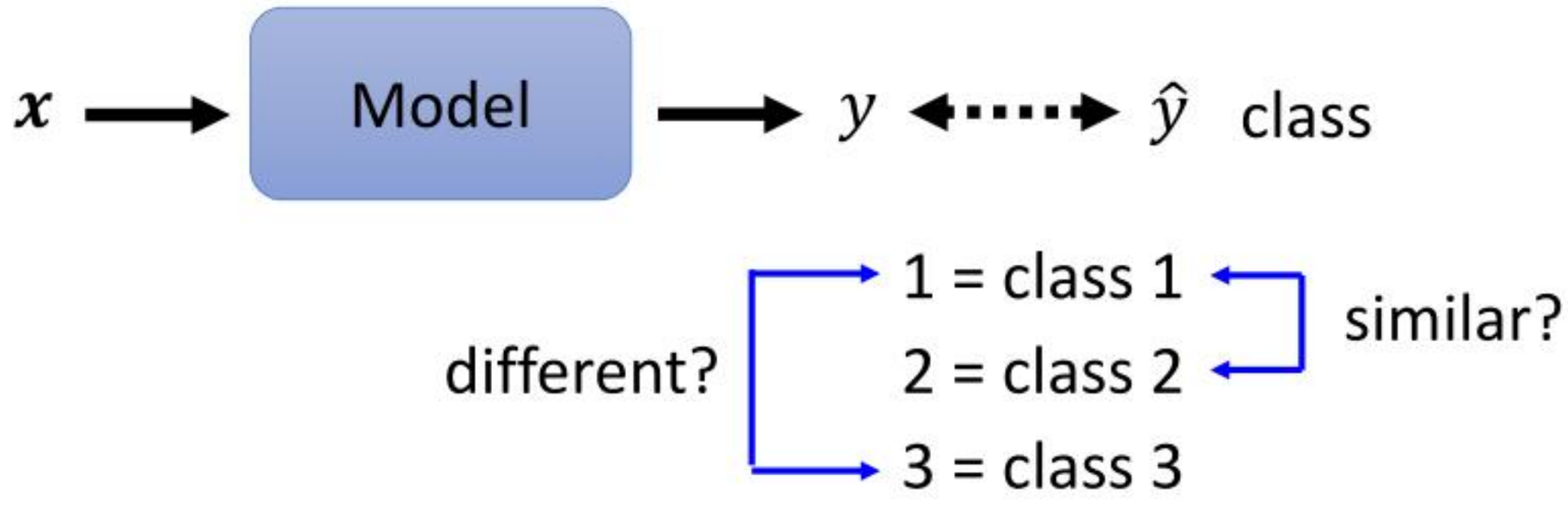
- Face recognition
  - Input: image of a face, output: person

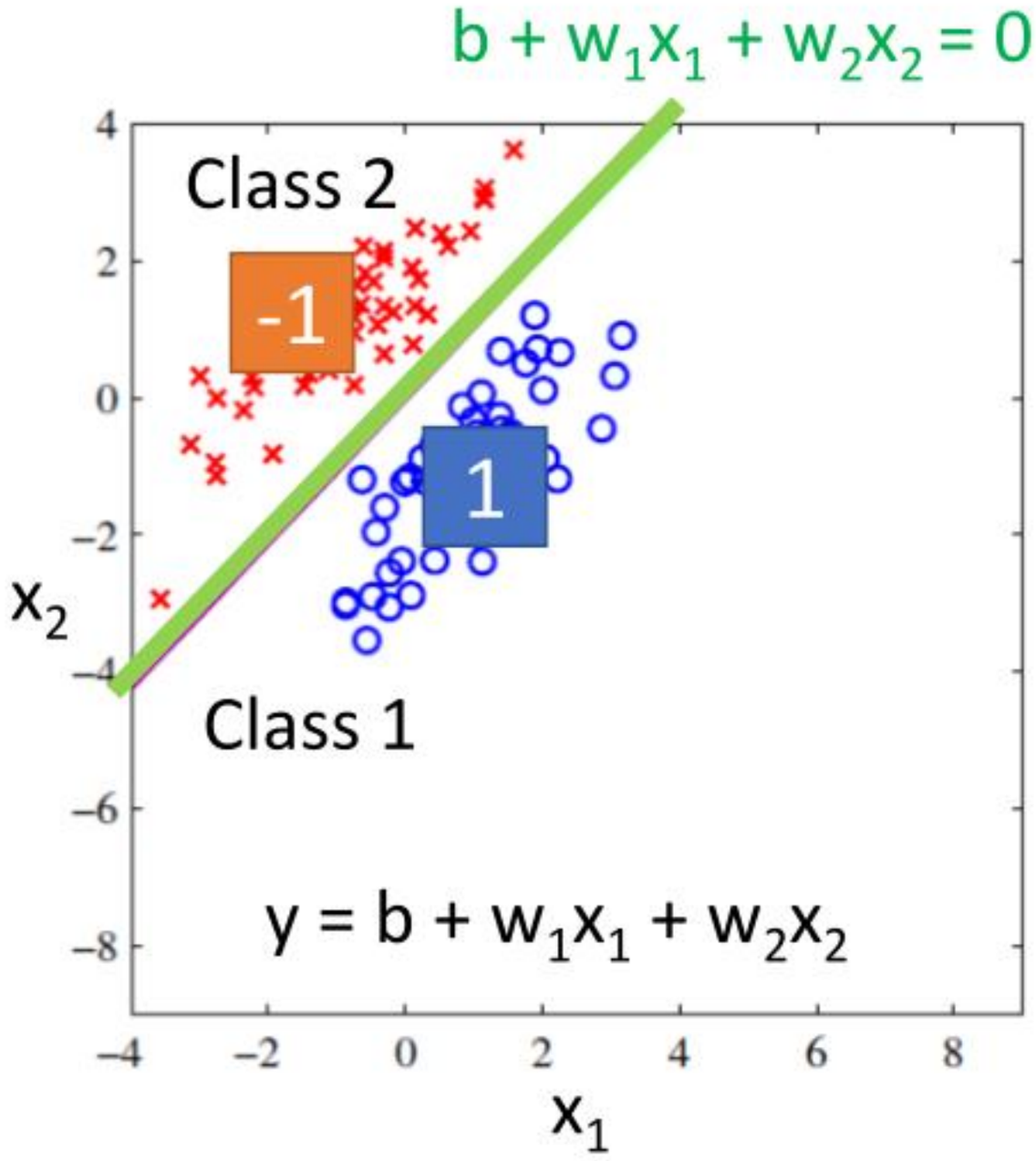
Classification as Regression?

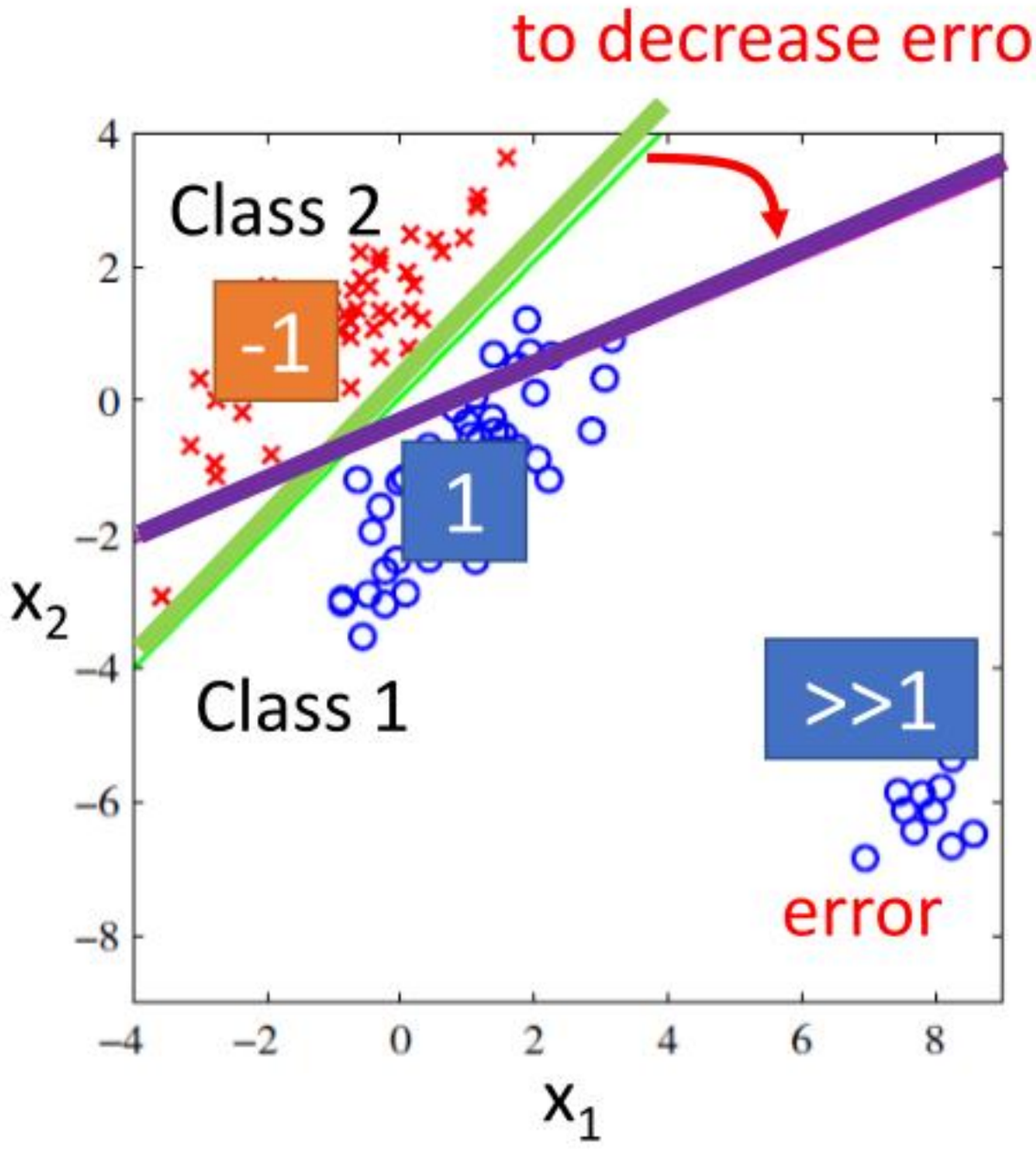
- Regression



- Classification as regression?







Penalize to the examples that are "too correct" … (Bishop, P186)

- Multiple class: Class 1 means the target is 1; Class 2 means the target is 2; Class 3 means the target is 3 …… problematic

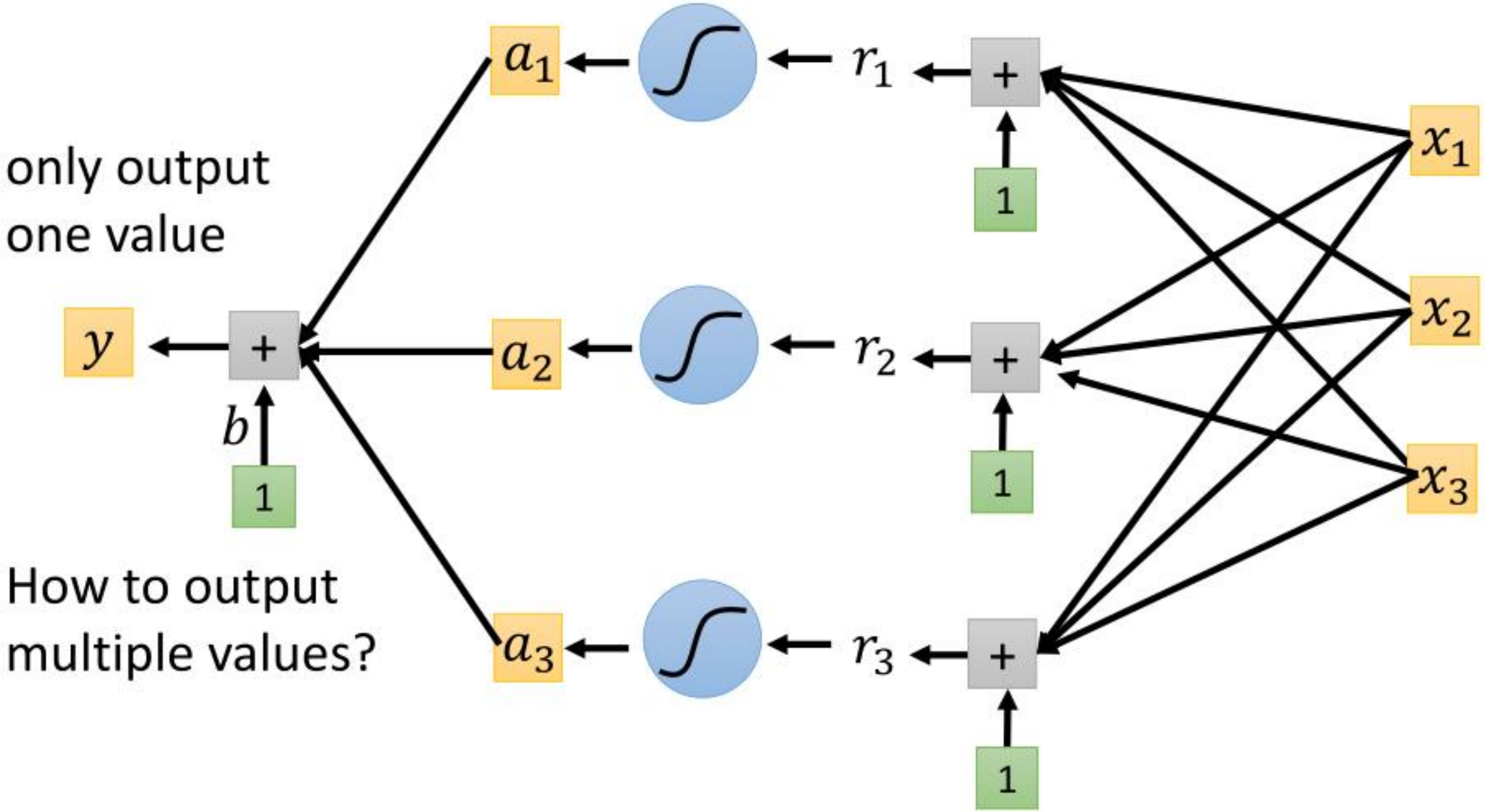
Class as one-hot vector

Class 1 Class 2 Class 3

$$\hat{y} = \begin{bmatrix}1\\0\\0\end{bmatrix} \text{ or } \begin{bmatrix}0\\1\\0\end{bmatrix} \text{ or } \begin{bmatrix}0\\0\\1\end{bmatrix}$$

only output one value

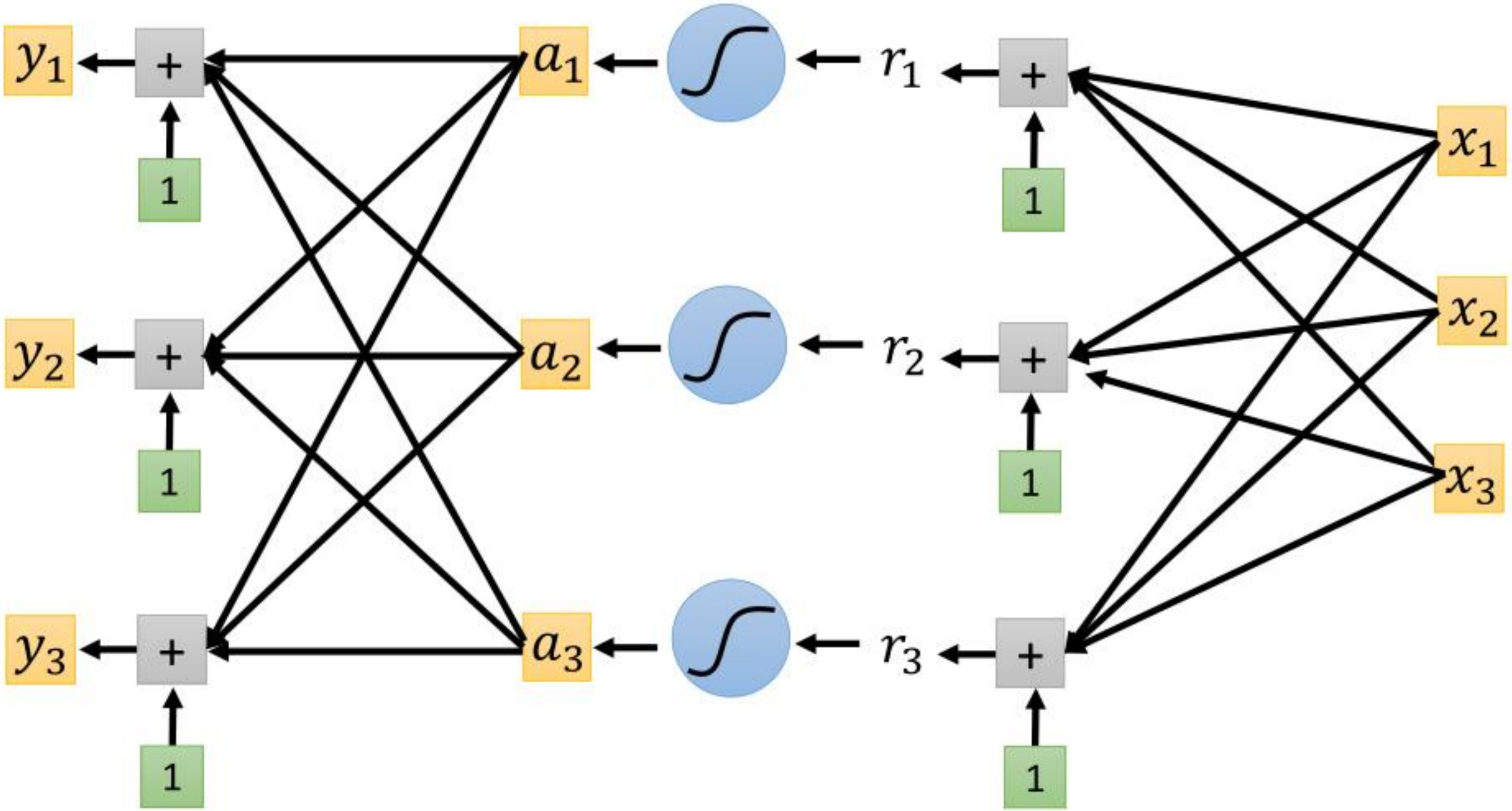
How to output multiple values?



Class as one-hot vector

Class 1 Class 2 Class 3

$$\hat{\boldsymbol{y}} = \begin{bmatrix}1\\0\\0\end{bmatrix} \text{ or } \begin{bmatrix}0\\1\\0\end{bmatrix} \text{ or } \begin{bmatrix}0\\0\\1\end{bmatrix}$$



Regression

feature

label

$$\hat{y} \longleftrightarrow y = b + \boldsymbol{c}^T \sigma(\boldsymbol{b} + W \boldsymbol{x})$$

Classification

feature

$$\boldsymbol{y} = \boldsymbol{b}' + W' \sigma(\boldsymbol{b} + W \boldsymbol{x})$$

label $\hat{\boldsymbol{y}} \longleftrightarrow \boldsymbol{y}' = softmax(\boldsymbol{y})$

0 or 1

Make all values between 0 and 1

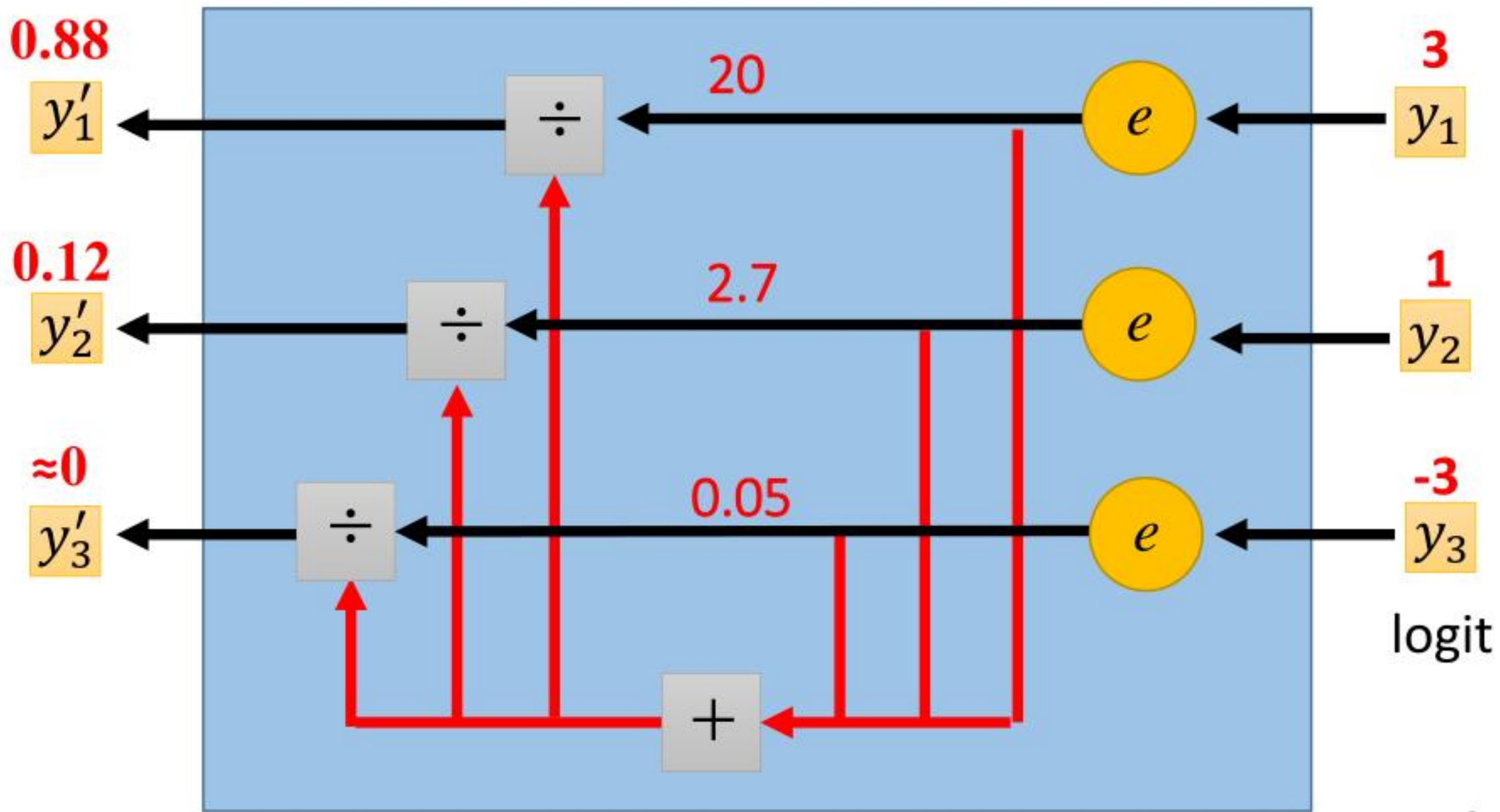
Can have any value

Soft-max

$$y_i' = \frac{exp(y_i)}{\sum_j exp(y_i)}$$

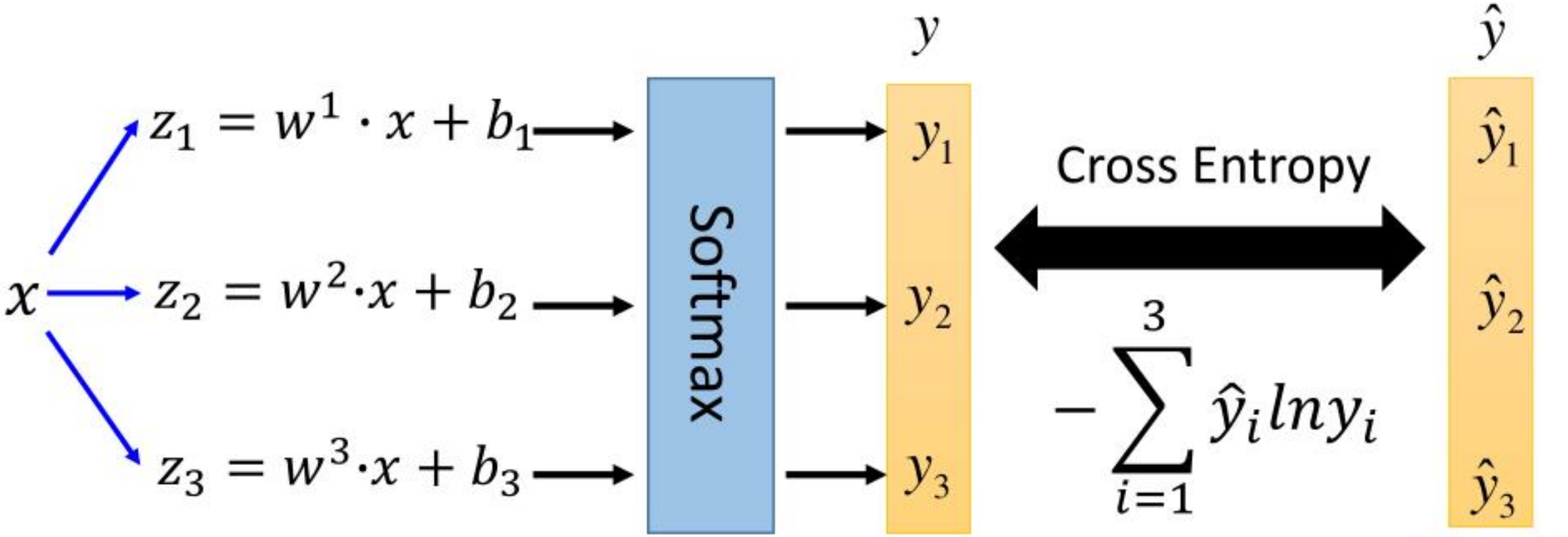
- $1 > y_i' > 0$
- $\sum_i y_i' = 1$

How about **binary classification**? ☺



Multi-class Classification (3 classes as example)

[Bishop, P209-210]



If x ∈ class 1

$$\hat{y} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$-ln y_1$$

If x ∈ class 2

$$\hat{y} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$-ln y_2$$

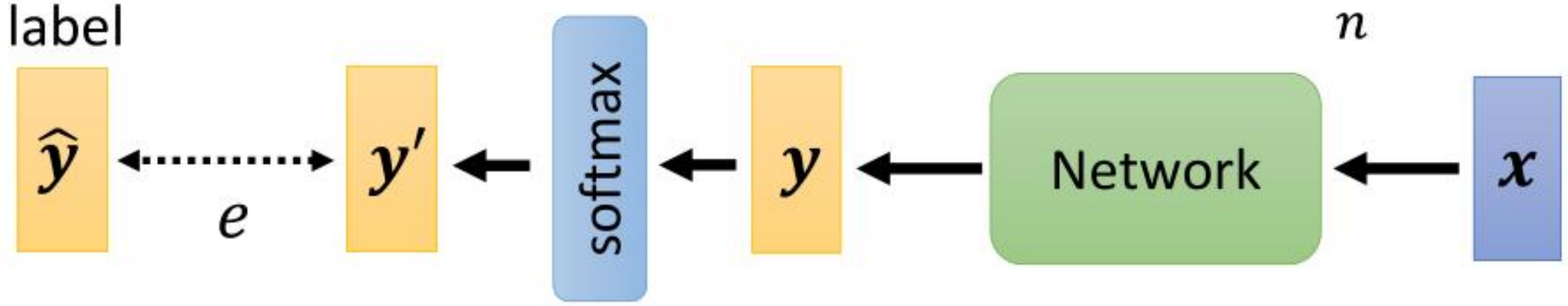
If x ∈ class 3

$$\hat{y} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

$$-ln y_3$$

Loss of Classification

$$L = \frac{1}{N}\sum_{n} e_n$$

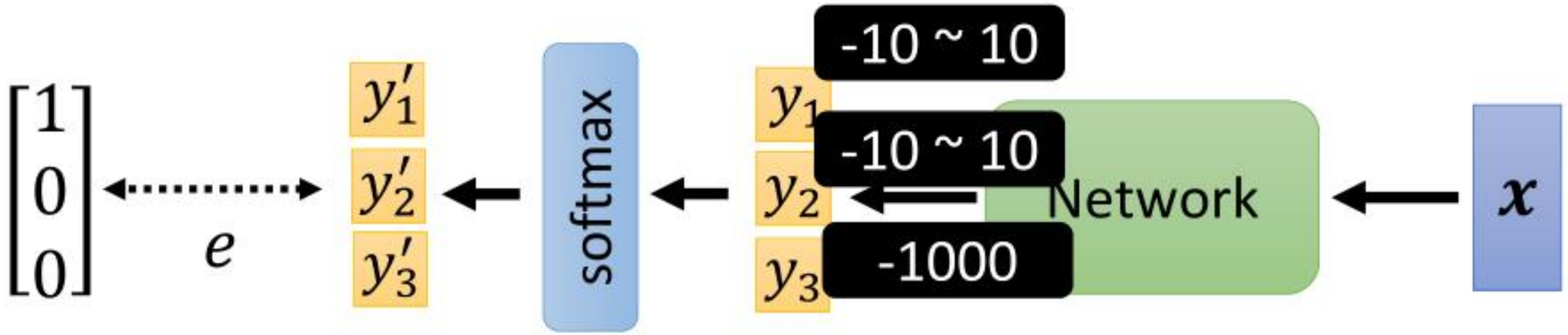


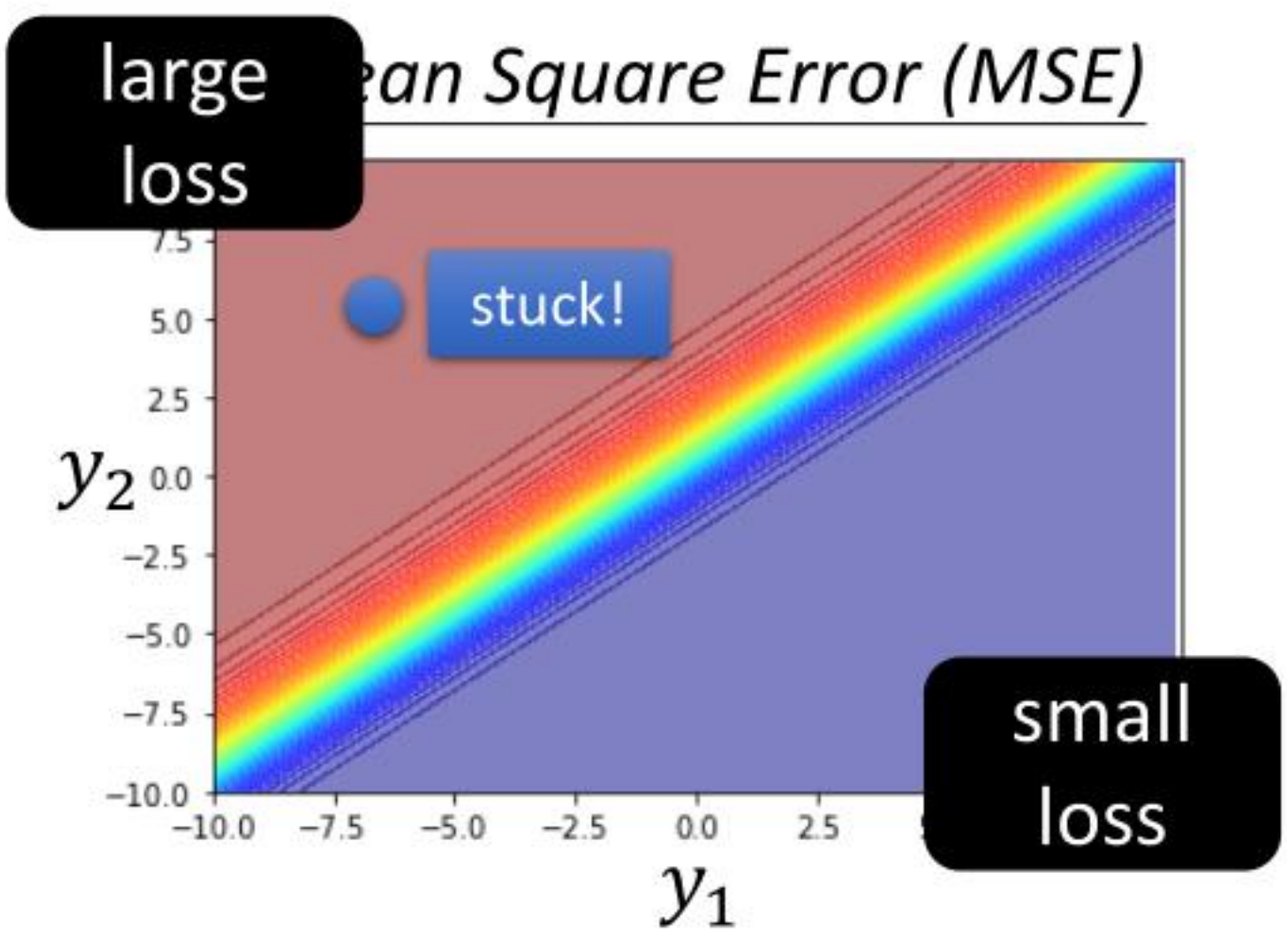
Mean Square Error (MSE) $e = \sum_i (\hat{y}_i - y'_i)^2$

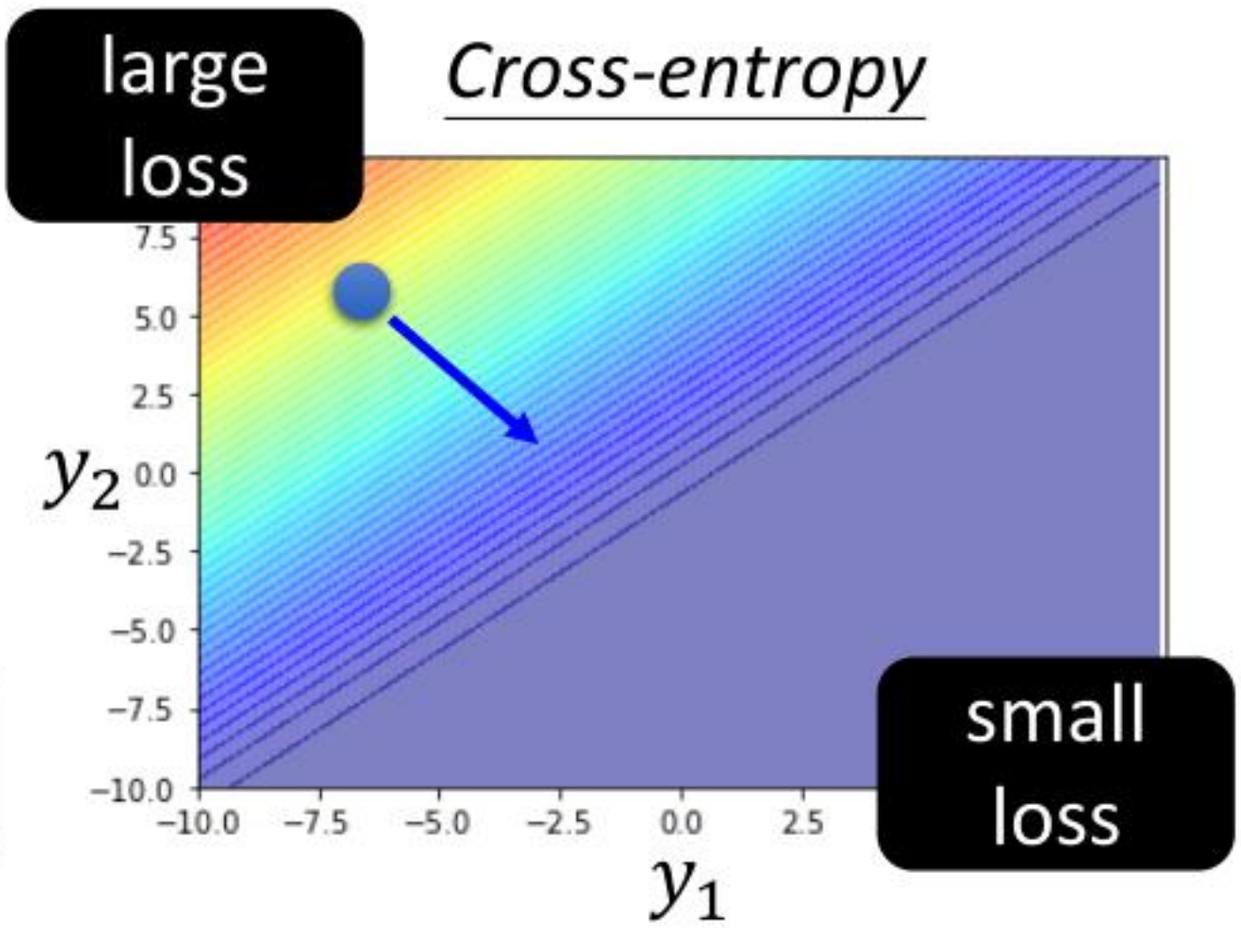
Cross-entropy

$e = -\sum_i \hat{y}_i ln y'_i$

Minimizing cross-entropy is equivalent to **maximizing likelihood**.







Changing the loss function can change the difficulty of optimization.

Convolutional Neural Network (CNN)

Image Classification

100 x 100

Model

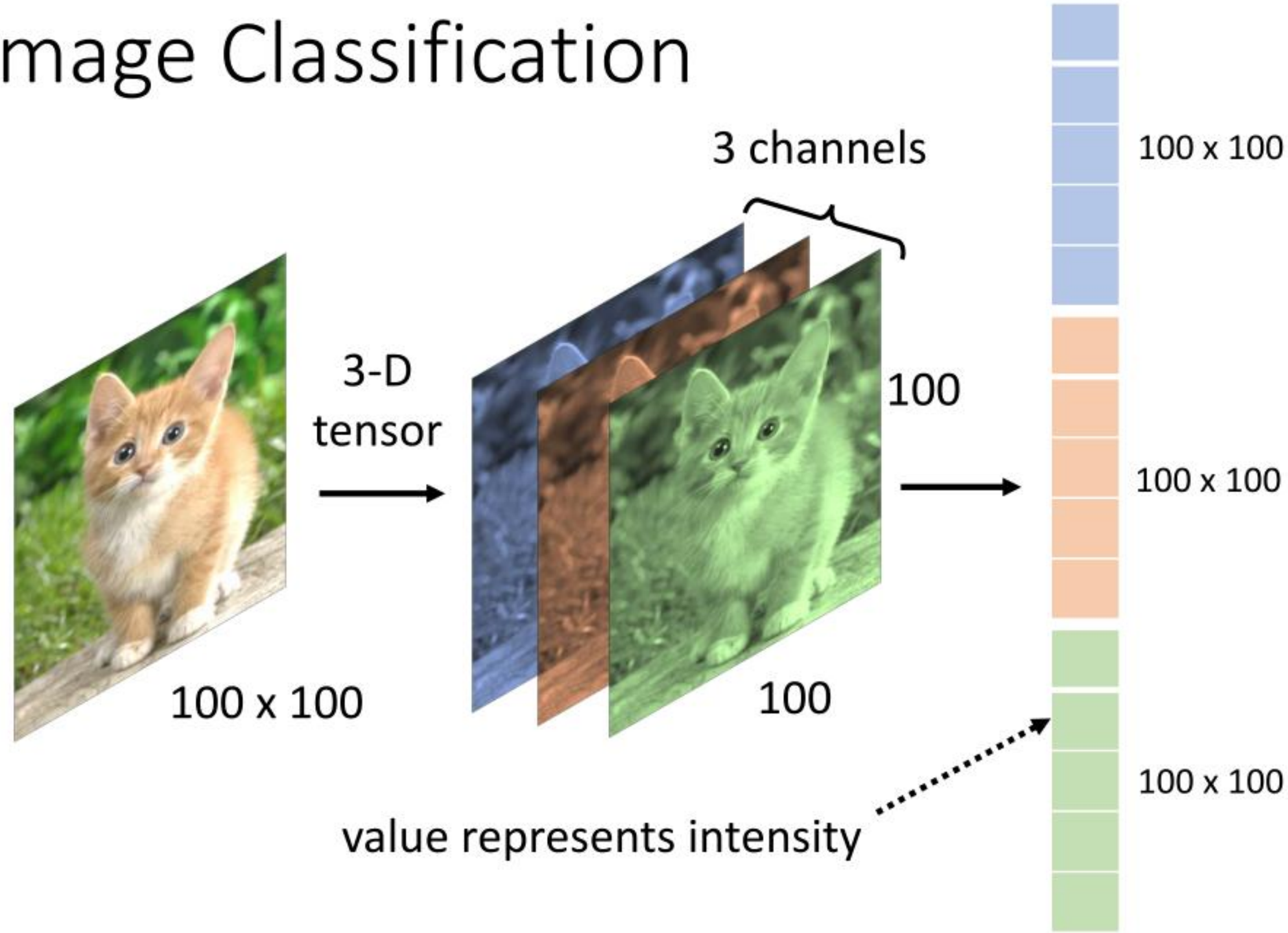
$$\begin{bmatrix} \vdots \\ 0.2 \\ 0.7 \\ 0.1 \\ \vdots \end{bmatrix} \quad y'$$

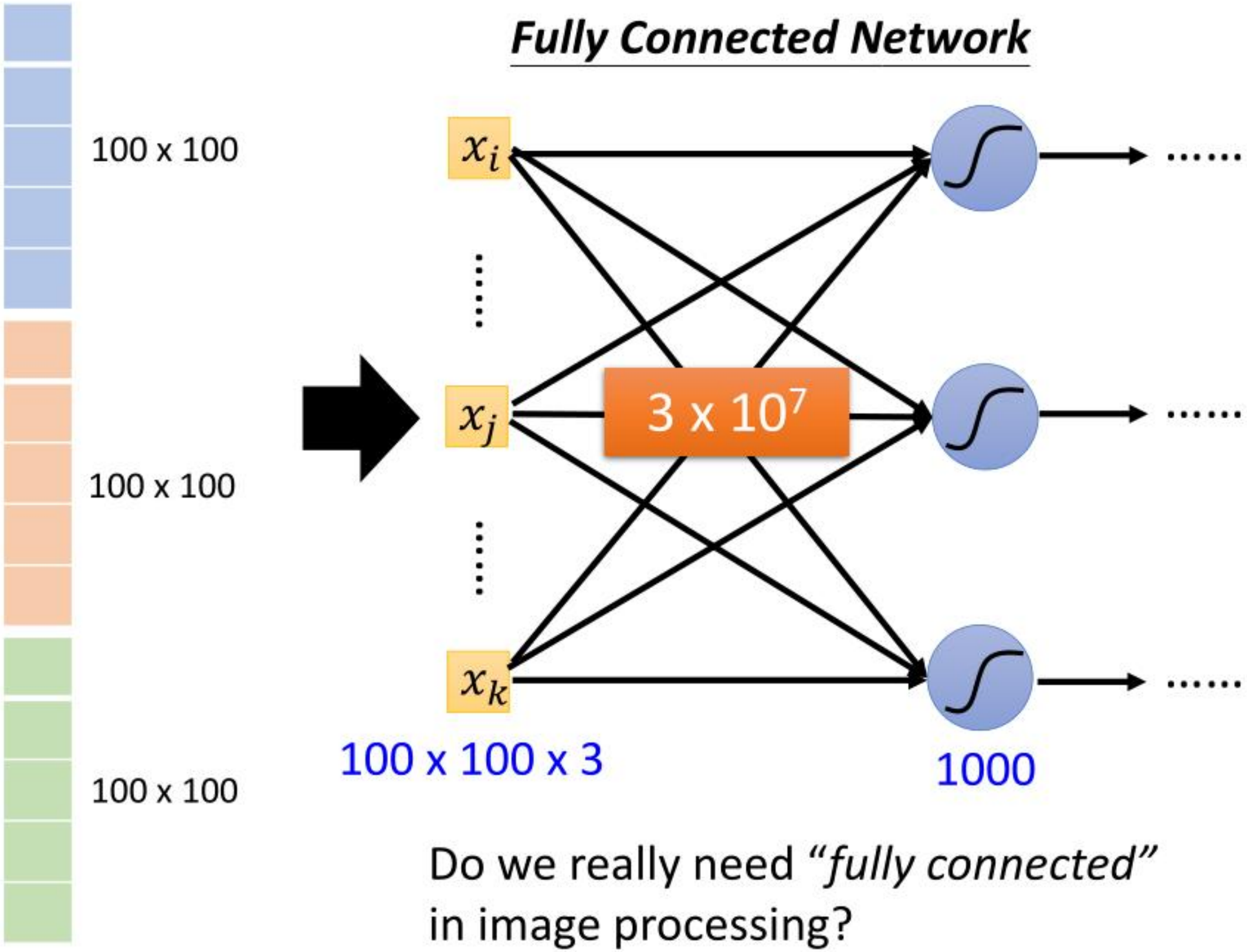
$$\begin{matrix} \\ \text{dog} \\ \text{cat} \\ \text{tree} \\ \\ \end{matrix} \begin{bmatrix} \vdots \\ 0 \\ 1 \\ 0 \\ \vdots \end{bmatrix} \quad \hat{y}$$

Cross entropy

(All the images to be classified have the same size.)

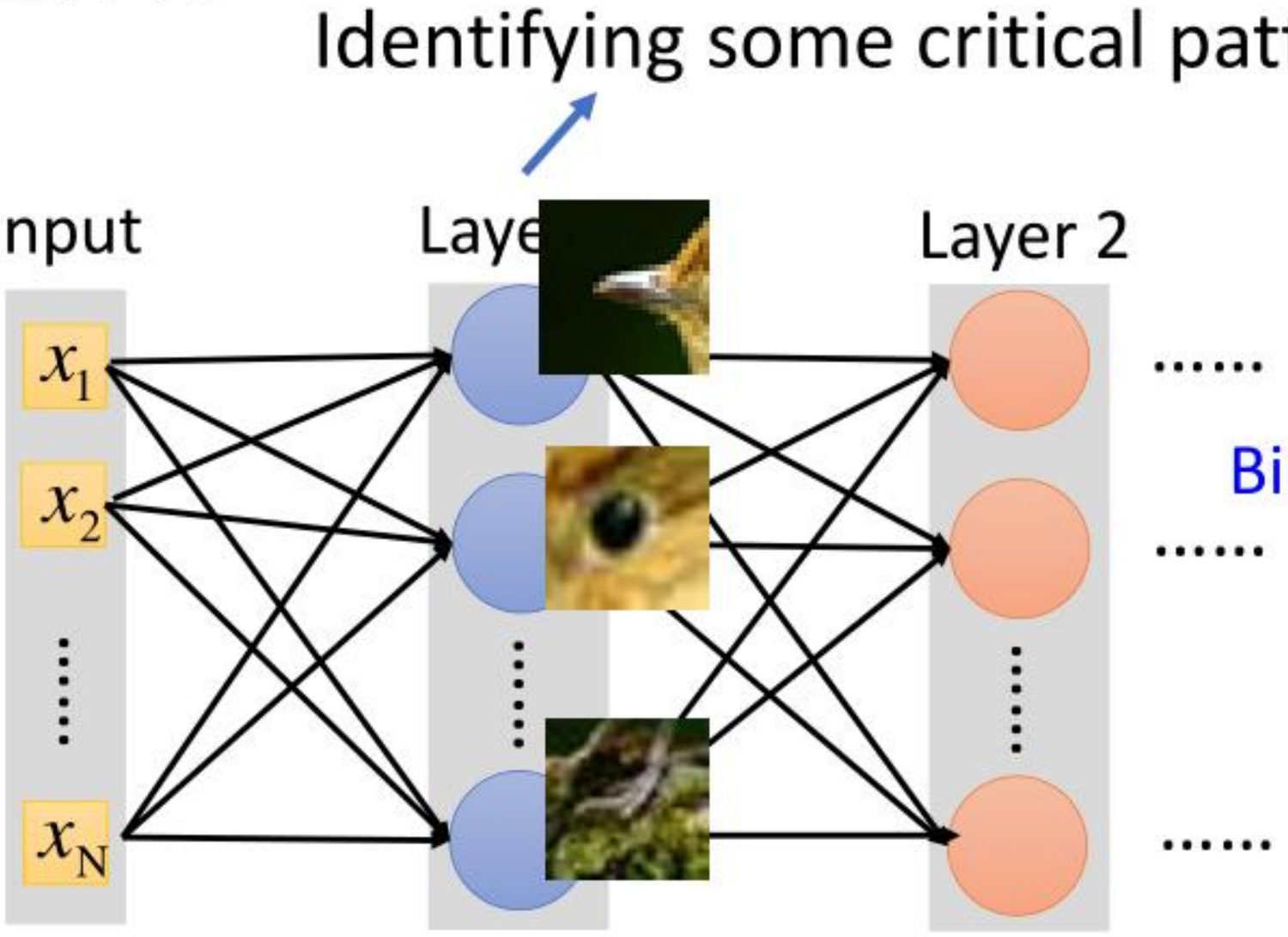
Image Classification





Do we really need *"fully connected"* in image processing?

Observation 1

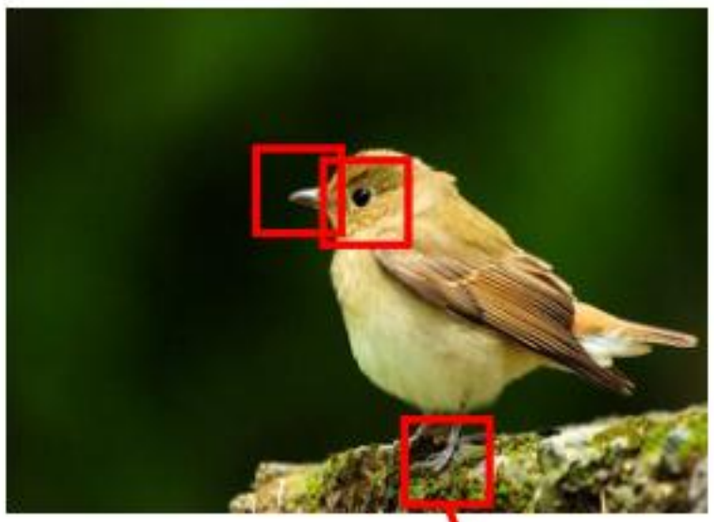


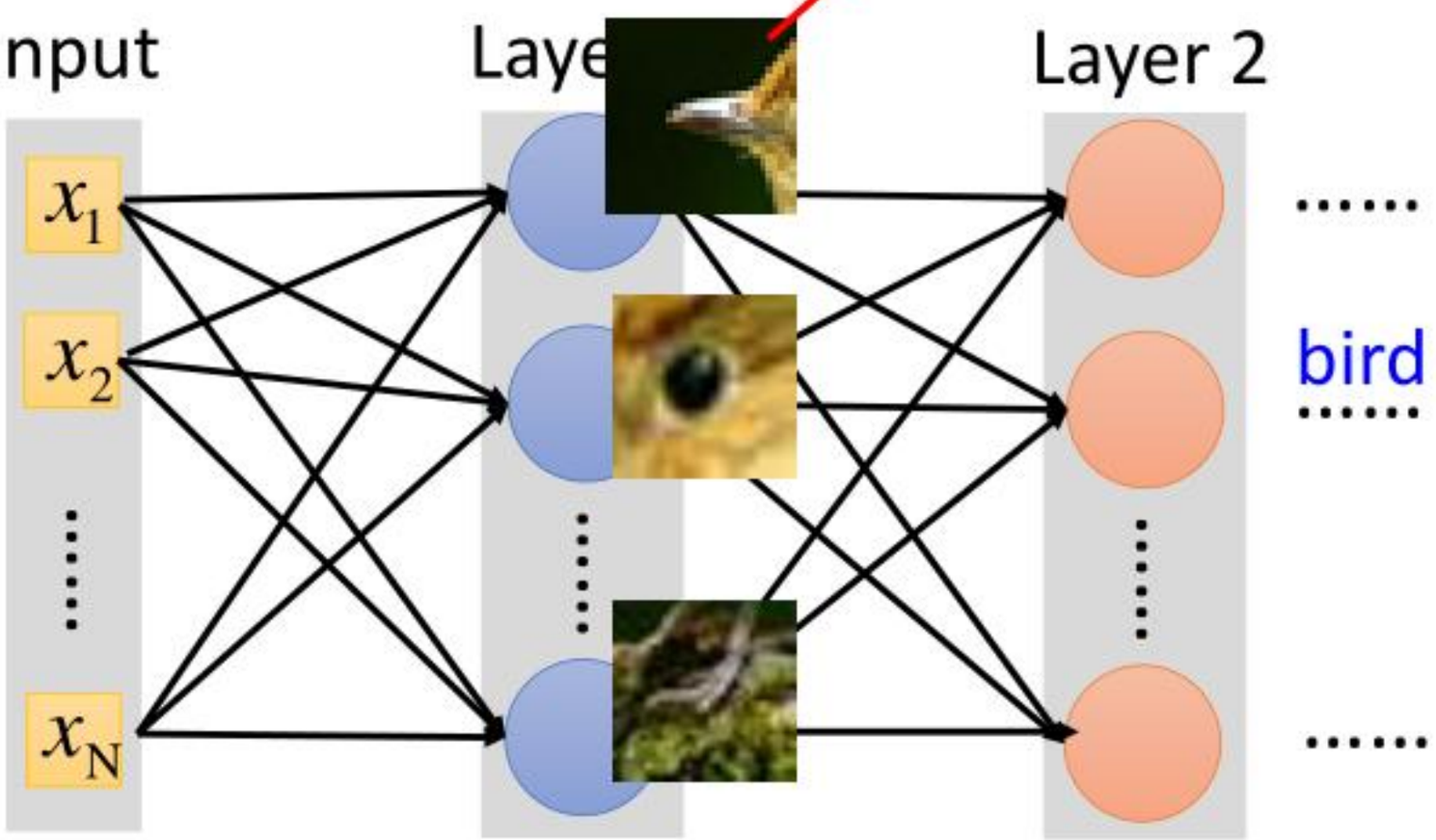
Perhaps human also identify birds in a similar way … ☺

Observation 1

A neuron does not have to see the whole image.

Need to see the whole image?

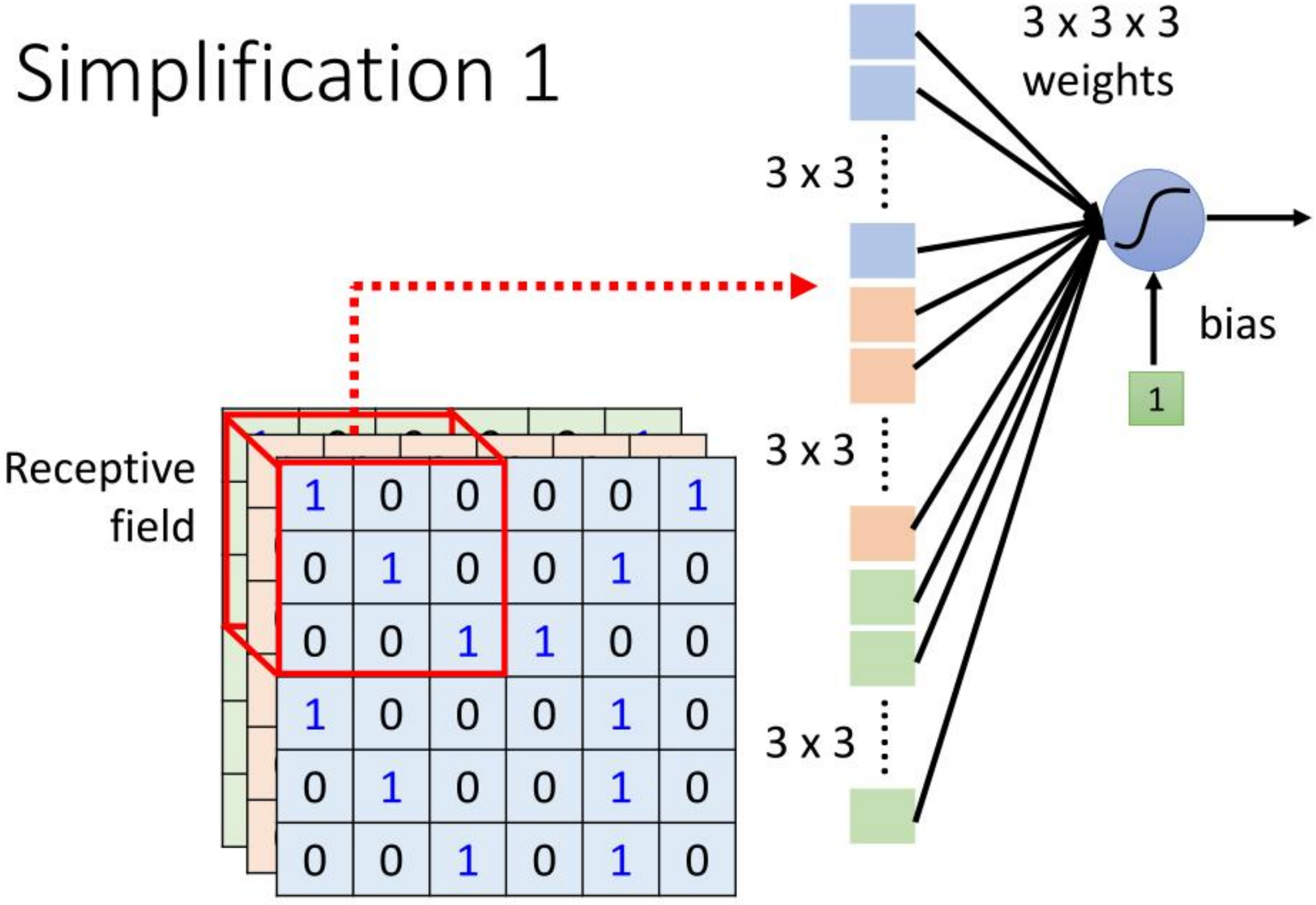




Some patterns are much smaller than the whole image.

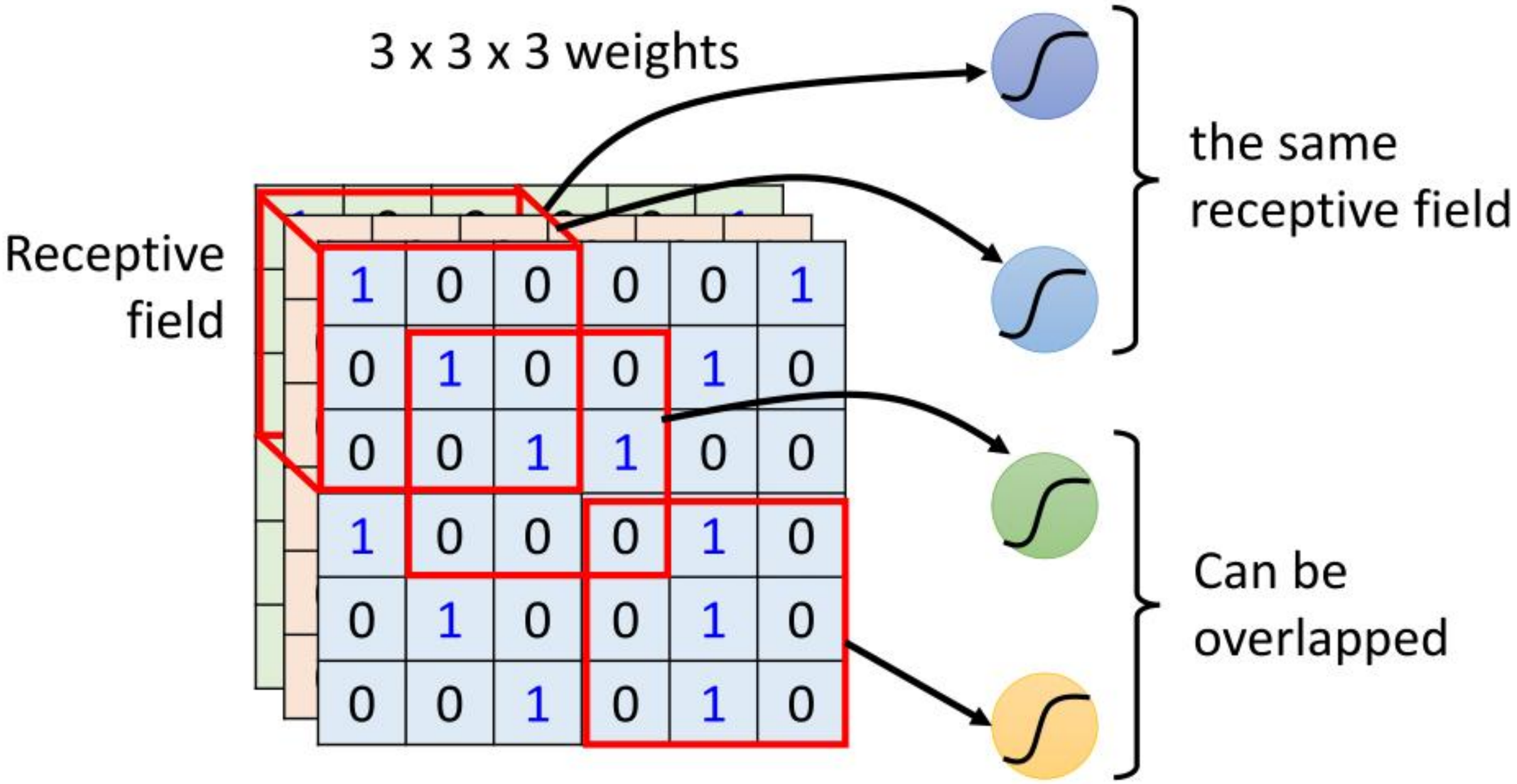
Connecting to small region with less parameters

Simplification 1



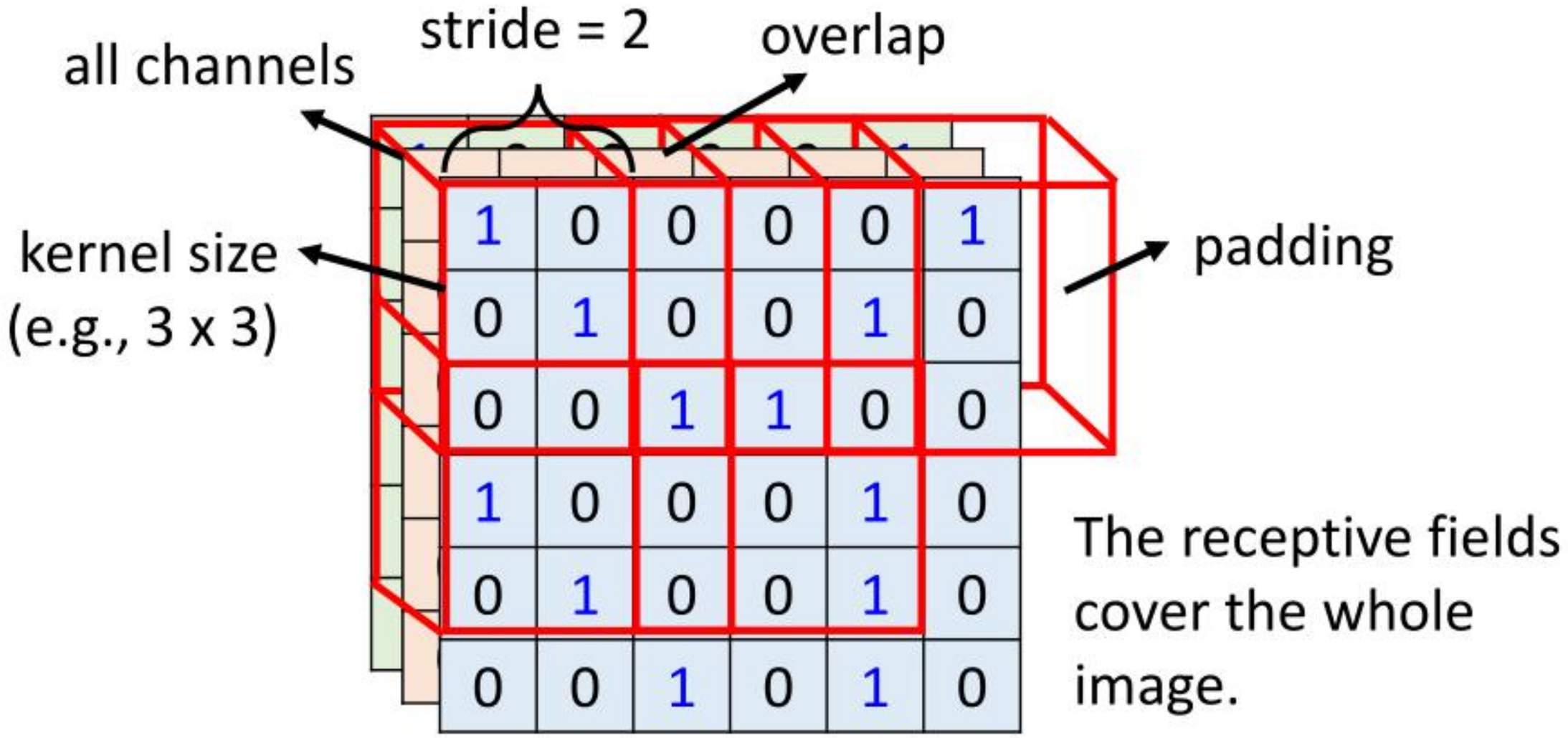
Simplification 1

- Can different neurons have different sizes of receptive field?
- Cover only some channels?
- Not square receptive field?



Simplification 1 – Typical Setting

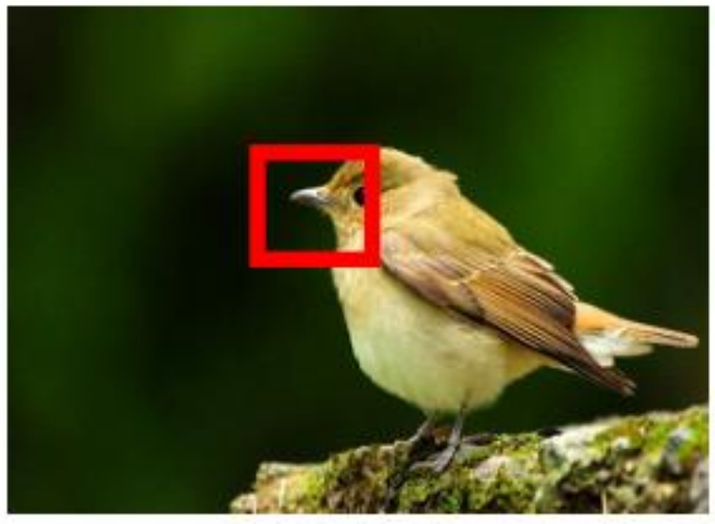
Each receptive field has a set of neurons (e.g., 64 neurons).

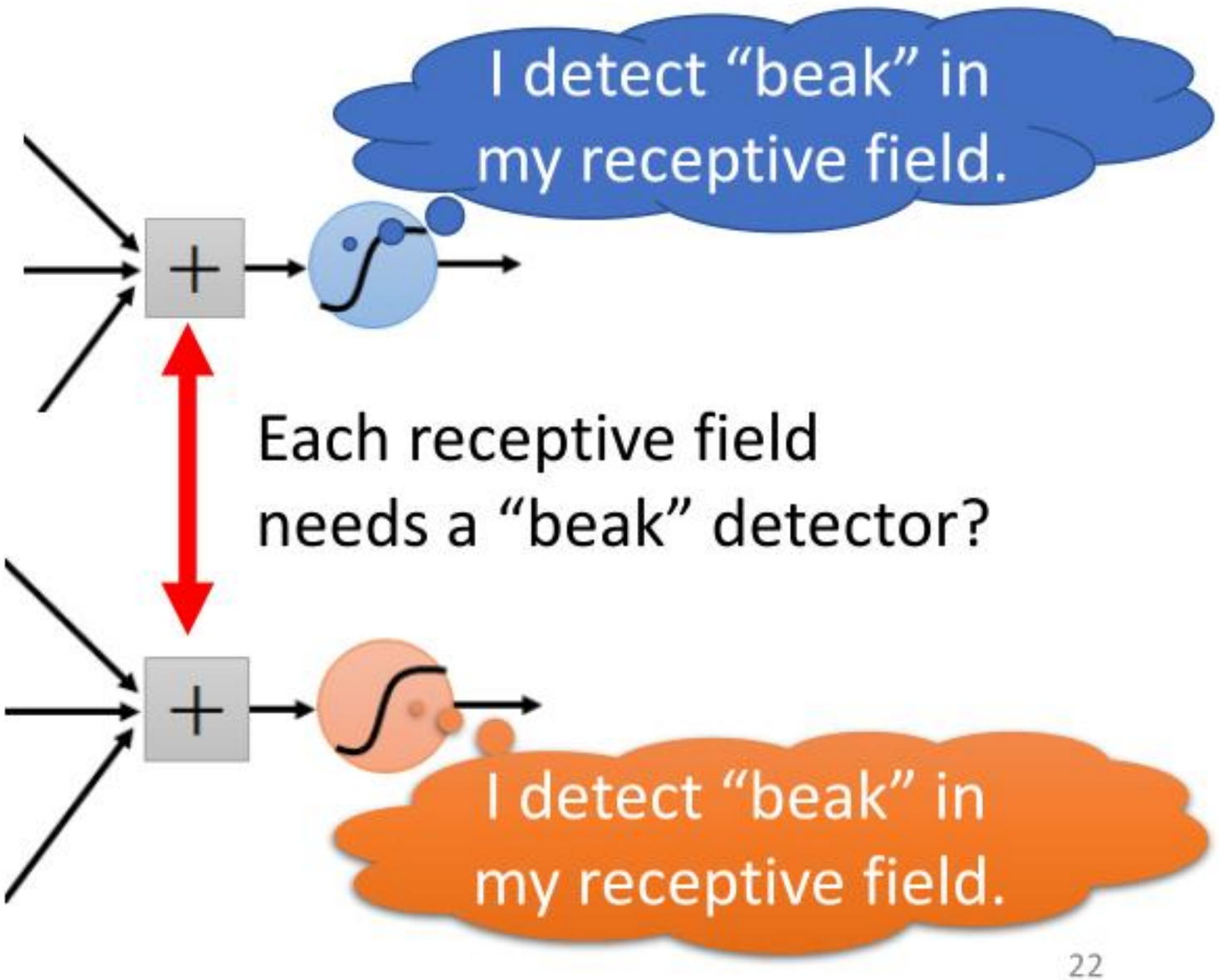


The receptive fields cover the whole image.

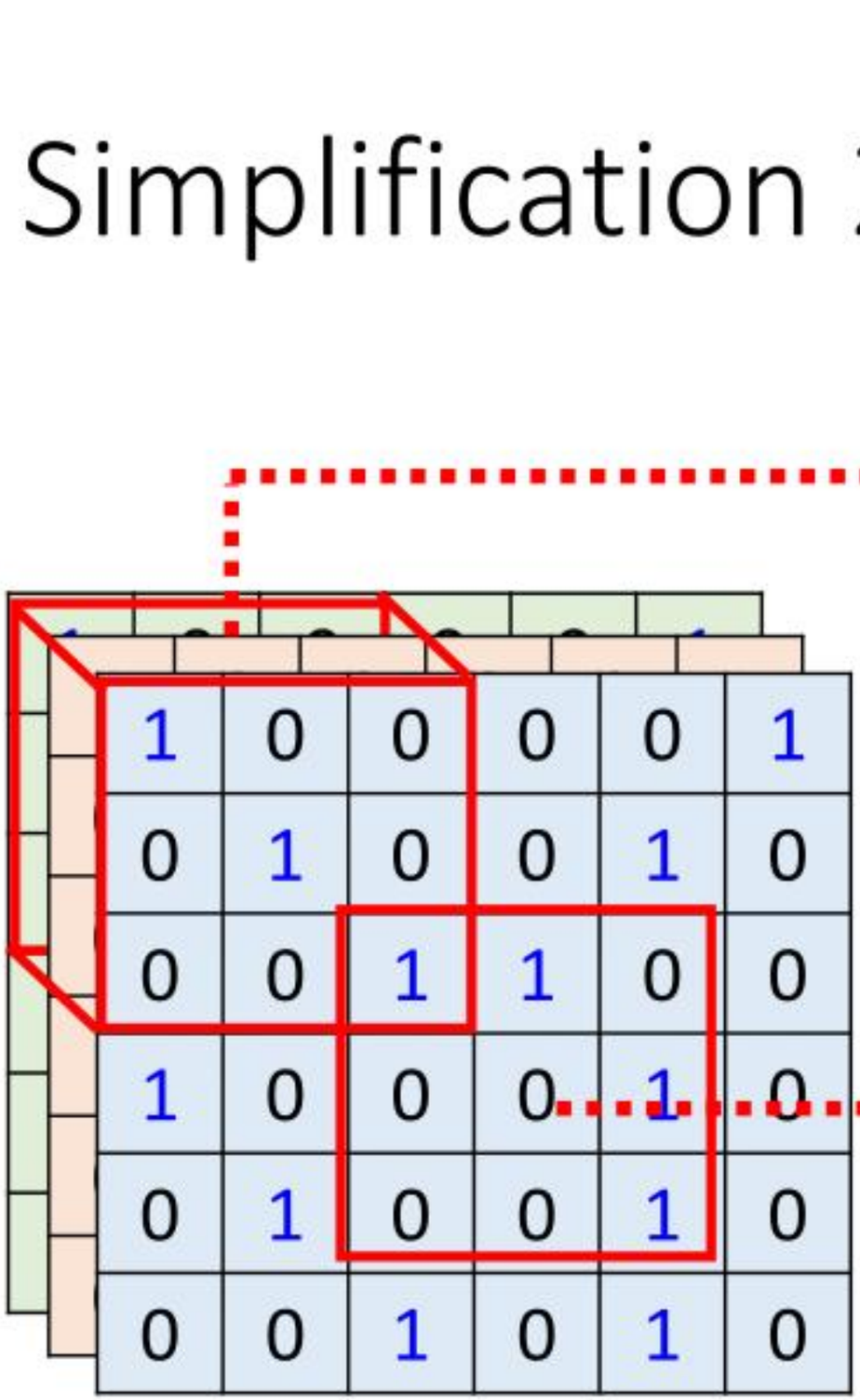
Observation 2

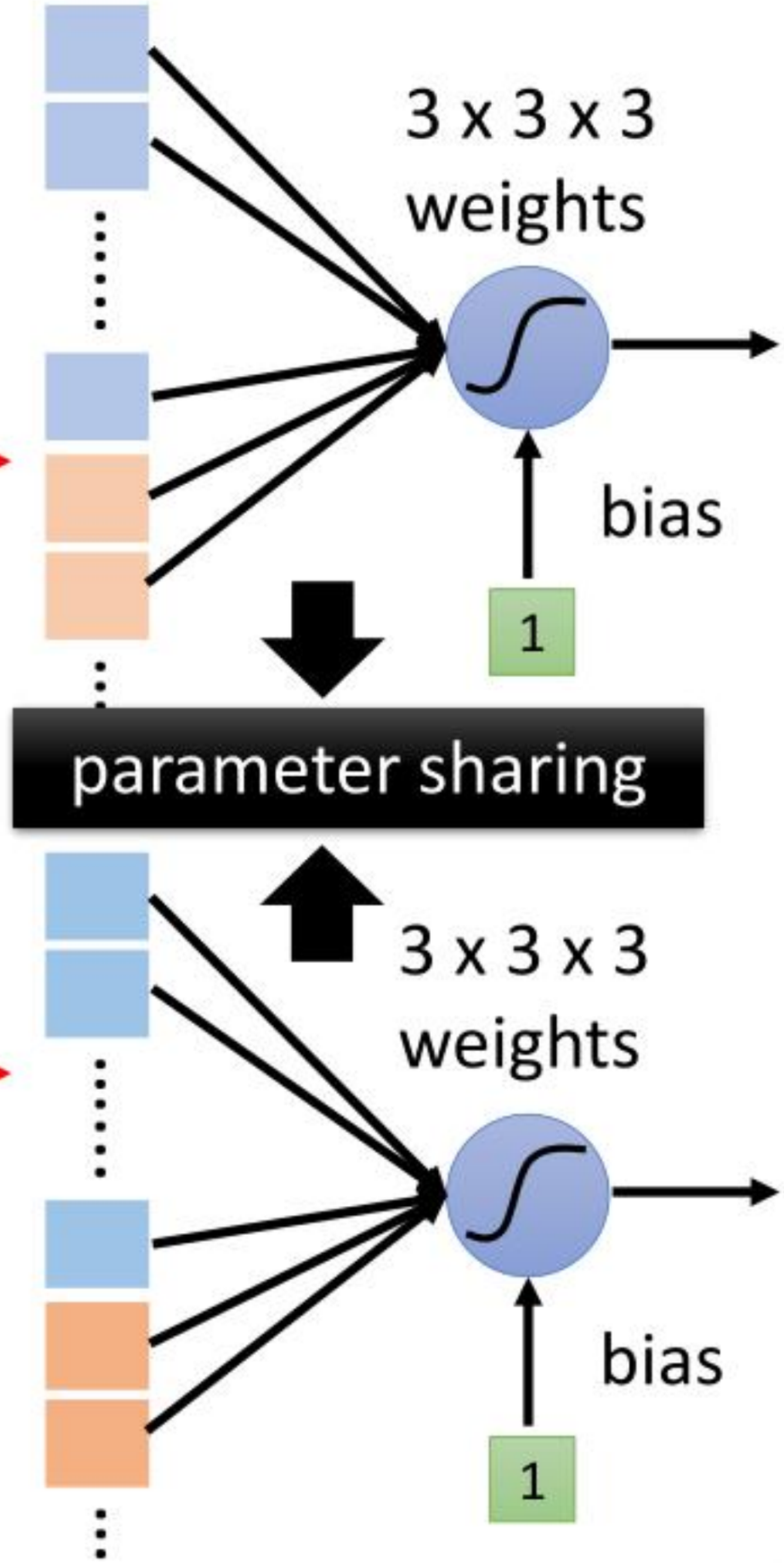
- The same patterns appear in different regions.



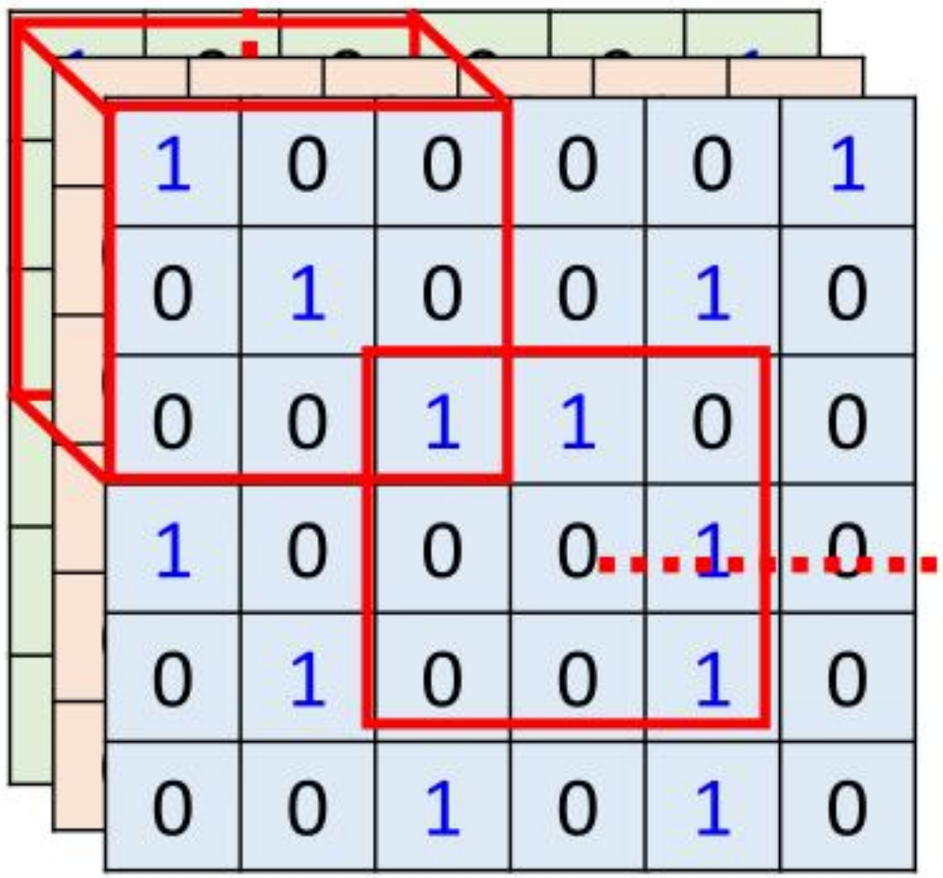


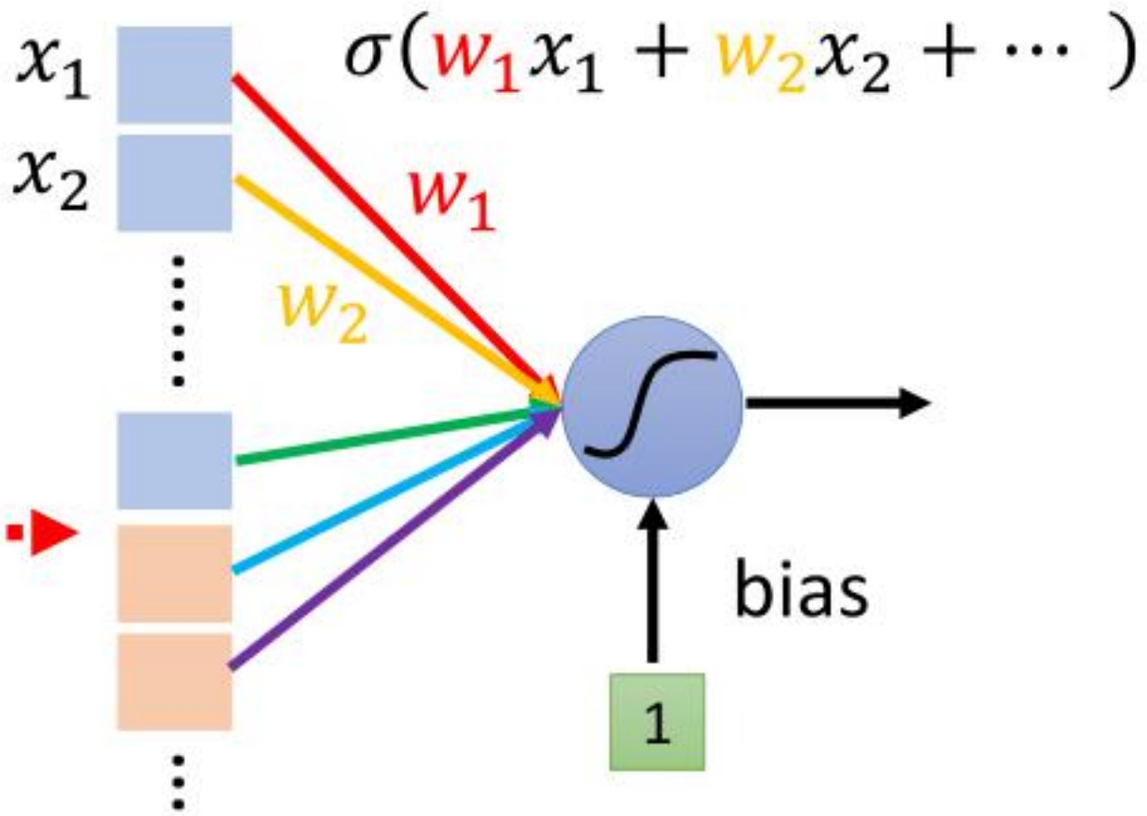
Simplification 2

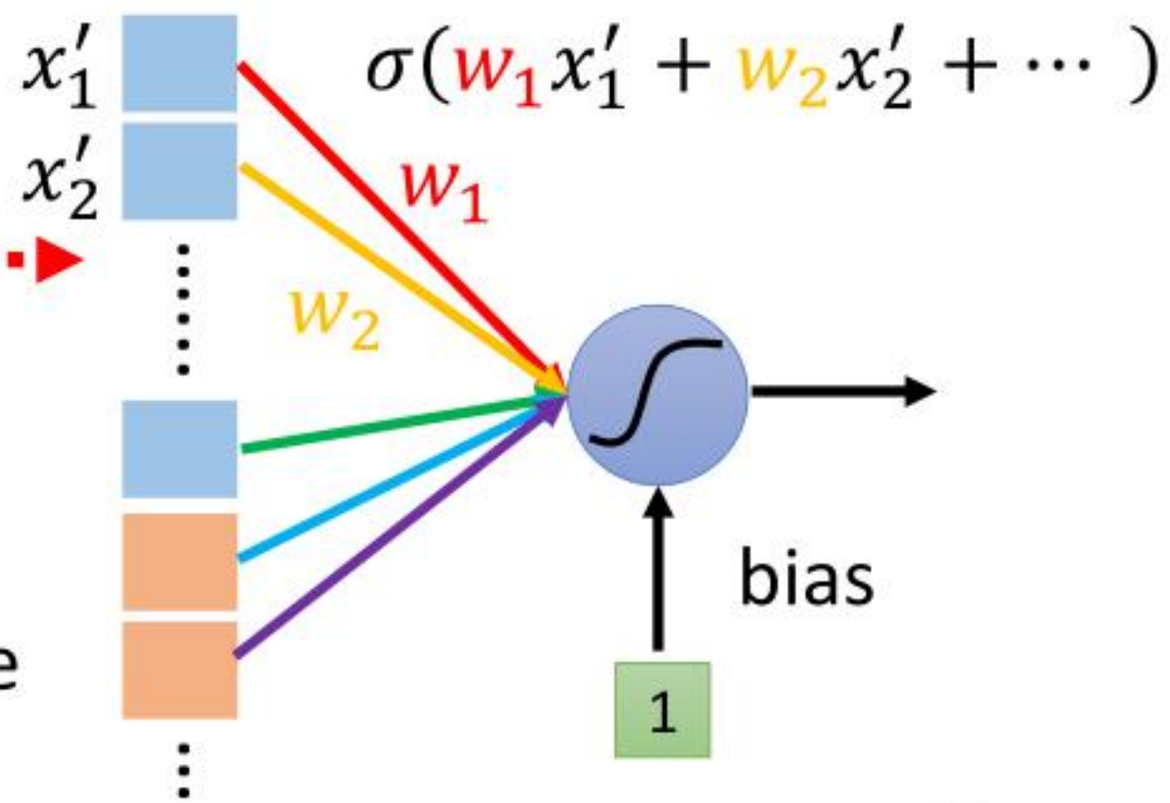




Simplification 2



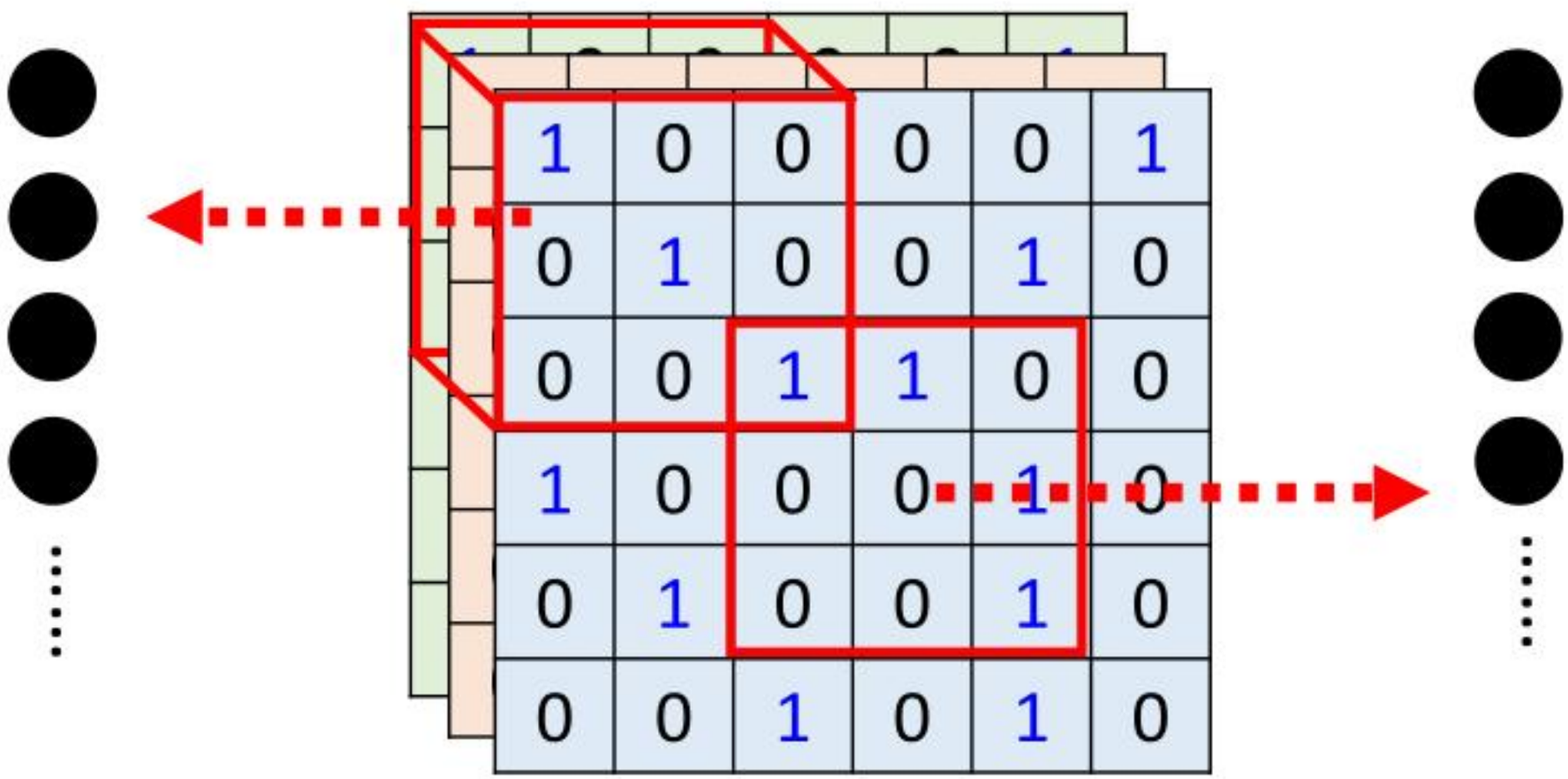




Two neurons with the same receptive field would not share parameters.

Simplification 2 – Typical Setting

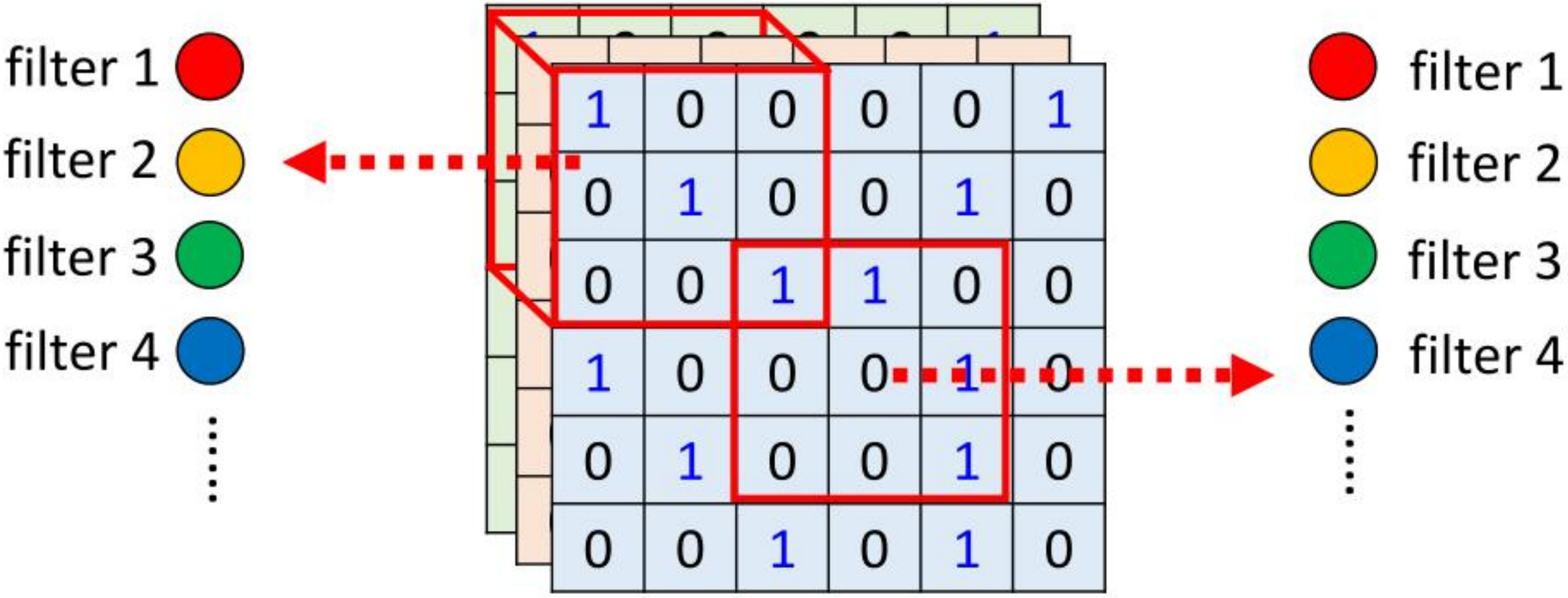
Each receptive field has a set of neurons (e.g., 64 neurons).



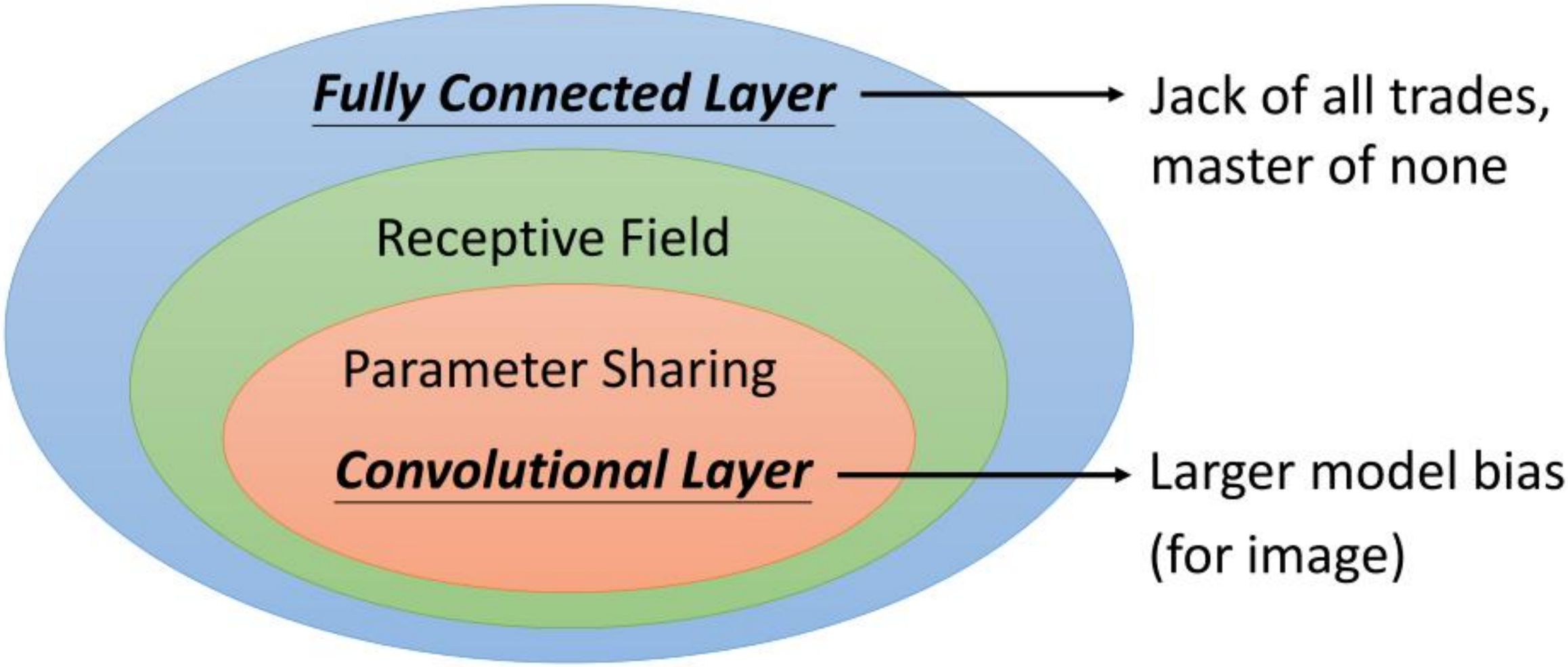
Simplification 2 – Typical Setting

Each receptive field has a set of neurons (e.g., 64 neurons).

Each receptive field has the neurons with the same set of parameters.



Benefit of Convolutional Layer

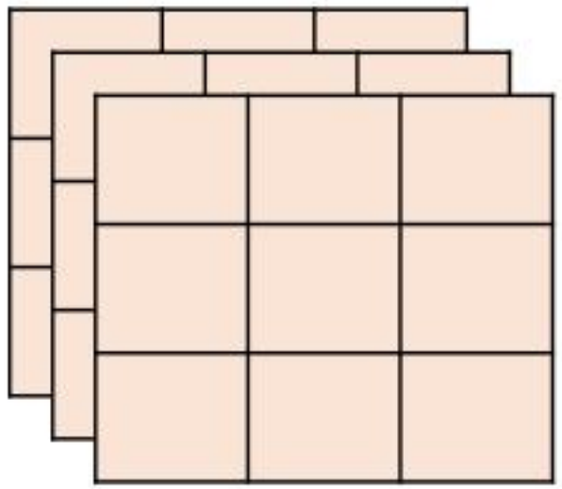


- Some patterns are much smaller than the whole image.
- The same patterns appear in different regions.

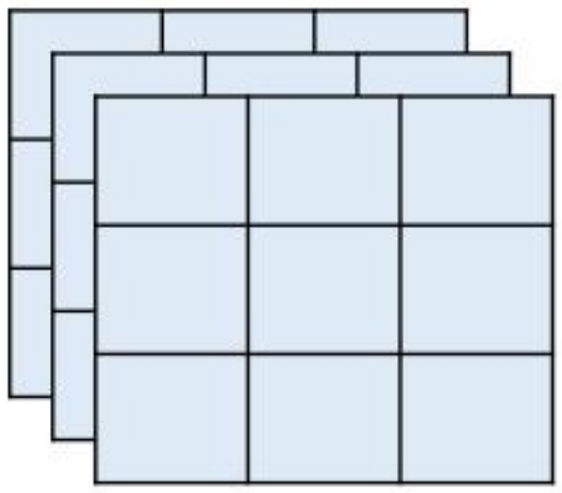
Another story based on ***filter*** ☺

Convolutional Layer

Convolution



Filter 1
3 x 3 x channel tensor



Filter 2
3 x 3 x channel tensor

channel = 3 (colorful)

channel = 1 (black and white)

Each filter detects a small pattern (3 x 3 x channel).

CNN – Convolution

Those are the network parameters to be learned.

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

Matrix

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2

Matrix

⋮

Property 1

Each filter detects a small pattern (3 x 3).

CNN – Convolution

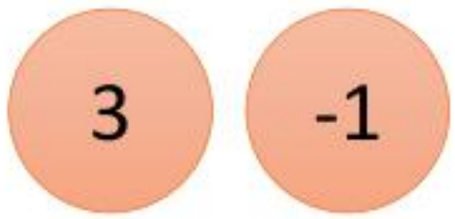
1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image



CNN – Convolution

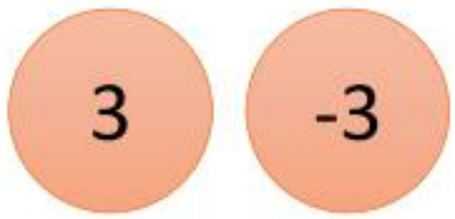
1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

If stride=2

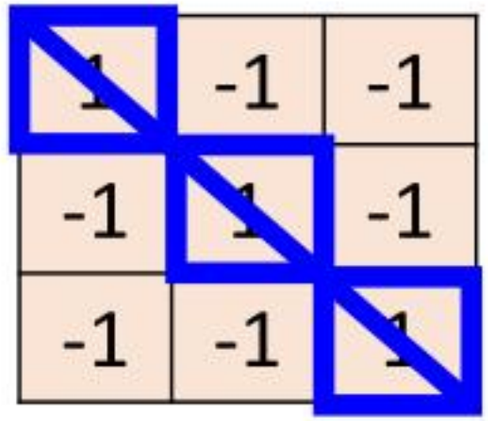
1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image



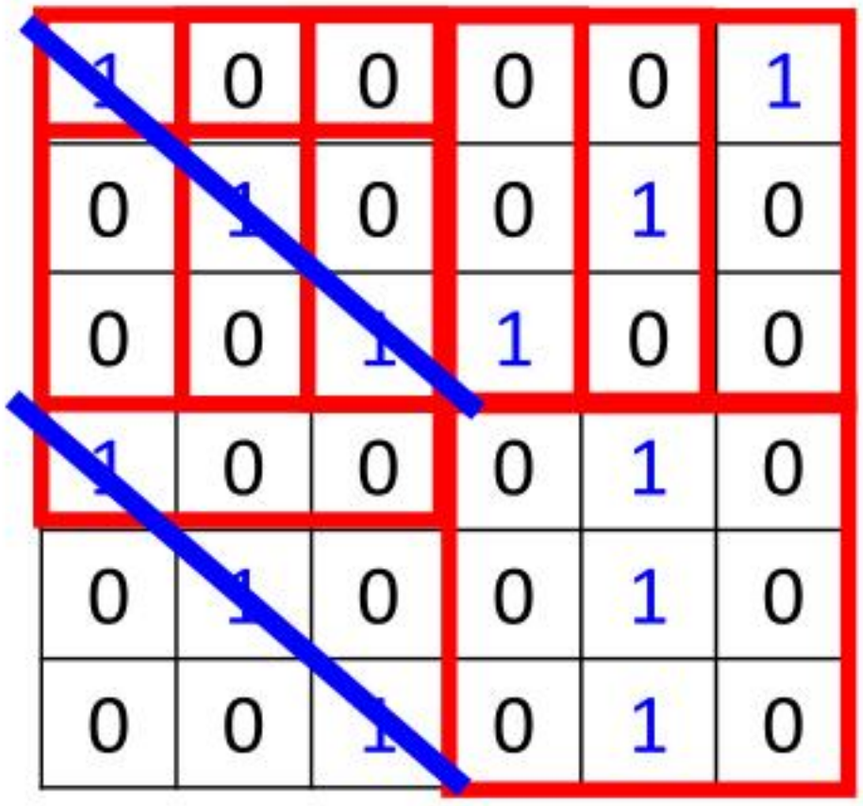
We set stride=1 below

CNN – Convolution

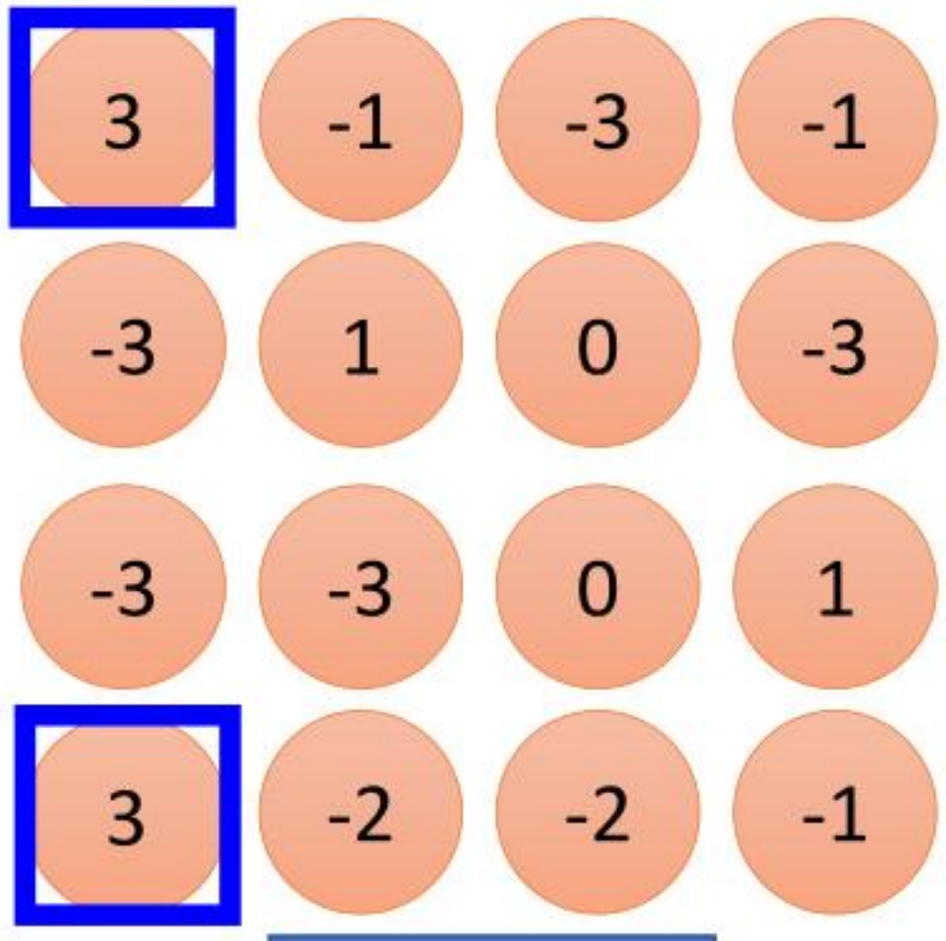


Filter 1

stride=1



6 x 6 image



Property 2

Convolutional Layer

-1	1	-1
-1	1	-1
-1	1	-1

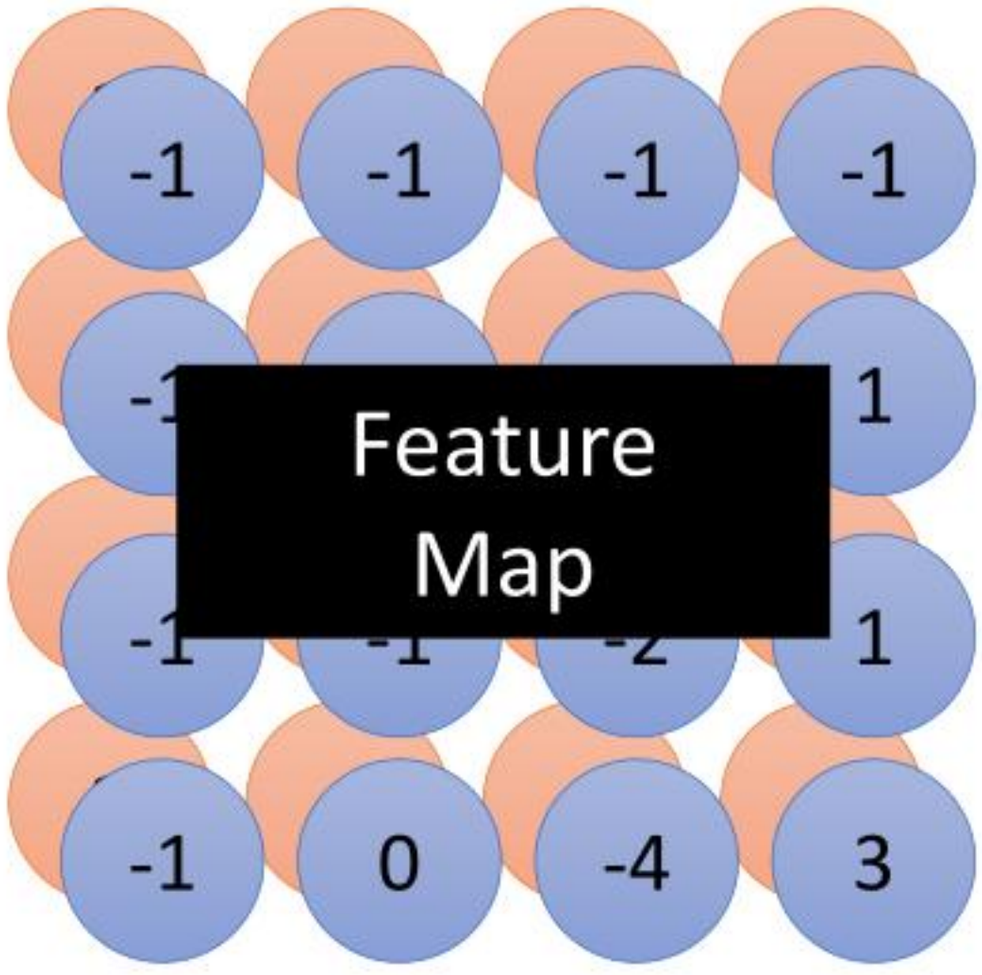
Filter 2

stride=1

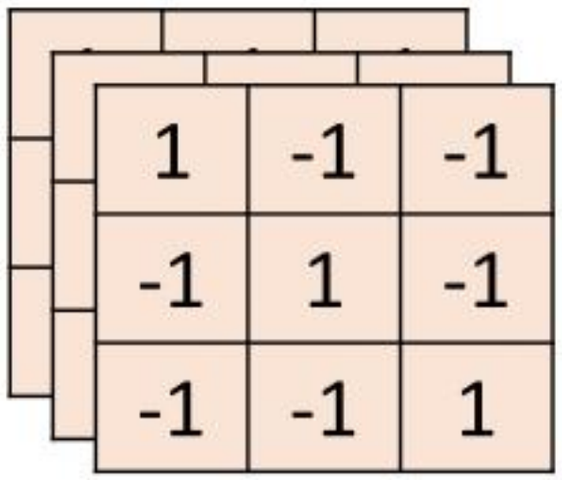
1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

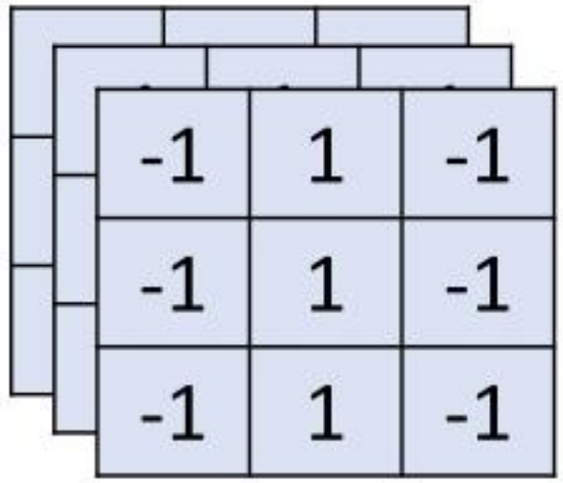
Do the same process for every filter



CNN – Colorful image

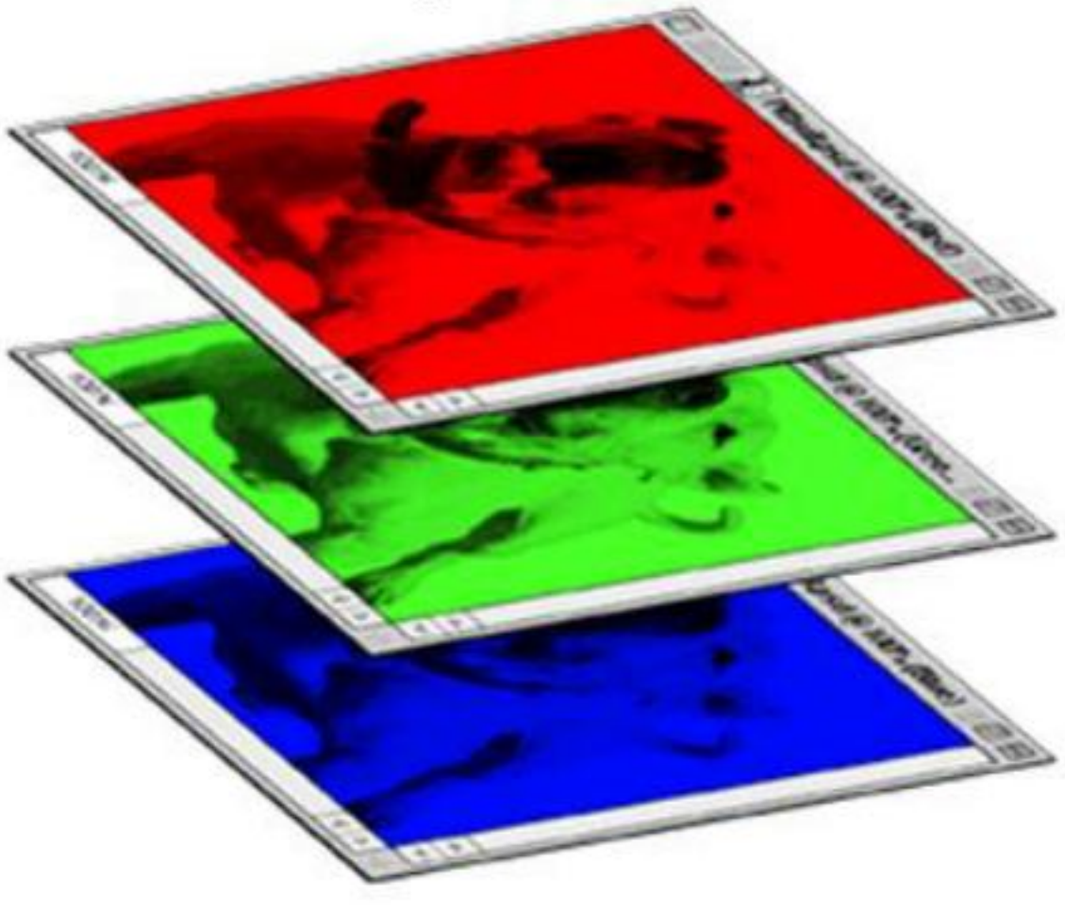


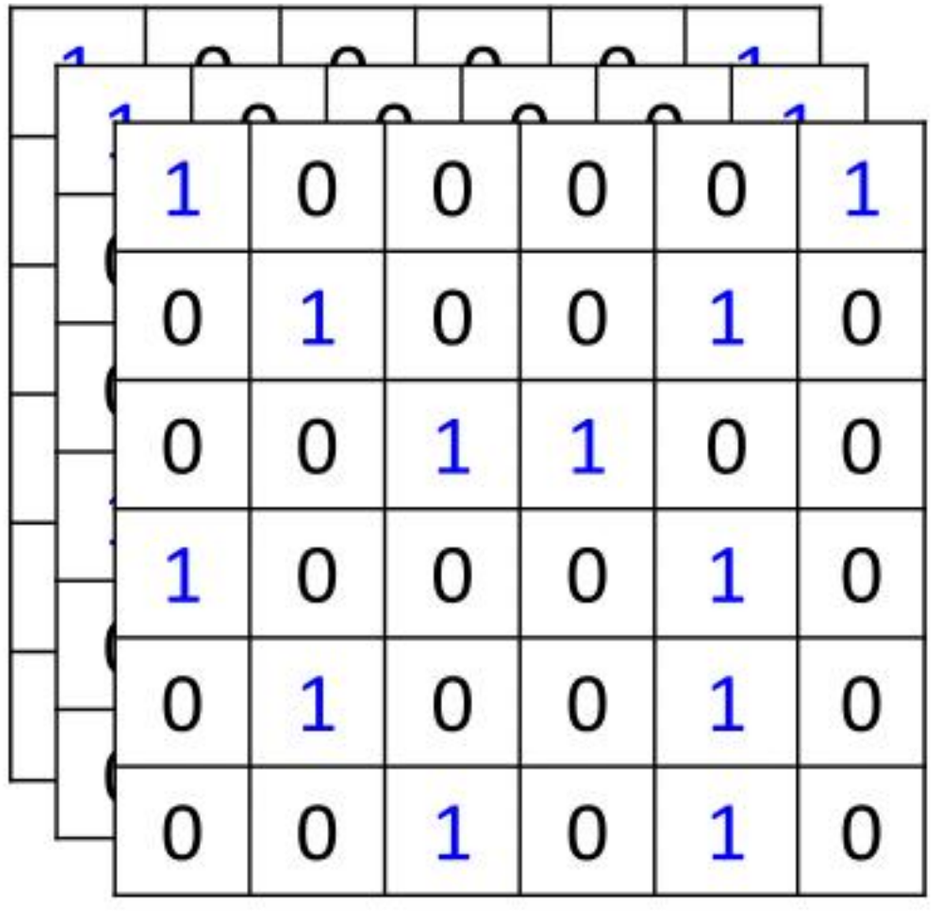
Filter 1



Filter 2

Colorful image



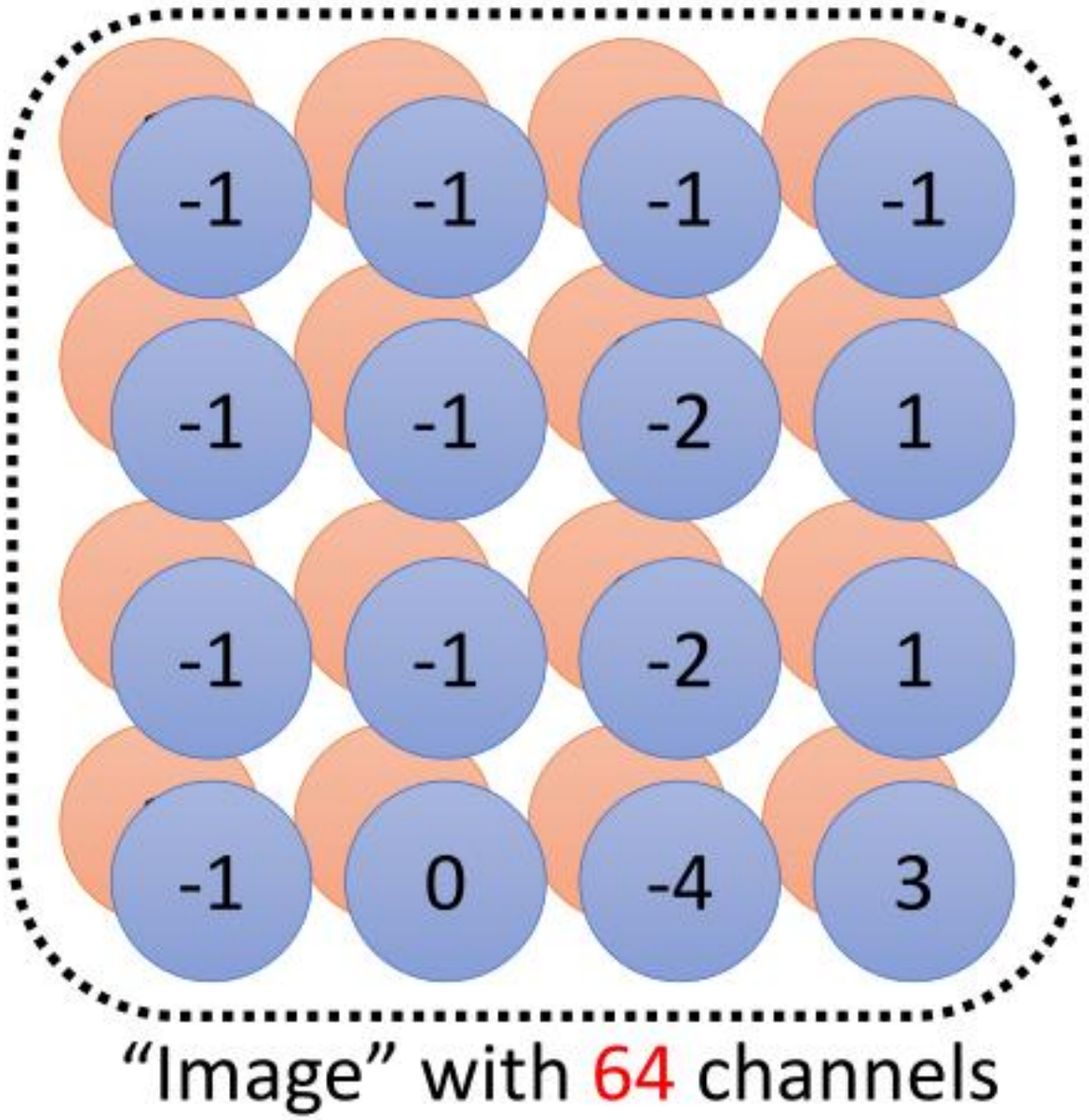


Convolutional Layer

64 filters

Convolution

Convolution



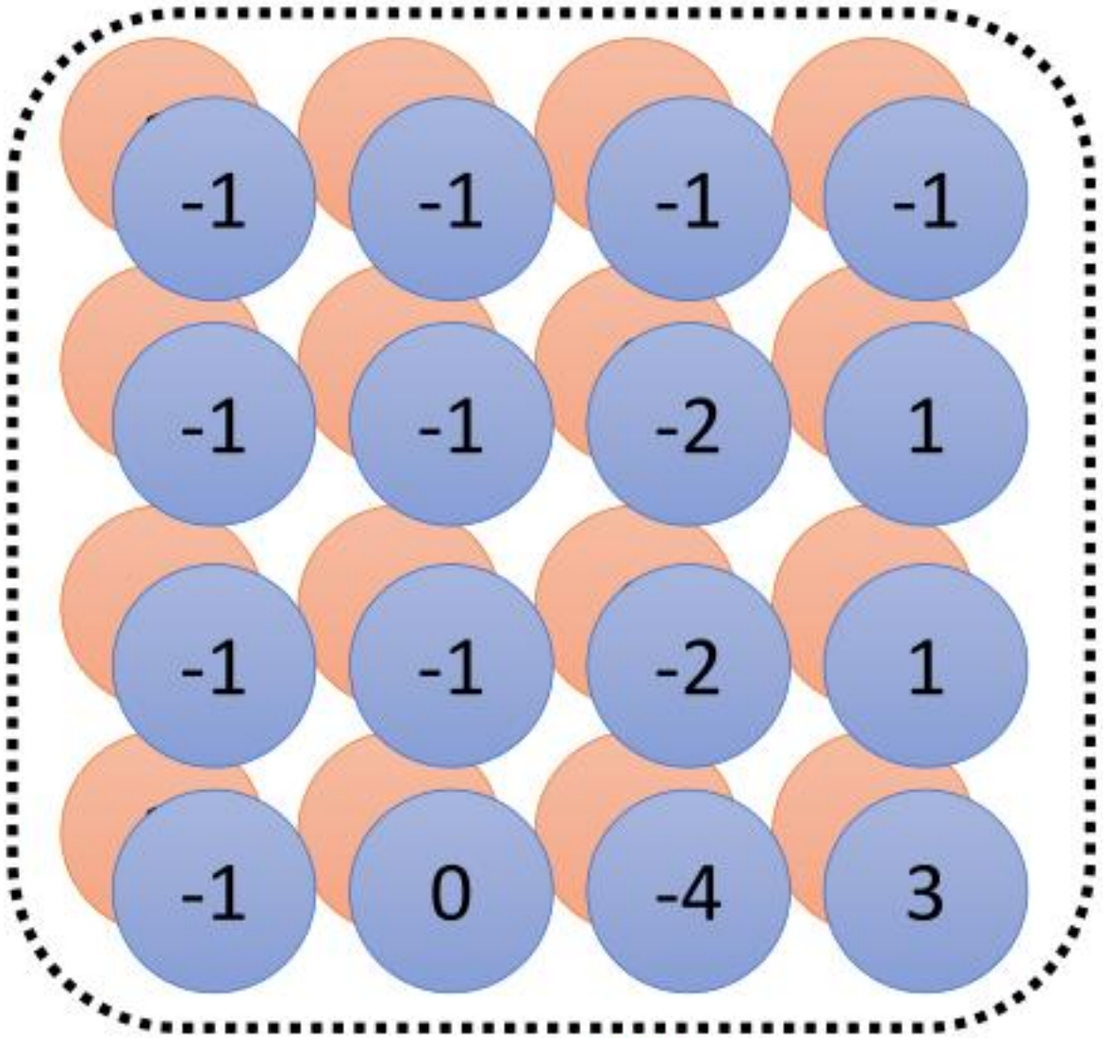
"Image" with 64 channels

Multiple Convolutional Layers

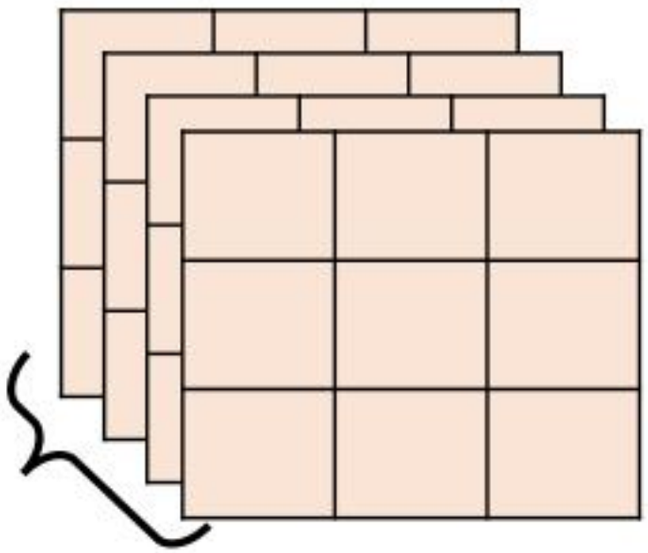
64 filters

Convolution

Convolution



"Image" with 64 channels



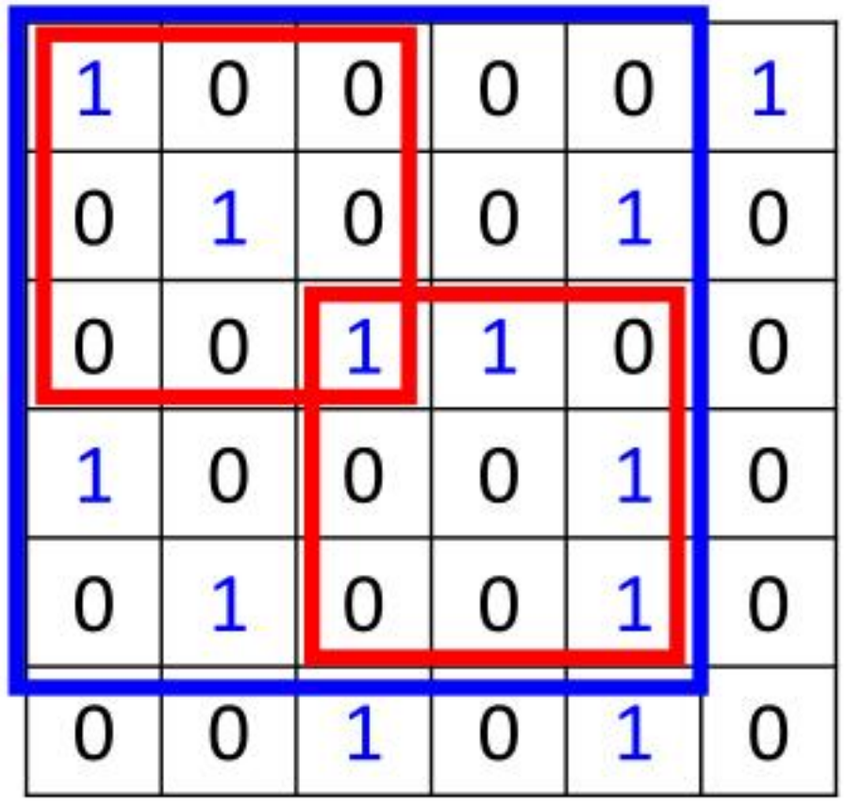
Filter:
3 x 3 x 64

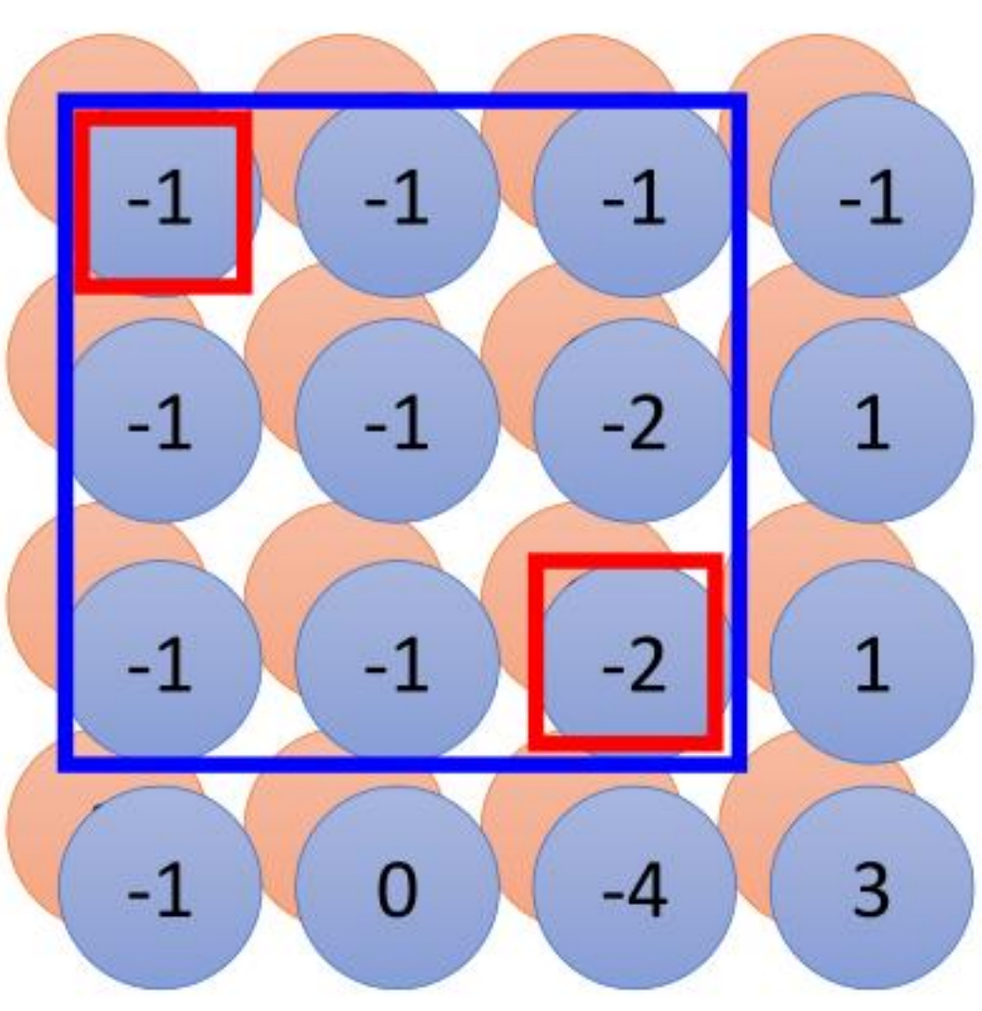
Multiple Convolutional Layers

64 filters

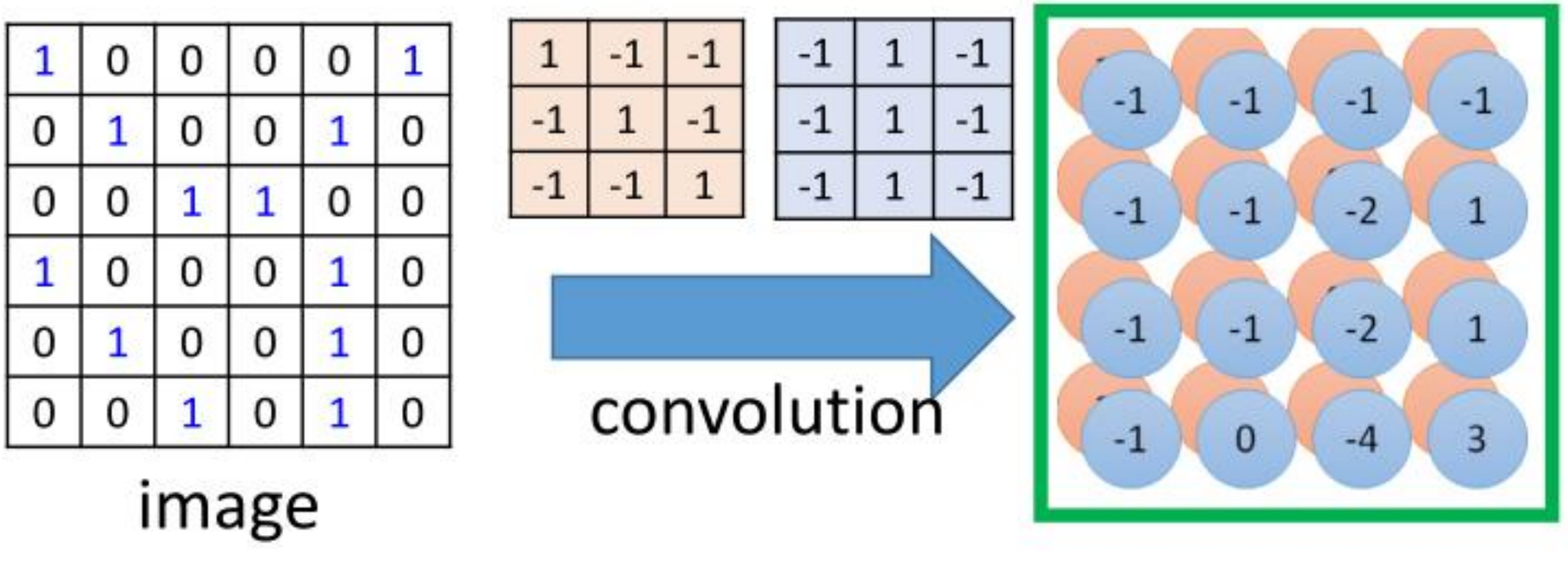
Convolution

Convolution

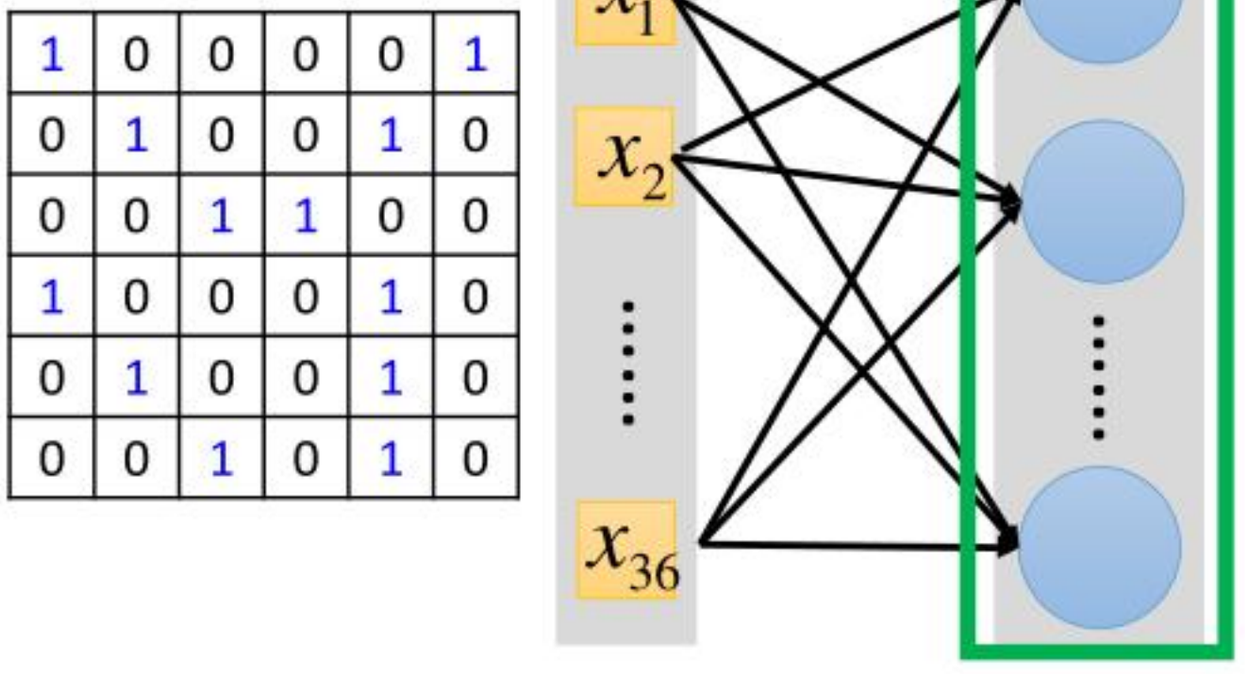


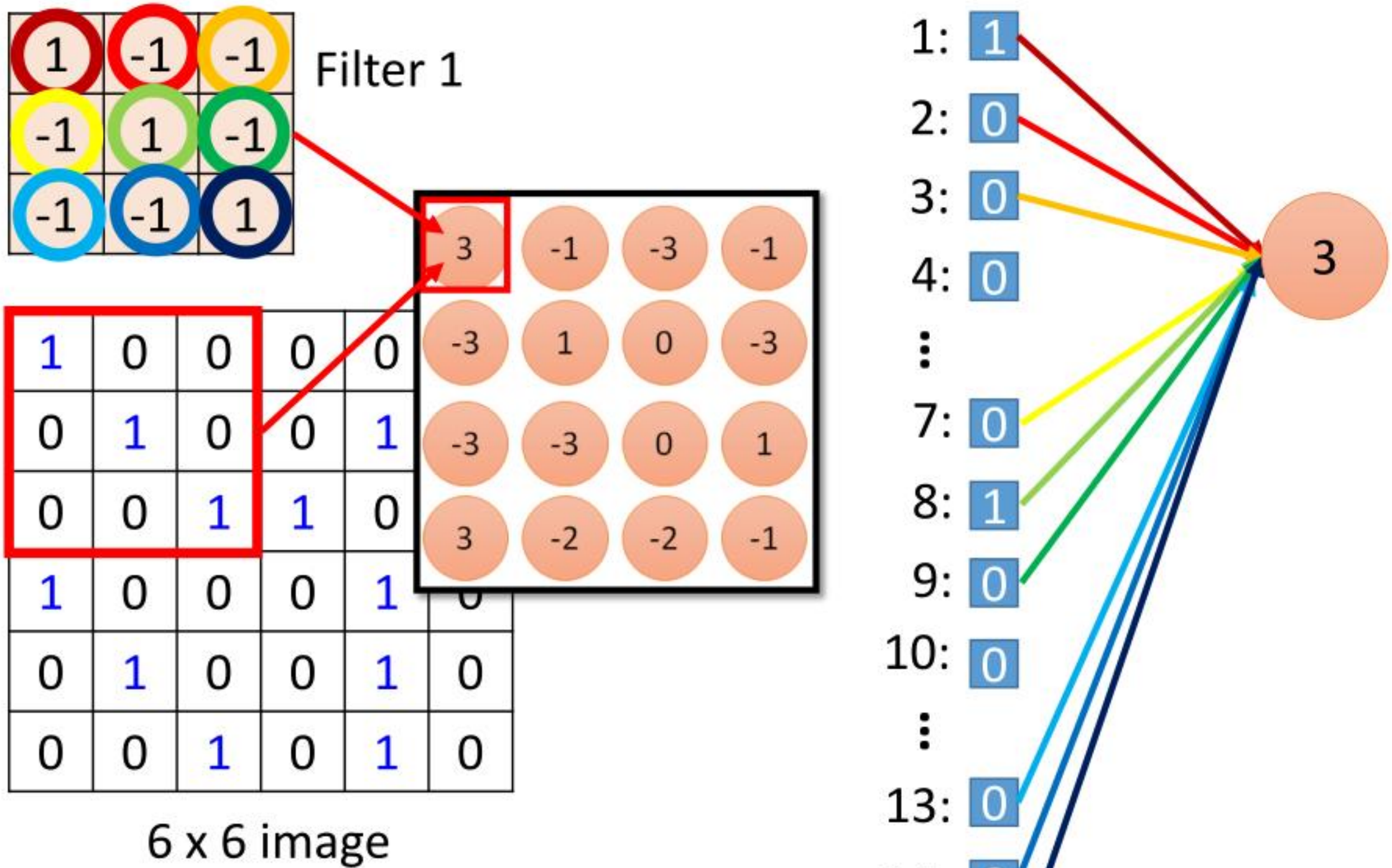


Convolution v.s. Fully Connected

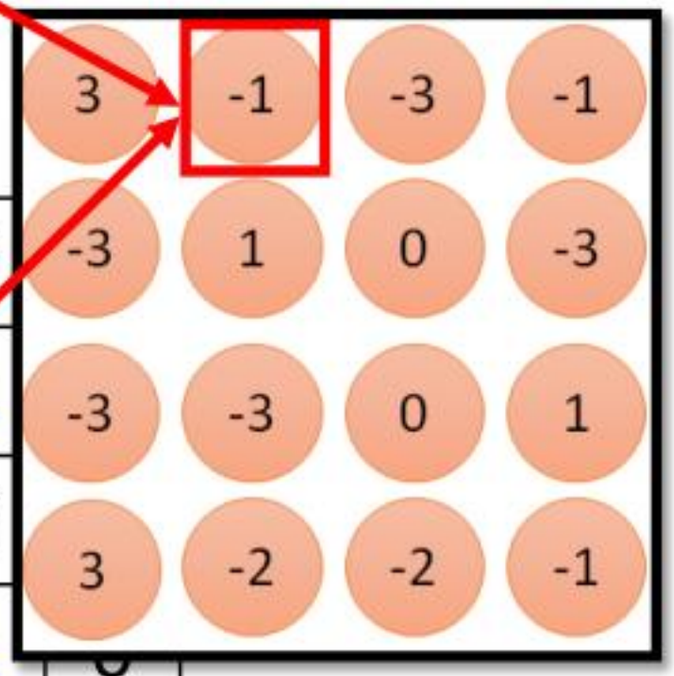


Fully-connected




1 -1 -1
-1 1 -1
-1 -1 1
Filter 1
3 -1 -3 -1
-3 1 0 -3
-3 -3 0 1
3 -2 -2 -1
1 0 0 0 0
0 1 0 0 1
0 0 1 1 0
1 0 0 0 1 0
0 1 0 0 1 0
0 0 1 0 1 0
6 x 6 image
Less parameters!
1: 1
2: 0
3: 0
4: 0
7: 0
8: 1
9: 0
10: 0
13: 0
14: 0
15: 1
16: 1
3
Only connect to 9 input, not fully connected

Filter 1

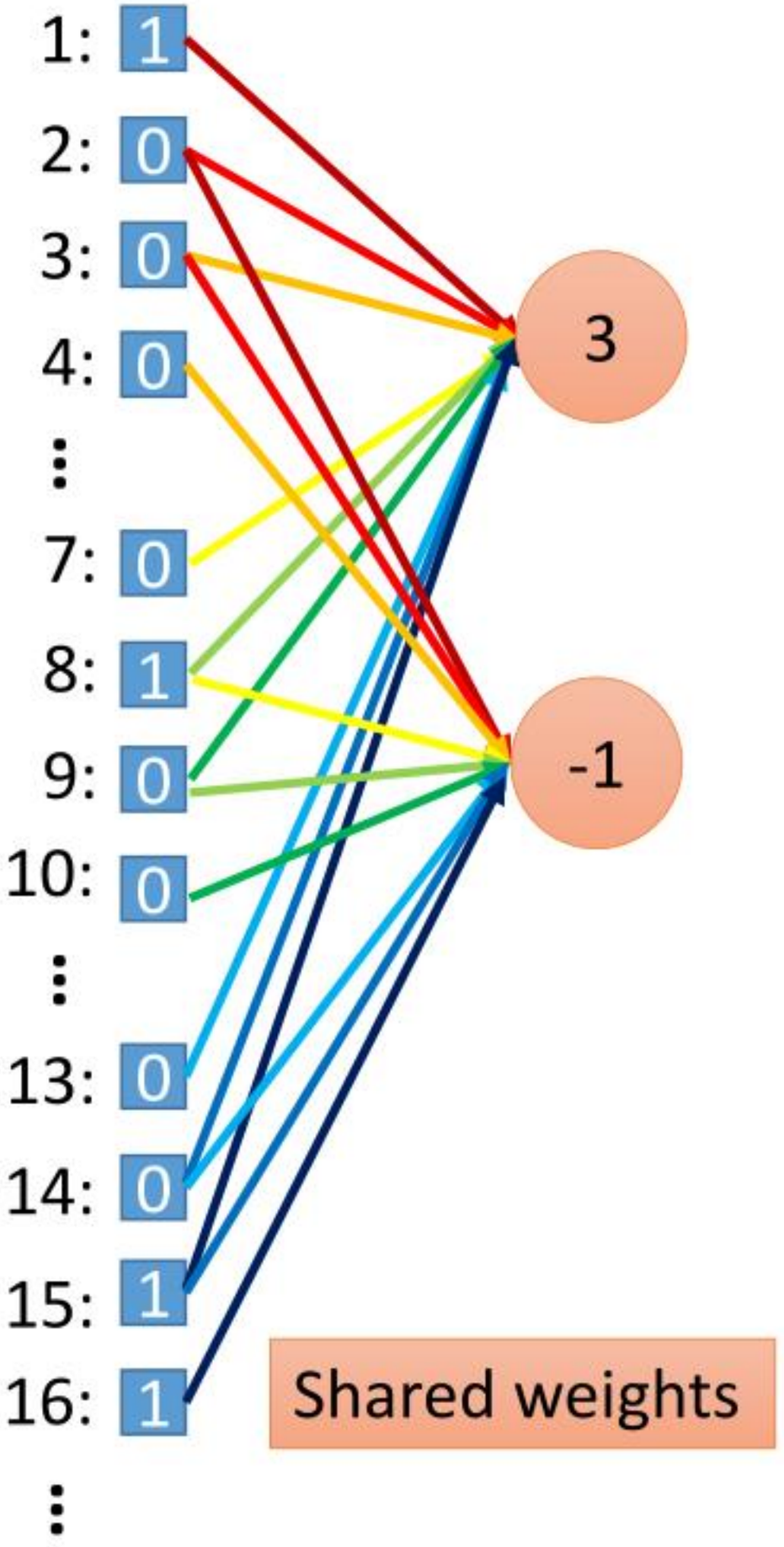


1	0	0	0	0	
0	1	0	0	1	
0	0	1	1	0	
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

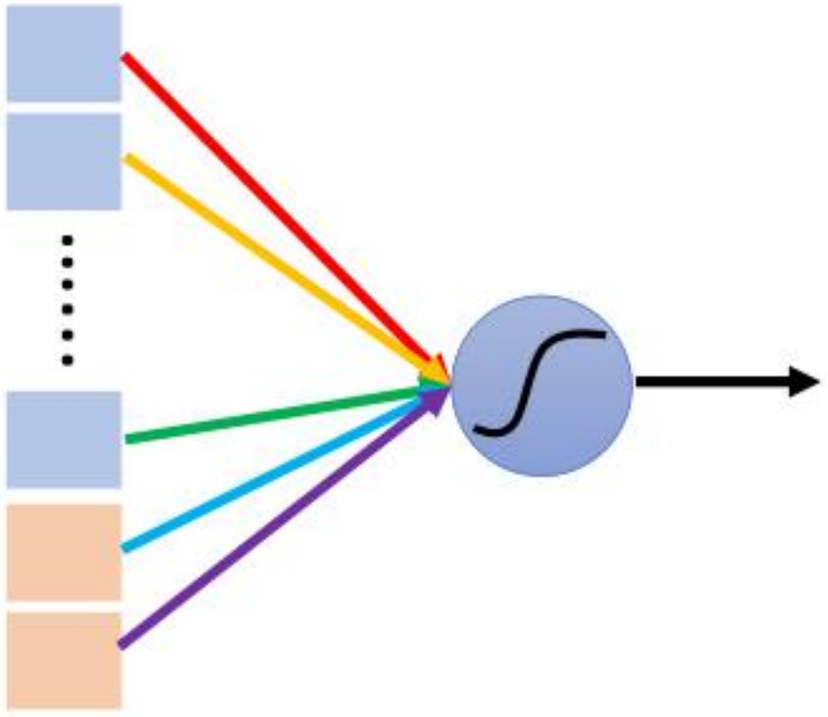
Less parameters!

Even less parameters!

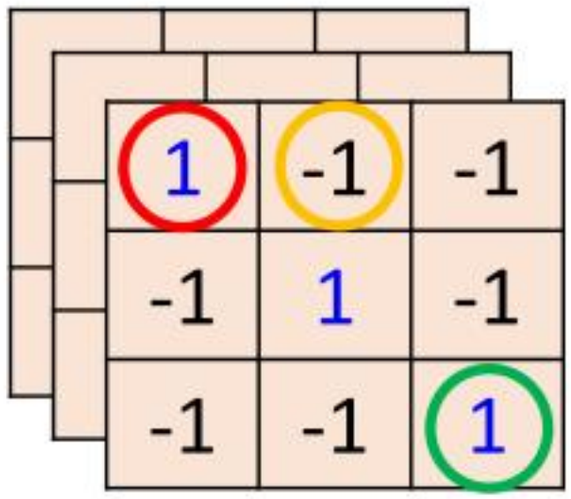


Shared weights

Comparison of Two Stories



Receptive field

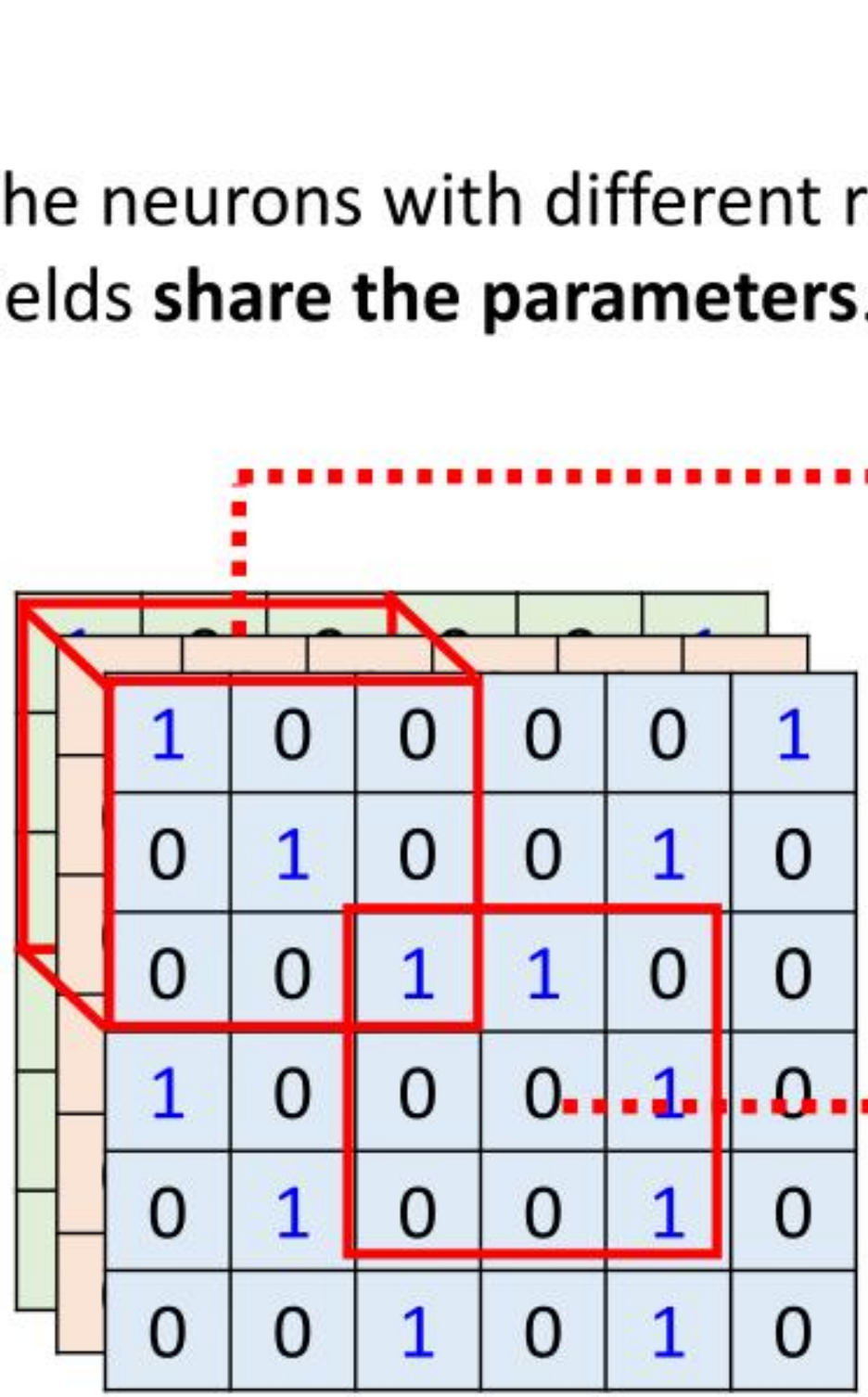


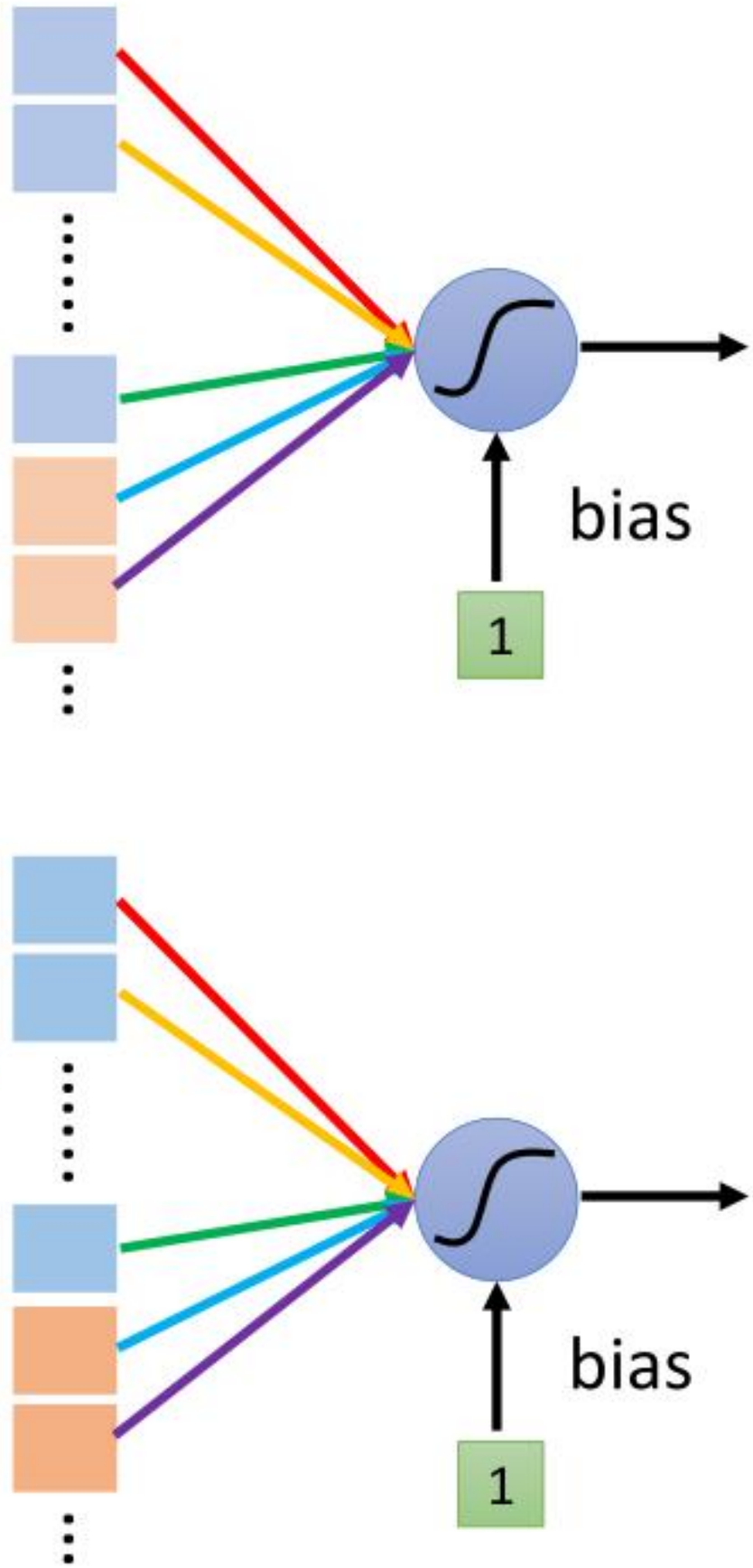
Filter

3 x 3 x channel tensor

(ignore bias in this slide)

The neurons with different receptive fields **share the parameters**.





Each filter convolves over the input image.

Convolutional Layer

Neuron Version Story	***Filter Version Story***
Each neuron only considers a receptive field.	There are a set of filters detecting small patterns.
The neurons with different receptive fields share the parameters.	Each filter convolves over the input image.

They are the same story.

Observation 3

- Subsampling the pixels will not change the object

bird

subsampling

bird

We can subsample the pixels to make image smaller

→ Less parameters for the network to process the image

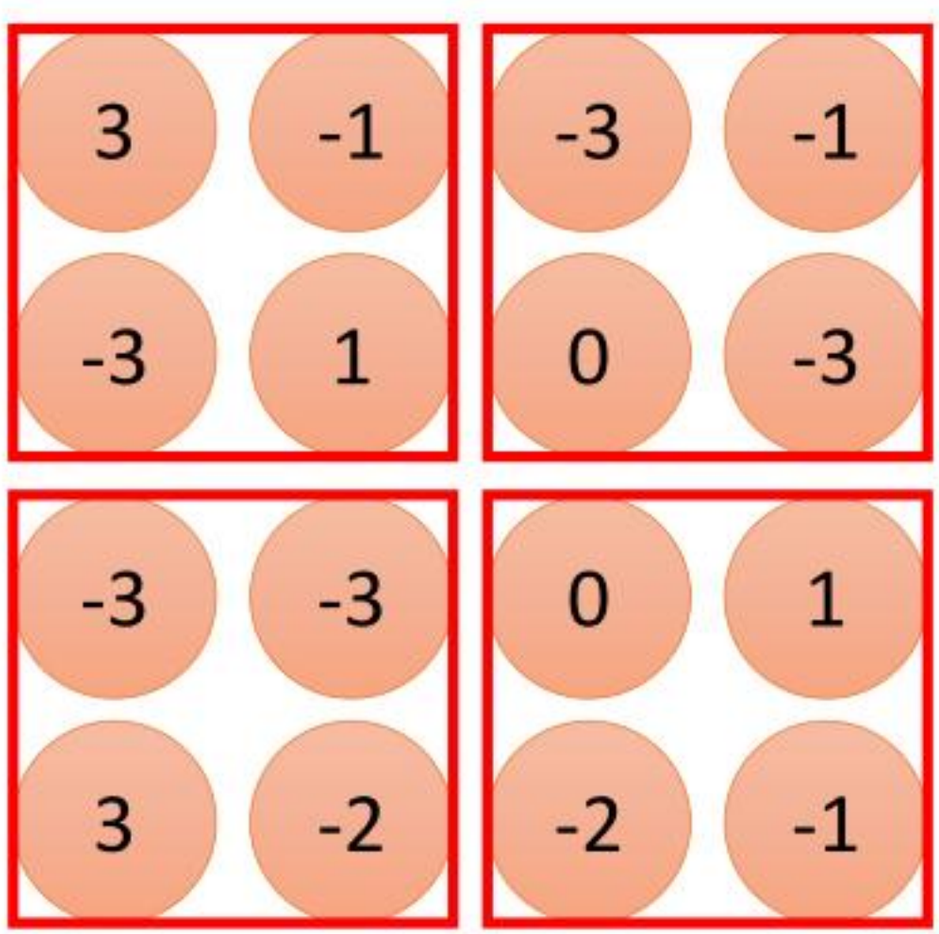
Pooling – Max Pooling

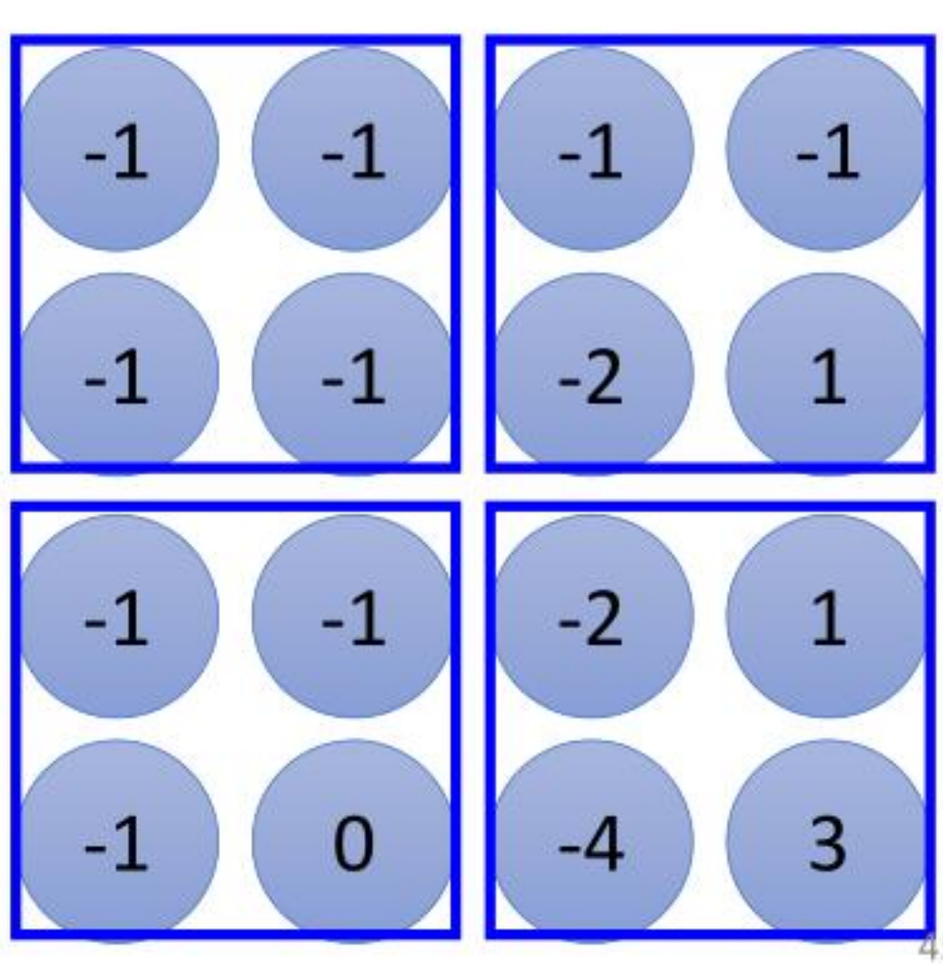
1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2



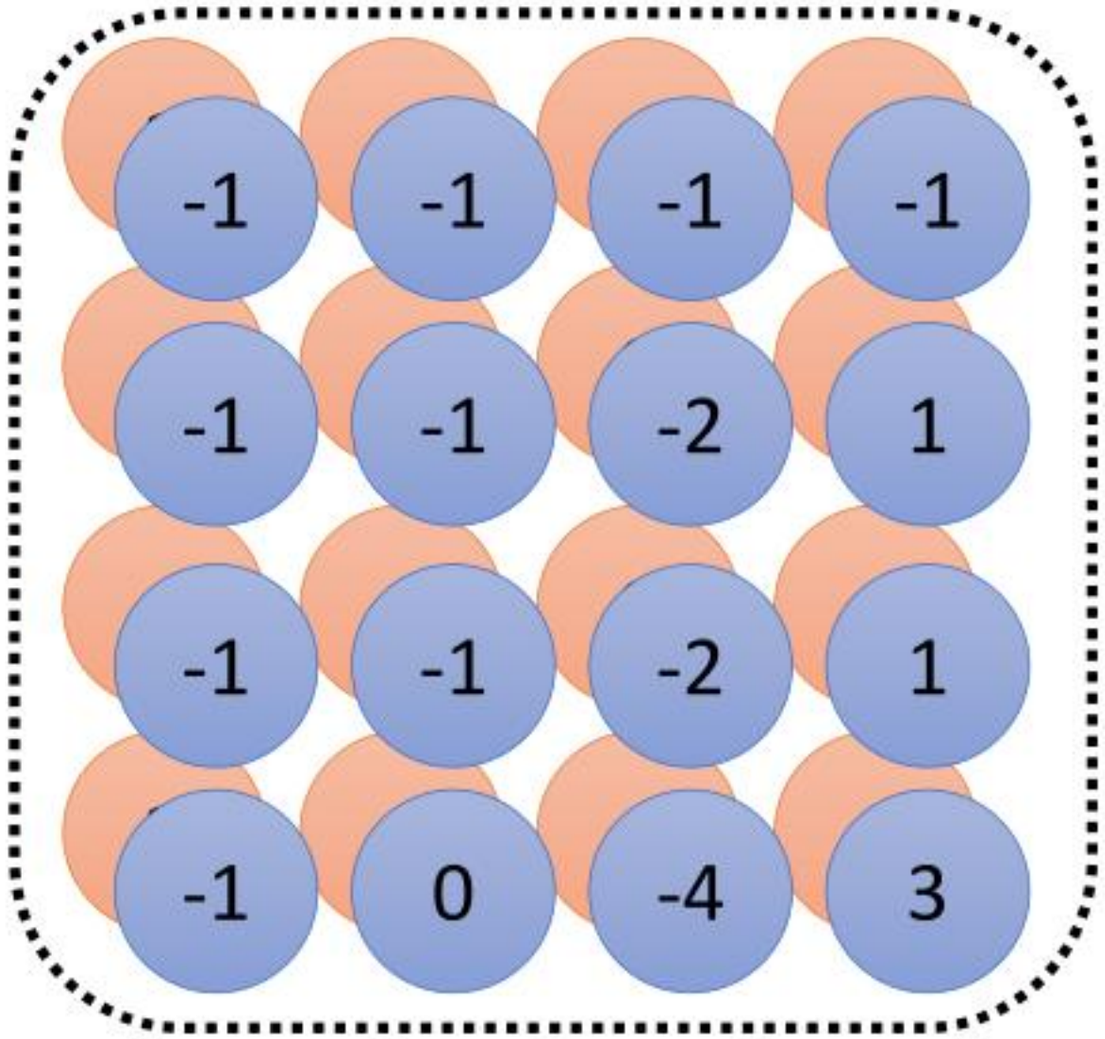


Convolutional Layers + Pooling

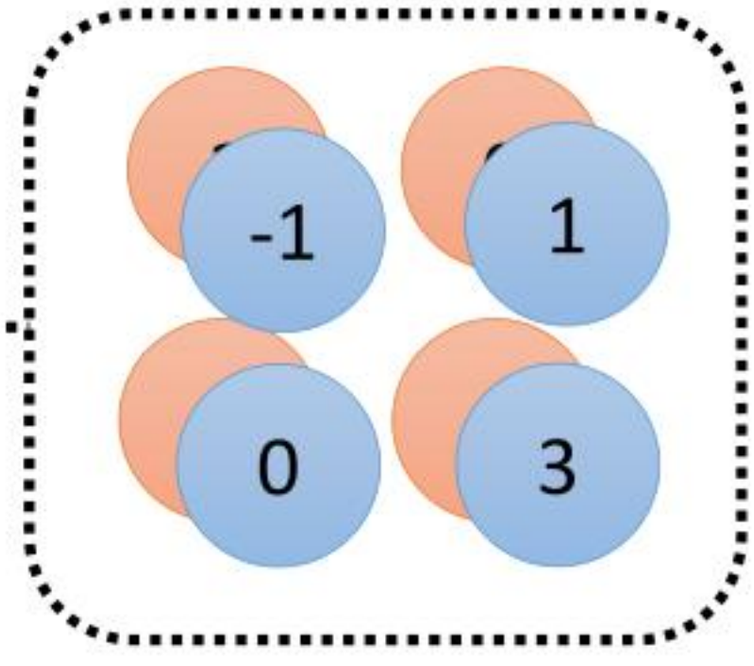
Repeat

Convolution

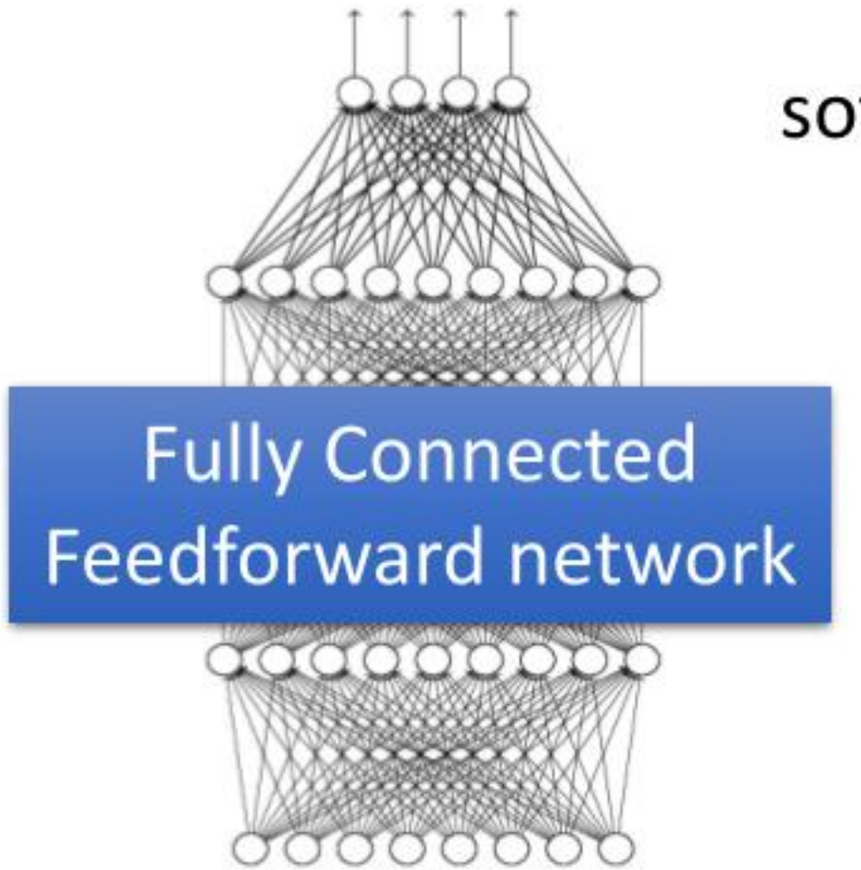
Pooling



"Image" with 64 channels



The whole CNN



Convolution

Max Pooling

Convolution

Max Pooling

Can repeat
many times

Flatten

The whole CNN

Convolution

Max Pooling

Convolution

Max Pooling

Can repeat many times

Flatten

Property 1

- Some patterns are much smaller than the whole image

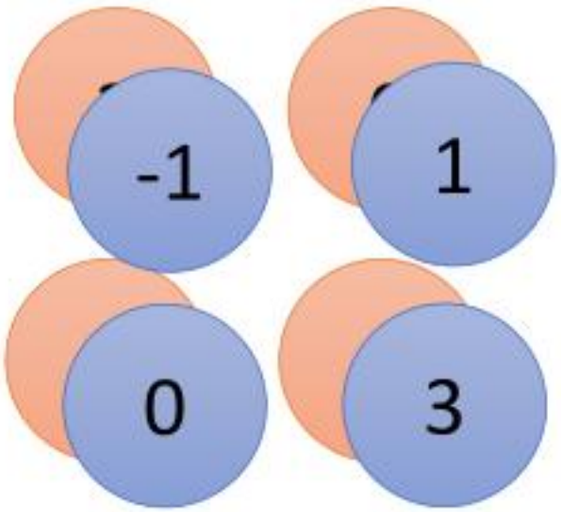
Property 2

- The same patterns appear in different regions.

Property 3

- Subsampling the pixels will not change the object

The whole CNN



A new image

Convolution

Max Pooling

Convolution

Max Pooling

Can repeat many times

Smaller than the original image

The number of the channel is the number of filters

The whole CNN

Convolution

Max Pooling

A new image

Convolution

Max Pooling

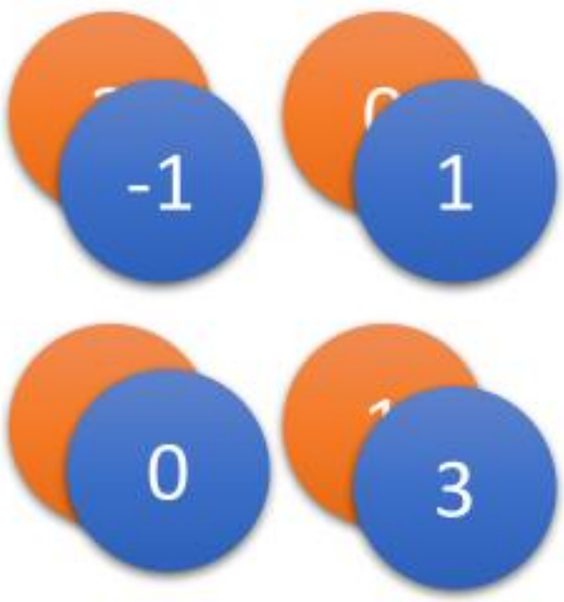
A new image

cat dog ……

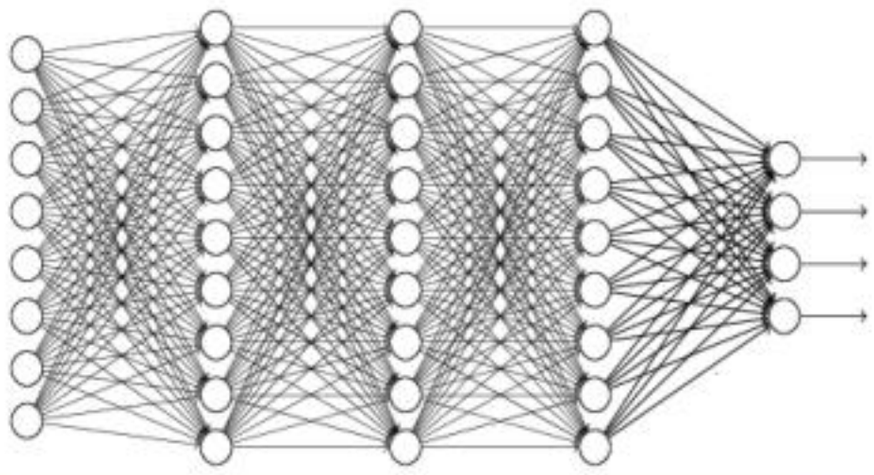
Fully Connected Feedforward network

Flatten

Flatten

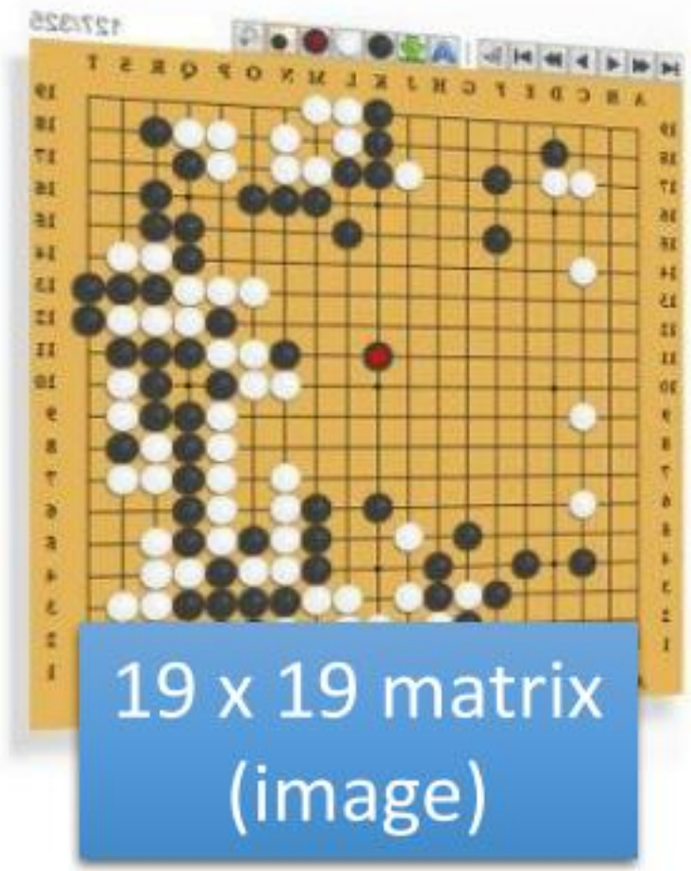


Flatten



Fully Connected
Feedforward network

Application: Playing Go



19 x 19 matrix (image)

Network

Next move (19 x 19 positions)

19 x 19 classes

48 channels in Alpha Go

Black: 1

white: -1

none: 0

Fully-connected network can be used

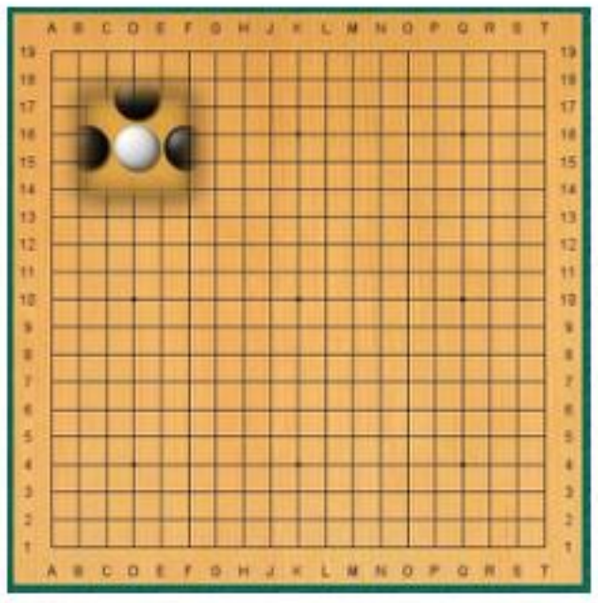
But CNN performs much better.

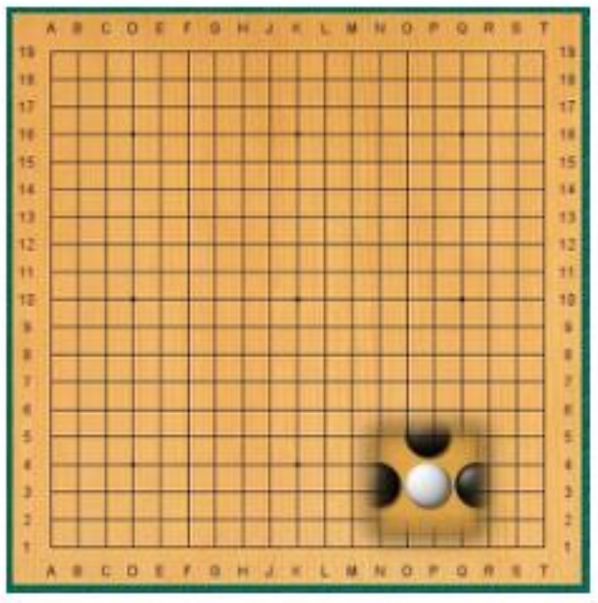
Why CNN for Go playing?

- Some patterns are much smaller than the whole image

Alpha Go uses 5 x 5 for first layer

- The same patterns appear in different regions.





Why CNN for Go playing?

- Subsampling the pixels will not change the object

Pooling

How to explain this???

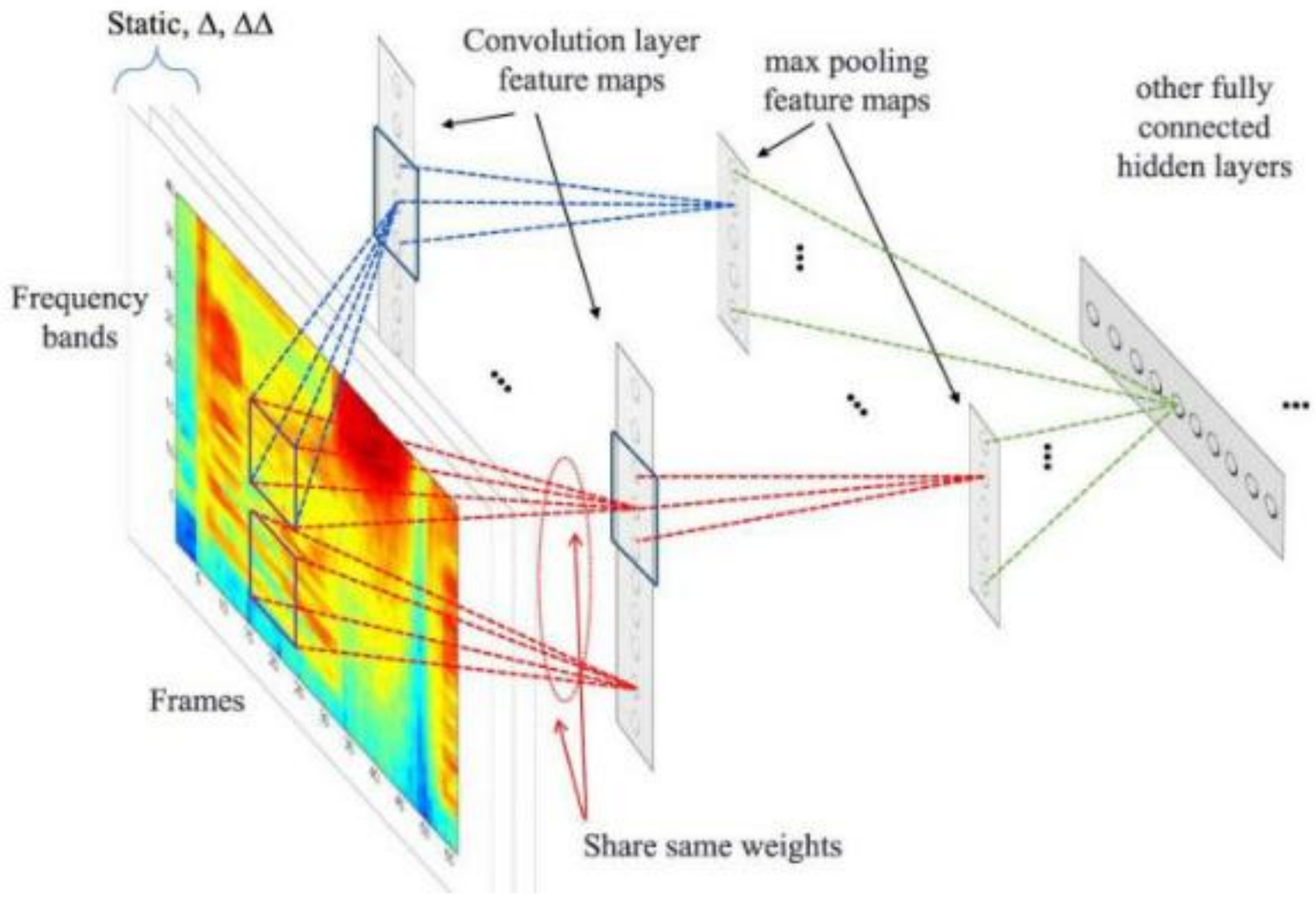
Neural network architecture. The input to the policy network is a $19 \times 19 \times 48$ image stack consisting of 48 feature planes. The first hidden layer zero pads the input into a 23×23 image, then convolves k filters of kernel size 5×5 with stride 1 with the input image and applies a rectifier nonlinearity. Each of the subsequent hidden layers 2 to 12 zero pads the respective previous hidden layer into a 21×21 image, then convolves k filters of kernel size 3×3 with stride 1, again followed by a rectifier nonlinearity. The final layer convolves 1 filter of kernel size 1×1 with stride 1, with a different bias for each position, and applies a softmax function. The match version of AlphaGo used $k = 192$ filters; Fig. 2b and Extended Data Tab[illegible] 256 and 384 filters

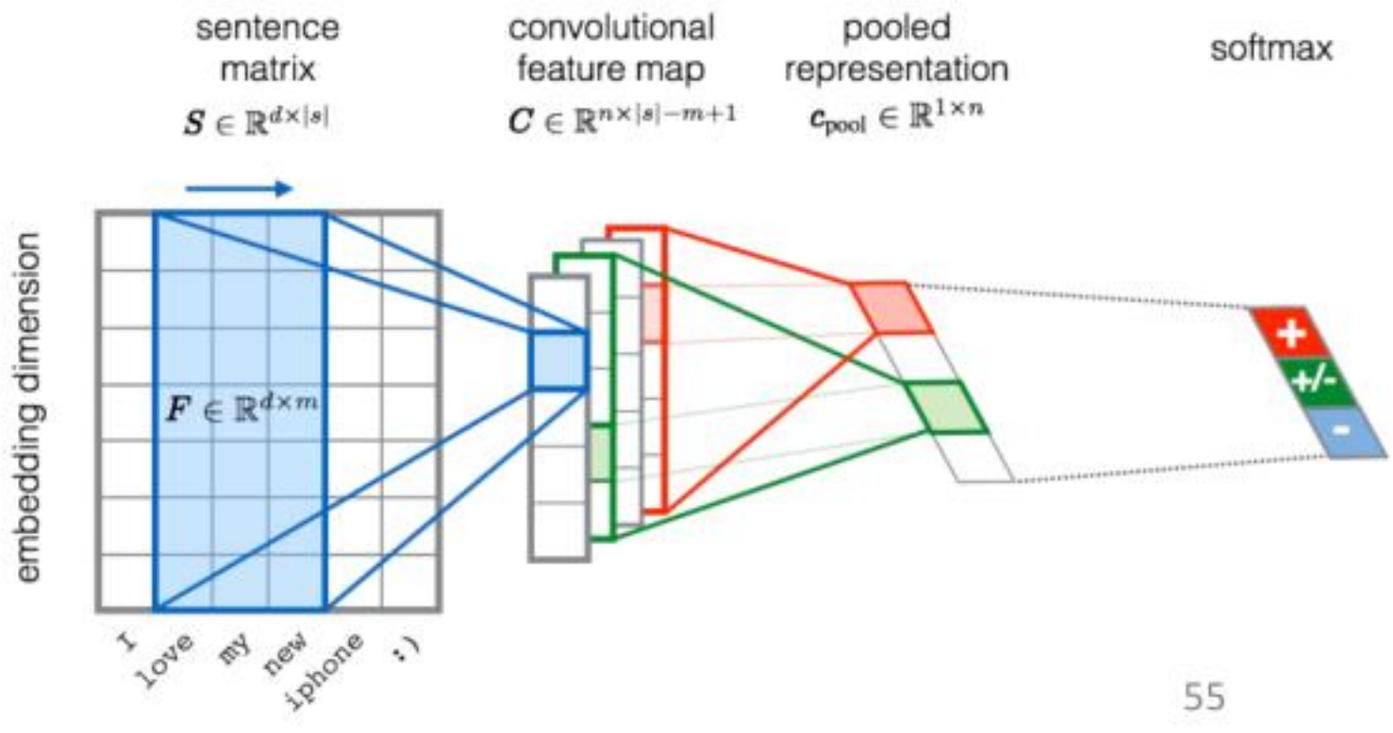
Alpha Go does not use Pooling .....

More Applications

Speech

https://dl.acm.org/doi/10.1109/TASLP.2014.2339736



Natural Language Processing

https://www.aclweb.org/anthology/S15-2079/