Course Project: Deep Learning and Reinforcement Learning

Objective:

The objective of this report is to analyze the MNIST dataset, which contains 70,000 handwritten black-and-white images, which are traditionally split into 60k training images and 10k validation images. The study will be carried out through 3 Convolutional Neural Networks models. The objective of this project will be to compare the results obtained by the 3 models to validate the model of greater convenience.

Description of the Data Set and its attributes

The MNIST database (Modified National Institute of Standards and Technology database) is a large database of handwritten digits that is commonly used for training various image processing systems.

Exploratory Data Analisis

Initial plan for data exploration and actions for data cleaning and feature engineering.

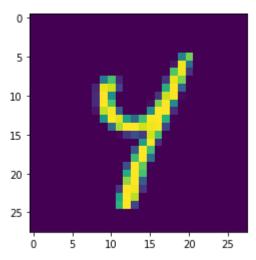
The data is already correct concerning Data Cleaning. However, we will do the corresponding scaling transformations to carry out the different models of the adequate tide. Then we will preliminarily reduce the dimensionality of the features, in order to compare the execution and training times of the algorithms by analyzing the accuracy obtained for the study cases.

Data Cleaning and Feature Engineering

```
import keras
from keras.datasets import mnist
from keras.datasets import cifar10
from keras.preprocessing.image import ImageDataGenerator
from keras.models import Sequential
from keras.optimizers import Adam
from keras.layers import Dense, Dropout, Activation, Flatten
from keras.layers import Conv2D, MaxPooling2D
from sklearn.decomposition import PCA
from sklearn.metrics import accuracy_score
import numpy as np
import matplotlib.pyplot as plt
import random
```

Analyzing the data set.

Out[79]: <matplotlib.image.AxesImage at 0x1688ff2b850>



PCA

We will perform a dimensionality reduction of the image using the Principal Component Analisis.

First, lets flatten the pixels to a 1d-array.

```
In [80]: x_train_flat = x_train.reshape((len(x_train), np.prod(x_train.shape[1:])))
    x_test_flat = x_test.reshape((len(x_test), np.prod(x_test.shape[1:])))
    print(x_train_flat.shape, x_test_flat.shape)

(60000, 784) (10000, 784)
```

We will scale the pixels of the images so that the values are between 0 and 1. This standardization is necessary to carry out the PCA method.

```
In [81]: from sklearn.preprocessing import MinMaxScaler
    MMS = MinMaxScaler().fit(x_train_flat)
    x_train_flat = MMS.transform(x_train_flat)
    MMS = MinMaxScaler().fit(x_test_flat)
    x_test_flat = MMS.transform(x_test_flat)
```

```
In [82]:
    def data_pca(x_data, n_components):
        pca = PCA(n_components=n_components)
        fit_pca = pca.fit(x_data)
        print("Variance explained with {0} components:".format(n_components), round(sum(fin))
```

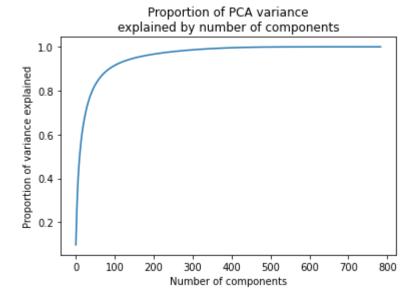
```
return fit_pca, fit_pca.transform(x_data)
```

The variance explained for all the component must be equal to 1.

```
In [83]: pca_full, mnist_data_full = data_pca(x_train_flat, 784)
```

Variance explained with 784 components: 1.0

```
In [84]: plt.plot(np.cumsum(pca_full.explained_variance_ratio_))
   plt.title("Proportion of PCA variance\nexplained by number of components")
   plt.xlabel("Number of components")
   plt.ylabel("Proportion of variance explained");
```



Variance explained with 100 components: 0.9142 Variance explained with 150 components: 0.948 Variance explained with 200 components: 0.966 Variance explained with 250 components: 0.9777 Variance explained with 300 components: 0.986 Variance explained with 350 components: 0.9918

We are going to reduce the dimensionality to 18x18 pixels = 324. Given that, for a number of components of 350, 99% of the variance is preserved. At the same time, the dimensionality is reduced by 41%, considering that the original dimension is 28x28 pixels = 784.

```
In [86]: # 18*18 = 324pixels
dim = 324
pca_324, mnist_data_324 = data_pca(x_train_flat, dim)
```

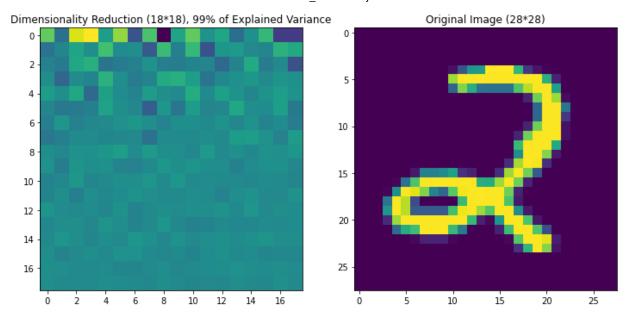
Variance explained with 324 components: 0.989

```
In [87]: x_test_flat_324 = pca_324.transform(x_test_flat)
x_test_predict_324 = pca_324.inverse_transform(x_test_flat_324)
print(x_test_flat_324.shape, x_test_predict_324.shape)
(10000, 324) (10000, 784)
```

A low mean square error is obtained.

```
def mse reconstruction(true, reconstructed):
In [88]:
              return round(np.sum(np.power(true - reconstructed, 2) / true.shape[1]), 2)
          mse_reconstruction(x_test_flat, x_test_predict_324)
          7.33
Out[88]:
          Let's reshape x_test and x_train to the square format and graphically see the result of the
          dimensionality reduction.
          x_{test_324} = [[]  for x  in range(len(x_{test_324}))]
In [89]:
          for i in range(len(x_test)):
              x_test_324[i] = x_test_flat_324[i].reshape((int(np.sqrt(dim)), int(np.sqrt(dim)), 1
          x \text{ test } 324 = np.array(x \text{ test } 324)
          x test 324.shape
          (10000, 18, 18, 1)
Out[89]:
In [91]: x_train_324 = [[] for x in range(len(x_train))]
          for i in range(len(x train)):
              x_train_324[i] = mnist_data_324[i].reshape((int(np.sqrt(dim)), int(np.sqrt(dim)), 1
          x_{train_324} = np.array(x_{train_324})
          x_train_324.shape
          (60000, 18, 18, 1)
Out[91]:
In [92]:
          num = random.randint(1, len(x train))
          print('Number:', y_train[num])
          fig = plt.figure(figsize=(12, 6))
          ax = fig.add_subplot(1, 2, 1)
          ax.set title('Dimensionality Reduction (18*18), 99% of Explained Variance')
          ax.imshow(x train 324[num])
          ax = fig.add subplot(1, 2, 2)
          ax.set title('Original Image (28*28)')
          ax.imshow(x_train[num])
          Number: 2
          <matplotlib.image.AxesImage at 0x1688ff63400>
Out[92]:
```

file:///C:/Users/enzof/Downloads/DL&RL_CourseProject.html



Convolutional Neural Network Models (CNN)

Now we are going to analyze the following CNN models.

- Model 1: CNN with dimensonality reduction
- Model 2: CNN with dimensonality reduction and a deeper network
- Model 3: CNN without dimensonality reduction

Model 1

Lets one hot encode the categorcal variables.

```
In [93]: num_classes = 10

y_train = keras.utils.to_categorical(y_train, num_classes)
y_test = keras.utils.to_categorical(y_test, num_classes)
y_train[num]

Out[93]: array([0., 0., 1., 0., 0., 0., 0., 0., 0.], dtype=float32)
```

Let's build a CNN using Keras Sequential capabilities.

```
In [94]: model_1 = Sequential()

## 4*4 convolution with 2*2 stride and 32 filters
model_1.add(Conv2D(32, (4, 4), strides = (2,2), padding='same', input_shape=x_train_32
model_1.add(Activation('relu'))

## 4*4 convolution with 2*2 stride and 32 filters
model_1.add(Conv2D(32, (4, 4), strides = (2,2)))
model_1.add(Activation('relu'))
```

```
model_1.add(Flatten())

## 2 fully conected layers 288 to 342 and 342 to 10
model_1.add(Dense(288))
model_1.add(Dense(324))
model_1.add(Activation('relu'))
model_1.add(Dropout(0.5))
model_1.add(Dense(num_classes))
model_1.add(Activation('softmax'))

model_1.summary()
```

Model: "sequential_3"

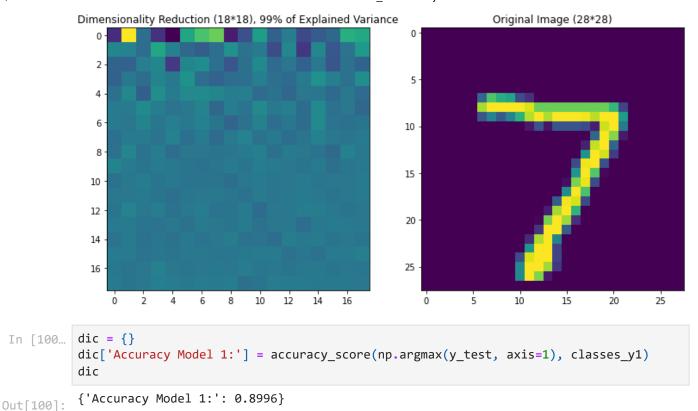
Layer (type)	Output Shape	Param #
conv2d_6 (Conv2D)	(None, 9, 9, 32)	544
activation_12 (Activation)	(None, 9, 9, 32)	0
conv2d_7 (Conv2D)	(None, 3, 3, 32)	16416
activation_13 (Activation)	(None, 3, 3, 32)	0
<pre>flatten_3 (Flatten)</pre>	(None, 288)	0
dense_10 (Dense)	(None, 288)	83232
dense_11 (Dense)	(None, 324)	93636
<pre>activation_14 (Activation)</pre>	(None, 324)	0
dropout_3 (Dropout)	(None, 324)	0
dense_12 (Dense)	(None, 10)	3250
<pre>activation_15 (Activation)</pre>	(None, 10)	0
Total params: 197,078 Trainable params: 197,078		

Trainable params: 197,078
Non-trainable params: 0

Epoch 1/10

```
c:\Users\enzof\Desktop\ML IBM\5 Deep Learning and Reinforcement Learning\env\lib\site
      -packages\keras\optimizers\optimizer v2\adam.py:110: UserWarning: The `lr` argument i
      s deprecated, use `learning rate` instead.
       super(Adam, self). init (name, **kwargs)
      0.1143 - val_loss: 0.3182 - val_accuracy: 0.4340
      Epoch 2/10
      0.2772 - val_loss: 0.2635 - val_accuracy: 0.6854
      Epoch 3/10
      0.5883 - val loss: 0.1741 - val accuracy: 0.7867
      0.7234 - val_loss: 0.1272 - val_accuracy: 0.8301
      Epoch 5/10
      0.7783 - val loss: 0.1055 - val accuracy: 0.8505
      Epoch 6/10
      0.8097 - val loss: 0.0928 - val accuracy: 0.8675
      Epoch 7/10
      0.8313 - val loss: 0.0842 - val accuracy: 0.8781
      Epoch 8/10
      0.8468 - val loss: 0.0778 - val accuracy: 0.8847
      Epoch 9/10
      0.8602 - val loss: 0.0721 - val accuracy: 0.8935
      Epoch 10/10
      0.8685 - val_loss: 0.0677 - val_accuracy: 0.8996
      run hist 1.history.keys()
In [96]:
      dict_keys(['loss', 'accuracy', 'val_loss', 'val_accuracy'])
Out[96]:
In [97]: n = len(run hist 1.history["loss"])
      fig = plt.figure(figsize=(12, 6))
      ax = fig.add subplot(1, 2, 1)
      ax.plot(range(n), (run_hist_1.history["loss"]),'r', marker='.', label="Train Loss")
      ax.plot(range(n), (run hist 1.history["val loss"]), 'b', marker='.', label="Validation
      ax.legend()
      ax.set title('Loss over iterations')
      ax = fig.add_subplot(1, 2, 2)
      ax.plot(range(n), (run_hist_1.history["accuracy"]),'r', marker='.', label="Train Acc")
      ax.plot(range(n), (run hist 1.history["val accuracy"]), 'b', marker='.', label="Validat
      ax.legend(loc='lower right')
      ax.set_title('Accuracy over iterations')
      Text(0.5, 1.0, 'Accuracy over iterations')
Out[97]:
```





Model 2

Let's increase the depth of the Neural Network by adding 1 more fully conected layer.

```
model_2 = Sequential()
In [101...
          ## 4*4 convolution with 2*2 stride and 32 filters
          model_2.add(Conv2D(64, (4, 4), strides = (2,2), padding='same', input_shape=x_train_32
         model_2.add(Activation('relu'))
          ## 4*4 convolution with 2*2 stride and 32 filters
          model 2.add(Conv2D(64, (4, 4), strides = (2,2)))
         model_2.add(Activation('relu'))
          ## 3 fully conected layers 288 to 342, 342 to 324 and 342 to 10
         model 2.add(Flatten())
          model 2.add(Dense(288))
         model_2.add(Dense(324))
         model 2.add(Dense(324))
         model 2.add(Activation('relu'))
         model 2.add(Dropout(0.5))
         model 2.add(Dense(num classes))
          model_2.add(Activation('softmax'))
         model 2.summary()
```

Model: "sequential_4"

Layer (type)	Output Shape	Param #
conv2d_8 (Conv2D)	(None, 9, 9, 64)	1088
activation_16 (Activation)	(None, 9, 9, 64)	0
conv2d_9 (Conv2D)	(None, 3, 3, 64)	65600
activation_17 (Activation)	(None, 3, 3, 64)	0
flatten_4 (Flatten)	(None, 576)	0
dense_13 (Dense)	(None, 288)	166176
dense_14 (Dense)	(None, 324)	93636
dense_15 (Dense)	(None, 324)	105300
activation_18 (Activation)	(None, 324)	0
dropout_4 (Dropout)	(None, 324)	0
dense_16 (Dense)	(None, 10)	3250
activation_19 (Activation)	(None, 10)	0

Total params: 435,050 Trainable params: 435,050 Non-trainable params: 0

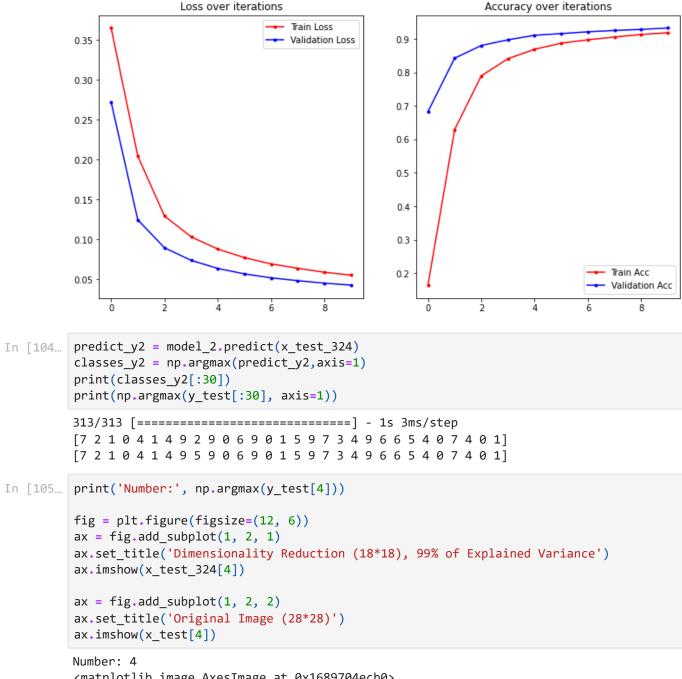
```
In [102... batch_size = 32
         model_2.compile(loss='binary_crossentropy',
                          optimizer=Adam(lr=1e-5),
                          metrics=['accuracy'])
          run_hist_2 = model_2.fit(x_train_324, y_train,
                                  batch_size=batch_size,
                                  epochs=10,
                                  validation_data=(x_test_324, y_test),
                                  shuffle=True)
```

Epoch 1/10

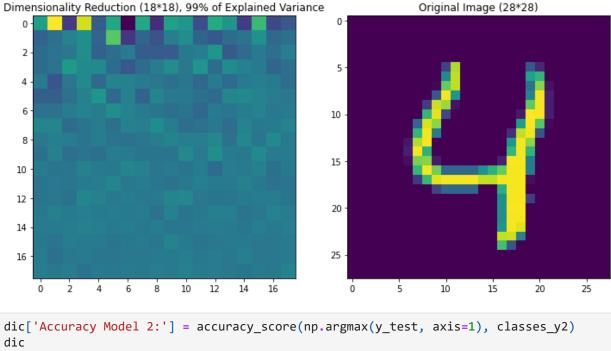
```
c:\Users\enzof\Desktop\ML_IBM\5_Deep_Learning_and_Reinforcement_Learning\env\lib\site
-packages\keras\optimizers\optimizer_v2\adam.py:110: UserWarning: The `lr` argument i
s deprecated, use `learning_rate` instead.
  super(Adam, self).__init__(name, **kwargs)
```

```
0.1648 - val_loss: 0.2719 - val_accuracy: 0.6826
     Epoch 2/10
     0.6288 - val loss: 0.1243 - val accuracy: 0.8421
     Epoch 3/10
     0.7896 - val loss: 0.0893 - val accuracy: 0.8800
     Epoch 4/10
     1875/1875 [============== ] - 15s 8ms/step - loss: 0.1030 - accuracy:
     0.8407 - val loss: 0.0735 - val accuracy: 0.8971
     Epoch 5/10
     0.8688 - val loss: 0.0633 - val accuracy: 0.9105
     0.8869 - val loss: 0.0565 - val accuracy: 0.9157
     Epoch 7/10
     0.8971 - val loss: 0.0516 - val accuracy: 0.9209
     Epoch 8/10
     0.9054 - val loss: 0.0480 - val accuracy: 0.9249
     Epoch 9/10
     0.9130 - val loss: 0.0449 - val accuracy: 0.9281
     Epoch 10/10
     0.9182 - val loss: 0.0424 - val accuracy: 0.9323
In [103... n = len(run_hist_2.history["loss"])
      fig = plt.figure(figsize=(12, 6))
      ax = fig.add subplot(1, 2, 1)
      ax.plot(range(n), (run_hist_2.history["loss"]),'r', marker='.', label="Train Loss")
      ax.plot(range(n), (run_hist_2.history["val_loss"]),'b', marker='.', label="Validation")
      ax.legend()
      ax.set title('Loss over iterations')
      ax = fig.add subplot(1, 2, 2)
      ax.plot(range(n), (run_hist_2.history["accuracy"]),'r', marker='.', label="Train Acc")
      ax.plot(range(n), (run hist 2.history["val accuracy"]), 'b', marker='.', label="Validat
      ax.legend(loc='lower right')
      ax.set title('Accuracy over iterations')
```

Out[103]: Text(0.5, 1.0, 'Accuracy over iterations')



Out[105]: <matplotlib.image.AxesImage at 0x1689704ecb0>



```
In [106...
```

{'Accuracy Model 1:': 0.8996, 'Accuracy Model 2:': 0.9323} Out[106]:

Model 3

Let's reshape x_test and x_train to the original format (28x28) for the data without the dimensionality reduction.

```
In [107...
           x_{train_784} = [[] for x in range(len(x_train))]
           for i in range(len(x_train)):
                x_{train_{784[i]} = x_{train[i].reshape((28,28,1))}
           x_{train_784} = np.array(x_{train_784})
           x_train_784.shape
           (60000, 28, 28, 1)
Out[107]:
 In [109...
           x_{test_{784}} = [[]  for x  in range(len(x_{test}))]
           for i in range(len(x_test)):
                x_{test_{784[i]} = x_{test[i].reshape((28,28,1))}
           x_{test_784} = np.array(x_{test_784})
           x_test_784.shape
           (10000, 28, 28, 1)
Out[109]:
```

Let's build the same CNN of the Model 1 and train it with the original data.

```
In [110...
         model_3 = Sequential()
          ## 4*4 convolution with 2*2 stride and 32 filters
          model_3.add(Conv2D(32, (4, 4), strides = (2,2), padding='same', input_shape=x_train_78
          model_3.add(Activation('relu'))
```

```
## 4*4 convolution with 2*2 stride and 32 filters
model_3.add(Conv2D(32, (4, 4), strides = (2,2)))
model_3.add(Activation('relu'))

## 2 fully conected layers 288 to 342 and 342 to 10
model_3.add(Flatten())
model_3.add(Dense(288))
model_3.add(Dense(324))
model_3.add(Activation('relu'))
model_3.add(Dropout(0.5))
model_3.add(Dense(num_classes))
model_3.add(Activation('softmax'))

model_3.summary()
```

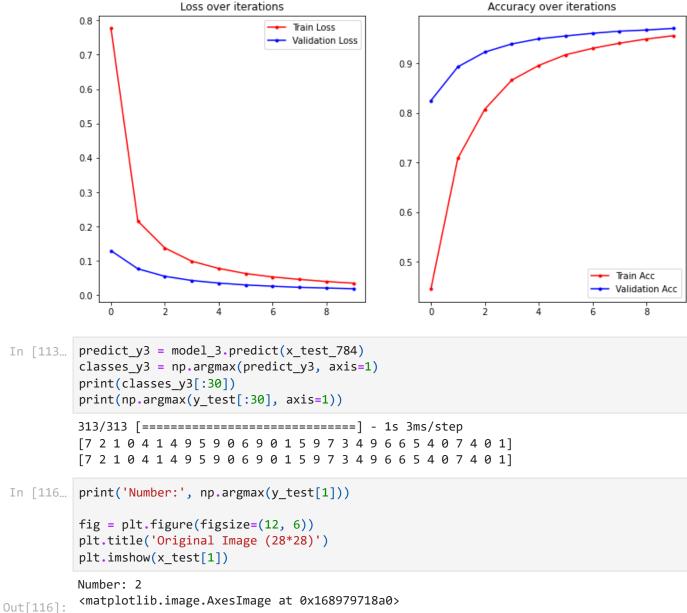
Model: "sequential_5"

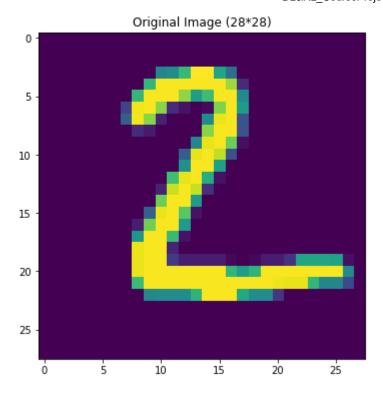
Layer (type)	Output Shape	Param #
conv2d_10 (Conv2D)		544
activation_20 (Activation)	(None, 14, 14, 32)	0
conv2d_11 (Conv2D)	(None, 6, 6, 32)	16416
activation_21 (Activation)	(None, 6, 6, 32)	0
flatten_5 (Flatten)	(None, 1152)	0
dense_17 (Dense)	(None, 288)	332064
dense_18 (Dense)	(None, 324)	93636
activation_22 (Activation)	(None, 324)	0
dropout_5 (Dropout)	(None, 324)	0
dense_19 (Dense)	(None, 10)	3250
activation_23 (Activation)	(None, 10)	0
Total paper: 445 010		=======

Total params: 445,910 Trainable params: 445,910 Non-trainable params: 0

Epoch 1/10

```
c:\Users\enzof\Desktop\ML IBM\5 Deep Learning and Reinforcement Learning\env\lib\site
      -packages\keras\optimizers\optimizer v2\adam.py:110: UserWarning: The `lr` argument i
      s deprecated, use `learning rate` instead.
       super(Adam, self). init (name, **kwargs)
      0.4460 - val_loss: 0.1297 - val_accuracy: 0.8245
      Epoch 2/10
      0.7086 - val_loss: 0.0769 - val_accuracy: 0.8925
      Epoch 3/10
      0.8072 - val loss: 0.0551 - val accuracy: 0.9221
      0.8657 - val_loss: 0.0429 - val_accuracy: 0.9385
      Epoch 5/10
      0.8952 - val loss: 0.0354 - val accuracy: 0.9488
      Epoch 6/10
      0.9167 - val loss: 0.0299 - val accuracy: 0.9546
      Epoch 7/10
      0.9296 - val loss: 0.0262 - val accuracy: 0.9599
      0.9400 - val loss: 0.0229 - val accuracy: 0.9642
      Epoch 9/10
      0.9484 - val loss: 0.0211 - val accuracy: 0.9666
      Epoch 10/10
      0.9551 - val loss: 0.0189 - val accuracy: 0.9698
In [112... n = len(run hist 3.history["loss"])
      fig = plt.figure(figsize=(12, 6))
      ax = fig.add_subplot(1, 2, 1)
      ax.plot(range(n), (run_hist_3.history["loss"]),'r', marker='.', label="Train Loss")
      ax.plot(range(n), (run hist 3.history["val loss"]), 'b', marker='.', label="Validation
      ax.legend()
      ax.set title('Loss over iterations')
      ax = fig.add subplot(1, 2, 2)
      ax.plot(range(n), (run_hist_3.history["accuracy"]),'r', marker='.', label="Train Acc")
      ax.plot(range(n), (run_hist_3.history["val_accuracy"]),'b', marker='.', label="Validat
      ax.legend(loc='lower right')
      ax.set_title('Accuracy over iterations')
      Text(0.5, 1.0, 'Accuracy over iterations')
Out[112]:
```





Summary

In summary, the following can be highlighted.

All the classifications were made yielded excelent results in terms of Accuracy. Broadly speaking, an increase in accuracy is appreciable as new models are proposed.

Results:

N	Model	#N Parameters	Execution Time	Accuracy
М	lodel 1	197,078	1m 46,4s	0.90
М	lodel 2	435,050	2m 34,2s	0.93
М	lodel 3	445,910	3m 10,9s	0.97

As we can see all the models present strong results. So any of them can be recommended to optimize the accuracy, in particular the model with highest accuracy is the Model 3. Despite the fact that this model has the best accuracy, since it has been trained with the original data (without dimensionality reduction), it has the largest number of parameters and therefore, the longest execution time. On the other hand, in the models trained with the dimensionality reduction applied to the dataset, the accuracy does not increase significantly as the depth of

the neural network increases. Therefore the increase of parameters and execution time are not justified for this case.