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# NASCAR for CubeSats: An Application of Primer Vector Theory

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*Author:*

Ian FABER<sup>a</sup>

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<sup>a</sup>SID: 108577813

*Instructor:*

Daniel SCHEERES

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College of Engineering & Applied Science  
UNIVERSITY OF COLORADO BOULDER

**The concept of a "CubeSat race course" is explored, in which, given a randomized series of waypoint rings and a randomized starting position, the optimal trajectory between waypoints is computed that minimizes the time taken for a CubeSat to traverse the entire course while also minimizing the distance to the center of each ring.**

## I. Project Overview

OPTIMAL control has quickly become a favorite topic of mine this semester, in particular primer vector theory. The first time we talked about it, I immediately had an idea: How can we use this to kickstart a cutting edge entertainment industry that features CubeSats threading the needle through precariously placed rings? And what if we set the rings on fire! (just kidding). While this may seem facetious, the underlying problem is fascinating, and a perfect application of primer vector theory.

For my implementation of this problem, I will be leveraging the Clohessy-Hill-Wiltshire equations for the underlying dynamics model governing the CubeSats. The state to be controlled will be the typical relative motion state, arranged as follows:

$$\mathbf{x} = [x \ y \ z \ \dot{x} \ \dot{y} \ \dot{z}]^\top \quad (1)$$

Where the origin (classically referred to as the "chief spacecraft") is the center of the race course. The CubeSats will have thrusters to control their x, y, and z accelerations like so:

$$\mathbf{u} = [u_x \ u_y \ u_z]^\top \quad (2)$$

Where each CubeSat has a specified maximum thrust  $u_{\max}$ . Further, some CubeSats might have maximum thrusts that depend on a specific axis, i.e. each axis having a specified maximum thrust  $u_{i,\max}$ . Thus, the dynamics of the system are as follows [1]:

$$\mathbf{f}(\mathbf{x}, \mathbf{u}, t) = \begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{z} \\ 2n\dot{y} + 3n^2x + u_x \\ -2n\dot{x} + u_y \\ -n^2z + u_z \end{bmatrix} = \begin{bmatrix} \mathbf{v} \\ \mathbf{a}_{\text{grav}} + \mathbf{u} \end{bmatrix} \quad (3)$$

Where  $n$  is the mean motion of the race course origin's orbit around Earth,  $x$  is the radial displacement,  $y$  is the along-track displacement, and  $z$  is the cross-track displacement of the CubeSat from the race course origin.

Each run of the problem will have a randomly generated race course that follows a number of physically realistic rules:

- 1) Race course rings will be assumed to remain fixed in the Hill Frame (radial, along-track, cross-track), i.e. the CHW equations will not apply to the rings. This is a simplification to ensure that rings don't drift over the time period of the problem.
- 2) Individual race course rings shall have semi-major and semi-minor axes uniformly distributed between [1, 5] m.
- 3) Rings shall be spaced apart with uniformly distributed azimuth angles  $\theta \in [-90, 90]^\circ$ , elevation angles  $\phi \in [-90, 90]^\circ$ , and inter-ring distances  $d \in [300, 350]$  m.
- 4) The first race course ring will be aligned along the +y axis.
- 5) Each race course shall consist of a uniformly distributed number of rings  $l \in [15, 25]$  rings.

Each CubeSat will have a similar set of rules:

- 1) CubeSat initial states shall be randomized according to a covariance of  $\text{diag}([250, 0, 250]) \text{ m}^2$  in x, y, and z respectively around a point exactly 350 m behind the first course ring. CubeSats shall be initially at rest.
- 2) CubeSat final states shall be at rest after passing through the last ring.
- 3) CubeSats will successfully pass through the center of a ring if their velocity vector at that ring is aligned with the normal vector of that ring, their final position is within the semiminor axis of the ring, and their final position is within 0.1 meters of the plane of the ring.

The overall goal of each CubeSat is to make it through the race course in the smallest amount of time while successfully passing through each course ring as close to its center as possible. Thus, the full optimal control problem can be split into a series of Mayer optimal control problems between course rings as follows:

### Initial/Final Optimal Control Problems

$$\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}, t)$$

$$J = K(\mathbf{x}_0, t_0, \mathbf{x}_f, t_f) = \|\mathbf{r}_f - \mathbf{r}_{r,1}\| + t_f - t_0 \text{ or } t_f - t_0 \quad (4)$$

$$\mathbf{g}(\mathbf{x}_0, t_0, \mathbf{x}_f, t_f) = \begin{bmatrix} \mathbf{x}(t_0) - \mathbf{x}_{0,\text{init}} \\ t_0 \\ \hat{\mathbf{v}}(\mathbf{x}_f) - \hat{n}_1 \end{bmatrix} \text{ or } \begin{bmatrix} \mathbf{x}(t_0) - \mathbf{x}_{0,I} \\ t_0 - t_{0,I} \\ \mathbf{v}(\mathbf{x}_f) \end{bmatrix} = \mathbf{0} \quad (5)$$

$$\mathbf{h}(\mathbf{x}_f) = \begin{bmatrix} \|\mathbf{r}_f - \mathbf{r}_{r,1}\| - \min(S_1) \\ |(\mathbf{r}_f - \mathbf{r}_{r,1}) \cdot \hat{n}_1| - 0.1 \end{bmatrix} \leq \mathbf{0}, \text{ initial problem only}$$

### Intermediate Optimal Control Problems

$$\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}, t)$$

$$J = K(\mathbf{x}_0, t_0, \mathbf{x}_f, t_f) = \|\mathbf{r}_f - \mathbf{r}_{r,i+1}\| + t_f - t_0 \quad (6)$$

$$\mathbf{g}(\mathbf{x}_0, t_0, \mathbf{x}_f, t_f) = \begin{bmatrix} \mathbf{x}(t_0) - \mathbf{x}_{0,i} \\ t_0 - t_{0,i} \\ \hat{\mathbf{v}}(\mathbf{x}_f) - \hat{n}_{i+1} \end{bmatrix} = \mathbf{0} \quad (7)$$

$$\mathbf{h}(\mathbf{x}_f) = \begin{bmatrix} \|\mathbf{r}_f - \mathbf{r}_{r,i+1}\| - \min(S_{i+1}) \\ |(\mathbf{r}_f - \mathbf{r}_{r,i+1}) \cdot \hat{n}_{i+1}| - 0.1 \end{bmatrix} \leq \mathbf{0}$$

Where

- $\mathbf{r}_f$  is the position vector of the CubeSat at the end of the the course segment and  $\mathbf{r}_{r,i}$  is the position vector of the center of the  $i$ 'th ring. By extension,  $\|\mathbf{r}_f - \mathbf{r}_{r,i+1}\|$  is the cubesat's distance to the center of the ring at the end of the course segment.
- $S$  is a quadratic positive definite matrix encoding the shape of the next course ring in the sequence like so:  
 $S = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$ , where  $a$  is the semimajor axis and  $b$  is the semiminor axis.
- $t_{0,i}$  is the time when the satellite passed through the start ring of the current course segment ( $t_f$  of the previous course segment).
- $\mathbf{x}_{0,i}$  is the initial state of the CubeSat at the start ring of the current course segment ( $\mathbf{x}_f$  of the previous course segment).
- $\hat{\mathbf{v}}(\mathbf{x}_f)$  is the velocity unit vector of the final state for the current course segment.
- $\hat{n}_{i+1}$  is the normal vector of the next course ring in the sequence.

With the problem defined, let's go about solving it!

## II. Necessary Conditions for CubeSat Racing

The tool I used to solve this problem was primer vector theory. While indirect optimal control methods are notoriously non-intuitive, I find primer vector theory to be the most intuitive of them. It directly ties the velocity adjoints of our extended state to the control effort expended, which was very helpful in verifying that my code works!

### A. Hamiltonian Definition and Optimal Control Law

Let's start by defining the Hamiltonian for this problem:

$$H(\mathbf{x}, \mathbf{p}, \mathbf{u}, t) = L(\mathbf{x}, \mathbf{u}, t) + \mathbf{p} \cdot \mathbf{f}(\mathbf{x}, \mathbf{u}, t) \quad (8)$$

Since our problem doesn't include an integral term, the Lagrangian  $L$  in Equation 8 doesn't appear in our Hamiltonian. Applying our dynamics from Equation 3 results in the following Hamiltonian for our system:

$$\begin{aligned} H(\mathbf{x}, \mathbf{p}, \mathbf{u}, t) &= \mathbf{p} \cdot \mathbf{f}(\mathbf{x}, \mathbf{u}, t) \\ &= \mathbf{p}_r \cdot \mathbf{v} + \mathbf{p}_v \cdot \mathbf{a}_{\text{grav}} + \mathbf{p}_v \cdot \mathbf{u} \end{aligned} \quad (9)$$

Where  $\mathbf{p}_r$  represents our position adjoints,  $\mathbf{p}_v$  represents our velocity adjoints, and  $\mathbf{a}_{\text{grav}} = \begin{bmatrix} 2n\dot{y} + 3n^2x \\ -2n\dot{x} \\ -n^2z \end{bmatrix}$  from the CHW equations, i.e. the acceleration from gravity in the Hill Frame.

Then, the Pontryagin Minimum Principle states that the optimal control to apply for any system is the one such that  $\mathbf{u}^*$  minimizes the Hamiltonian of the system [2]. Mathematically, this means we want to choose  $\mathbf{u}^*$  such that

$$\frac{\partial H}{\partial \mathbf{u}} \Big|_{\mathbf{u}^*} = \mathbf{0} \text{ if } \mathbf{u} \text{ is in the interior of } U \quad (10)$$

or

$$\mathbf{u}^*(\mathbf{x}, \mathbf{p}, t) = \arg \min_{\mathbf{u}} H(\mathbf{x}, \mathbf{p}, \mathbf{u}, t) \text{ if } \mathbf{u} \text{ is in the boundary of } U \quad (11)$$

Here,  $U$  is the set of all possible controls  $\mathbf{u}$  such that  $\|\mathbf{u}\| \leq \|\mathbf{u}_{\max}\|$ . For our problem, Equation 10 would result in an expression without  $\mathbf{u}$ , which we would need to solve for. Thus, we must use Equation 11, implying that  $\mathbf{u}$  is on the boundary of  $U$ , i.e. always at maximum magnitude.

Following the minimum principle, we must solve the following problem:

$$\begin{aligned} \mathbf{u}^*(\mathbf{x}, \mathbf{p}, t) &= \arg \min_{\mathbf{u}} H(\mathbf{x}, \mathbf{p}, \mathbf{u}, t) \\ &= \arg \min_{\mathbf{u}} \mathbf{p}_r \cdot \mathbf{v} + \mathbf{p}_v \cdot \mathbf{a}_{\text{grav}} + \mathbf{p}_v \cdot \mathbf{u} \end{aligned} \quad (12)$$

This is where primer vector theory comes in. Equation 12 shows that the only way  $\mathbf{u}$  affects the Hamiltonian is by acting on  $\mathbf{p}_v$ , which we refer to as the primer vector. Thus, in order to minimize the Hamiltonian, we want to choose  $\mathbf{u}$  such that it acts in the opposite direction as  $\mathbf{p}_v$  at maximum magnitude, i.e.

$$\mathbf{u}^* = -\|\mathbf{u}_{\max}\| \hat{\mathbf{p}}_v \quad (13)$$

Then, substituting  $\mathbf{u}^*$  into Equation 9 and recalling that  $\mathbf{p}_v = \|\mathbf{p}_v\| \hat{\mathbf{p}}_v$  and  $\hat{\mathbf{p}}_v \cdot \hat{\mathbf{p}}_v = \hat{\mathbf{p}}_v^T \hat{\mathbf{p}}_v = 1$ , the optimal Hamiltonian becomes

$$\begin{aligned}
H^*(\mathbf{x}, \mathbf{p}, t) &= H(\mathbf{x}, \mathbf{p}, \mathbf{u}^*(\mathbf{x}, \mathbf{p}, t), t) \\
&= \mathbf{p}_r \cdot \mathbf{v} + \mathbf{p}_v \cdot \mathbf{a}_{\text{grav}} + \mathbf{p}_v \cdot \mathbf{u}^* \\
&= \mathbf{p}_r \cdot \mathbf{v} + \mathbf{p}_v \cdot \mathbf{a}_{\text{grav}} + \mathbf{p}_v \cdot (-||\mathbf{u}_{\max}|| \hat{\mathbf{p}}_v) \\
&= \mathbf{p}_r \cdot \mathbf{v} + \mathbf{p}_v \cdot \mathbf{a}_{\text{grav}} - ||\mathbf{p}_v|| \cdot ||\mathbf{u}_{\max}||
\end{aligned} \tag{14}$$

We can verify that this Hamiltonian is correct by recovering our equations of motion like so, recalling that  $\frac{\partial ||\mathbf{p}_v||}{\partial \mathbf{p}_v} = \hat{\mathbf{p}}_v$ :

$$\begin{aligned}
\dot{\mathbf{x}} &= \frac{\partial H^*}{\partial \mathbf{p}} = \begin{bmatrix} \frac{\partial H}{\partial \mathbf{p}_r} \\ \frac{\partial H}{\partial \mathbf{p}_v} \end{bmatrix} \\
&= \begin{bmatrix} \mathbf{v} \\ \mathbf{a}_{\text{grav}} - ||\mathbf{u}_{\max}|| \hat{\mathbf{p}}_v \end{bmatrix}
\end{aligned} \tag{15}$$

Equation 15 exactly matches Equation 3 with the substitution that  $\mathbf{u} = -||\mathbf{u}_{\max}|| \hat{\mathbf{p}}_v$ , as expected.

We can further extract the adjoint dynamics like so:

$$\begin{aligned}
\dot{\mathbf{p}} &= -\frac{\partial H^*}{\partial \mathbf{x}} = \begin{bmatrix} -\frac{\partial H}{\partial \mathbf{r}} \\ -\frac{\partial H}{\partial \mathbf{v}} \end{bmatrix} \\
&= \begin{bmatrix} -\left(\frac{\partial \mathbf{a}_{\text{grav}}}{\partial \mathbf{r}}\right)^T \mathbf{p}_v \\ -\mathbf{p}_r - \left(\frac{\partial \mathbf{a}_{\text{grav}}}{\partial \mathbf{v}}\right)^T \mathbf{p}_v \end{bmatrix}
\end{aligned} \tag{16}$$

Then, Equation 16 can be rewritten in matrix form like so:

$$\dot{\mathbf{p}} = \begin{bmatrix} \mathbf{0}_{3 \times 3} & -\left(\frac{\partial \mathbf{a}_{\text{grav}}}{\partial \mathbf{r}}\right)^T \\ -\mathbf{I}_{3 \times 3} & -\left(\frac{\partial \mathbf{a}_{\text{grav}}}{\partial \mathbf{v}}\right)^T \end{bmatrix} \mathbf{p} \tag{17}$$

Equation 17 is a linear, time invariant ODE. Thus, we know its solution is  $\mathbf{p}(t) = e^{A(t-t_0)} \mathbf{p}_0 = \Phi_{\mathbf{p}}(t, t_0) \mathbf{p}_0$ , where  $A$  is the matrix in Equation 17. Taking the matrix exponential and recognizing the trigonometric Taylor Series patterns in the resulting matrix, we get the following for the Adjoint STM (State Transition Matrix):

$$\Phi_{\mathbf{p}}(t, t_0) = \begin{bmatrix} 4 - 3 \cos(n\Delta t) & 6(n\Delta t - \sin(n\Delta t)) & 0 & -3n \sin(n\Delta t) & 6n(\cos(n\Delta t) - 1) & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & \cos(n\Delta t) & 0 & 0 & n \sin(n\Delta t) \\ -\frac{1}{n} \sin(n\Delta t) & \frac{2}{n}(\cos(n\Delta t) - 1) & 0 & \cos(n\Delta t) & 2 \sin(n\Delta t) & 0 \\ \frac{2}{n}(1 - \cos(n\Delta t)) & -\frac{1}{n} \sin(n\Delta t) & 0 & -2 \sin(n\Delta t) & 4 \cos(n\Delta t) - 3 & 0 \\ 0 & 0 & -\frac{1}{n} \sin(n\Delta t) & 0 & 0 & \cos(n\Delta t) \end{bmatrix} = \begin{bmatrix} \phi_{11} & \phi_{12} \\ \phi_{21} & \phi_{22} \end{bmatrix} \tag{18}$$

where  $\cos(\cdot)$  represents  $\cos(\cdot)$ ,  $\sin(\cdot)$  represents  $\sin(\cdot)$ ,  $\Delta t$  represents  $t - t_0$ , and  $\phi_{ij}$  represents a  $3 \times 3$  block of the overall STM. Thus, we know that the adjoint dynamics are smooth and differentiable along each course segment, which is important for our choice of solver later.

## B. Transversality Conditions

Next, we need to put constraints on the initial and final values of our adjoints and Hamiltonian in order to create feasible trajectories between course rings. To do so, we leverage the Transversality Conditions, which are listed below:

$$\begin{aligned}\mathbf{p}_0 &= -\frac{\partial K}{\partial \mathbf{x}_0} - \lambda \cdot \frac{\partial \mathbf{g}}{\partial \mathbf{x}_0} \\ H_0 &= \frac{\partial K}{\partial t_0} + \lambda \cdot \frac{\partial \mathbf{g}}{\partial t_0} \\ \mathbf{p}_f &= \frac{\partial K}{\partial \mathbf{x}_f} + \lambda \cdot \frac{\partial \mathbf{g}}{\partial \mathbf{x}_f} \\ H_f &= -\frac{\partial K}{\partial t_f} - \lambda \cdot \frac{\partial \mathbf{g}}{\partial t_f}\end{aligned}\tag{19}$$

Where  $\lambda$  is a vector of Lagrange multipliers associated with the manifold constraints specified in Equations 5 and 7 and  $K$  is the cost function specified in Equations 4 and 6. Note, when solving the optimal control problem between rings, we treat the inequality manifold constraints  $\mathbf{h}$  as additional components of the equality constraints  $\mathbf{g}$ . If any of the inequality constraints are evaluated to be negative, then the corresponding entry in the  $\lambda$  vector is set to 0, as that constraint was not needed and can be considered inactive.

### 1. Initial Adjoint Transversality

Solving the Transversality Conditions for  $\mathbf{p}_0$  resulted in the following constraint on  $\mathbf{p}_0$ :

$$\mathbf{p}_0 = -\lambda_0\tag{20}$$

Where  $\lambda_0$  is a 6x1 column vector. Thus,  $\mathbf{p}_0$  is essentially arbitrary, and must be guessed or solved for at the start of each course segment along with  $t_f$ .

### 2. Initial Hamiltonian Transversality

Solving the Transversality Conditions for  $H_0$  resulted in the following constraint on  $H_0$ :

$$H_0 = -1 + \lambda_t\tag{21}$$

Where  $\lambda_t$  is a scalar. Further, we know that

$$H_0 = \mathbf{p}_0 \cdot \mathbf{f}(\mathbf{x}_0, \mathbf{u}_0, t_0) = \mathbf{p}_{r_0}^\top \mathbf{v}_0 + \mathbf{p}_{v_0}^\top \mathbf{a}_{\text{grav},0} - \|\mathbf{p}_{v_0}\| \cdot \|\mathbf{u}_{\max,0}\|$$

Which ties together the constraint on  $\mathbf{p}_0$  to the new constraint on  $H_0$ .

### 3. Final Adjoint Transversality

Solving the Transversality Conditions for  $\mathbf{p}_f$  resulted in the following constraint on  $\mathbf{p}_f$ :

$$\mathbf{p}_f = \left[ \begin{array}{l} (1 + \lambda_{r_f}) \frac{\mathbf{r}_f - \mathbf{r}_{r,i+1}}{\|\mathbf{r}_f - \mathbf{r}_{r,i+1}\|} + \lambda_n \hat{n}_{i+1} \\ \frac{1}{\|\mathbf{v}_f\|} (\mathbf{I}_{3 \times 3} - \hat{\mathbf{V}}_f \hat{\mathbf{V}}_f^\top) \lambda_v \end{array} \right]\tag{22}$$

Where  $\lambda_{r_f}$  and  $\lambda_n$  are scalars and  $\lambda_v$  is a 3x1 column vector. Further, we know from Equations 17 and 18 that

$$\mathbf{p}_f = \Phi_{\mathbf{p}}(t_f, t_0) \mathbf{p}_0$$

Which ties together the constraint on  $\mathbf{p}_0$  to the new constraint on  $\mathbf{p}_f$ .

#### 4. Final Hamiltonian Transversality

Solving the Transversality Conditions for  $H_f$  resulted in the following constraint on  $H_f$ :

$$H_f = -1 \quad (23)$$

Further, we know that

$$H_f = \mathbf{p}_f \cdot \mathbf{f}(\mathbf{x}_f, \mathbf{u}_f, t_f) = \mathbf{p}_{r_f}^\top \mathbf{v}_f + \mathbf{p}_{v_f}^\top \mathbf{a}_{\text{grav}, f} - \|\mathbf{p}_{v_f}\| \cdot \|\mathbf{u}_{\max, f}\|$$

Which ties together the constraint on  $\mathbf{p}_f$ , and hence the constraint on  $\mathbf{p}_0$ , to the new constraint on  $H_f$ .

#### 5. Other Constraints and Observations

Since our dynamics  $\mathbf{f}$  in Equation 3 are time invariant and our cost function doesn't include a time varying integral term, we know that the Hamiltonian for this system is constant. Thus,

$$\begin{aligned} H_0 &= H_f \\ -1 + \lambda_t &= -1 \implies \lambda_t = 0 \end{aligned}$$

This restricts  $\mathbf{p}_0$  further, which is the basis of all the other constraints.

## III. Implementing in MATLAB

With all of the dynamics modeled and constraints defined, it's time to implement the project in MATLAB and get some results!

### A. Solver Selection

After solving the Transversality Conditions, we were able to narrow down the variables we need to guess to just  $\mathbf{p}_0$  and  $t_f$ , or 7 numbers at the start of each course sequence. Further, our dynamics and constraints are continuous and smooth, meaning a gradient descent solver should do the trick nicely. There are many options to choose to solve this problem, such as IPOPT, SNOPT, and others, but for simplicity and understanding I wanted something that I had full control over. For this reason, I chose to go with `fmincon` in MATLAB, which allows me to have full control over the entire process vs. using what is very nearly a blackbox. Further, it was the most convenient thing for me to use, as I have an active MATLAB license and don't need to download and setup any special software packages and/or configurations to get started.

### B. `fmincon` Implementation

`fmincon` is a pseudonym for "Function MINimizer with CONstraints," and it has a very simple MATLAB interface. To use `fmincon`, I just need to specify an objective function, a vector of parameters to achieve the minimizing, and a suite of constraint functions/settings that constrain the problem, in addition to some wrapper function to set everything up. For my problem, all of the constraints I provided were nonlinear, and my vector of parameters consisted of  $\mathbf{p}_0$ , the initial adjoints, and  $t_f$ , the final time of the course segment. All of my code related to setting up and using `fmincon` can be found in Appendix C. The wrapper function is titled "solveTrajectory.m", the objective function is titled "costFunction.m", and the constraints function is titled "constraints.m". The main script to run the project is titled "FinalProjectMain.m". There is also a suite of utility and plotting functions, but they aren't important for the operation of `fmincon` and have not been included in the appendix. A zip file of all code has also been included in the project submission if more investigation is desired. If reading this report not in the context of a project submission, email ian.m.faber@gmail.com and I'll gladly send over the code!

## IV. Results and Discussion

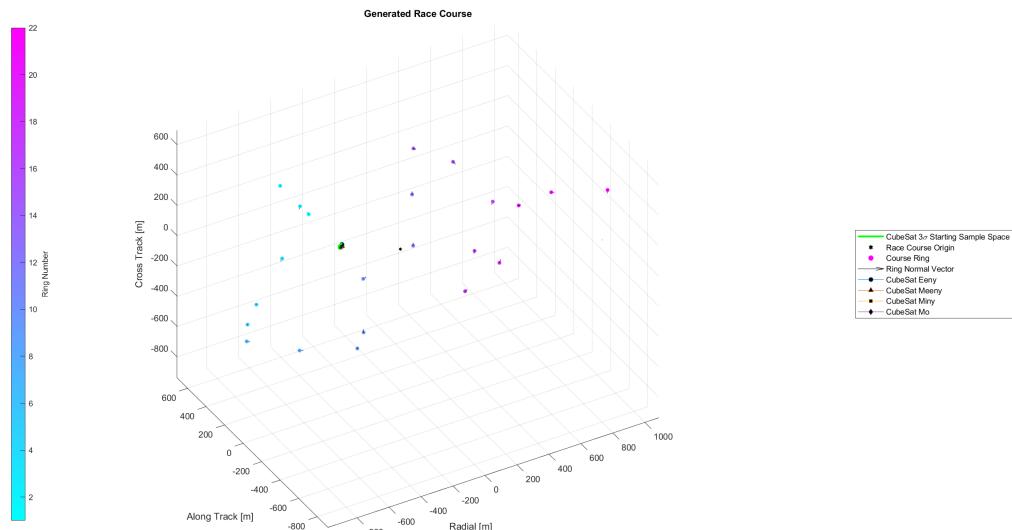
With the solver chosen and code written, I investigated two main scenarios to see how the concept of CubeSat racing could go and what CubeSat properties affect race course performance.

### A. Scenario 1: Different Thrust Capabilities at Random Start Points with a Standard Race Course Layout

The first scenario I investigated utilizes 4 CubeSats with different thrust capabilities and random starting positions at the beginning of a course that I tailored to test different aspects of the underlying system dynamics. The four CubeSats of Scenario 1 are summarized in Table 1, and the race course is shown in Figure 1:

**Table 1 Scenario 1 CubeSat Configurations**

Name	Maximum Control Authority [m/s <sup>2</sup> ]	Control Type	Initial XYZ Position [m]
Eeny	0.1	Unrestricted	[-642.9, -480.5, 547.4]
Meeny	0.25	Unrestricted	[-641.4, -480.5, 534.9]
Miny	0.5	Unrestricted	[-651.1, -480.5, 532.2]
Mo	[0.1667, 0.1667, 0.1667]	Axially restricted	[-646.5, -480.5, 538.0]

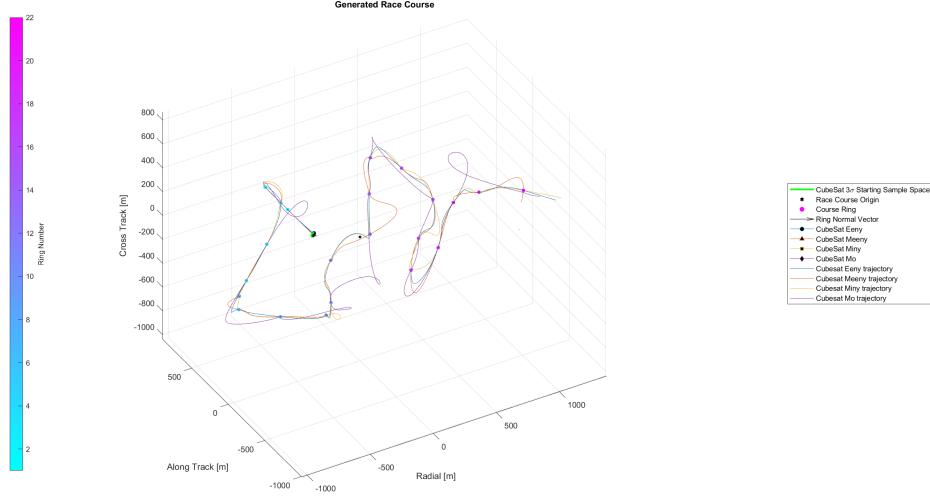


**Fig. 1 Scenario 1 Race Course**

Most of the CubeSats have unrestricted control authority, meaning that they can apply their full magnitude of maximum thrust along the primer vector at any time. However, I also wanted to investigate what an axial thrust restriction would look like. Thus, CubeSat Mo has a thrust restriction such that it can only thrust in the direction of the primer vector if the primer vector has a component in any of the inertial XYZ directions greater than some deadzone. I thought of this as a CubeSat that couldn't control its attitude, but could gimbal thrusters within some angular range of the CubeSat's body axes. While I didn't model thruster gimbaling explicitly, I added a "deadzone" of 1 around each axis in an attempt to approximate such a gimbal restriction. As we'll see later, this results in some interesting control behavior, even if it isn't completely physically accurate. Mathematically, Mo's thrust restriction looks as follows in Equation 24:

$$\begin{aligned}
\|\mathbf{p}_v \cdot \hat{x}\| > 1 &\implies \|\mathbf{u}^* \cdot \hat{x}\| = \mathbf{u}_{\max,x}, \text{ i.e. } \mathbf{u} \text{ has a maximum x component. Otherwise, } \mathbf{u}^* \cdot \hat{x} = 0. \\
\|\mathbf{p}_v \cdot \hat{y}\| > 1 &\implies \|\mathbf{u}^* \cdot \hat{y}\| = \mathbf{u}_{\max,y}, \text{ i.e. } \mathbf{u} \text{ has a maximum y component. Otherwise, } \mathbf{u}^* \cdot \hat{y} = 0. \\
\|\mathbf{p}_v \cdot \hat{z}\| > 1 &\implies \|\mathbf{u}^* \cdot \hat{z}\| = \mathbf{u}_{\max,z}, \text{ i.e. } \mathbf{u} \text{ has a maximum z component. Otherwise, } \mathbf{u}^* \cdot \hat{z} = 0.
\end{aligned} \tag{24}$$

With the CubeSats defined and the race course generated, I ran fmincon with each CubeSat to solve the course. The overall finished course can be seen in Figure 2, and results are summarized in Table 2.



**Fig. 2 Scenario 1 Completed Race Course**

**Table 2 Scenario 1 Results**

CubeSat	Final Overall Cost	Time Taken to Complete Course [sec]	Ring Accuracy [m]	Minimum Possible Cost [sec]
Eeny	2003.944	1911.847	92.097	1052.421
Meeny	1338.647	1312.432	26.215	703.454
Miny	916.067	874.768	41.299	477.352
Mo	2128.998	1435.305	693.692	600.442

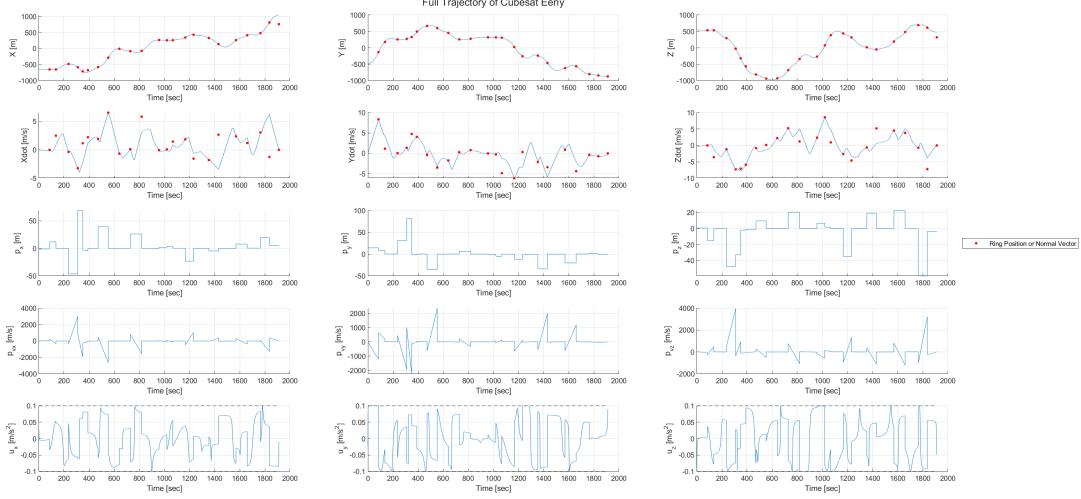
Table 2 contains both expected and surprising results. As expected, CubeSats with higher thrust capabilities completed the race course with faster times. This also corresponds to a lower minimum possible cost, which is modeled as the time taken to traverse the straight line distance between rings at full throttle given the CubeSat's initial velocity at the start ring. This follows simple kinematics, where a higher constant acceleration necessarily corresponds to traversing a given

distance in less time:  $t_{\min} = \max \left( \frac{-v_0 \pm \sqrt{v_0^2 - 2\mathbf{u}_{\max} \Delta d}}{\mathbf{u}_{\max}} \right)$ , where  $\Delta d = d_0 - d$  is the distance between rings.

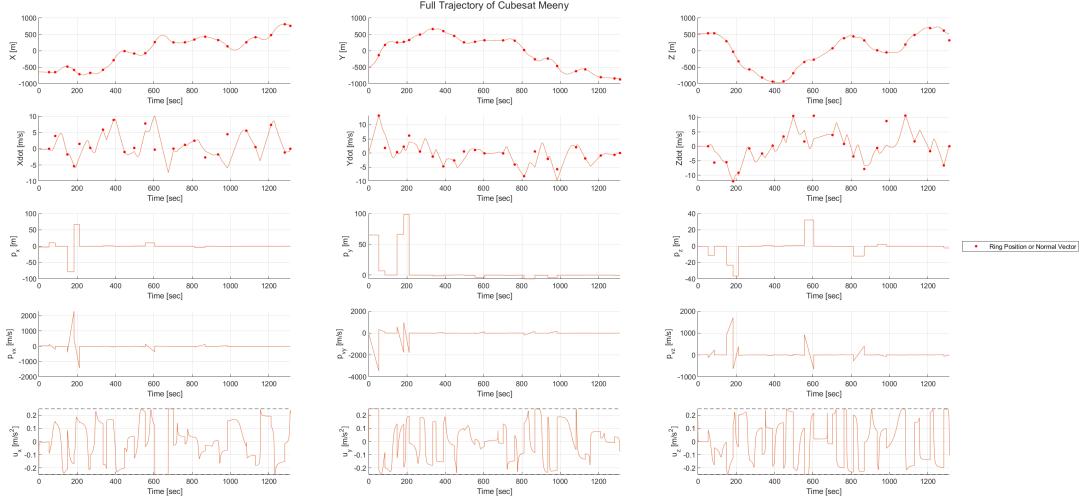
However, a somewhat unexpected result is that higher thrust doesn't necessarily equate to higher ring accuracy as well. Since our overall cost is the sum of the CubeSat's time taken to complete the course and its distance to the center of each ring, we can find the CubeSat's overall ring accuracy by subtracting the time taken to complete the course from the overall cost. Doing so, we find that CubeSat Meeny, which has an intermediate thrust capability of  $0.25 \text{ m/s}^2$ , was the most accurate of all cubesats. This implies that there is some optimal thrust capability that balances the time taken to traverse a race course and ring accuracy.

Finally, we can see that Mo's ring accuracy suffered greatly as a result of its thrust restriction. Despite having a larger thrust capability on average, Mo takes longer than Meeny to complete the course, and egregiously misses some rings - ballooning its ring accuracy score. This makes sense, as there are situations where Mo needs control authority in a specific axis to pass through a ring, but with the restriction it is simply unable to make it. This implies that having attitude control, in addition to translation control, is critical to the CubeSat racing problem.

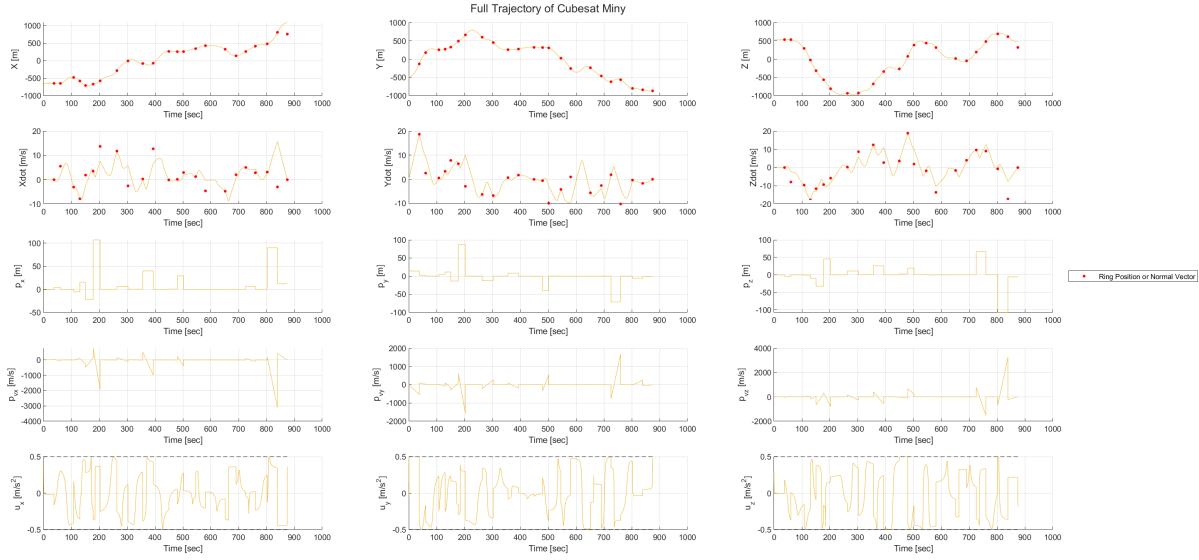
Figures 3 through 6 back up the results presented so far. In particular, we can see that all CubeSats generally do a good job at following the course - indicated by the red dots on the position axes - but generally struggle in meeting the velocity constraint - indicated by the red dots on the velocity axes. Further, we can see that the applied control varies wildly across each axis, but the primer vector always follows a smooth path over each course segment. Finally, we can see when Mo's thrust restriction kicks in over the course of the race, sometimes forcing its available thrust to 0 - rendering it helpless to affect its trajectory.



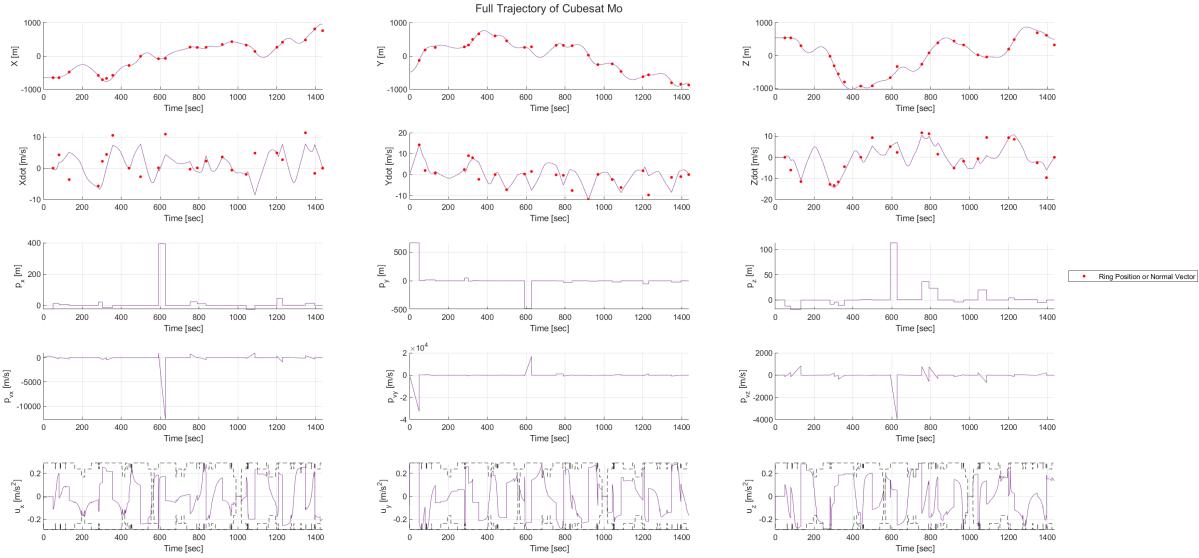
**Fig. 3** CubeSat Eeny Scenario 1 Trajectory



**Fig. 4** CubeSat Meeny Scenario 1 Trajectory



**Fig. 5** CubeSat Miny Scenario 1 Trajectory



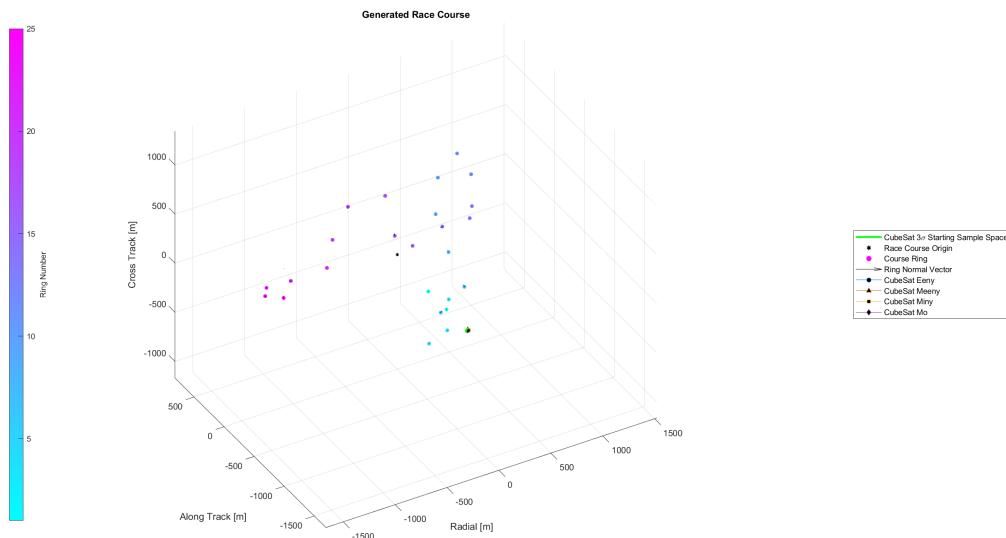
**Fig. 6** CubeSat Mo Scenario 1 Trajectory

### B. Scenario 2: Identical Thrust Capabilities at Random Start Points with a Randomized Race Course Layout

The next scenario I investigated utilizes 4 CubeSats with identical thrust capabilities and random starting positions at the beginning of a fully randomized race course. Scenario 1 is based on what I've dubbed the "dev" race course, i.e. the race course in which I debugged `fmincon` and got all of the underlying dynamics working. Scenario 2 is much closer to how I'd expect recreational CubeSat racing to look like, i.e. standardized CubeSats all competing on the same course with different starting positions (similar to NASCAR for modern racing!). That being said, The four CubeSats of Scenario 2 are summarized in Table 3, and the race course is shown in Figure 7:

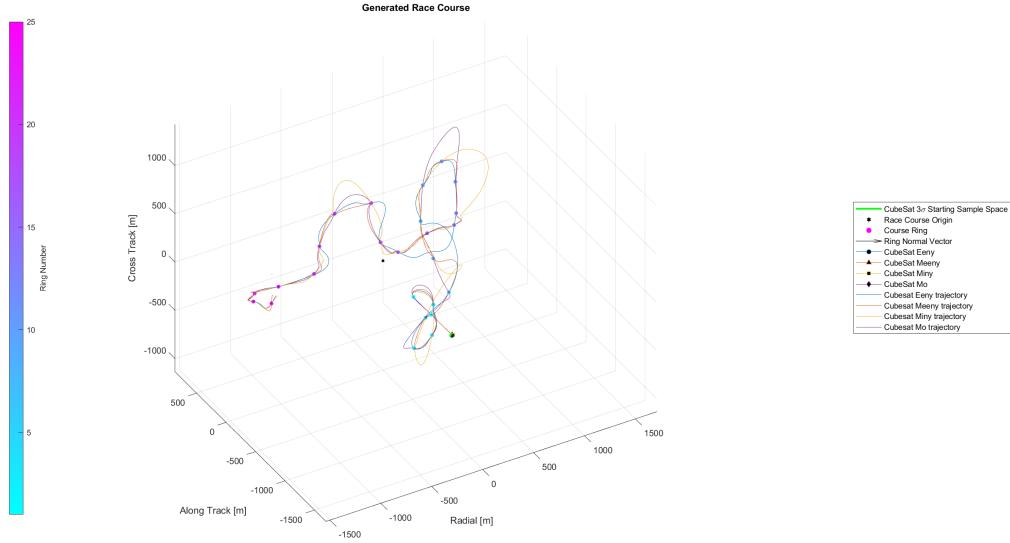
**Table 3 Scenario 2 CubeSat Configurations**

Name	Maximum Control Authority [m/s <sup>2</sup> ]	Control Type	Initial XYZ Position [m]
Eeny	0.5	Unrestricted	[-298.3, -1696, 362.6]
Meeny	0.5	Unrestricted	[-299.9, -1696, 370.4]
Miny	0.5	Unrestricted	[-293.4, -1696, 360.8]
Mo	0.5	Unrestricted	[-299.4, -1696, 365.1]



**Fig. 7 Scenario 2 Race Course**

This course looks quite interesting compared to the dev course. Unlike the dev course, the course for Scenario 2 meanders around and loops back on itself, compared to the dev course which more or less follows a predictable winding pattern. After defining the CubeSats and generating the course, I once again ran `fmincon` on each CubeSat to solve the course. The overall finished course can be seen in Figure 8, and the results are summarized in Table 4.



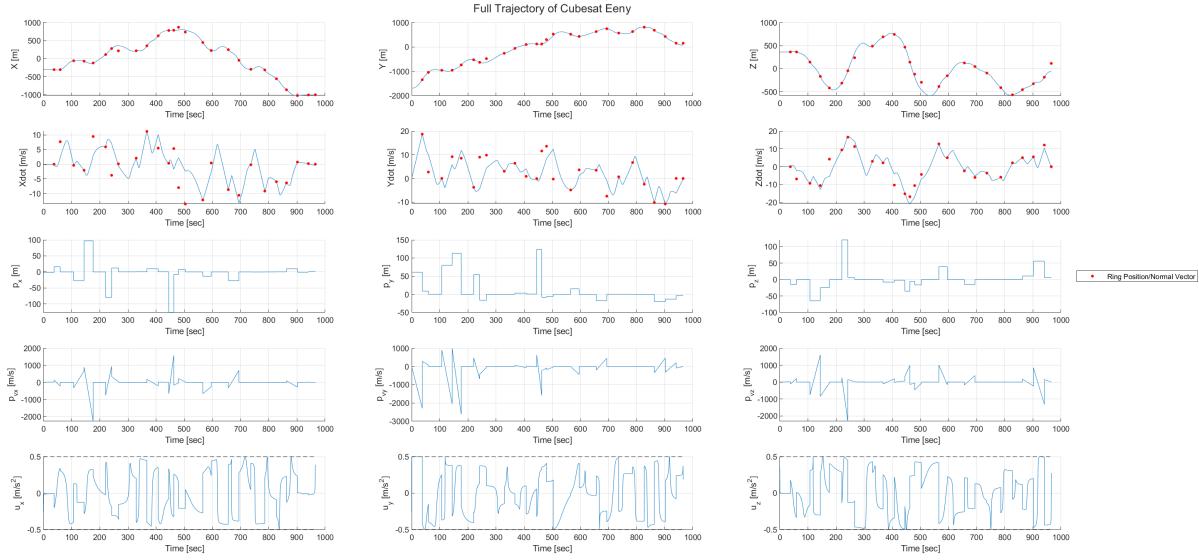
**Fig. 8 Scenario 2 Completed Race Course**

**Table 4 Scenario 2 Results**

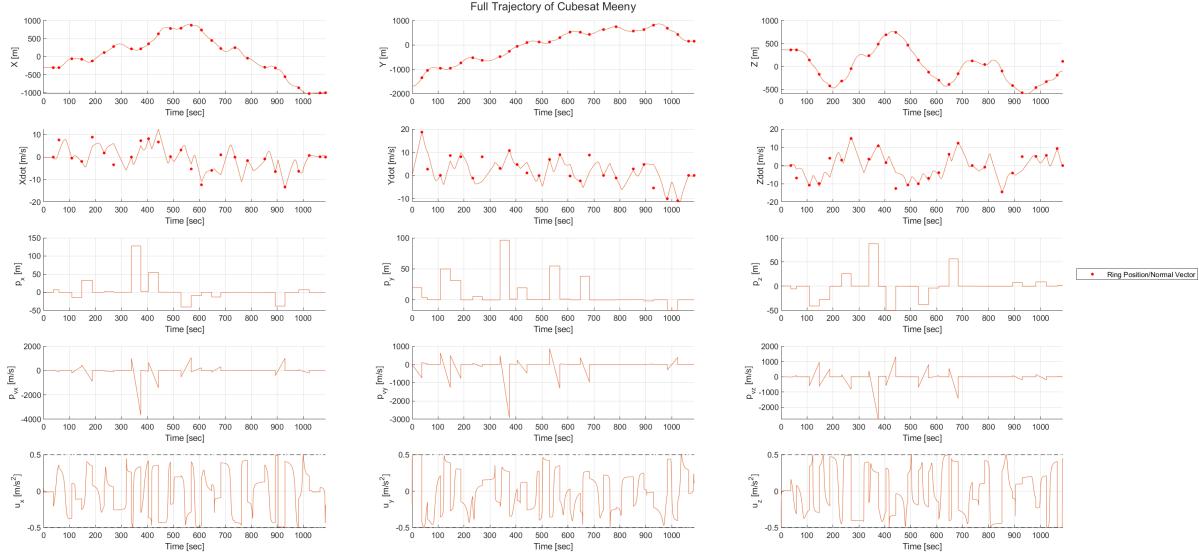
CubeSat	Final Overall Cost	Time Taken to Complete Course [sec]	Ring Accuracy [m]	Minimum Possible Cost [sec]
Eeny	1574.212	965.223	608.989	526.207
Meeny	1133.655	1085.772	47.883	558.876
Miny	1761.798	1096.043	665.755	555.450
Mo	1161.586	1018.303	143.283	516.700

The results from Scenario 2 are much more varied than I thought they would be initially. Despite the CubeSats all having the same available thrust capabilities and completing the course in roughly the same order of magnitude of time, their ring accuracies vary drastically. Thus, despite CubeSat Eeny finishing the race course first, its ring accuracy caused it to lose out overall to Meeny, who finished the course second to last but had significantly better ring accuracy. This suggests that the initial random positions of the CubeSats have a massive impact on ring accuracy performance, so much so that it could be the difference between winning a race and coming in dead last. Further, we see that Mo had the potential to score best, as it has the lowest minimum possible cost. This implies that it was generally moving the fastest overall, but unfortunately couldn't compete with Meeny's superior ring accuracy. Scenario 2 provides an argument for the entertainment value of CubeSat racing at the very least: You never know who's going to win until all the scores are completely tallied up!

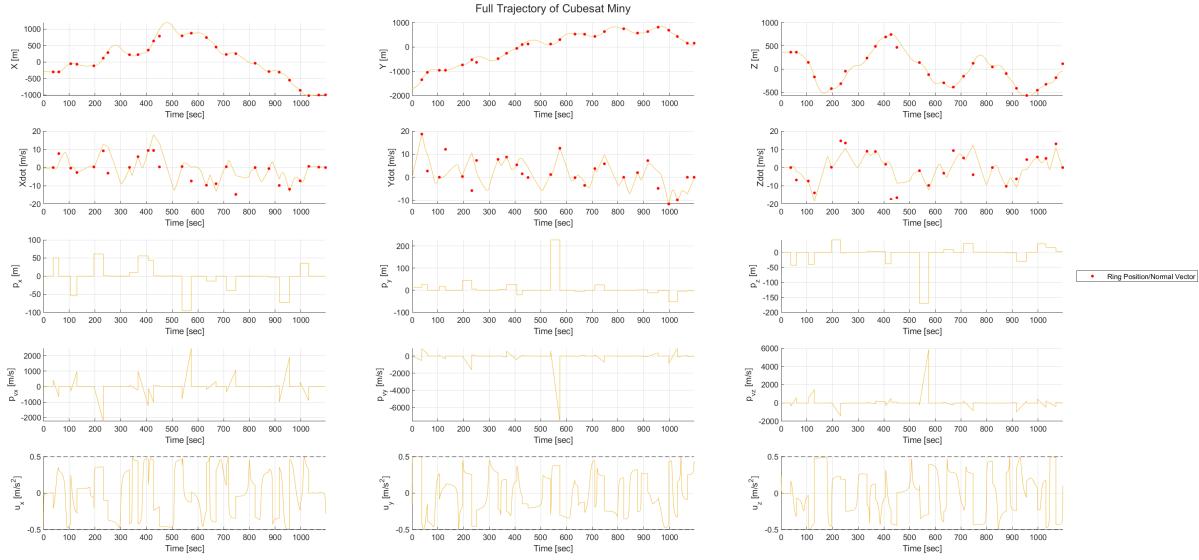
Figures 9 through 12 show the individual trajectories of each CubeSat over this course, and we see the same trends as in Scenario 1. Namely, that they generally do a good job following the course, but struggle to meet the velocity constraint when exiting each ring.



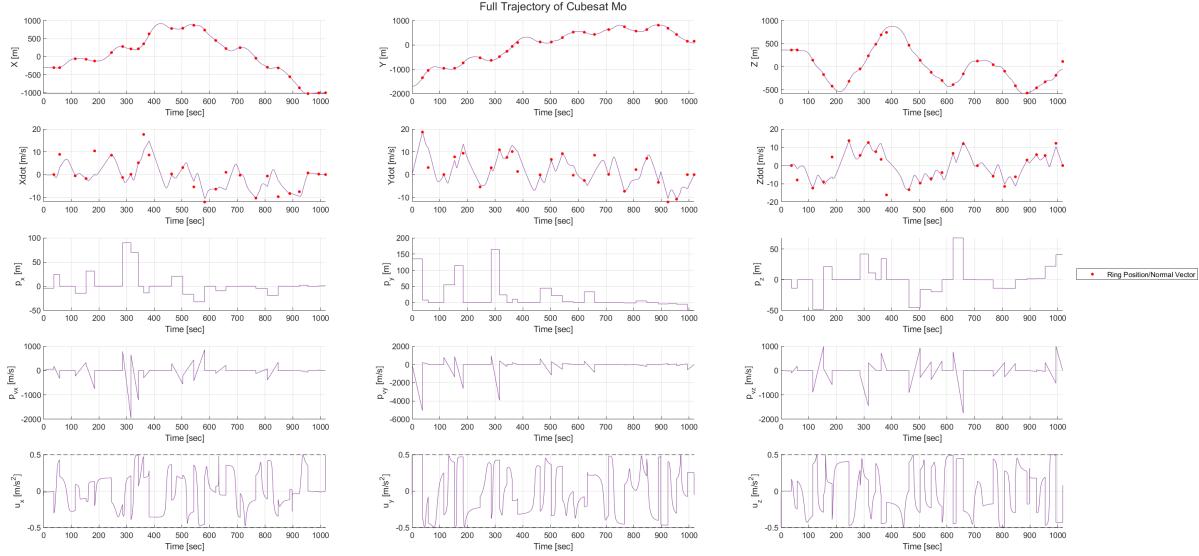
**Fig. 9** CubeSat Eeny Scenario 2 Trajectory



**Fig. 10** CubeSat Meeny Scenario 2 Trajectory



**Fig. 11 CubeSat Miny Scenario 2 Trajectory**



**Fig. 12 CubeSat Mo Scenario 2 Trajectory**

## V. Conclusions and Future Work

After completing this project, I've been convinced that CubeSat racing is actually physically viable, given that CubeSat technology is advanced enough. The biggest liberty I took in this project is drastically inflating the thrust capabilities of modern CubeSats. According to [3], a CubeSat designer can buy thrusters that provide 10-500  $\mu\text{N}$  of thrust for the CubeSat. Applied to a standard 1U 1 kg CubeSat and using our friend  $F = ma$ , this is only 0.01-0.5 mm/s<sup>2</sup> of thrust, or on the order of 1000x less than the 0.1-0.5 m/s<sup>2</sup> values I've used in this project. However, given the evolution of the CubeSat industry in recent years, it is very possible that advances in CubeSat thrust could be made to reach my numbers. Plus, if a society is at the point where they are recreationally racing CubeSats, then the CubeSat industry is likely in a good spot and R&D has probably come a long way.

As for my optimal control approach, I think there is still quite a bit of tuning left to be done. As we saw in both scenarios, the CubeSats generally struggle to meet the velocity pointing constraint. I believe this has to do with how I initialize the guess on  $\mathbf{p}_0$  that I pass into `fmincon`. As discussed in Section IV.A, I have a relatively good initial guess for  $t_f$ , namely the straight line minimum time between rings. However, there is no easy, analytical way that I'm aware of to initialize the adjoints. My best attempt so far is to initialize them as the final adjoints of the previous ring segment, but as we see in all of the trajectory plots, the adjoints are generally discontinuous from ring segment to ring segment. Thus, while they are continuous during the segment and obey the STM we found in Equation 18, simply initializing them as the previous adjoints could be orders of magnitude off of where they need to start, not to mention potentially the wrong sign. This could also explain why my code takes so long to run, as it's possible that the initial adjoint guess is so bad that `fmincon` can't converge to a solution that satisfies all the constraints and it tries to do the best it can.

This leads to a related potential avenue of future work, which is expanding what makes up a ring segment. Right now, my code goes from ring segment to ring segment, each of which includes a single starting ring and a single end ring. This means that as far as `fmincon` is concerned, the race course is only made up of that ring segment and it won't respect the idea that ring segments need to flow together - hence the velocity pointing constraint. If there was a way to include multiple rings in each segment, then the velocity pointing constraint issue could be remedied by more closely mirroring the true nature of the race course in `fmincon` - that being a sequence of rings.

This project was incredibly fun to work on, and I enjoyed applying such a technical concept to a fun and lighthearted problem! I have ideas of making this into a full-fledged video game, but I have to graduate with my Master's degree first... One step at a time!

## VI. Acknowledgements

I'd like to thank the following people for all of their help during this project:

- 1) Professor Scheeres for his infinite patience in answering all of my constraint and feasibility questions, as well as for approving this project in the first place!
- 2) Emily Matteson for our debugging sessions, complete with woes and successes!
- 3) Anirudh Etagi for encouraging me to stay strong in completing this project despite ASEN 6080 breathing down our necks at the same time!
- 4) Niko Natsoulas for bouncing ideas back and forth and for helping me proofread this report!

## References

- [1] Schaub, H., and Junkins, J. L., *Analytical Mechanics of Space Systems*, 4<sup>th</sup> ed., AIAA, 2018.
- [2] Lawden, D. F., *Analytical Methods of Optimization*, 1<sup>st</sup> ed., Dover Publications Inc., 2006.
- [3] CubeSatShop, “Cluster of IFM Nano Thruster for Smallsats,” , 2025. URL <https://www.cubesatshop.com/product/cluster-ifm-nano-thruster-smallsats/>, last accessed 6 May 2025.

## A. Appendix index

Use the appendix index below to navigate to the different appendices!

### A. Appendix Index

- Scenario Animations: B
- fmincon Code: C
- Scenario 1 Ring Segments: D
- Scenario 2 Ring Segments: E

## B. Scenario Animations

Back to appendix index: A.A

To access animations for each Scenario in this report, click the associated Google Drive link!

- Scenario 1: [https://drive.google.com/file/d/1YwVPg9fsCD9heEVu9C1HQ1WoUc\\_bBFpA/view?usp=sharing](https://drive.google.com/file/d/1YwVPg9fsCD9heEVu9C1HQ1WoUc_bBFpA/view?usp=sharing)
- Scenario 2: <https://drive.google.com/file/d/12x3zqbFPNTMp4vRGmrBfVbysQisEkVIL/view?usp=sharing>

Enjoy!

## C. MATLAB Code Relevant to fmincon Implementation

Back to appendix index: A.A

Use the code index below to navigate to the relevant functions for my fmincon implementation!

### A. Code Index

- Main Script: C.B
- fmincon Wrapper: C.C
- Cost Function: C.D
- Constraints: C.E
- Dynamics: C.F

### B. Main Script

Back to index: C.A

```
1 %% ASEN 6020 Final Project Main Script
2 % By: Ian Faber
3
4 %% Housekeeping
5 clc; clear; close all;
6
7 %% Logistics
8 addpath(genpath("./CubeSats"));
9 addpath(genpath("./RaceCourse"));
10 addpath(genpath("./Plotting"));
11
12 %% Enable parallel computing
13 % parpool;
14
15 %% Ask user for input
16 menuMsg = sprintf("\n\t\tWelcome to the CubeSat racer! What would you like to
do?\n\n" + ...
```

```

17      "\t1. Generate and run a new race course (time intensive,
18          ~30 min to 1 hour!)\n" + ...
19      "\t2. Analyze an old run\n" + ...
20      "\t3. Animate an old run\n" + ...
21      "\t4. Exit\n\n" + ...
22      "\tChoice (enter number of option to do): ");
23 choice = input(menuMsg);
24
25 %% Decide what to do
26 switch choice
27 case 1
28     %% Setup Problem Pameters
29     menuMsg = sprintf("\n\tRun dev course or generate new course?\n" + ...
30                         "\t1. Run dev course (different thrust configs)\n" +
31                         ...
32                         "\t2. Generate new course (same thrust configs)\n" +
33                         ...
34                         "\tChoice (enter number of option to do): ");
35 choice = input(menuMsg);
36
37 if choice == 1
38     randomCourse = false;
39     fprintf("Running dev race course, this will take some time!\n")
40 else
41     randomCourse = true;
42     fprintf("Generating and solving new race course, this will take
43             some time!\n")
44 end
45
46     % Define ring semi-major and minor axis ranges
47 semiMaj = [1, 5]; % m
48 semiMin = [1, 5]; % m
49
50     % Define inter-ring parameter ranges
51 interRingDist = [300, 350]; % m
52 azimuthAngle = deg2rad([-90, 90]); % deg -> rad
53 elevationAngle = deg2rad([-90, 90]); % deg -> rad
54
55     % Define number of rings range
56 numRings = [15, 25];
57
58     % Define course origin orbit
59 T = 180*60; % 180 minute circular orbit
60 n = 2*pi/T;
61
62     % Define course parameters structure
63 courseParams = struct("semiMaj", semiMaj, "semiMin", semiMin, "dist",
64                         interRingDist, ...
65                         "azAng", azimuthAngle, "elAng", elevationAngle,
66                         "numRings", numRings, ...
67                         "n", n);
68
69     % ODE45 options
70 opt = odeset('AbsTol', 1e-12, 'RelTol', 1e-12);

```

```

65
66 %% Generate race course
67 % Set rng seed for race course generation
68 if ~randomCourse
69     seed = 2*69420; % cool options: 0, 2, 3, 4
70     rng(seed);
71 else
72     rng("shuffle");
73 end
74
75 % Make course
76 rings = generateRaceCourse(courseParams);
77
78 % Define CubeSat starting ring as the maximum distance behind the
79 % start
80 % ring at a 3 sigma covariance of 5x the largest semi-major/minor
81 % axis
82 startRing = generateRing(50*max(semiMaj), 50*max(semiMin), 0, 0, -max(
83     interRingDist), rings(1));
84 rings(1).params.lastRing = startRing;
85
86 % Define CubeSat ending ring as the minimum distance after the
87 % last
88 % ring at a covariance corresponding to the smallest possible ring
89 endRing = generateRing(min(semiMaj),min(semiMin),0,0,min(interRingDist
90 ),rings(end));
91 rings = [rings; endRing];
92
93 % Move race course so origin is the mean of all ring centers
94 centers = [];
95 for k = 1:length(rings)
96     centers = [centers, rings.center];
97 end
98
99 newOrigin = mean(centers, 2);
100
101 startRing.center = startRing.center - newOrigin;
102 startRing.params.lastRing.center = startRing.params.lastRing.center -
103     newOrigin;
104 for k = 1:length(rings)
105     rings(k).center = rings(k).center - newOrigin;
106     rings(k).params.lastRing.center = rings(k).params.lastRing.center -
107         newOrigin;
108 end
109 endRing.center = endRing.center - newOrigin;
110 endRing.params.lastRing.center = endRing.params.lastRing.center -
111     newOrigin;
112
113 %% Generate CubeSats
114 % Max thrust based on
115 % https://www.cubesatshop.com/product/cluster-ifm-nano-thruster-
116 % smallsats/
117 % - 10-500 uN. Boosted by 1e3 for this problem to make things
118 % more interesting!
119

```

```

110 numSats = 4;
111 names = ["Eeny", "Meeny", "Miny", "Mo"]; % Names :P Mo has attitude
112 control system problems, and can only use x-y-z thrusters!
113 markers = ["o", "^", "square", "diamond"]; % Markers for plotting
114 colors = ["#0072BD", "#D95319", "#EDB120", "#7E2F8E"]; % Colors for
115 % plotting
116 if ~randomCourse
117     thrusts = [100, 250, 500, 500]*(10^-3); % Maximum thrust values
118     thrustConfigs = [false, false, false, true]; % Whether thrust is
119         split amongst x-y-z thrusters or a general direction
120 else
121     thrusts = 500*10^-3*ones(1,4); % Make cubesats with max thrust
122     thrustConfigs = false(1,4); % No thrust configuration shenanigans
123 end
124
125 % Make CubeSats
126 cubesats = [];
127 for k = 1:numSats
128     cubesats = [cubesats; generateCubesat(thrusts(k), thrustConfigs(k),
129         , startRing, names(k), markers(k), colors(k))];
130 end
131
132 %% Show off course and cubesat starting positions
133 figNum = 420;
134 titleText = sprintf("Generated Race Course");
135 xLabel = sprintf("Radial [m]"); yLabel = sprintf("Along Track [m]");
136 zLabel = sprintf("Cross Track [m]");
137 courseFig = plotCourse(startRing, rings, endRing, cubesats, figNum,
138     titleText, xLabel, yLabel, zLabel);
139
140 for k = 0:180
141     view(-30 + 2*k, 35);
142     drawnow
143 end
144
145 %% Run the race course!
146 debug = [false; false; false]; % iteration plotting+display;
147     trajectory plotting; disable progress sequence
148 for k = 1:length(cubesats)
149     fprintf("\nCubesat %s is starting the race course!\n", cubesats(k).
150         .name)
151     for kk = 1:length(rings) % Loop through each course segment
152         % Determine which cost function and constraints to
153             % apply
154         if kk == length(rings) % Final problem, come to a stop in
155             minimum time
156             isFinal = true;
157         else % Initial/intermediate problem, aim for rings
158             isFinal = false;
159         end
160
161             % Solve trajectory according to problem
162 [t,X,u,optParams,initGuess,cost] = solveTrajectory(cubesats(k),
163     , rings(kk), courseParams, opt, isFinal, debug);

```

```

154 cubesats(k).X = [cubesats(k).X; X];
155 cubesats(k).t = [cubesats(k).t; t];
156 cubesats(k).u = [cubesats(k).u; u];
157 cubesats(k).optParams = [cubesats(k).optParams, optParams]; %
    Parameters solved by fmincon
158 cubesats(k).initGuess = [cubesats(k).initGuess, initGuess]; %
    Initial guess for parameters
159 cubesats(k).ringSeg = [cubesats(k).ringSeg, [kk-1; kk]]; %
    Ring segment of this trajectory (from kk-1 to kk)
160 cubesats(k).tSeg = [cubesats(k).tSeg, [t(1); t(end)]]; % Time
    this ring segment started and ended
161 cubesats(k).cost = [cubesats(k).cost, cost]; % Cost for this
    ring segment
162
163     % Update t0 and X0
164 cubesats(k).X0 = X(end,1:6)';
165 cubesats(k).t0 = t(end);
166
167     % Plot segment
168 titleText = sprintf("Cubesat %s trajectory segment: Ring %.0f
    to %.0f", cubesats(k).name, kk-1, kk);
169 xLabel = "Radial [m]"; yLabel = "Along Track [m]"; zLabel = "
    Cross Track [m]";
170 if ~debug(1)
171     figNum = kk + (k-1)*length(rings);
172 else
173     figNum = kk + (k-1)*length(rings) + 1;
174 end
175 plotSegment(cubesats(k), rings(kk), t, X, u, figNum, titleText
    , xLabel, yLabel, zLabel);
176
177     % Report progress
178 fprintf("\tCubesat %s passed through ring %.0f in %.3f sec!\n"
    , cubesats(k).name, kk, t(end) - t(1));
179 end
180 fprintf("\n\tCubesat %s finished the course in %.3f sec!\n",
    cubesats(k).name, cubesats(k).t(end)-cubesats(k).t(1));
181
182     % Add trajectory to race plot
183 figure(420)
184 plot3(cubesats(k).X(:,1), cubesats(k).X(:,2), cubesats(k).X(:,3),
    '- ', 'Color', cubesats(k).color, 'DisplayName', sprintf(
        "Cubesat %s trajectory", cubesats(k).name));
185
186 figure; tl = tiledlayout(1,2);
187 title(tl, sprintf("Cubesat %s Adjoints", cubesats(k).name))
188 nexttile
189     title(sprintf("Cubesat %s Position Adjoints", cubesats(k).name
        ))
190 hold on; grid on; axis equal;
191 plot3(cubesats(k).X(:,7), cubesats(k).X(:,8), cubesats(k).X
    (:,9), 'k.');
192 view([30 35])
193 nexttile

```

```

194         title(sprintf("Cubesat %s Velocity Adjoints", cubesats(k).name
195             ))
196         hold on; grid on; axis equal;
197         plot3(cubesats(k).X(:,10), cubesats(k).X(:,11), cubesats(k).X
198             (:,12), 'k.');
199         view([30 35])
200     end
201
202     % Save run!
203     time = datetime('now');
204     runName = sprintf("Run_%s", time);
205     runName = replace(runName, " ", "_");
206     runName = replace(runName, ":", "");
207     runName = sprintf(".\Runs\%s.mat", runName);
208     save(runName, "cubesats", "rings", "startRing", "endRing", "
209         courseParams", '-mat');
210
211 case 2
212 %% Choose run to analyze
213 fprintf("\n\tChoose a run to analyze!\n");
214 cd .\Runs\
215 [file, path, ~] = uigetfile('.mat', "Pick a MAT file to analyze");
216 cd ..\
217
218 load([path,file])
219
220 fileParts = split(file, "_");
221 scenario = extractBefore(fileParts{end}, ".mat");
222
223 menuMsg = sprintf("\n\tWould you like to save new figures?\n" +
224                 "\t1. Yes\n" +
225                 "\t2. No\n" +
226                 "\tChoice (enter number of option to do): ");
227 choice = input(menuMsg);
228
229 if choice == 1
230     makeFigures = true;
231 else
232     makeFigures = false;
233 end
234
235 %% Plot race course and example ring
236 % Race course
237 courseNum = 420;
238 titleText = sprintf("Generated Race Course"); atEnd = false;
239 xlabel = sprintf("Radial [m]"); ylabel = sprintf("Along Track [m]");
240 zlabel = sprintf("Cross Track [m]");
241 courseFig = plotCourse(startRing, rings, endRing, cubesats, courseNum,
242                         titleText, xlabel, ylabel, zlabel, atEnd);
243
244 if makeFigures
245     angles = [0, 90, 180, 270];
246     for k = angles
247         view([-30 + k, 35]);

```

```

243         fileName = sprintf("./\Figure\%s\Course\InitCourse_%.0
244             fdegRot.png", scenario, k);
245         saveas(courseFig, fileName);
246     end
247 courseFig.WindowState = "minimized";
248
249     % Example ring
250 ringNum = 421;
251 titleText = sprintf("Example Race Course Ring");
252 xLabel = sprintf("Radial [m]"); yLabel = sprintf("Along Track [m]");
253 zLabel = sprintf("Cross Track [m]");
254 ringFig = plotExampleRing(rings, ringNum, titleText, xLabel, yLabel,
255                             zLabel);
256
257 if makeFigures
258     for k = angles
259         view([30 + k, 35]);
260         fileName = sprintf("./\Figure\%s\Ring\ExampleRing_%.0
261                         fdegRot.png", scenario, k);
262         saveas(courseFig, fileName);
263     end
264 end
265 ringFig.WindowState = "minimized";
266
267 %% Plot trajectory segments
268 menuMsg = sprintf("\n\tWould you like detailed segment plots or just
269     an overview?\n" + ...
270                 "\t1. Detailed plots (one plot per segment per
271                     cubesat, including time history plots. Generates
272                         LOTS of figures)\n" + ...
273                 "\t2. Overview (one plot per segment with all
274                     cubesat trajectories, no time history plots)\n"
275                 + ...
276                 "\tChoice (enter number of option to do): ");
277 choice = input(menuMsg);
278
279 if choice == 1
280     for k = 1:length(cubesats)
281         fprintf("\nPlotting Cubesat %s's Trajectory!\n", cubesats(k).  

282             name)
283         for kk = 1:length(rings)
284             % Find indices
285             kStart = find(cubesats(k).t == cubesats(k).tSeg(1,kk), 1,  

286                           'last');
287             kEnd = find(cubesats(k).t == cubesats(k).tSeg(2,kk), 1,  

288                           'first');
289
290             % Extract proper segment
291             t = cubesats(k).t(kStart:kEnd);
292             X = cubesats(k).X(kStart:kEnd, :);
293             u = cubesats(k).u(kStart:kEnd, :);
294
295             % Plot segment

```

```

285     figNum = kk + (k-1)*length(rings);
286     if kk == length(rings)
287         titleText = sprintf("Cubesat %s trajectory segment:
288                           Ring %.0f to end", cubesats(k).name, kk-1);
289     else
290         titleText = sprintf("Cubesat %s trajectory segment:
291                           Ring %.0f to %.0f", cubesats(k).name, kk-1, kk);
292     end
293     xLabel = "Radial [m]"; yLabel = "Along Track [m]"; zLabel
294     = "Cross Track [m]";
295     figDetailed = plotSegment(cubesats(k), rings(kk), t, X, u,
296                               figNum, titleText, xLabel, yLabel, zLabel);
297
298     if makeFigures
299         for idx = angles
300             nexttile(1);
301             view([-30 + idx, 35]);
302             fileName = sprintf(".\\"Figures\"%s\"%
303                               SegmentsDetailed"\\"DetailedSegment_%s_Ring%.0
304                               fTo%.0f_.%0fdegRot.png", scenario, cubesats(k)
305                               .name, kk-1, kk, idx);
306             saveas(figDetailed, fileName);
307         end
308     end
309     figDetailed.WindowState = "minimized";
310
311     % Report progress
312     fprintf("\tCubesat %s passed through ring %.0f in %.3f sec
313           !\n", cubesats(k).name, kk, cubesats(k).t(kEnd) -
314           cubesats(k).t(kStart));
315
316     fprintf("\n\tCubesat %s finished the course in %.3f sec!\n",
317           cubesats(k).name, cubesats(k).t(end)-cubesats(k).t(1));
318
319     end
320   else
321     for k = 1:length(rings)
322       % Plot segment
323       figNum = k;
324       if k == length(rings)
325         titleText = sprintf("Cubesat trajectory segment: Ring %.0f
326                           to end", k-1);
327       else
328         titleText = sprintf("Cubesat trajectory segment: Ring %.0f
329                           to %.0f", k-1, k);
330       end
331       xLabel = "Radial [m]"; yLabel = "Along Track [m]"; zLabel = "
332           Cross Track [m]";
333       figOverview = plotSegment_all(cubesats, rings(k), k, figNum,
334                                     titleText, xLabel, yLabel, zLabel);
335
336       if makeFigures
337         for idx = angles

```

```

325         nexttile(1);
326         view([-30 + idx, 35]);
327         fileName = sprintf(".\\Figures\\%s\\SegmentsOverview\\"
328                           "OverviewSegment_Ring%.0fTo%.0f_%.0fdegRot.png",
329                           scenario, k-1, k, idx);
330         saveas(figOverview, fileName);
331     end
332 end
333
334 % Plot completed course
335 courseFig = figure(courseNum);
336 courseFig.WindowState = "maximized";
337 view(-30, 35)
338 for k = 1:length(cubesats)
339     plot3(cubesats(k).X(:,1), cubesats(k).X(:,2), cubesats(k).X(:,3),
340           '-', 'Color', cubesats(k).color, 'DisplayName', sprintf(
341             "Cubesat %s trajectory", cubesats(k).name));
342 end
343
344 if makeFigures
345     for idx = angles
346         view([-30 + idx, 35]);
347         fileName = sprintf(".\\Figures\\%s\\Course\\FinishedCourse_%.0
348                           fdegRot.png", scenario, idx);
349         saveas(courseFig, fileName);
350     end
351 end
352 courseFig.WindowState = "minimized";
353
354 %% Plot full trajectory
355 for k = 1:length(cubesats)
356     trajNum = 421 + k;
357     titleText = sprintf("Full Trajectory of Cubesat %s", cubesats(k).
358                         name);
359     trajFig = plotFullTrajectory(cubesats(k), rings, trajNum,
360                                   titleText);
361     if makeFigures
362         fileName = sprintf(".\\Figures\\%s\\Trajectories\\Trajectory_%
363                           s.png", scenario, cubesats(k).name);
364         saveas(trajFig, fileName);
365     end
366     trajFig.WindowState = "minimized";
367 end
368
369 %% Analyze race course results
370 fprintf("\n\t---Analyzing Race Outcome---\n")
371
372 % Compile costs and course times
373 costs = [];
374 minCosts = [];

```

```

371 times = [];
372 for k = 1:length(cubesats)
373     cost = sum(cubesats(k).cost(1,:));
374     minCost = sum(cubesats(k).cost(2,:));
375     time = cubesats(k).t(end) - cubesats(k).t(1);
376     costs = [costs; cost];
377     minCosts = [minCosts; minCost];
378     times = [times; time];
379 end
380 accuracies = costs - times; % cost = time cost + accuracy cost
381
382     % Find winner (smallest cost)
383 [minCost, winner] = min(costs);
384
385     % Report results
386 for k = 1:length(cubesats)
387     fprintf("\nCubesat %s results: overall cost = %.3f, time = %.3f,
388             accuracy = %.3f. \n\tMinimum possible cost: %.3f\n",
389             cubesats(k).name, costs(k), times(k), accuracies(k), minCosts(k));
390 end
391
392 fprintf("\n\n\t~~~Cubesat %s won the race!~~~\n\n",
393         cubesats(winner).name);
394
395 case 3
396     %% Choose run to animate
397     fprintf("\n\tChoose a run to animate!\n");
398     cd .\Runs\
399     [file, path, ~] = uigetfile('.mat', "Pick a MAT file to analyze");
400     cd ..\
401
402     load([path,file])
403
404     fileParts = split(file, "_");
405     scenario = extractBefore(fileParts{end}, ".mat");
406
407     %% Animate!
408     saveMovie = true;
409     movieTitle = sprintf(".\\Videos\\ASEN6020_%s_animated", scenario);
410     figAnim = animateRun(cubesats, rings, saveMovie, movieTitle);
411
412 case 4
413     %% Say goodbye
414     fprintf("\n\tHave a great day!\n")
415     return;
416 end

```

## C. fmincon Wrapper

Back to index: C.A

```
1 function [tOpt, XOpt, uOpt, xSolved, x0, cost] = solveTrajectory(cubesat, ring
2     , courseParams, opt, isFinal, debug)
3 % Function that applies the optimal control law to navigate from one ring
4 % of the race course to another for the current ring problem
5 % Inputs:
6 %     - cubesat: CubeSat currently running the course
7 %     - ring: Ring the CubeSat is attempting to navigate to
8 %     - courseParams: Course parameters structure that includes mean
9 %                     motion of the race course origin
10 %     - opt: ODE45 options via odeset
11 %     - isFinal: Boolean indicating whether this ring corresponds to the
12 %                 final problem or not
13 %     - debug: Boolean vector that enables or disables plotting and debug
14 %               features, like so:
15 %             - debug(1): Whether fmincon outputs a message at each
16 %                           iteration and plots the current guessed point
17 %                           true: output message and plot point
18 %             - debug(2): Whether the current course segment guess is
19 %                           plotted at each call of the cost function
20 %                           true: Plot current course segment guess
21 %             - debug(3): Whether the command window print sequence is
22 %                           disabled or not.
23 %                           true: Disable print sequence
24 % Outputs:
25 %     - tOpt: Optimal time solved for this section of the course
26 %     - XOpt: Optimal CubeSat trajectory solved for this section of the
27 %             course
28 %     - uOpt: Optimal control applied over this optimal trajectory
29 %     - xSolved: The resulting guess on p0 and t_f, organized as
30 %                 [p0; t_f]
31 %     - x0: The initial guess on p0 and t_f, organized as [p0; t_f]
32 %     - cost: The actual and minimum possible cost for this course
33 %             segment, organized as [actualCost; minCost]
34 % By: Ian Faber, 04/19/2025
35 %
36
37 % Set up problem: Trying to solve for p0 and t_f such that K is minimized.
38 % Set initial guesses
39
40 % p0
41 r0 = cubesat.X0(1:3);
42 v0 = cubesat.X0(4:6);
43 vHat0 = v0/norm(v0);
44 dVec = ring.center - r0;
45 uMax = cubesat.uMax;
46 if norm(v0) == 0 % Initial ring - cubesat at rest
47     p0 = [(r0 - ring.params.lastRing.center)/norm(r0 - ring.params.lastRing.
48         center);
49         -dVec/norm(dVec)];
50 else
```

```

50     p0 = [(r0 - ring.params.lastRing.center)/norm(r0 - ring.params.lastRing.
51             center);
52             -(1/norm(v0))*dVec];
53 end
54
55         % tf
56         % Set lower bound on tf to force it to be positive and physically
57         % possible given the maximum possible acceleration for this
58         % cubesat. "minimum time" modeled with kinematics off of the
59         % straight line distance between the cubesat and next ring if
60         % everything lined up perfectly (it won't!). If it does, the
61         % minimum time is slightly overconfident (reduced) to ensure the
62         % optimal solution isn't skipped.
63 d = 0 - norm(dVec); % d = d0 - df, where d0 = 0
64 tMin = max((1/norm(uMax))*[-norm(v0) + sqrt(norm(v0)^2 - 2*norm(uMax)*d); -
65             norm(v0) - sqrt(norm(v0)^2 - 2*norm(uMax)*d)]);
66
67 tf = cubesat.t0 + 10*tMin;
68
69         % Combine initial guesses
70 x0 = [p0; tf];
71
72         % Set bounds
73 lb = [-1e3*ones(6,1); cubesat.t0 + tMin];
74 ub = [1e3*ones(6,1); Inf];
75
76         % Solve problem
77 if debug(1)
78     options = optimoptions("fmincon", "Algorithm", "sqp", "
79             EnableFeasibilityMode", true, "MaxFunctionEvaluations", 3000, ...
80                     "SubproblemAlgorithm", "cg", 'Display', 'iter-
81                     detailed', 'PlotFcn', 'optimplotx');
82 else
83     options = optimoptions("fmincon", "Algorithm", "sqp", "
84             EnableFeasibilityMode", true, "MaxFunctionEvaluations", 3000, ...
85                     "SubproblemAlgorithm", "cg", 'Display', 'none');
86 end
87
88 fprintf("\n") % Buffer newline for command window print sequence
89
90 [xSolved, cost] = fmincon(@(x)costFunction(x,ring,cubesat,courseParams,opt,
91     isFinal,debug),x0,[],[],[],lb,ub,@(x)constraints(x,ring,cubesat,
92     courseParams,opt,isFinal), options);
93
94 fprintf("\b") % Delete newline or last character of print sequence
95
96         % Report constraints
97 [ineq, eq] = constraints(xSolved, ring, cubesat, courseParams, opt, isFinal);
98
99 if isFinal % Final problem constraints
100     eqLabels = ["v_f at rest constraint", "H equivalence constraint", "H_f
101                 constraint", "X_0 constraint", "t_0 constraint"];
102
103 [~, maxEqIdx] = max(abs(eq));

```

```

96
97     fprintf("\t\tNo inequality constraints in final problem!\n")
98
99     if any(maxEqIdx == 1:3)
100         const = eqLabels(1);
101         offset = 1;
102     elseif maxEqIdx == 4
103         const = eqLabels(2);
104         offset = 4;
105     elseif maxEqIdx == 5
106         const = eqLabels(3);
107         offset = 5;
108     elseif any(maxEqIdx == 6:11)
109         const = eqLabels(4);
110         offset = 6;
111     elseif maxEqIdx == 12
112         const = eqLabels(5);
113         offset = 12;
114     else
115         const = "Unknown constraint";
116         offset = maxEqIdx;
117     end
118     fprintf("\t\tMax equality constraint magnitude: %.3e at position %.0f, %s\
119     n", eq(maxEqIdx), maxEqIdx-offset, const);
120 else % Initial/intermediate problem constraints
121     eqLabels = ["H equivalence constraint", "H_f constraint", "X_0 constraint\
122     ", "t_0 constraint", "vHat_f pointing constraint"];
123     ineqLabels = ["r_f ring distance constraint", "r_f ring plane constraint\
124     "];
125
126     [~, maxIneqIdx] = max(abs(ineq));
127     [~, maxEqIdx] = max(abs(eq));
128
129     fprintf("\t\tMax inequality constraint magnitude: %.3e, %s\n", ineq(
130         maxIneqIdx), ineqLabels(maxIneqIdx));
131
132     if maxEqIdx == 1
133         const = eqLabels(1);
134         offset = 1;
135     elseif maxEqIdx == 2
136         const = eqLabels(2);
137         offset = 2;
138     elseif any(maxEqIdx == 3:8)
139         const = eqLabels(3);
140         offset = 3;
141     elseif maxEqIdx == 9
142         const = eqLabels(4);
143         offset = 9;
144     elseif any(maxEqIdx == 10:12)
145         const = eqLabels(5);
146         offset = 10;
147     else
148         const = "Unknown constraint";
149         offset = maxEqIdx;

```

```

146 end
147 fprintf("\t\tMax equality constraint magnitude: %.3e at position %.0f, %s\
148 n", eq(maxEqIdx), maxEqIdx-offset, const);
149 end
150
151 % Propagate optimal trajectory
152 p0 = xSolved(1:6);
153 tf = xSolved(7);
154
155 X0 = [cubesat.X0; p0];
156
157 dt = 0.1;
158 tspan = cubesat.t0:dt:tf;
159 if tspan(end) ~= tf
160     tspan = [tspan, tf];
161 end
162
163 [tOpt, XOpt] = ode45(@(t,X)CHWEOM(t,X,cubesat,courseParams), tspan, X0, opt);
164
165 % Append absolute minimum cost to the cost vector for comparison.
166 % Absolute minimum cost corresponds to the straight line distance time
167 % taken between ring centers, assuming all velocities line up and the
168 % Cubesat hits the center of the ring exactly.
169 cost = [cost; tMin];
170
171 % Back out optimal control according to control law
172 uOpt = [];
173 for k = 1:length(tOpt)
174     pvx = XOpt(k,10);
175     pvy = XOpt(k,11);
176     pvz = XOpt(k,12);
177
178     uMax = 0;
179     if length(cubesat.uMax) > 1 % Axial thrusting is at play!
180         uComp = cubesat.uMax;
181         if abs(pvx) > 1 % Cubesat has max x acceleration at time t
182             uMax = uMax + [uComp(1); 0; 0];
183         end
184         if abs(pvy) > 1 % Cubesat has max y acceleration at time t
185             uMax = uMax + [0; uComp(2); 0];
186         end
187         if abs(pvz) > 1 % Cubesat has max z acceleration at time t
188             uMax = uMax + [0; 0; uComp(3)];
189         end
190     else
191         uMax = cubesat.uMax;
192     end
193
194     pvHat = [pvx; pvy; pvz]/norm([pvx; pvy; pvz]);
195     u = -norm(uMax)*pvHat';
196     uOpt = [uOpt; u];
197 end
198

```



## D. Cost Function

Back to index: C.A

```
1 function K = costFunction(x, ring, cubesat, courseParams, opt, isFinal, debug)
2 % Implements the cost function for the CubeSat racing current ring problem
3 % Inputs:
4 %     - x: Vector of parameters to minimize the cost function with. Here,
5 %           that's [p0; t_f]
6 %     - ring: Ring structure for the ring the CubeSat is trying to get
7 %           to, as defined in generateRing.m
8 %     - cubesat: Cubesat structure for the CubeSat in question, as
9 %           defined by generateCubesat.m
10 %     - courseParams: Course parameters structure that includes the mean
11 %           motion of the race course origin's orbit
12 %     - opt: ODE45 options via odeset
13 %     - isFinal: Boolean indicating whether this ring corresponds to the
14 %           final problem or not
15 %     - debug: Boolean vector that enables or disables plotting and debug
16 %           features, like so:
17 %             - debug(1): Whether fmincon outputs a message at each
18 %               iteration and plots the current guessed point
19 %               true: output message and plot point
20 %             - debug(2): Whether the current course segment guess is
21 %               plotted at each call of the cost function
22 %               true: Plot current course segment guess
23 %             - debug(3): Whether the command window print sequence is
24 %               disabled or not.
25 %               true: Disable print sequence
26 % Outputs:
27 %     - K: Cost function value for the current ring problem
28 %
29 % By: Ian Faber, 04/19/2025
30 %
31
32     % Command window print sequence
33 persistent idx;
34 if isempty(idx)
35     idx = 1;
36 end
37 sequence = ['/','/','-','-',',','\','\','\','|','|','|'];
38 if all(~debug) % Only print sequence if no other debugging is happening
39     fprintf("\b%s",sequence(idx));
40 end
41 idx = idx + 1;
42 if idx > length(sequence)
43     idx = 1;
44 end
45
46     % Pull out current p0 and tf guesses
47 p0 = x(1:6);
48 tf = x(7);
49
50     % Propagate [X0; p0] through CHW equations according to the guess
51 X0 = [cubesat.X0; p0];
```

```

52 [t, X] = ode45(@(t,X)CHWEOM(t,X,cubesat,courseParams), [cubesat.t0, tf], x0,
53 opt);
54
55 % Calculate optimal control if segment guess plotting is enabled
56 if debug(2)
57     % Back out optimal control
58     uOpt = [];
59     for k = 1:length(t)
60         pvx = X(k,10);
61         pvy = X(k,11);
62         pvz = X(k,12);
63
64         uMax = 0;
65         if length(cubesat.uMax) > 1 % Axial thrusting is at play!
66             uComp = cubesat.uMax;
67             if abs(pvx) > 1 % Cubesat has max x acceleration at time t
68                 uMax = uMax + [uComp(1); 0; 0];
69             end
70             if abs(pvy) > 1 % Cubesat has max y acceleration at time t
71                 uMax = uMax + [0; uComp(2); 0];
72             end
73             if abs(pvz) > 1 % Cubesat has max z acceleration at time t
74                 uMax = uMax + [0; 0; uComp(3)];
75             end
76         else
77             uMax = cubesat.uMax;
78         end
79
80         pvHat = [pvx; pvy; pvz]/norm([pvx; pvy; pvz]);
81         u = -norm(uMax)*pvHat';
82         uOpt = [uOpt; u];
83     end
84
85     % Pull out final position
86 rf = X(end,1:3)';
87
88     % Assign cost depending on the problem being solved
89 if isFinal % Final problem - just minimize time to come to a stop
90     K = tf - cubesat.t0;
91 else % Initial/intermediate problem - aim for rings and minimize time
92     dVec = rf - ring.center;
93     K = norm(dVec) + (tf - cubesat.t0);
94 end
95
96     % Plot iteration
97 if debug(2)
98     % figure(69420); clf;
99     % hold on; grid on; axis equal
100    % title("Iterated Trajectory");
101    % plotRing(ring.params.lastRing, 'g-');
102    % plot3(X(:,1), X(:,2), X(:,3), 'k-');
103    % plotRing(ring, 'r-');
104    % xlabel("Radial [km]"); ylabel("Along Track [km]"); zlabel("Cross

```

```
105     Track [km]);
106 %    view([30 35]); drawnow;
107 titleText = sprintf("Iterated Trajectory");
108 xLabel = "Radial [m]"; yLabel = "Along Track [m]"; zLabel = "Cross Track [
109     m]";
110 plotSegment(cubesat, ring, t, X, uOpt, 69420, titleText, xLabel, yLabel,
111 zLabel);
112 end
113 end
```

## E. Constraints

[Back to index: C.A](#)

```
1 function [c, ceq] = constraints(x, ring, cubesat, courseParams, opt, isFinal)
2 % Nonlinear constraint function that is passed into fmincon, places
3 % constraints on the trajectory and other aspects of the current ring
4 % problem
5 % Inputs:
6 %     - x: Vector of parameters to minimize the cost function with. Here,
7 %           that's [p0; t_f]
8 %     - ring: Ring structure for the ring the CubeSat is trying to get
9 %           to, as defined in generateRing.m
10 %     - cubesat: Cubesat structure for the CubeSat in question, as
11 %               defined by generateCubesat.m
12 %     - courseParams: Course parameters structure that includes the mean
13 %                     motion of the race course origin's orbit
14 %     - opt: ODE45 options via odeset
15 %     - isFinal: Boolean indicating whether this ring corresponds to the
16 %               final problem or not
17 %
18 % Outputs:
19 %     - c: Nonlinear inequality vector, such that c(x) <= 0 for all
20 %           entries of c. If no inequalities, c = [].
21 %     - ceq: Nonlinear equality vector, such that ceq(x) == 0 for all
22 %           entries of c
23 %
24 % By: Ian Faber, 04/19/2025
25 %
26
27 % Pull out parameters
28 p0 = x(1:6);
29 tf = x(7);
30
31 % Pull out ring parameters
32 n = ring.normal;
33 nHat = n/norm(n);
34
35 % Find initial and final states for current p0 and t_f guess
36 X0 = [cubesat.X0; p0];
37 [t, X] = ode45(@(t,X)CHWEOM(t,X,cubesat,courseParams), [cubesat.t0 tf], X0,
38 opt);
39 r0 = X(1,1:3)';
40 v0 = X(1,4:6)';
41
42 rf = X(end,1:3)';
43 vf = X(end,4:6)';
44
45 vfHat = vf/norm(vf);
46
47 pf = X(end,7:end)';
48
49 % Pull out cubesat max acceleration at t0 and tf
50 uMax = cubesat.uMax;
```

```

51 uMax0 = zeros(3,1);
52 uMaxf = zeros(3,1);
53 if length(uMax) > 1 % Axial thrusting is at play!
54     % t0
55     if abs(dot(p0(4:6),[1;0;0])) > 0 % CubeSat has max x acceleration at t0
56         uMax0 = uMax0 + [uMax(1); 0; 0];
57     end
58     if abs(dot(p0(4:6),[0;1;0])) > 0 % CubeSat has max y acceleration at t0
59         uMax0 = uMax0 + [0; uMax(2); 0];
60     end
61     if abs(dot(p0(4:6),[0;0;1])) > 0 % CubeSat has max z acceleration at t0
62         uMax0 = uMax0 + [0; 0; uMax(3)];
63     end
64     % tf
65     if abs(dot(pf(4:6),[1;0;0])) > 0 % CubeSat has max x acceleration at tf
66         uMaxf = uMaxf + [uMax(1); 0; 0];
67     end
68     if abs(dot(pf(4:6),[0;1;0])) > 0 % CubeSat has max y acceleration at tf
69         uMaxf = uMaxf + [0; uMax(2); 0];
70     end
71     if abs(dot(pf(4:6),[0;0;1])) > 0 % CubeSat has max z acceleration at tf
72         uMaxf = uMaxf + [0; 0; uMax(3)];
73     end
74 else
75     uMax0 = uMax;
76     uMaxf = uMax;
77 end
78
79     % Calculate initial and final gravity accelerations
80 g_0 = [2*courseParams.n*v0(2) + 3*courseParams.n^2*r0(1); -2*courseParams.n*v0
81 (1); -courseParams.n^2*r0(3)];
81 g_f = [2*courseParams.n*vf(2) + 3*courseParams.n^2*rf(1); -2*courseParams.n*vf
82 (1); -courseParams.n^2*rf(3)];
83
84     % Calculate initial and final Hamiltonians
84 H0 = p0(1:3)'*v0 + p0(4:6)'*g_0 - norm(p0(4:6))*norm(uMax0);
85 Hf = pf(1:3)'*vf + pf(4:6)'*g_f - norm(pf(4:6))*norm(uMaxf);
86
87     % Assign final constraints according to problem type
88 if isFinal % Final problem, want vFinal = 0 but also dynamically consistent!
89     No rings to hit
90     % Final velocity constraint
91     ceq_v = vf; % vf = 0
92
93     % Hamiltonian constraints
94     ceq_Hf = Hf - (-1); % Hf = -1
95     ceq_H = Hf - H0; % Hf = H0
96
97     % Manifold constraints
98     ceq_X0 = [r0; v0] - cubesat.X0;
99     ceq_t0 = t(1) - cubesat.t0;
100
101     % Assign final constraints for fmincon
101 ceq = [ceq_v; ceq_H; ceq_Hf; ceq_X0; ceq_t0];

```

```

102     c = [];
103 else % Initial and intermediate problems, want to ensure we pass through rings
104     % keep things dynamically consistent
105     % Ring inequality constraints
106     c_rf_dist = norm(rf - ring.center) - min(ring.S, [], 'all'); % Want in-
107     plane component of final intersection to be close to the ring center,
108     i.e. |r_f - r_r,i| <= smallest size of S
109     c_rf_plane = abs(dot((rf - ring.center),nHat)) - 0.1; %min(ring.S, [], 'all'); % Want normal component of final intersection to be close to
110     the plane of the ring, i.e. dot((r_f = r_r,i), nHat) <= smallest size
111     of S
112
113     % Hamiltonian constraints
114     ceq_Hf = Hf - (-1); % H_f = -1
115     ceq_H = Hf - H0; % H_f = H_0
116
117     % Manifold constraints
118     ceq_X0 = [r0; v0] - cubesat.X0;
119     ceq_t0 = t(1) - cubesat.t0;
120     ceq_vHatf = vfHat - nHat;
121
122     % Assign final constraints for fmincon
123     ceq = [ceq_H; ceq_Hf; ceq_X0; ceq_t0; ceq_vHatf];
124     c = [c_rf_dist; c_rf_plane];
125
126 end
127
128 end

```

## F. Dynamics

Back to index: C.A

```
1 function dX = CHWEOM(t, X, cubeSatParams, courseParams)
2 % Function that implements the Clohessy-Hill-Wiltshire equations, including
3 % the optimal control law for the CubeSat racing problem
4 % Inputs:
5 %     - t: Current integration time
6 %     - X: Current CubeSat state, including adjoints:
7 %         [x; y; z; vx; vy; vz; px; py; pz; pvx; pvy; pvz]
8 %     - cubeSatParams: Parameters structure for the CubeSat of interest,
9 %         as defined by generateCubesat.m
10 %     - courseParams: Parameters structure for the current race course
11 % Outputs:
12 %     - dX: Rate of change of CubeSat state including adjoints
13 %
14 % By: Ian Faber, 03/23/2025
15 %
16 %% Parse state
17 x = X(1);
18 y = X(2);
19 z = X(3);
20 vx = X(4);
21 vy = X(5);
22 vz = X(6);
23 px = X(7);
24 py = X(8);
25 pz = X(9);
26 pvx = X(10);
27 pvy = X(11);
28 pvz = X(12);
29
30 %% Get constants
31 n = courseParams.n;
32
33 uMax = 0;
34 if length(cubeSatParams.uMax) > 1 % Axial thrusting is at play!
35     u = cubeSatParams.uMax;
36     if abs(pvx) > 1 % Cubesat has max x acceleration at time t
37         uMax = uMax + [u(1); 0; 0];
38     end
39     if abs(pvy) > 1 % Cubesat has max y acceleration at time t
40         uMax = uMax + [0; u(2); 0];
41     end
42     if abs(pvz) > 1 % Cubesat has max z acceleration at time t
43         uMax = uMax + [0; 0; u(3)];
44     end
45 else
46     uMax = cubeSatParams.uMax;
47 end
48
49 %% Physical dynamics
50 rDot = [vx; vy; vz];
51
```

```

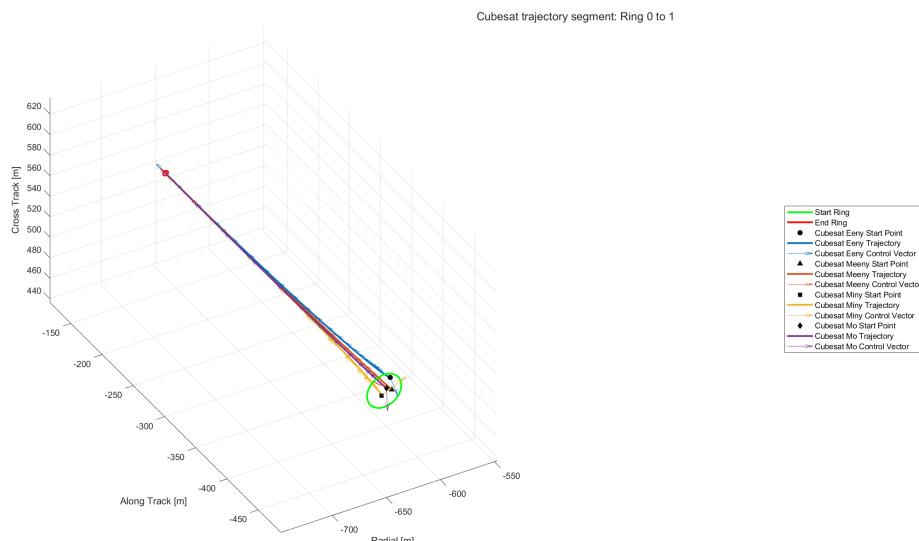
52 pvHat = [pvx; pvy; pvz]/norm([pvx; pvy; pvz]);
53 g = [2*n^vy + 3*n^2*x; -2*n^vx; -n^2*z];
54 vDot = g - norm(uMax)*pvHat;
55
56 %> Adjoint dynamics
57 pr = [px; py; pz];
58 pv = [pvx; pvy; pvz];
59
60 prDot = -[3*n^2 0 0; 0 0 0; 0 0 -n^2] *pv;
61 pvDot = -pr - [0 2*n 0; -2*n 0 0; 0 0 0] *pv;
62
63 %> Assign output
64 dX = [rDot; vDot; prDot; pvDot];
65
66 end

```

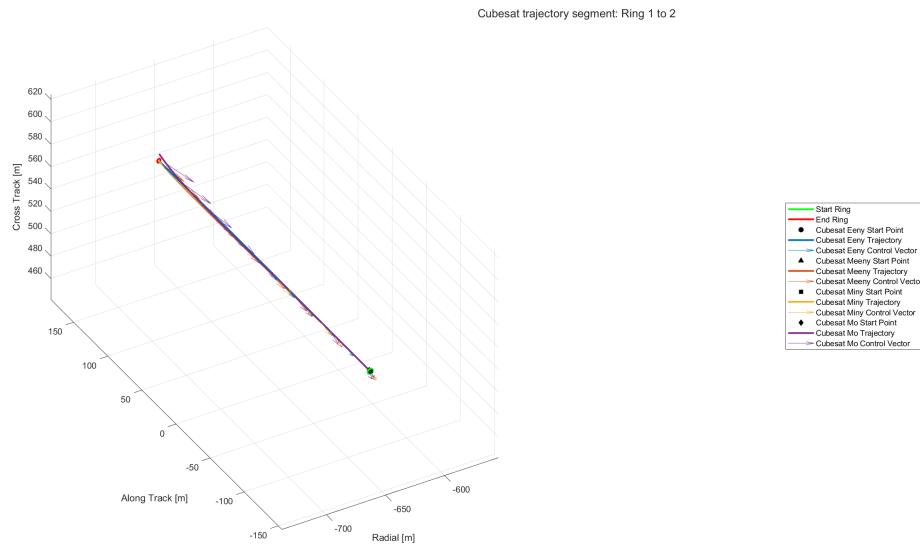
## D. Scenario 1 Individual Ring Segments

[Back to appendix index: A.A](#)

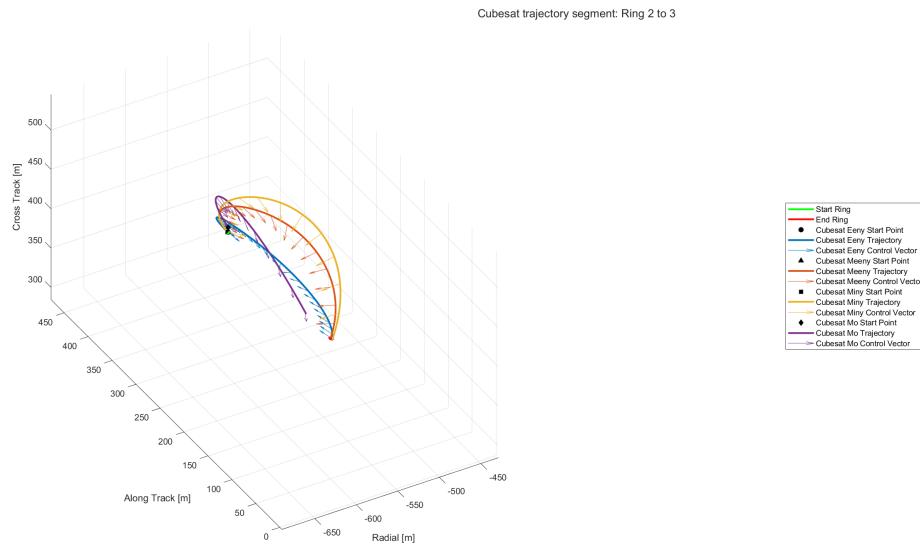
Here is a deluge of plots showing off each ring segment of Scenario 1. Enjoy!



**Fig. 13 Scenario 1 Segment 0 to 1**

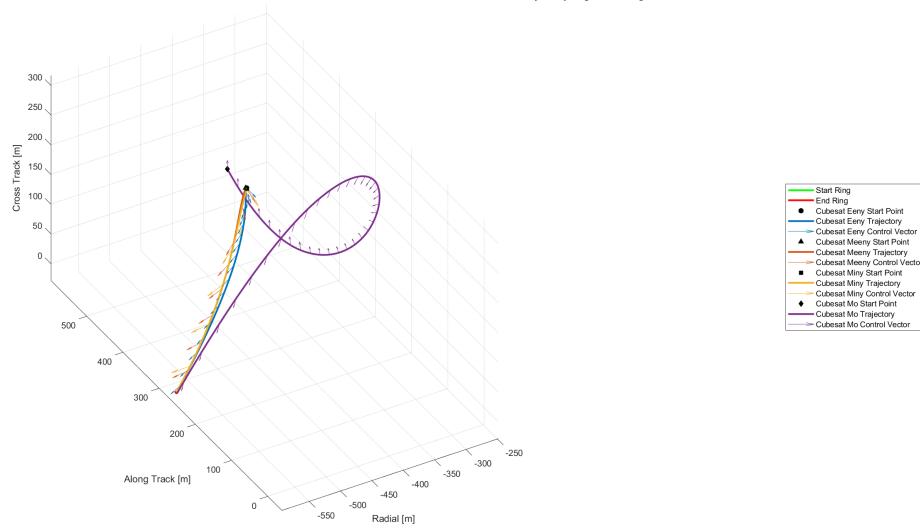


**Fig. 14 Scenario 1 Segment 1 to 2**



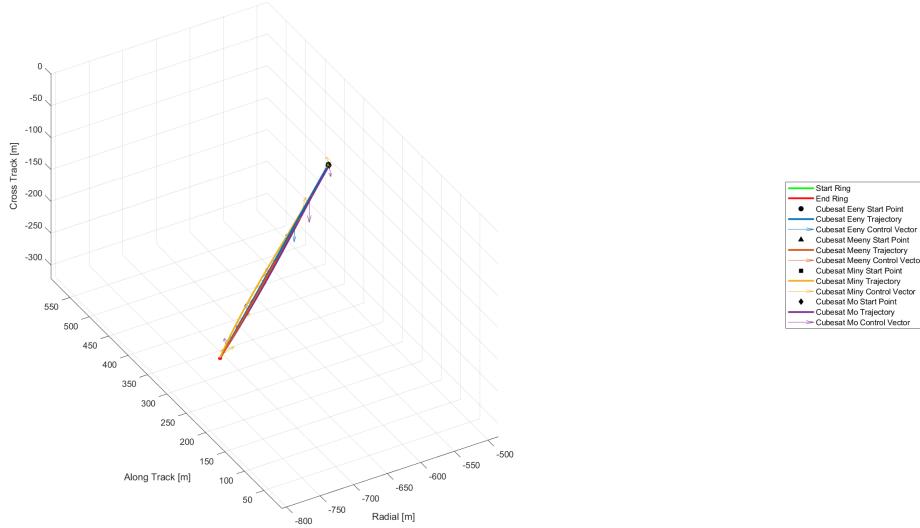
**Fig. 15 Scenario 1 Segment 2 to 3**

Cubesat trajectory segment: Ring 3 to 4

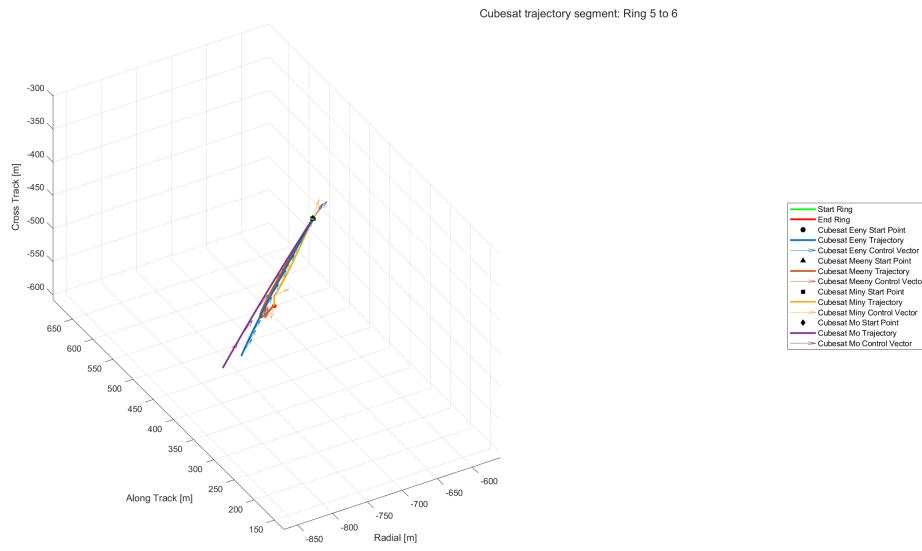


**Fig. 16 Scenario 1 Segment 3 to 4**

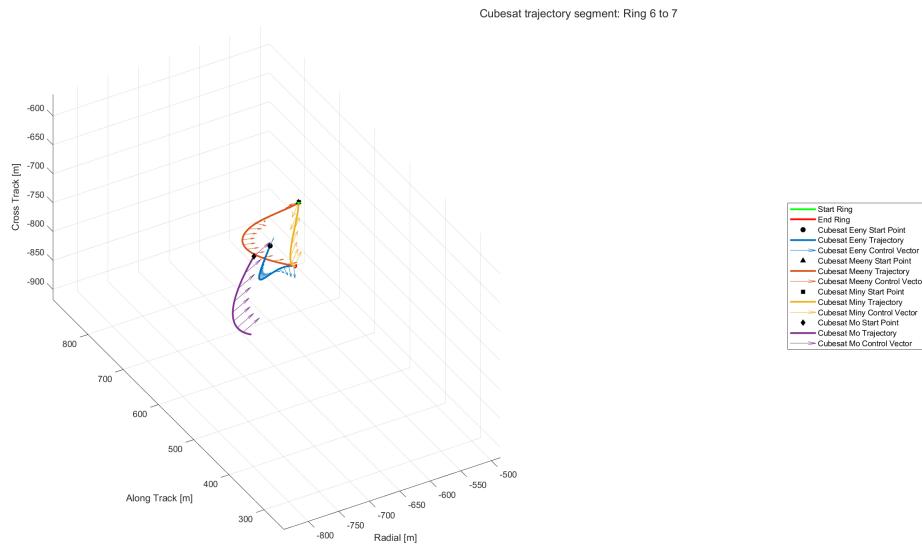
Cubesat trajectory segment: Ring 4 to 5



**Fig. 17 Scenario 1 Segment 4 to 5**

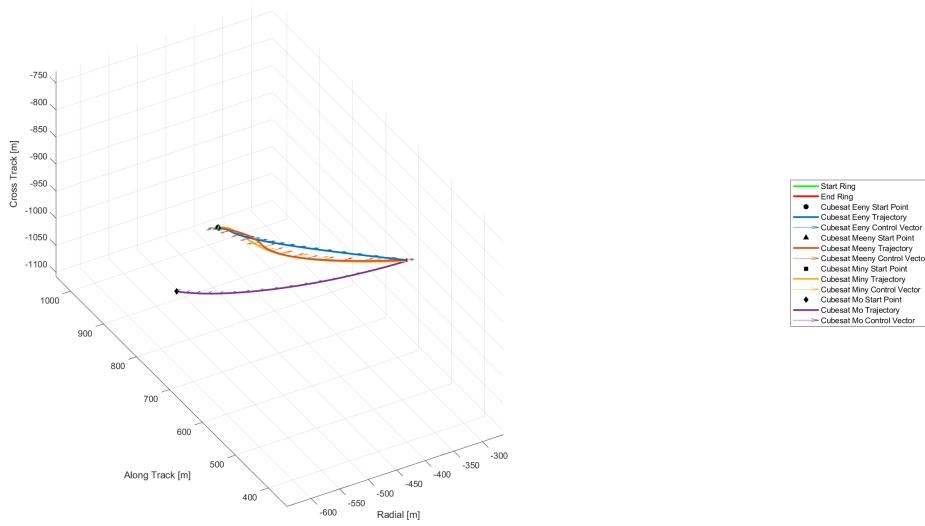


**Fig. 18 Scenario 1 Segment 5 to 6**



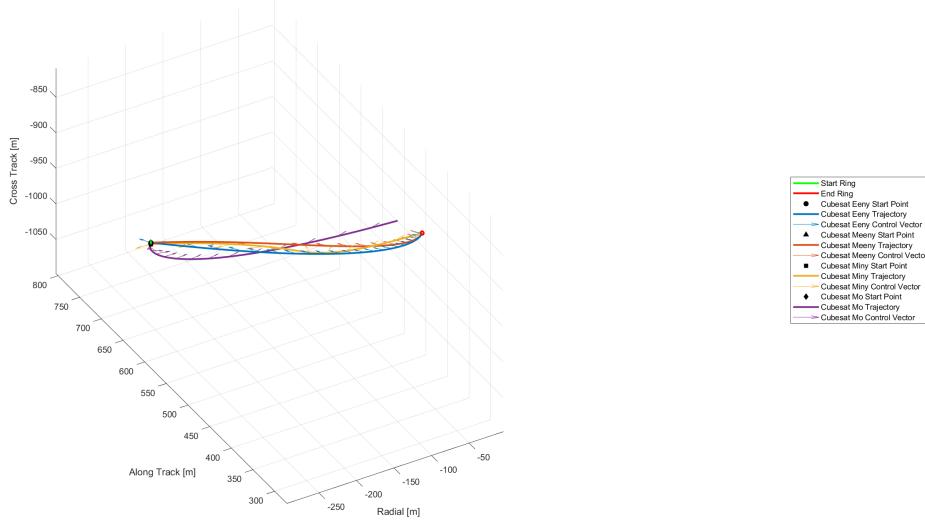
**Fig. 19 Scenario 1 Segment 6 to 7**

Cubesat trajectory segment: Ring 7 to 8

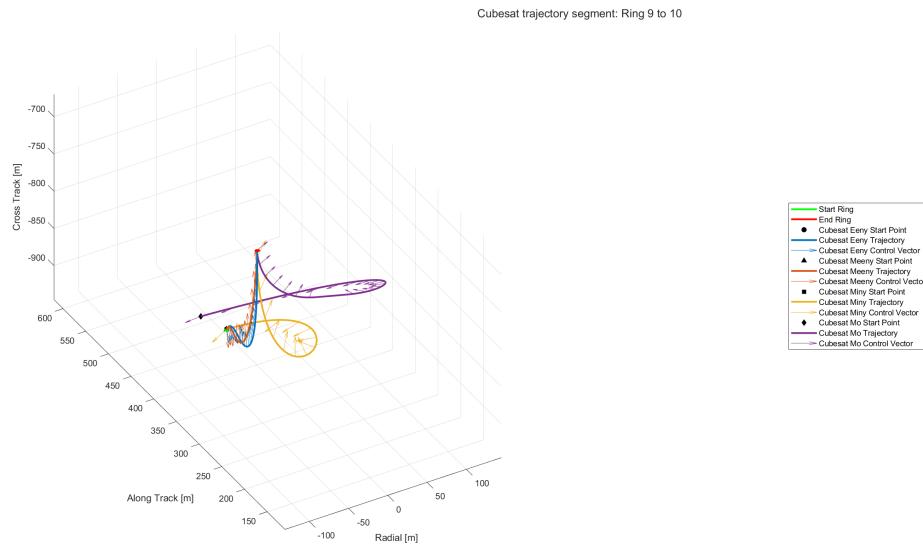


**Fig. 20 Scenario 1 Segment 7 to 8**

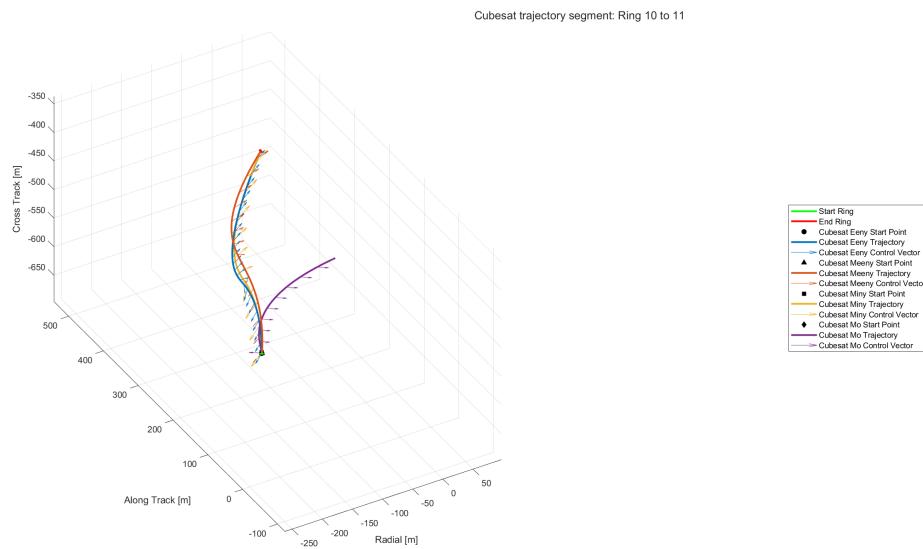
Cubesat trajectory segment: Ring 8 to 9



**Fig. 21 Scenario 1 Segment 8 to 9**

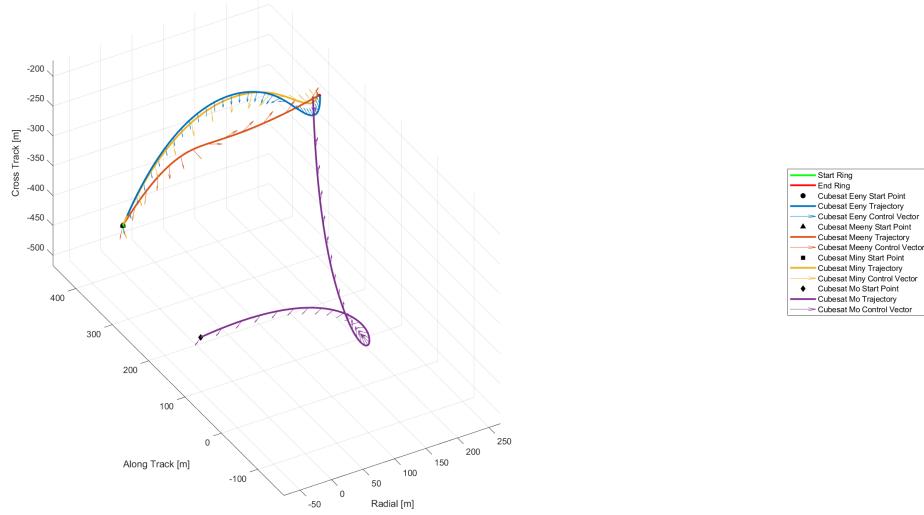


**Fig. 22 Scenario 1 Segment 9 to 10**



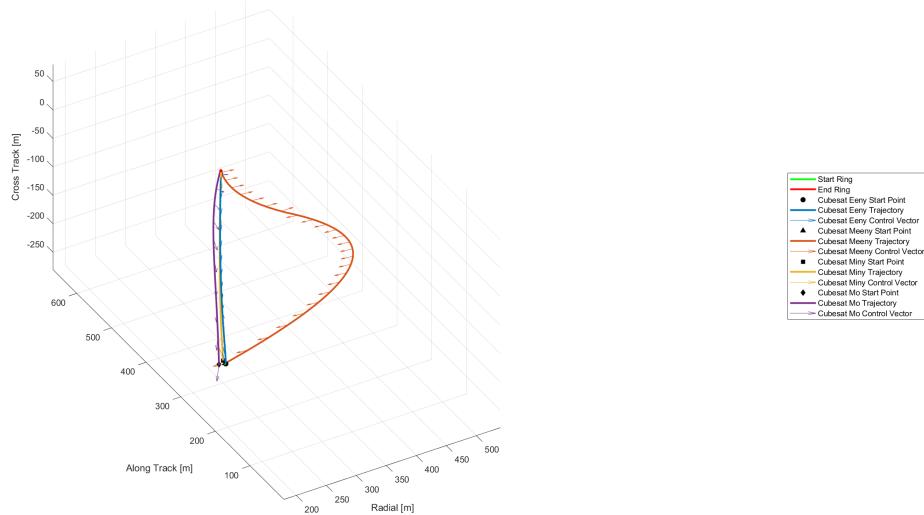
**Fig. 23 Scenario 1 Segment 10 to 11**

Cubesat trajectory segment: Ring 11 to 12



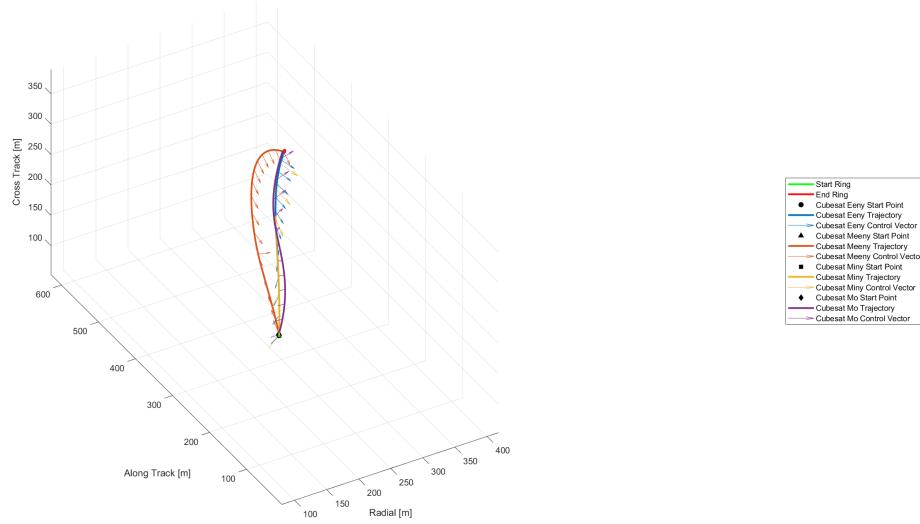
**Fig. 24 Scenario 1 Segment 11 to 12**

Cubesat trajectory segment: Ring 12 to 13



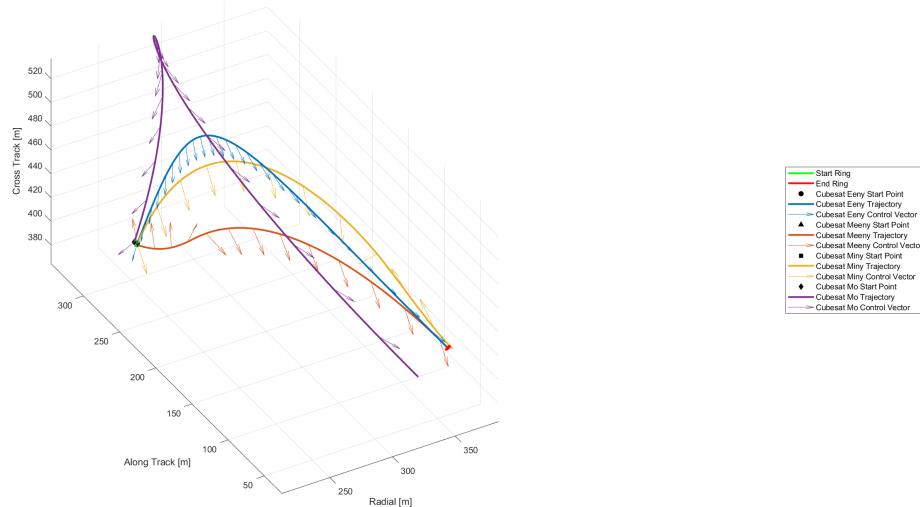
**Fig. 25 Scenario 1 Segment 12 to 13**

Cubesat trajectory segment: Ring 13 to 14

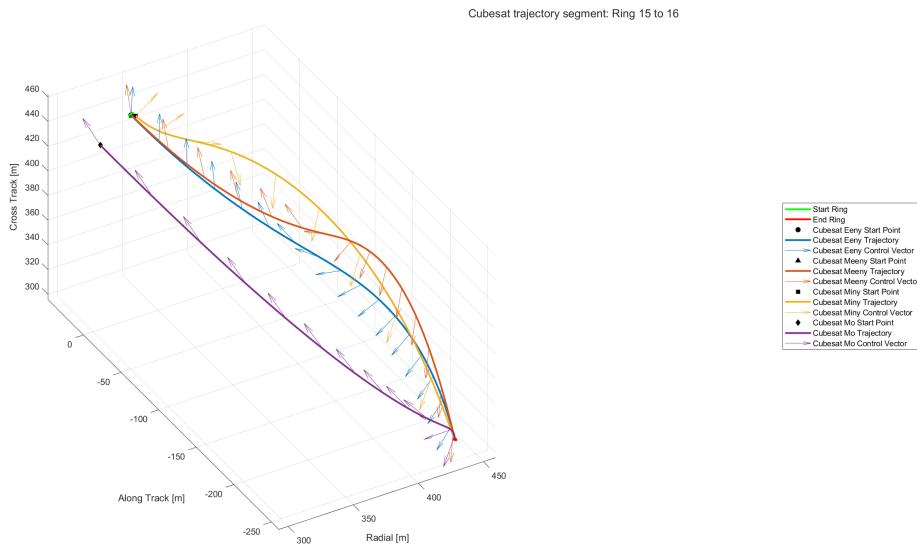


**Fig. 26 Scenario 1 Segment 13 to 14**

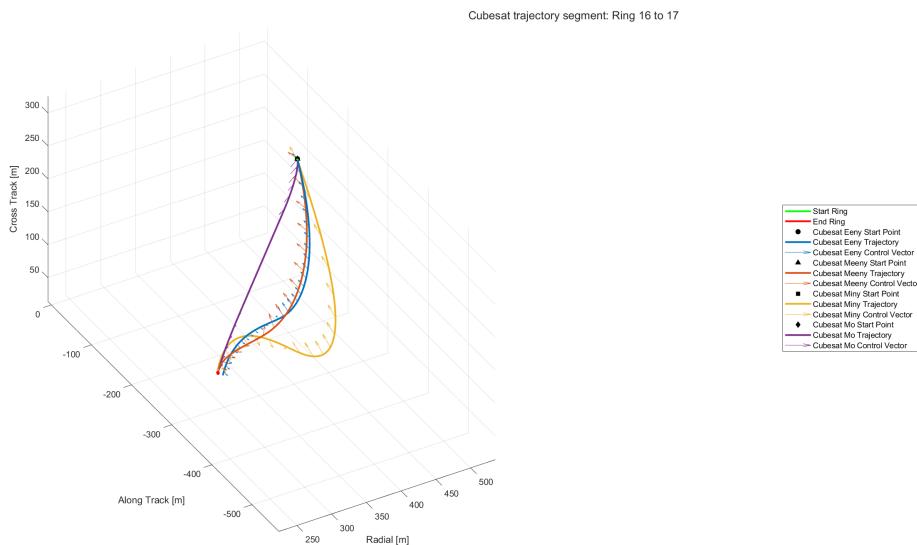
Cubesat trajectory segment: Ring 14 to 15



**Fig. 27 Scenario 1 Segment 14 to 15**

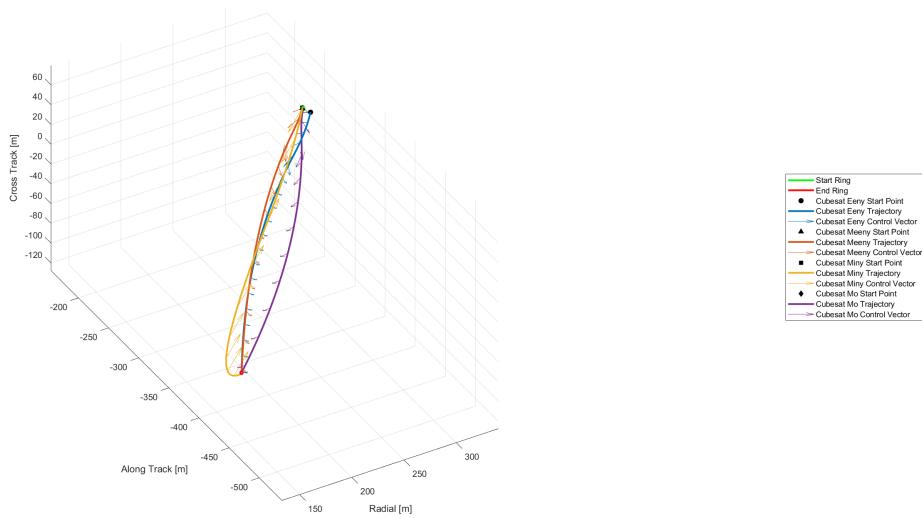


**Fig. 28 Scenario 1 Segment 15 to 16**



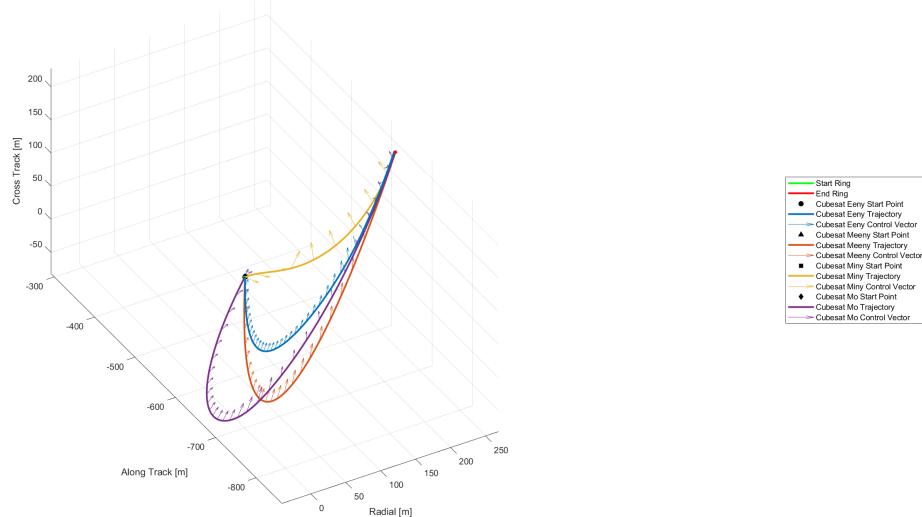
**Fig. 29 Scenario 1 Segment 16 to 17**

Cubesat trajectory segment: Ring 17 to 18



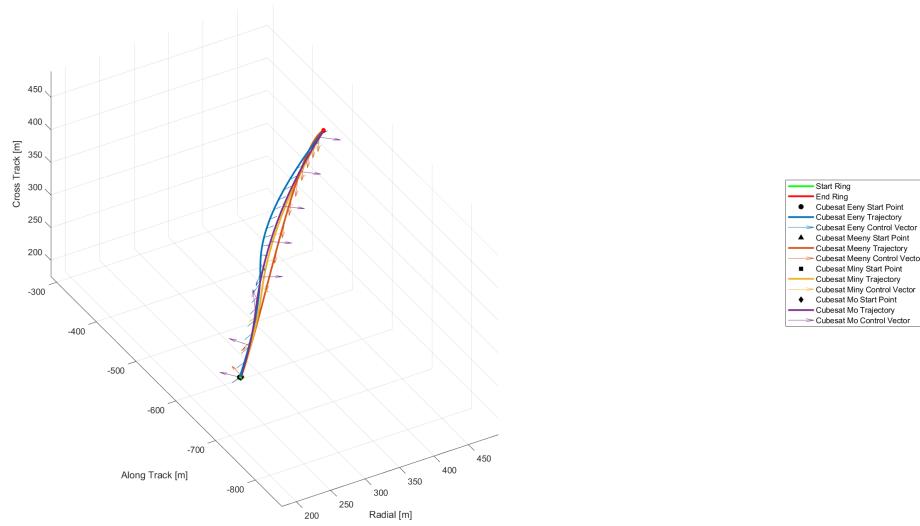
**Fig. 30 Scenario 1 Segment 17 to 18**

Cubesat trajectory segment: Ring 18 to 19



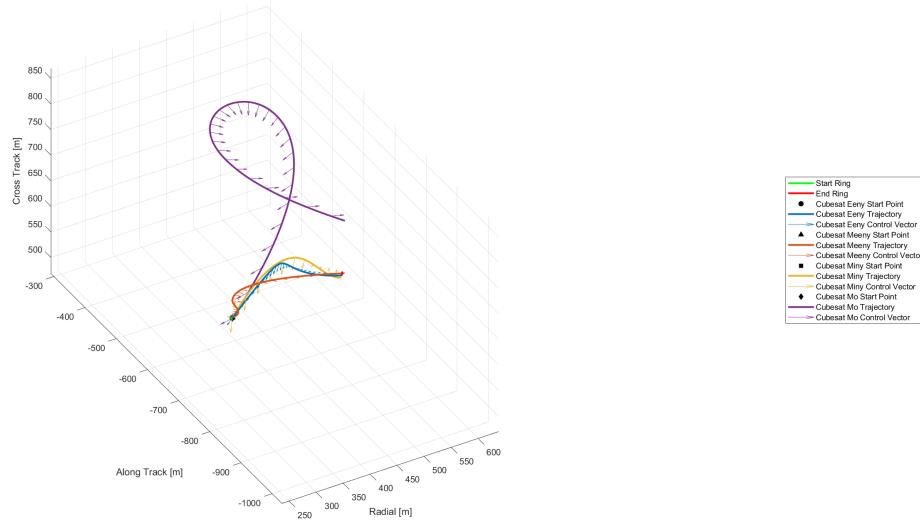
**Fig. 31 Scenario 1 Segment 18 to 19**

Cubesat trajectory segment: Ring 19 to 20

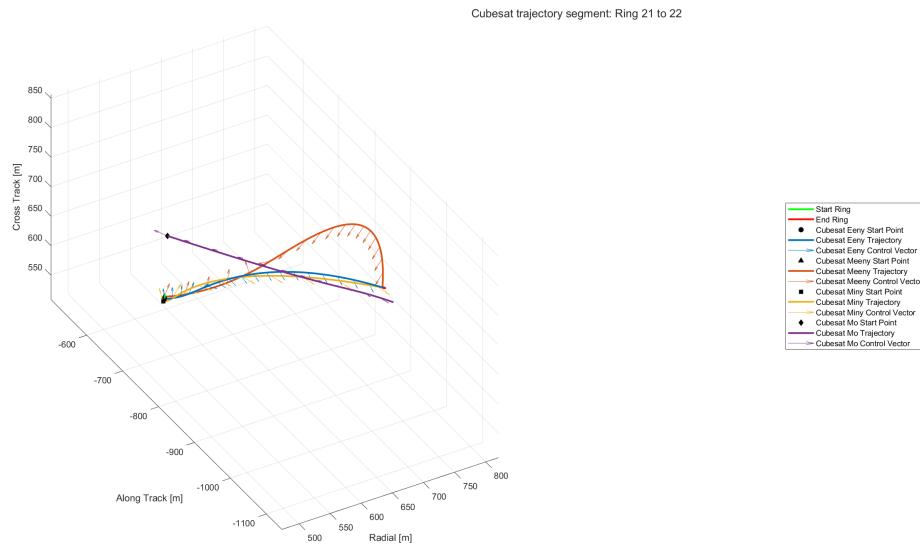


**Fig. 32 Scenario 1 Segment 19 to 20**

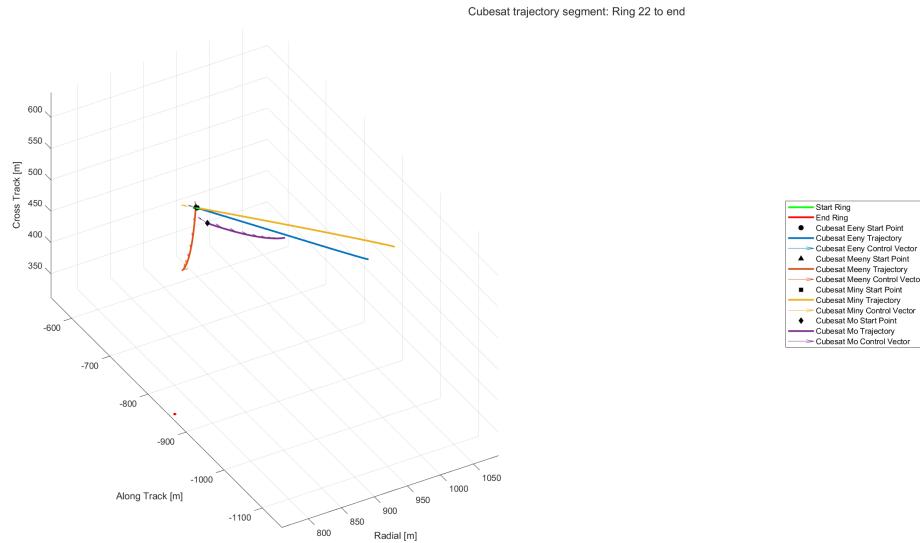
Cubesat trajectory segment: Ring 20 to 21



**Fig. 33 Scenario 1 Segment 20 to 21**



**Fig. 34 Scenario 1 Segment 21 to 22**



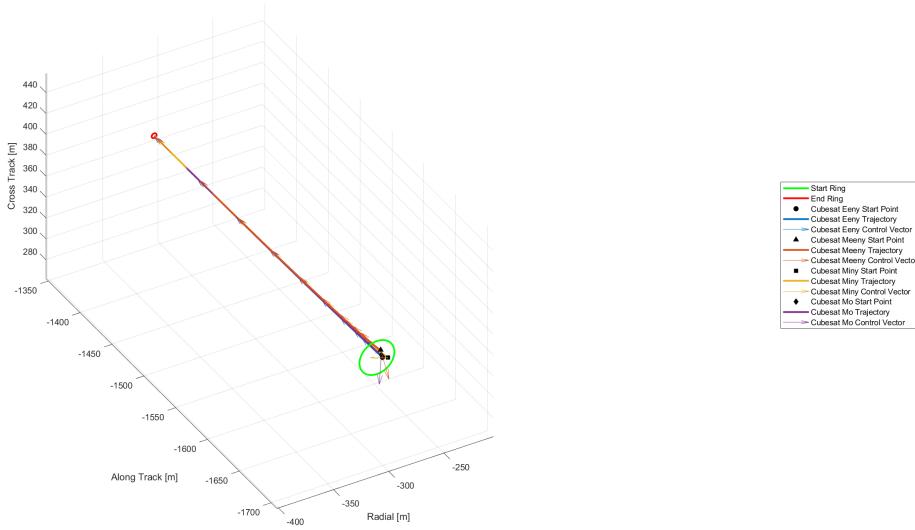
**Fig. 35 Scenario 1 Segment 22 to end**

## E. Scenario 2 Individual Ring Segments

Back to appendix index: A.A

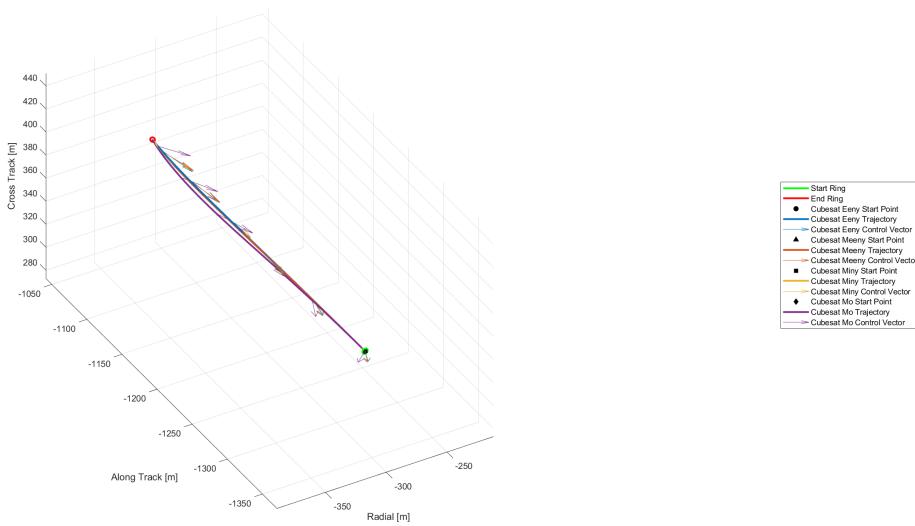
Here is a deluge of plots showing off each ring segment of Scenario 2. Enjoy!

Cubesat trajectory segment: Ring 0 to 1



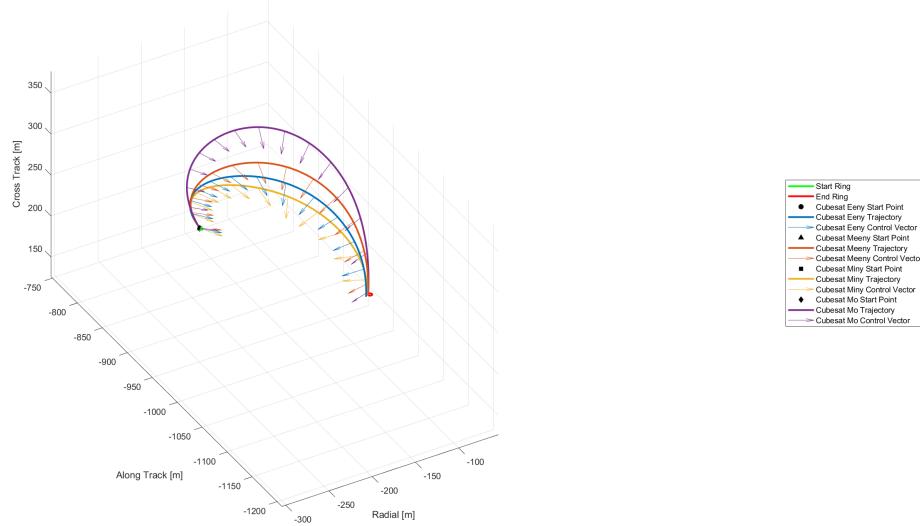
**Fig. 36 Scenario 2 Segment 0 to 1**

Cubesat trajectory segment: Ring 1 to 2



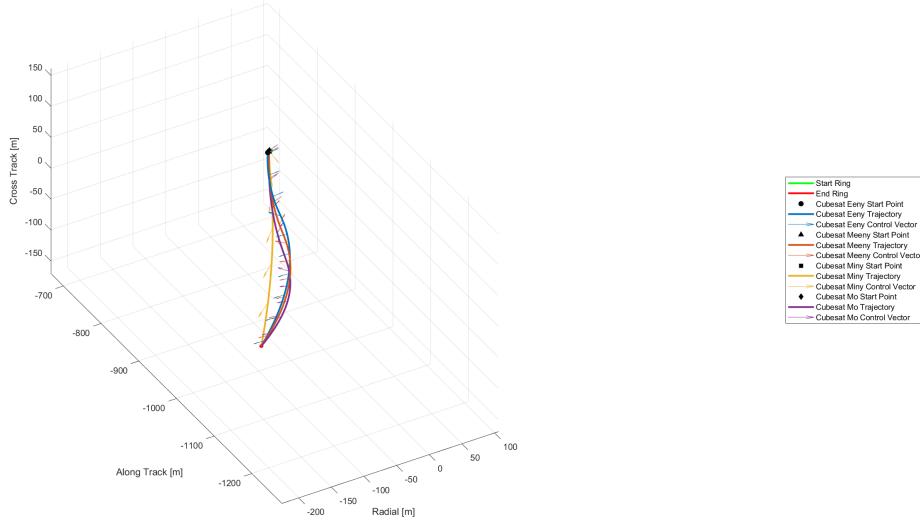
**Fig. 37 Scenario 2 Segment 1 to 2**

Cubesat trajectory segment: Ring 2 to 3



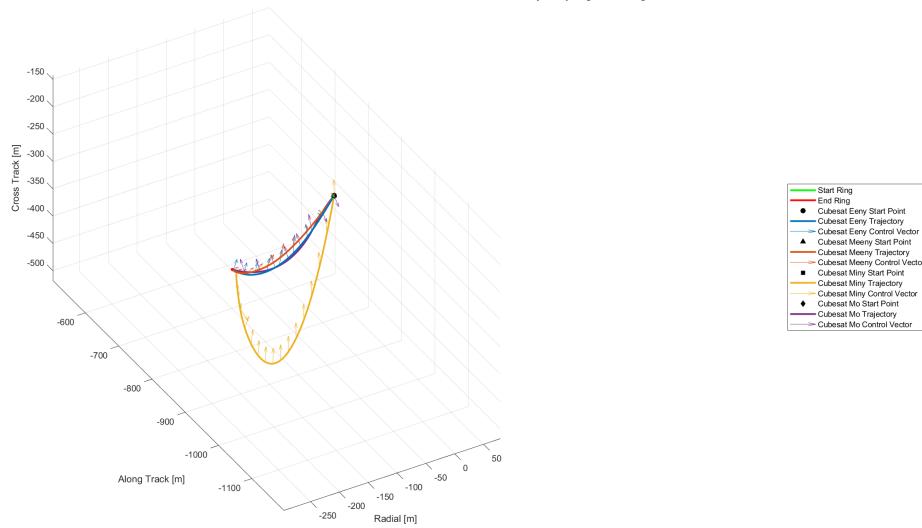
**Fig. 38 Scenario 2 Segment 2 to 3**

Cubesat trajectory segment: Ring 3 to 4



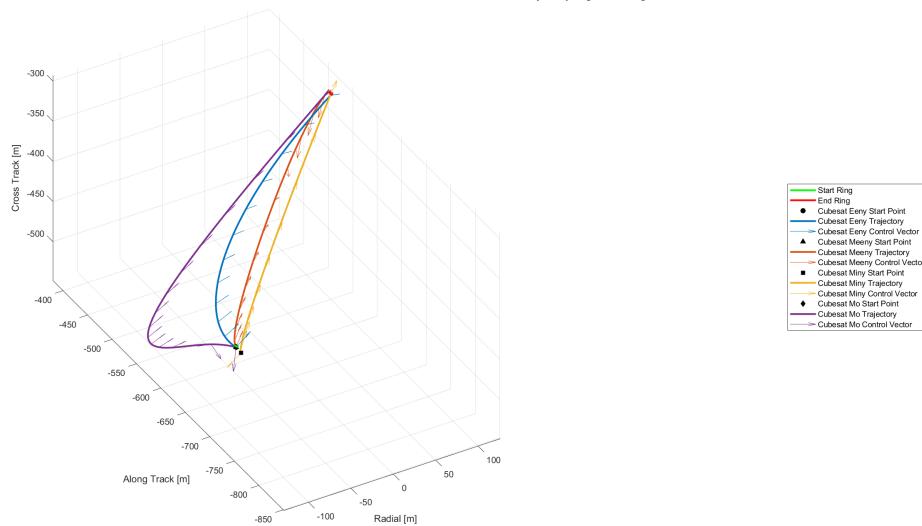
**Fig. 39 Scenario 2 Segment 3 to 4**

Cubesat trajectory segment: Ring 4 to 5



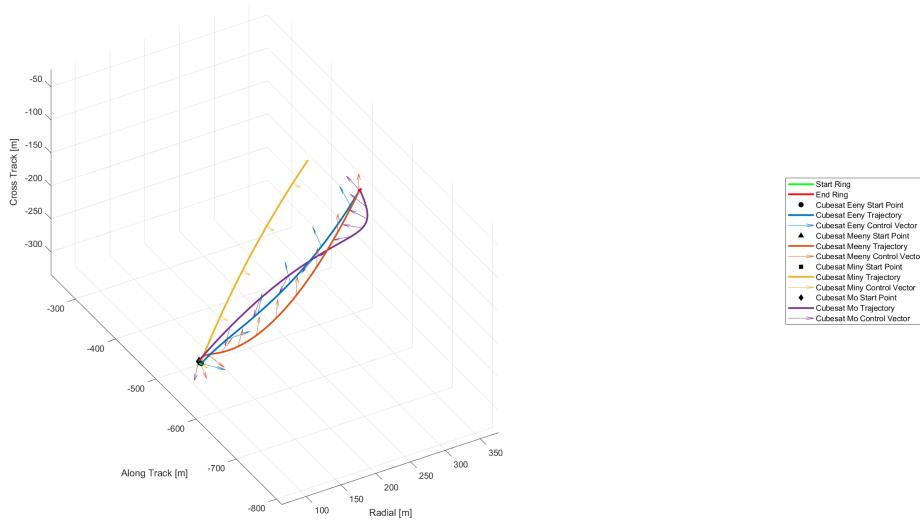
**Fig. 40 Scenario 2 Segment 4 to 5**

Cubesat trajectory segment: Ring 5 to 6



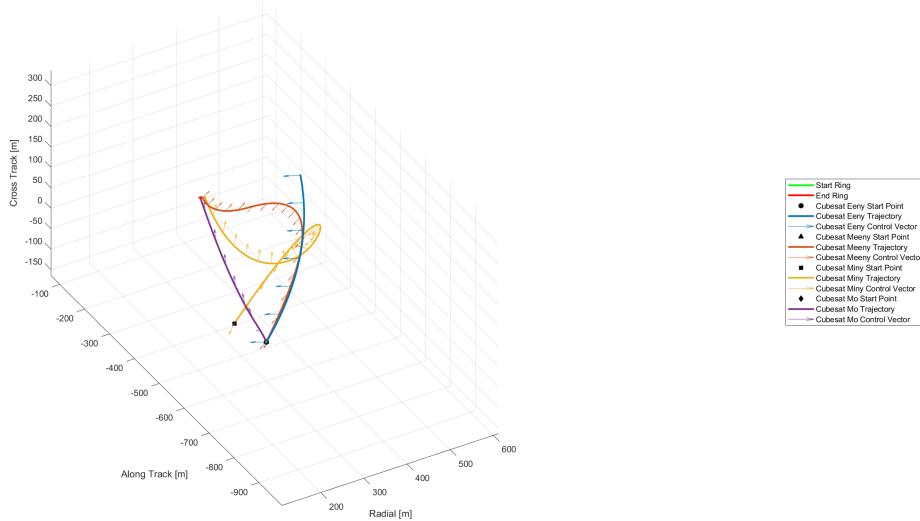
**Fig. 41 Scenario 2 Segment 5 to 6**

Cubesat trajectory segment: Ring 6 to 7

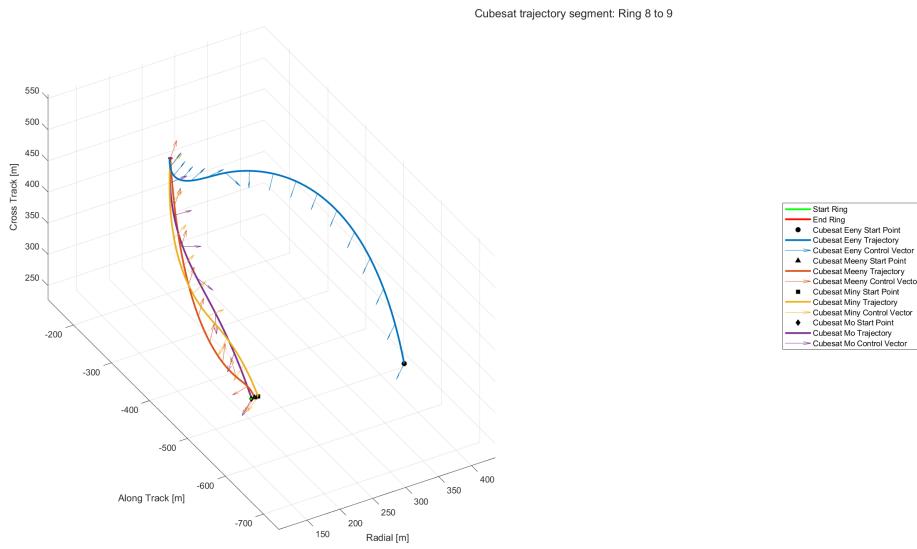


**Fig. 42 Scenario 2 Segment 6 to 7**

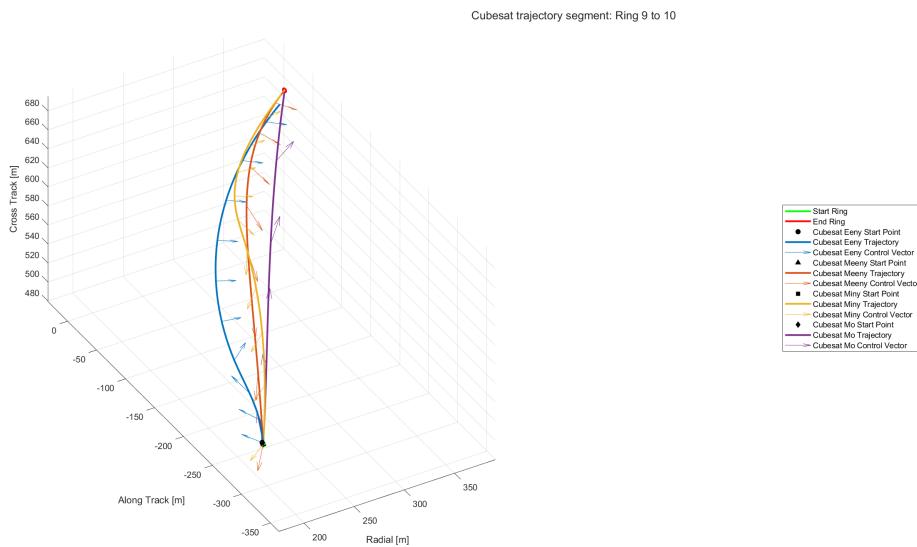
Cubesat trajectory segment: Ring 7 to 8



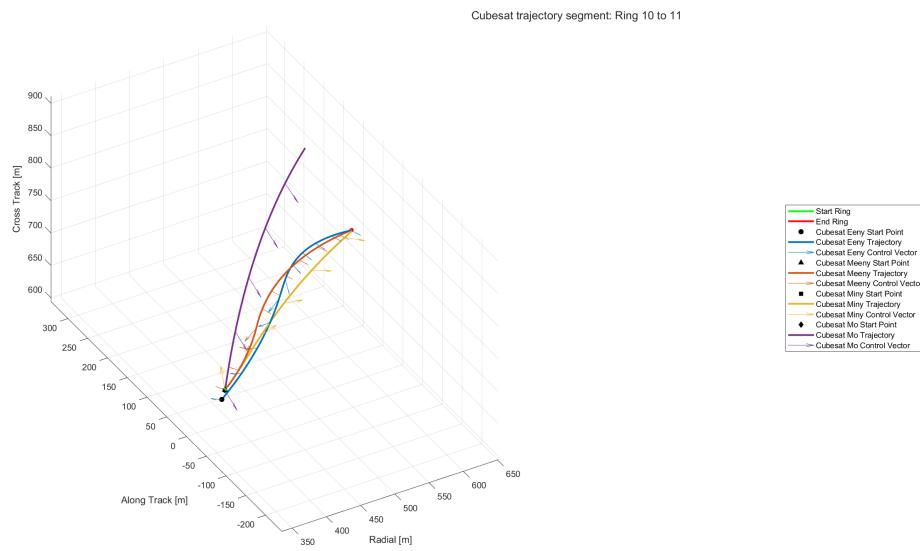
**Fig. 43 Scenario 2 Segment 7 to 8**



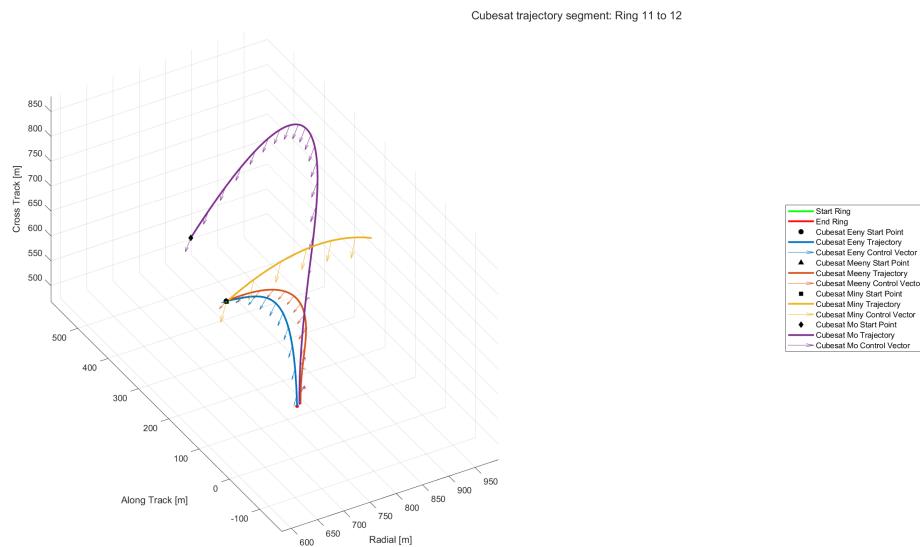
**Fig. 44 Scenario 2 Segment 8 to 9**



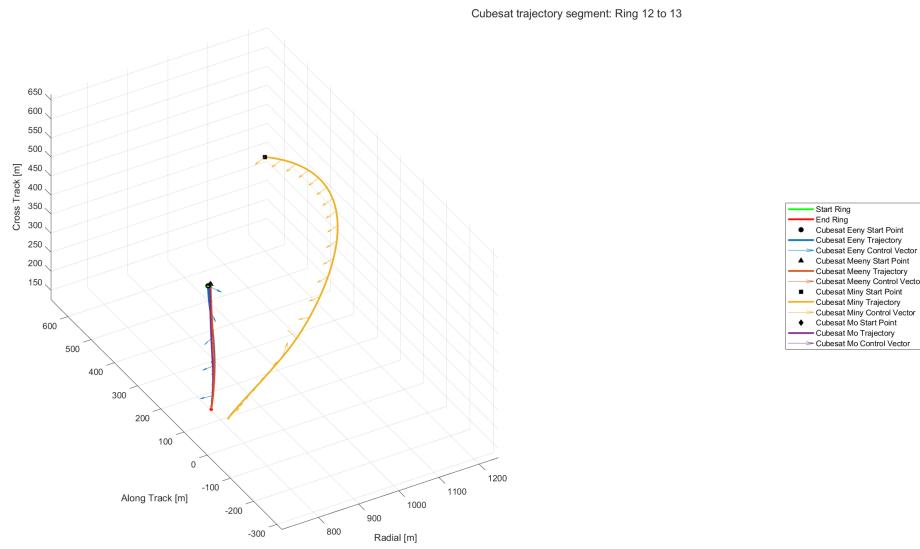
**Fig. 45 Scenario 2 Segment 9 to 10**



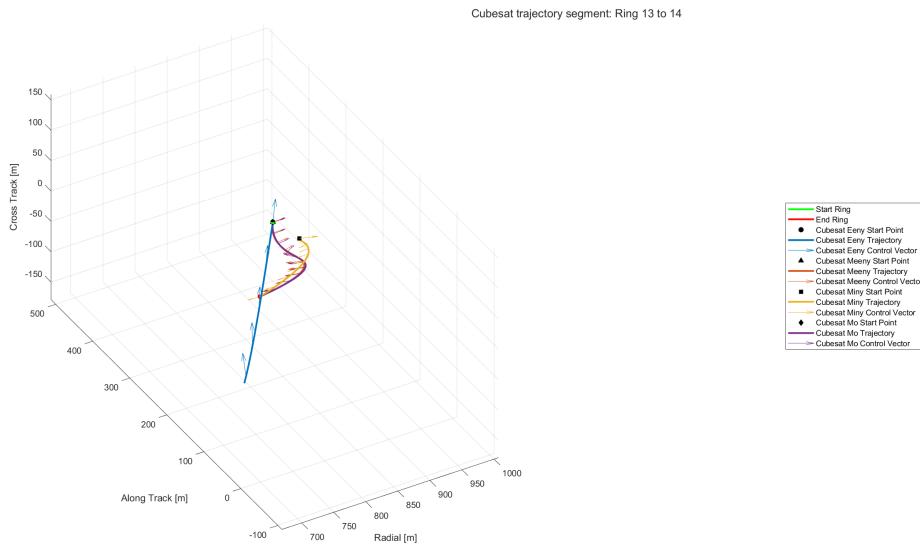
**Fig. 46 Scenario 2 Segment 10 to 11**



**Fig. 47 Scenario 2 Segment 11 to 12**

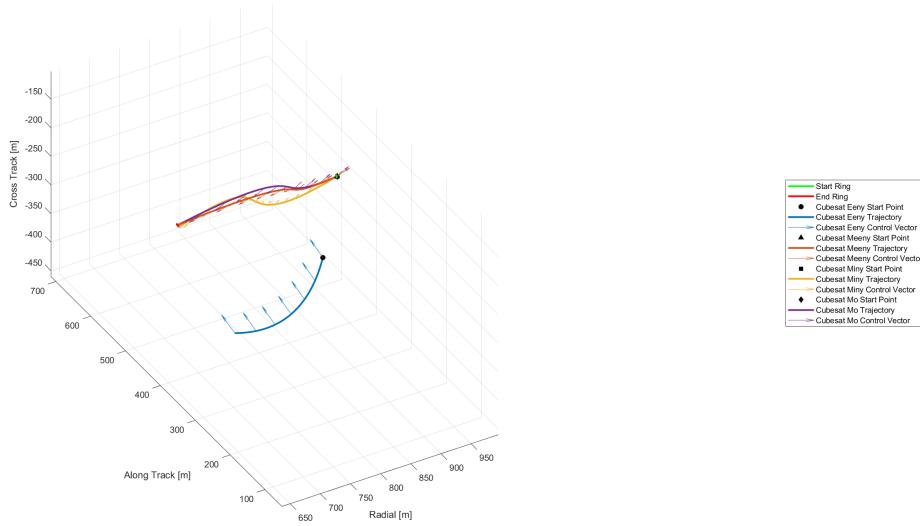


**Fig. 48 Scenario 2 Segment 12 to 13**



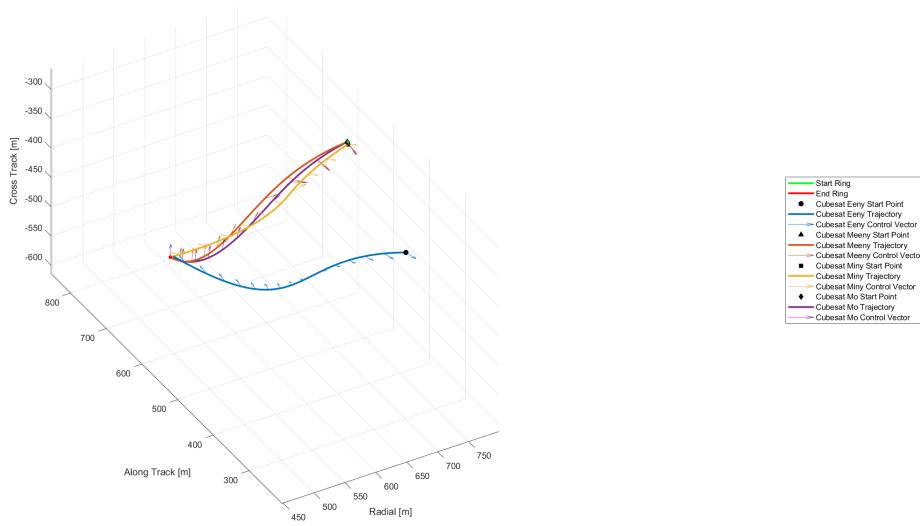
**Fig. 49 Scenario 2 Segment 13 to 14**

Cubesat trajectory segment: Ring 14 to 15

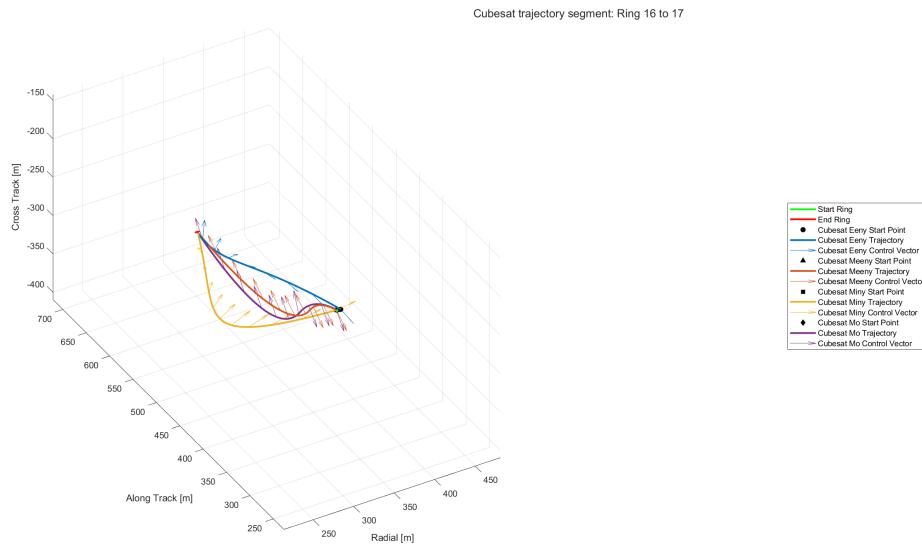


**Fig. 50 Scenario 2 Segment 14 to 15**

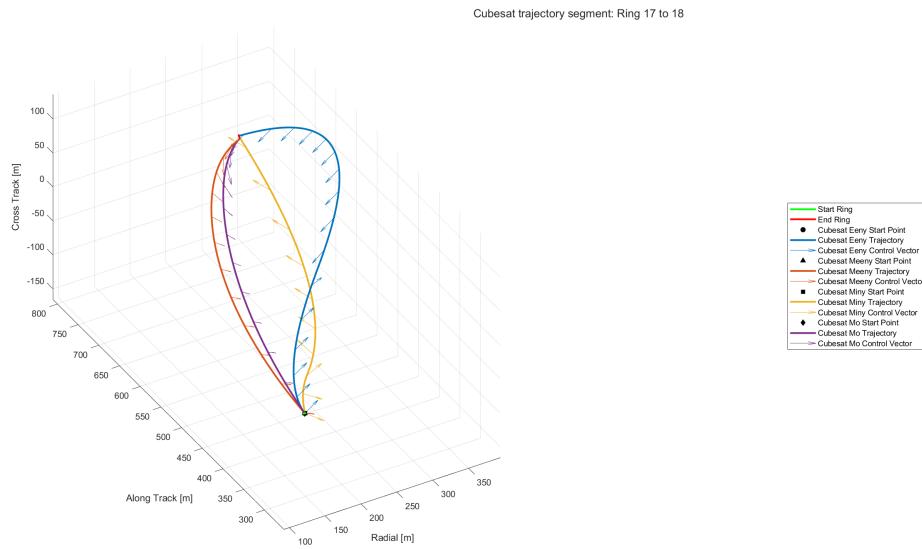
Cubesat trajectory segment: Ring 15 to 16



**Fig. 51 Scenario 2 Segment 15 to 16**

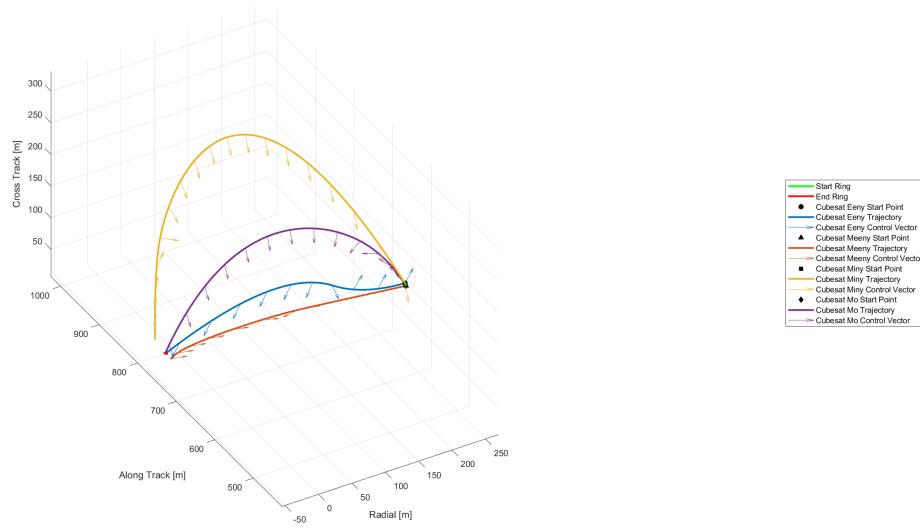


**Fig. 52 Scenario 2 Segment 16 to 17**



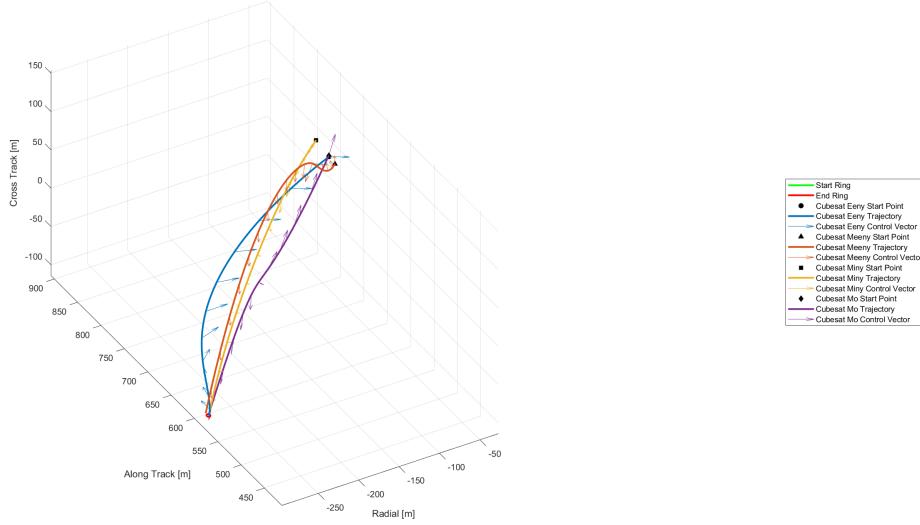
**Fig. 53 Scenario 2 Segment 17 to 18**

Cubesat trajectory segment: Ring 18 to 19



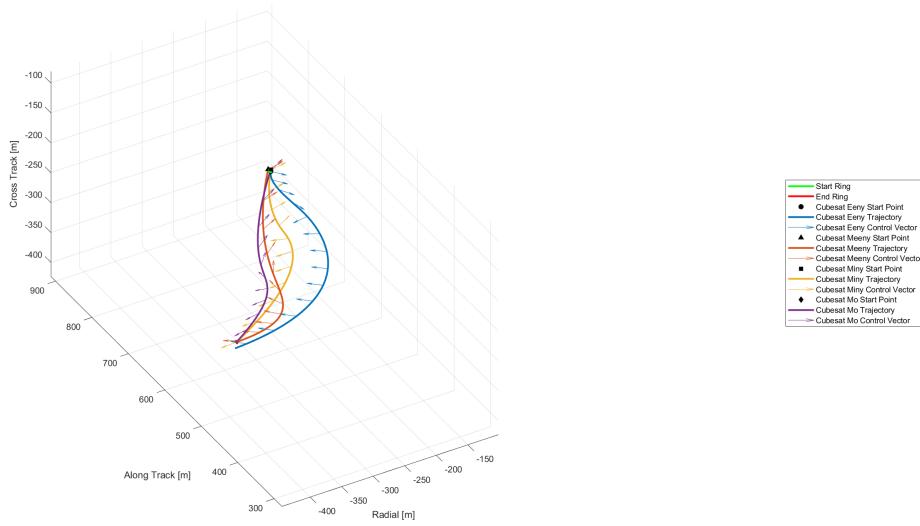
**Fig. 54 Scenario 2 Segment 18 to 19**

Cubesat trajectory segment: Ring 19 to 20



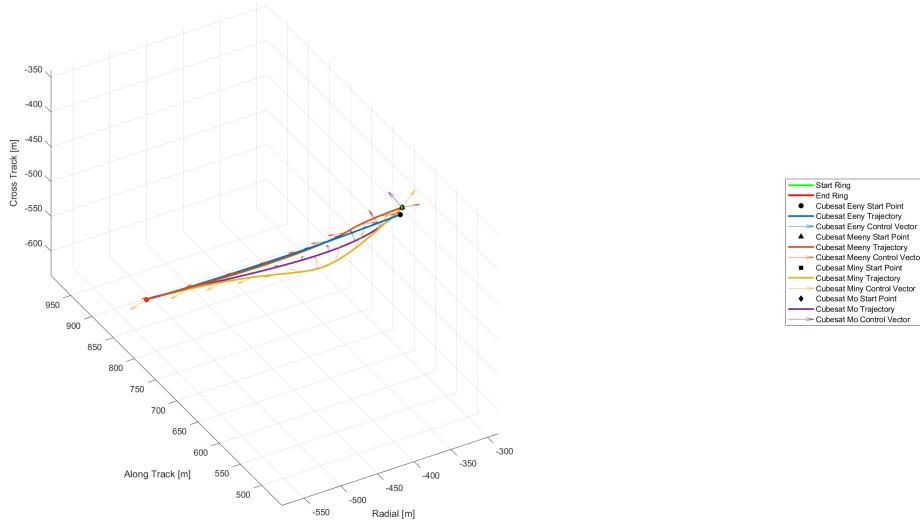
**Fig. 55 Scenario 2 Segment 19 to 20**

Cubesat trajectory segment: Ring 20 to 21

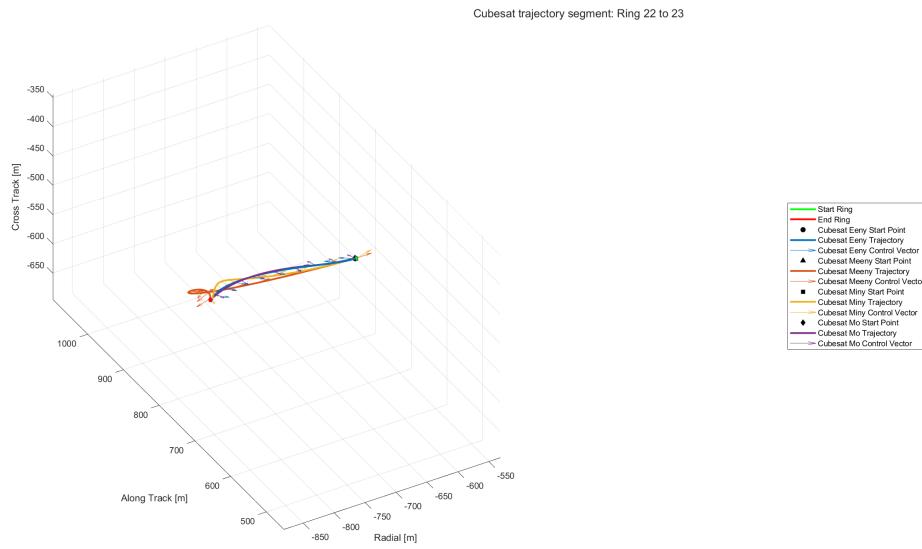


**Fig. 56 Scenario 2 Segment 20 to 21**

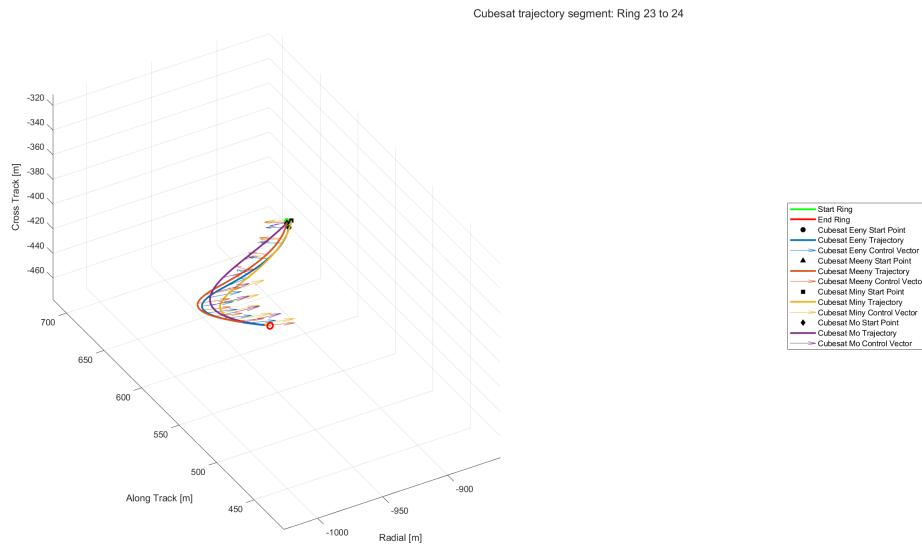
Cubesat trajectory segment: Ring 21 to 22



**Fig. 57 Scenario 2 Segment 21 to 22**

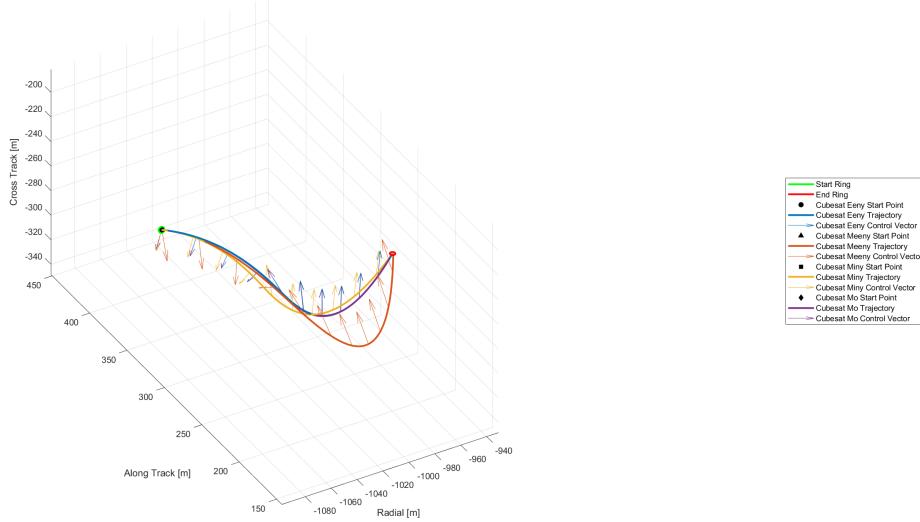


**Fig. 58 Scenario 2 Segment 22 to 23**



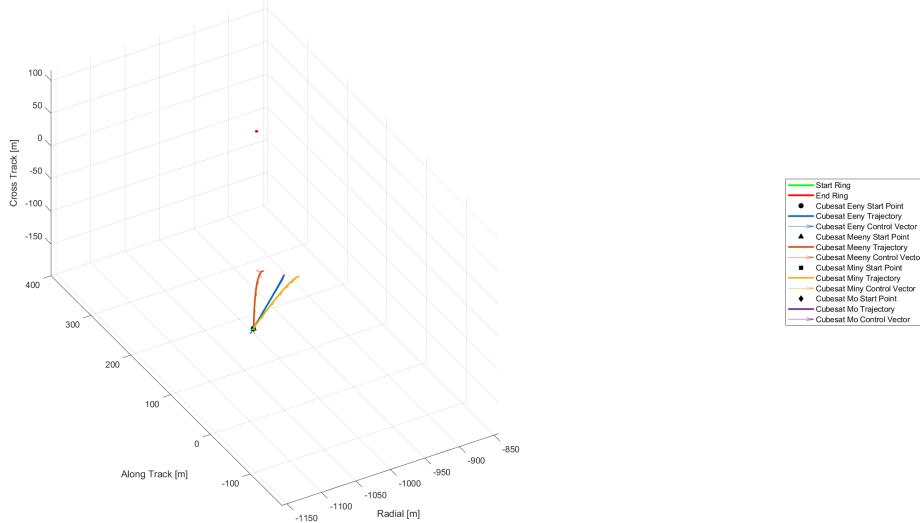
**Fig. 59 Scenario 2 Segment 23 to 24**

Cubesat trajectory segment: Ring 24 to 25



**Fig. 60 Scenario 2 Segment 24 to 25**

Cubesat trajectory segment: Ring 25 to end



**Fig. 61 Scenario 2 Segment 25 to end**