



# Reinforcement Learning for NLP

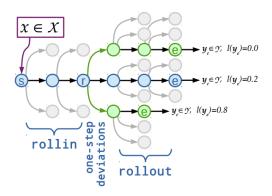
Advanced Machine Learning for NLP Jordan Boyd-Graber

DEEP SHIFT-REDUCE PARSERS

### What Makes NLP different from RL?

- Often, best actions are known
- · We're not just searching for high-reward
- Sometimes actions themselves are known

#### Roll In vs. Roll Out



- · Roll In: Which states does the algorithm see
- Roll Out: What states do you use for training

## **Known Policy vs. Exploration**

| $roll-out \rightarrow$ | Reference        | Mixture | Learned |  |
|------------------------|------------------|---------|---------|--|
| ↓ roll-in              | Reference        | MARGIC  |         |  |
| Reference              | Inconsistent     |         |         |  |
| Learned                | Not locally opt. | Good    | RL      |  |

- RL only gets reward
- Roll-in with reference gives unrealistic trajectories
- How to incorporate knowledge of true actions?
- Train classifier as proxy for policy









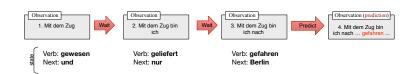






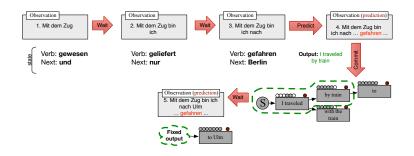


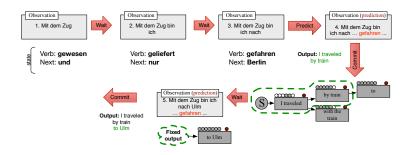


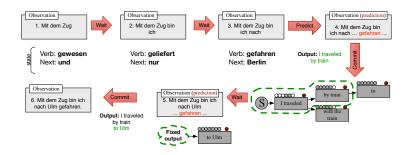






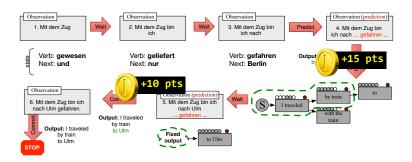


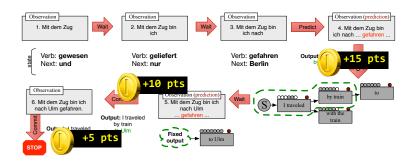


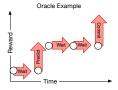


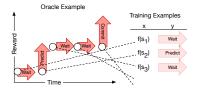


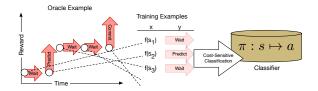


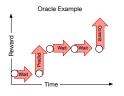


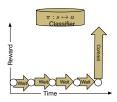


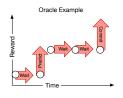


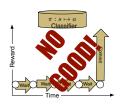


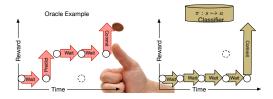


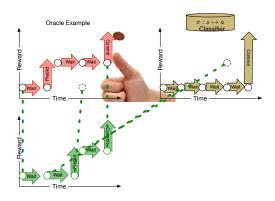


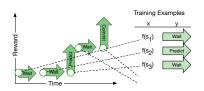


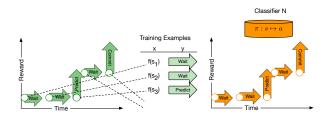












#### Algorithm 1 Locally Optimal Learning to Search (LOLS)

```
Require: Dataset \{x_i, y_i\}_{i=1}^N drawn from \mathcal{D} and \beta \geq 0: a mixture parameter for roll-out.
 1: Initialize a policy \pi_0.
 2: for all i \in \{1, 2, ..., N\} (loop over each instance) do
         Generate a reference policy \pi^{ref} based on y_i.
         Initialize \Gamma = \emptyset.
 4:
         for all t \in \{0, 1, 2, \dots, T-1\} do
 5:
            Roll-in by executing \pi_i^{\text{in}} = \hat{\pi}_i for t rounds and reach s_t.
 6:
 7:
            for all a \in A(s_t) do
                Let \pi_i^{\text{out}} = \pi^{\text{ref}} with probability \beta, otherwise \hat{\pi}_i.
 ۸.
                Evaluate cost c_{i,t}(a) by rolling-out with \pi_i^{\text{out}} for T-t-1 steps.
 9:
10:
            end for
11:
            Generate a feature vector \Phi(\mathbf{x}_i, s_t).
12:
            Set \Gamma = \Gamma \cup \{\langle c_{i,t}, \Phi(\mathbf{x}_i, s_t) \rangle\}.
         end for
13:
         \hat{\pi}_{i+1} \leftarrow \text{Train}(\hat{\pi}_i, \Gamma) \text{ (Update)}.
15: end for
```

16: Return the average policy across  $\hat{\pi}_0, \hat{\pi}_1, \dots \hat{\pi}_N$ .

## **LOLS on Dependency Parsing**

## Policy is learning actions for shift-reduce parser

| $roll-out \rightarrow \downarrow roll-in$ | Reference | Mixture | Learned |  |  |
|---|-----------|---------|---------|--|--|
| Reference is optimal                      |           |         |         |  |  |
| Reference                                 | 87.2      | 89.7    | 88.2    |  |  |
| Learned                                   | 90.7      | 90.5    | 86.9    |  |  |
| Reference is suboptimal                   |           |         |         |  |  |
| Reference                                 | 83.3      | 87.2    | 81.6    |  |  |
| Learned                                   | 87.1      | 90.2    | 86.8    |  |  |
| Reference is bad                          |           |         |         |  |  |
| Reference                                 | 68.7      | 65.4    | 66.7    |  |  |
| Learned                                   | 75.8      | 89.4    | 87.5    |  |  |

#### But what structure is best?

- RecNN not much better than DAN
- But syntax may not be optimal
- Can we learn structure?

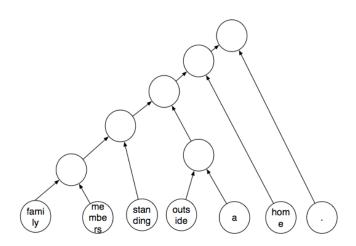
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- Can we learn structure?
  - Policy learns shift-reduce parser
  - TreeLSTM with learned structure
  - Reward is performance on downstream task

Table 4: Classification accuracy on SNLI dataset.

| Model                       | Acc. | # params. |
|-----------------------------|------|-----------|
| 100D-Right to left          | 79.1 | 2.3m      |
| 100D-Left to right          | 80.2 | 2.3m      |
| 100D-Bidirectional          | 80.2 | 2.6m      |
| 100D-Supervised syntax      | 78.5 | 2.3m      |
| 100D-Semi-supervised syntax | 80.2 | 2.3m      |
| 100D-Latent syntax          | 80.5 | 2.3m      |

## What structures?



## Other places of NLP + RL

- Question answering
- Language games
- Dialog systems
- Human learning

### Wrapup

- RL allows for algorithms to think about long-term rewards
- And to guide actions of a system
- Important for systems that interact with world
- Discrete action spaces often more difficult