

# **Illustrating How Hydraulic Machinery Works**

submitted by

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## Summary

In this research proposal we present a method to semi-automatically depict *How things work* illustrations of hydraulic machinery.

# Chapter 1

## Introduction

*How things work* visualizations have been used as an efficient method to illustrate how a wide range of systems work. This technique usually involves displaying where each part is in relation to the system, showing how force is transmitted from one piece to the next or animating motion. In order to perform this task a range of visual transformations are used, such as viewing the system from different angles, zoom degrees, transparency levels, as well as displaying only a subset of the parts. Generating material of this sort typically involves manual methods, usually in the form of an expert drawing each illustration by hand or composing a fixed animation using specific software.



Figure 1-1: Overview of the intended workflow

Hydraulic machinery is commonly used in our everyday lives, for instance lifting cars with jacks, rams on excavators or gerotors to control fuel intake, as shown in Figure 1-1a. Their popularity is based on their faculty to transmit a force or torque multiplication independently

of the distance between input and output. Moreover, they operate silently, can wield force accurately and have the ability to apply torque multiplication easily. A typical hydraulic equipment has a contained incompressible fluid that becomes pressurised when a force is applied to it, as shown in Figures 1-2a and 1-2b. Then that force is transmitted to the other end of the fluid. In order to grasp how the whole system works, it is essential to understand how the pressure is directed and how it interacts with other parts in the machinery. Therefore, to illustrate the general process, the spatial configuration of each part in the system must be unveiled, as well as the chain of motions, that takes place within the gears and the hydraulic fluids.

In order to generate *How things work* visualizations, we first need a 3D model of the physical machinery that we will be working with, as shown in Figure 1-1b. There are some common visualisation and illustration techniques used for hydraulic machinery, as shown in Figure 1-1c. **Motion arrows** can point out how the solid parts move and they also indicate fluid flow movement. **Frame sequences** display key frames in complex motions and they can highlight temporal interactions between the parts. **Animations** are an useful tool to show the behaviour of highly dynamic systems, for example when an excessive number of frame sequences are needed in a particularly complicated motion.

Generating visualisations and illustrations for hydraulic machinery is challenging for designers. They must understand in detail what forces are generated when parts interact with each other and what kind of flow movements are entailed. Furthermore, it is impossible to change the viewpoint to explore the object from different angle when 2D illustrations are used. Moreover, when animations for hydraulic visualizations are generated, they are infrequently updated, since manual animation is a costly and time consuming task.

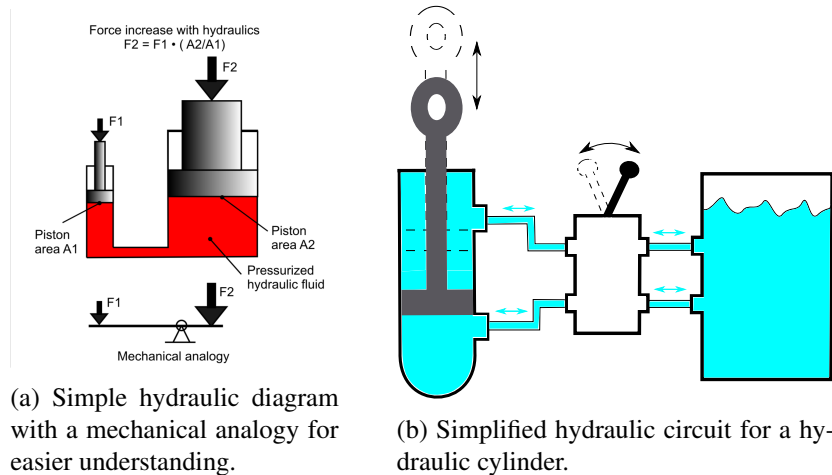


Figure 1-2: Sample diagrams for hydraulic mechanisms.

There has been some work done on automatically generating illustrations and visualizations on mechanical assemblies. Nevertheless, it has been restricted to gear to gear interaction only, i.e. solid parts interacting with other solid parts, as gear movement can be inferred using symmetry information, whereas work on fluid simulation and visualization has not been applied to hydraulic equipment. Simulations in this field are usually designed to either generate complex visualizations, for engineering purposes, or to produce visually plausible, yet not physically accurate results for animation or games.

This research proposal aims to introduce a method for generating *How things work* illustrations for hydraulic machinery. Simulations would be used to generate explanatory depictions of hydraulic machinery, these illustrations would help users understanding how this kind of equipment works.

In summary, the main contributions would be:

- An application for creating how things works illustration for 3D hydraulic machinery models.
- A method for detecting motion and interaction of fluid in the model parts.
- An algorithm to automatically generate illustrations with motion arrows and key frame sequences.

## Chapter 2

# Previous Work

### 2.1 Part analysis

Libraries of 3D mesh models are quite common [Tri14], [Gra14], [Aut14], in addition the material available to download is abundant and diverse. Usually the objects are also represented using points clouds, which can be obtained easily from the meshes. However, clouds and mesh models lack middle and high level information, for instance symmetry or parallelism information. Therefore, we must extract this data from either representation. Since solving for general shapes is quite challenging, a number of constrained approaches have been proposed. Moreover, part analysis goes beyond part segmentation, including part semantics and part relations analysis. For an overview of segmentation techniques, we refer the readers to the following surveys [VMC97], [APP\*07] and [Sha08], whereas for symmetry detection we recommend [MPWC13] survey.

#### 2.1.1 Region growing

Region growing algorithms start with a seed element for the sub-mesh and then perform a local greedy element addition to the current cluster. [MDKK06] proposed a mesh segmentation technique based on curvature estimation with sharp edge recognition. The author’s method performs well on mechanical objects and it is also robust to noise. However, oversegmentation occurs in models with soft edges. This model was extended by [LW08] with the use of Markov Random Fields. This probabilistic approach provides a optimal global solution using local interactions. Anyhow, the algorithm is not particularly efficient, it takes several seconds to give

a result.

### 2.1.2 Iterative clustering

Iterative clustering is based on the k-means clustering algorithm. Representatives for each cluster are chosen, then using some metric the elements are assigned to the best cluster and the representatives of each group are recalculated. [LZHM06] presented a cluster based segmentation algorithm. The method performs better with hierarchical models composed of regions at multiple scales. Therefore, it gives the same results regardless of model scale or the coarseness of the mesh. A quadratic surface fitting algorithm was presented by [YWLY12], which combines several distance metrics to perform the quadratic minimization. Quadratic surfaces provide more flexibility than simpler representations, however the algorithm does not perform as well when the segments cannot be represented by a quadratic surface.

### 2.1.3 Random walks

With the random walks approach,  $n$  faces are chosen as seeds, with  $n$  being the number of desired segments. Then for each unlabelled face, the probabilities that a random walker will reach each labelled face are calculated. Finally, each face is assigned to the highest probability label. [Gra06] proposed the first random walks implementation constrained into the imaged domain. Thereafter, [LHMR09] extended it to for 3D mesh models, the authors' technique also included an automatic seeding mode, where seed quantity and placement is based on a spring-like energy function. Seed placement can greatly affect the final segmentation. Nevertheless, the authors tackle the issue with a post processing stage where the clusters are merged if oversegmentation occurred and the edges are smoothed. Given its nature, random techniques give different results on each execution. The authors addressed the randomness issue with their spring-like energy function, however their method is not completely invariant to seed placement.

### 2.1.4 Data driven

[GF09] presented an extension to the previous iterative clustering techniques with special emphasis on edge and face consistency. This paper started the data driven approach to segmentation. The algorithm is based on a graph construction which encodes triangle neighbour information, the method then performs a clustering on the graph space. The main advantage is

consistent segmentation of similar models. For example, on different chair models, segments always represent legs, backs and armrests. However, there are several parameters that need to be input by the user. Moreover, as the technique is based on consistency across a set of models, if the alignment between the different models fails, the resulting segmentation quality will decrease. Researchers have presented extensions to the original [GF09] approach, such as setting a objective function as a Conditional Random Field model [KHS10].

### 2.1.5 Global energy function

[BLVD11] proposed a method based on a boundary edge function that would be learned by an AdaBoost classifier. Nevertheless, the algorithm needs a large database of manually segmented meshes, and it also has problems generalizing the function for complex test data. [dCdLL14] presented a novel segmentation technique based on calculating a global discrepancy function, based on the Lambert illumination model. For each triangle in the mesh a illumination value from several lights is calculated. Then they directly use that quantity to segment the model in several parts, however their segmentation results are still not comparable with other state of the art methods.

### 2.1.6 Hybrid

[WY11] approach for mesh segmentation is based on a combination of curvature estimation, Gauss mapping and B-spline surface fitting. The authors' methods performs relatively well, however there are six numerical parameters to adjust and the presented results show over-segmentation with certain models. [YZW14] proposed a model to improve triangle normals calculation by adding joint information, and hence enhancing curvature detection in [WY11] method.

### 2.1.7 Slippage analysis

The aforementioned methods cannot be directly applied to segment mechanical parts. [GG04] proposed a method for segmenting mechanical objects based on local slippage. This is quite useful as it gives the degrees of freedom of each part, e.g. sphere (3 rotations), cylinder (1 rotation and 1 translation), plane (1 rotation and 2 translations), etc. [XWY09] applied slippage analysis to perform joint-aware deformations in man-made objects. [YLT\*14] improved



[GG04] method, obtaining an algorithm more robust to noise and that also outputs extra primitive information (e.g. normal of a plane, center of a sphere, etc).

### 2.1.8 Symmetry detection

In man-made objects, and specially with assembly models, object symmetry can be used to detect important features in a part. If the transformations under which a part remains symmetric are found, the possible axis of translation or rotation of the component in the model can be extracted. Additionally, non symmetric components normally imply movement constraints on the other parts.

[MGP06] presented an approximate symmetry detection method based on a voting scheme. The technique detects reflections, rotational symmetries and scaling. Mitra et al. later extended their previous work to automatic object symmetrization [MGP07]. However, parameters for symmetry detection and shape deformation still have to be manually input. An alternative approach to detect only rigid symmetries was proposed by [BBW\*09]. Their method is based on feature lines, whose main advantage is better performance than previous methods. Nevertheless, their technique is constrained to only rigid transformations. [XZJ\*12] presented a partial intrinsic symmetry detection system. Through a multi-scale voting scheme, intrinsic symmetries are extracted on multiple scales. Anyhow, erroneous symmetry fusion between different parts may occur when the sample points are on the same scale cluster.

### 2.1.9 Part characterization

Variational shape approximation has been studied for characterization purposes of engineered objects [CSAD04]. Volume based segmentation was explored by [AFS06], with a primitive fitting segmentation method. Nevertheless, the authors' method is not able to identify negative volumes, and it is not able to distinguish between the inner and the outer parts of the model. Building on previous work, [AMSF08] proposed an extension for region selection using polyhedra. [GSMCO09] demonstrated that man-made objects can be deformed intuitively with a set of 1D curves extracted from the models. An abstraction method, to extract characteristic curve networks was proposed by [MZL\*09], which iteratively fits envelopes to the surface and performs a deforming simplification to smooth out details on the model. Other techniques, such as the planar based ones [MSM11], have also been explored. However, their approach is limited to a learning phase with a manually marked training dataset. [YK12] proposed a controllable abstraction approach combining RANSAC and volume-centric primitive fitting to

produce a range of simplified models. The algorithm is based on deforming the fitted primitives with a polynomial surface. An alternative to [AFS06] segmentation approach is also presented, addressing the previously outlined deficiencies.

## 2.2 Fluid simulation

The current paradigm in fluid simulation is based on finding approximate solutions the Navier-Stokes equations of fluid dynamics, shown below.

$$\nabla \cdot \mathbf{u} = 0, \quad (2.1)$$

$$\mathbf{u}_t = -(\mathbf{u} \cdot \nabla)\mathbf{u} + \nabla \cdot (\nu \nabla \mathbf{u} - \frac{1}{\rho} \nabla p + \mathbf{f}). \quad (2.2)$$

Equation 2.1 enforces mass conservation and ensures incompressibility. Equation 2.2 is derived from Newton's Second Law and encodes momentum conservation, where  $\mathbf{u}_t$  is the time derivative vector field of the fluid velocity,  $p$  is the scalar pressure field,  $\rho$  is the density of the fluid,  $\nu$  is the kinematic viscosity and  $\mathbf{f}$  represents the body force per unit mass, usually gravity.

Several methods have been proposed, all of which are based on finding approximate solutions to the aforementioned Navier-Stokes equations, except the Fourier based methods.

- **Fourier Transform** techniques use several superimposed sinusoidal waves to model fluid behaviour.
- **Grid** based methods track the fluid features at fixed points in space.
- **Smooth Particle Hydrodynamics** track a large number of particles in the fluid.
- **Hybrid** algorithms are combinations of the preceding methods.

Regardless of the chosen method, the fluid state has to be updated for each new frame. However, it is common for the algorithms to have an upper bound in the time step size, so if the update is computed after the limit, a reasonable output is not guaranteed. Therefore, to avoid having to calculate extra steps in between updates, that would not be rendered, techniques that allow for larger time steps are preferred.

Another important concept in fluid simulation is whether the simulation implements interplay between the fluid and the rigid bodies that come in contact with it (solid-fluid coupling). Furthermore, the simulations have different levels of flexibility, one way *solid-fluid* coupling (e.g. a rock drops on a small pool of water, so the water moves, yet the rock is not affected by the water reaction), one way *fluid-solid* coupling (e.g. a small buoy floating in the ocean has little effect on the surrounding water), and *two way solid-fluid* interaction (e.g. a flexible object drops in a pool of fluid and both affect the behaviour of each other).

For more information on real time fluid simulations we refer the readers to [VLM12] and [IOS\*14] surveys.

### 2.2.1 Fourier Transform

When simulating fluid with periodic boundary conditions, procedural simulation can be applied with low computational cost. Usually this method is used with large masses of water (e.g. ocean simulation), as they are an approximation to a fluid with periodic boundary conditions. The waves can be modelled as a superimposition of sinusoidal waves, which can be efficiently decomposed using Fast Fourier Transform methods [MWM87]. Waves produced in this fashion are visually plausible, however physical accuracy is not enforced inherently by the model.

Each wave is characterized with a wave number  $k$  and a wave vector  $\mathbf{k}$ . [Tes01] presented the basic technique in this area. The authors modelled the ocean surface as a summation of complex sinusoids with different wave vectors  $\mathbf{k}$ . Visually pleasing results were achieved generating random Fourier amplitudes.

The previous method was further improved by [CGG03], with the addition of solids (e.g. ships, a coast line, etc). The model was extended through more complex wave shape and motion equations, with differentiated equations for open sea and near the shore situations. [CC06] further enhanced the method with the inclusion of adaptive surface tessellation. Furthermore, the method was implemented on GPUs, and it also included shallow water effects and spray dynamics. However, Fourier transform methods can still only be applied to simulate oceans or other huge masses of fluid.

### 2.2.2 Grid

Grid based techniques use the Navier-Stokes Equations 2.1 and 2.2, in a fixed position in space (i.e. grid). As fluid flows, a value for speed and pressure at each time step is calculated in each grid position. This approach is advantageous as many numerical methods can be applied easily on grids and they are more easily adaptable to GPUs implementations than other techniques.

One of the firsts papers in this area applied forward Euler integration to compute the fluid dynamics in a grid [FM96]. However, their implementation of solid-fluid interaction was restricted to one way solid-fluid coupling, hence the solids in the simulations did not affect the fluid behaviour. [Sta99] extended this method in order to overcome stability issues. The author implemented a stable solver, which allowed for larger time steps through an operator splitting scheme, and more importantly a semi-Lagrangian method to calculate the advection term.

[CMT04] proposed a solid-fluid coupling algorithm for grids models using distributed Lagrange multipliers. Nevertheless, the author's method uses a non-adaptive grid, multiphase fluids and bubbles are not covered by their implementation.

### 2.2.3 Smooth Particle Hydrodynamics

Smooth Particle Hydrodynamics (SPH) has been established as one of the major breakthroughs for fluid simulations in computer graphics, positioning itself as the most popular method nowadays. Compared to grid based methods that need high resolution grids to produce foam and splashes, SPH can achieve the same with less computational load. Two extra advantages are that mass conservation is automatically satisfied, if the amount and mass of the particles is kept constant; and since the particles move with the fluid, the advection term does not need to be calculated explicitly.

SPH were first introduced by [Des96], where each particle encodes its position, velocity, mass and the forces that act on it. A globally adaptive time step is proposed as well, so the time step automatically adapts to the flow dynamics. What is more, to achieve more efficiency a local adaptive time integration is used; individual particles will use larger time steps if stability restrictions allow for it.

[MST\*04] presented a method for computing solid fluid coupling where boundary particles are created on the surface of the solid and then particle coupling is calculated. [AIA12] further improved the previous method with the inclusion of irregular particle distributions as well as friction and dragging. [SZMTW14] fixed stability issues in the previous SPH solid-

fluid coupling techniques using a correction scheme. Furthermore, the authors' algorithm was implemented on GPUs, thus achieving better performance than previous methods. However, the method is still not completely physically accurate and erroneous penetrations can arise in certain situations.

#### 2.2.4 Hybrid

Hybrid methods are combinations of the aforementioned approaches. As with other hybrid techniques, the objective is to capitalize on the advantages of each, while trying to discard the weaknesses.

A common hybrid approach is the particle-in-cell (PIC) method [Har62]. The author calculates the advection using SPH and the incompressibility with an Euler grid. In more detail, the particles are averaged onto a grid where all the terms in the Navier-Stokes Equations 2.1 and 2.2 are calculated, except advection. Then, the particles are translated using the calculated grid velocity. This method has been used in a variety of situations, for example [ZB05] used it for sand simulation and [HG09] applied it for fire generation. A further improvement is an implicit approach, namely the fluid implicit particle (FLIP) [JH86]. The fundamental difference is that particles are not updated directly with the grid data. An advection term calculation that is always stable is the main strength of the FLIP method. However, the particles can sometimes clamp or have voids. FLIP was extended by [RWT11] to be able to calculate solutions in coarse grids. What is more, the authors' work also allowed for larger time steps. However, implementing their algorithm on GPUs was not explored.

### 2.3 Flow visualization

Extensive work has been done in this area as visualizing fluid movement has a broad range of applications, such as turbomachinery design, simulation of weather systems, air-conditioning design, blood flow simulations for surgical purposes, etc. However, this is a challenging task as it has to effectively display complex and copious amounts of data. Seeing that, fluid simulation is generally approached by means of highly divided grids or with large number of particles, as explained in Section 2.2.

Streamlines are convenient tools to describe and visualize flow, as shown in figure 2-1. A streamline is defined as a curve that is everywhere tangent to the flow field, i.e. it is parallel to the local velocity vector. Therefore, they provide an intuitive mechanism to show the fluid

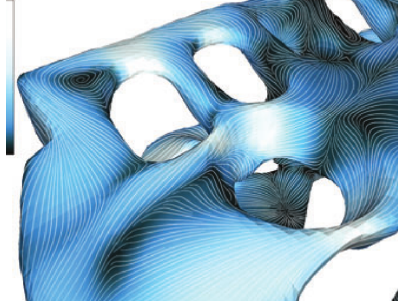


Figure 2-1: Streamlines on a 3D surface [SLCZ09].

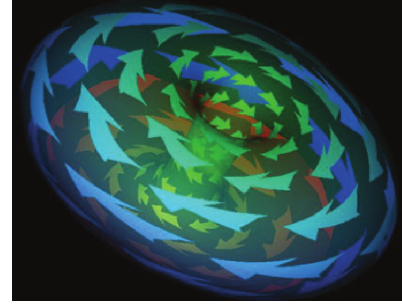


Figure 2-2: Arrows placed on streamlines paths in a 3D surface [Löf98].

travel direction. Furthermore, they have interesting properties such as: streamlines will not cross each other (i.e. flow will not go across them) and a particle in the fluid starting on one streamline will not leave it. Once the streamlines have been calculated, instead of displaying them as such, they can be replaced by arrows arranged using some criteria, for instance on the path of each streamline or after clustering some streamlines together, as shown in Figure 2-2.

In the following sections we will only focus on steady flow visualization with 2D and 3D curves, as well as surface-based. We refer the readers to [MLP\*10] survey, which also includes unsteady flow and volume integral objects.

### 2.3.1 2D flow

On the 2D domain, an image-guided algorithm for visualizing two-dimensional flow in images was proposed by [TB96]. The approach used inefficient seeding and streamline calculations. The first problem was addressed by [JL97], with a controllable seeding algorithm using two distance parameters. The second issue was fixed by [MAD05]; the authors used a farthest seeding point strategy with a 2D Delauney triangulation. [LHS08] presented a novel method by only generating the fewest possible number of streamlines, while also preserving the most important flow features. The authors' exploit local coherence, measuring similarity between streamlines, to disregard repetitive patterns.

### 2.3.2 Streamlines on surfaces

Seeding techniques for curves on 3D surfaces were explored by [vW92], who developed a particle based visualization method. Particles are seeded from geometric objects and continuous points sources create streamlines. [MHHI98] proposed a technique to generate evenly spaced

streamlines, extending [TB96] work. The algorithm maps 3D surface vectors in an extended 2D image domain, calculates the streamlines in the simplified space, and maps them back to 3D. [SLCZ09] presented a method to generate streamlines only for visible parts of the surface, thus providing a significant gain in efficiency. Moreover, the technique is able to handle general surfaces, adaptive resolution and also supports exploration through user interaction.

### 2.3.3 Streamline rendering and placement

Rendering too many streamlines can result in clutter and too few can lead to omitting important characteristics in the flow dynamics. There has been copious research into optimizing streamline generation and placement. However, for our hydraulic machinery the issue is less critical than in other research areas.

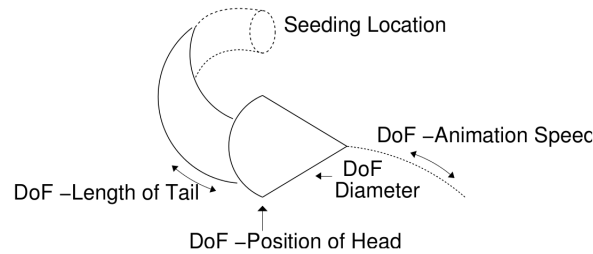


Figure 2-3: Streamcomet depiction with its degrees of freedom outlined [LH05].

[LH05] presented the streamcomet technique and a fast animating method. A streamcomet, as shown in Figure 2-3, is an extension of a streamline, they move along the path of a streamline, with adjustable head position, length and translucency of tail, and variable animation speed. The fast animating technique applies a stipple pattern to the streamline path, so the pattern is shifted at rendering time to add animation. [RPP\*09] proposed a dual seeding technique for streamline placement. Standard stream lines and dual streamlines, who are orthogonal to the fluid flow, are calculated. However, the dual ones are not rendered; rather they are used to improve primal streamlines selection and rendering. Considering the occlusion issues that normally arise in this area, [MCHM10] tackle the streamline rendering from a view dependant perspective. The authors precompute a random pool of streamlines, then occluded areas are pruned, and empty areas are reseeded for the current view via occupancy buffers. What is more, a GPU implementation of their method is provided. Clustering is a common technique for reducing the amount of rendered streamlines. To address slow euclidean distance tests in clustering, [MJL\*13] presented a signature based metric. The streamline attributes for the signature are curvature, torsion and tortuosity. The authors presented two variations, a fast simpler method and a hierarchical one that sacrifices speed for superior results. However, both provide

a performance increase over euclidean distance calculations.

### 2.3.4 Surface based integral objects

Streamlines can be extended dimensionally in order to represent 3D surfaces instead of 3D lines, an example of a streamsurface is shown in Figure 2-4. Following this path leads to an increase in the methods complexity, due to the new dimension needed to represent a surface. Nevertheless, surfaces can provide better visualization results, e.g. the use of shading gives an improved depth insight.

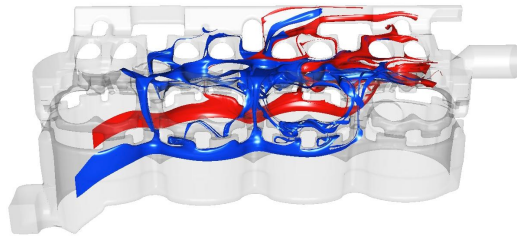


Figure 2-4: Streamsurface illustrating fuel flow in an engine [LGD\*05].

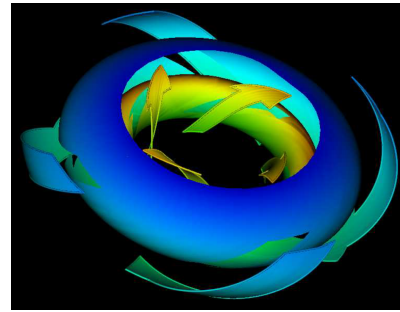


Figure 2-5: Streamarrows in an occluded flow situation [LMG97].

Streamsurfaces were introduced by [Hul92]. The author's method seeds streamlines from a curve and those streamlines are advanced through the vector field. New streamlines are seeded or existing ones are terminated if the points reach a predetermined maximum or minimum distance threshold respectively. The streamline points are used to create a streamsurface mesh. To aid in the interpretation of flow, [LMG97] extended the streamsurface model with the addition of streamarrows, as shown in Figure 2-2. The authors' technique involves adding arrows to show flow direction, and removing the arrow space on the surface to reduce occlusion issues. A hybrid method with streamlines and streamtubes was proposed by [ZDL03]. Streamtubes are composed of the streamlines that pass through a defined contour in the fluid. Depicting linear anisotropy regions with streamtubes and planar anisotropy ones with streamsurfaces. The colors used for rendering encode additional anisotropy information. More recently [BW10] presented extensions to the streamsurface rendering techniques to address occlusion issues, as well as a GPU implementation of their method. Contour lines, halftoning, silhouettes, contour arrows, cuts and slabs are applied to a raw streamsurface, to be able to depict occluded areas.



## 2.4 Explanatory illustration

Explanatory illustration is usually found in comics books and instructions sets, where movement has to be inferred from static pictures. This method has to adequately transmit motion on a still image, consequently transforming from the temporal space into the image domain. Since this is a dimensionality reduction approach, the common issues with these techniques arise, such as how to preserve the important features of the action.

### 2.4.1 Image and key frames output

Even though comics books are regularly considered inferior forms for presenting information, each scene contains abundant data and they can be understood by children without even reading the letters. [McC93] reviewed visualization and abstraction techniques used in comics books. The author explored how to depict the motion of single objects, to illustrate noises and speeches bound to time. [Cut02] surveyed traditional techniques for depicting motion; including sequences of key frames, blur, squeeze and stretch, unbalanced postures and stroboscopic effects. Criteria to judge the effectiveness of a particular representation was introduced, for example evocativeness, clarity of object, direction of motion and precision of motion.

[ND05] proposed a technique to depict motion in 3D animations. Scene and behaviour descriptions from specialized scene graphs were analysed in order to create the motion cues. The user has to select a depiction target and assign labels to it; using the graph information motion lines and simplified sketch images are generated. [JR05] presented a similar approach for volumetric data. Speedlines and flow ribbons are combined to generate illustrations encoding 3D rigid movement and flow dynamics. The flow ribbons use a comic inspired line abstraction technique to handle occlusion. Researchers have look into automatic illustration generation for mechanical assemblies [MY\*10]. The author's work is based only on geometry information extracted from 3D CAD models. Part analysis is performed using symmetry analysis, see Section 2.1.8, while the motions are extracted from an interaction graph that is defined by edge contact between the components. The system is able to generate, motion arrows depicting mechanical assembly interaction, as well as generating key frames from periodic motions.

### 2.4.2 Video output

Video based illustration is applied to enhance the motion information while maintaining the original video format. Therefore, motions are exaggerated or at the very least some hints to

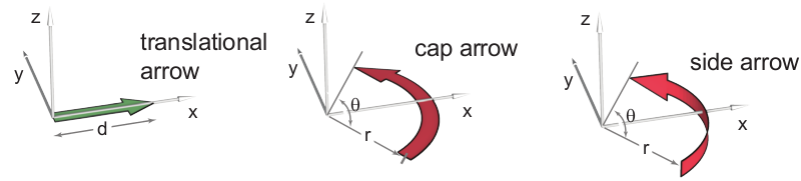


Figure 2-6: Example of arrows used to convey movement [MY<sup>Y</sup>\*10].

reinforce movement perception are included.

[CRH05] presented a method to embed cartoon-style motion cues in video. Their algorithm has two stages: a motion tracking step, where a feature manually marked will be tracked; and a computer graphics stage, where a cue will be generated and embedded into the video. The system can add motion lines as well as squashing and stretching objects. However, the second and third case are restricted to videos where the background can be reconstructed from previous frames. [ZIH\*11] presented a dynamic sketching technique to illustrate fluids systems. The paper uses hybrid multi layered grid solver, extended from those discussed in Section 2.2.2, to simulate 2.5D (a stack of 2D grids) fluid flow. Flow paths and other objects can be added or changed in real time through a sketching interface. A hydraulic graph is created and it is modified behind the scenes every time the visual model is edited. The flow is shown using streamlines, as discussed in Section 2.3. [Low14] showed that even though animations have become a generalized tool for visualizing dynamic systems, special care have to be taken since the users can fail to extract the necessary information, due to the nature of the animation.

## Chapter 3

# Methodology

### 3.1 Overview

Given a 3D CAD model of some hydraulic machinery we want to generate how things work visualizations. Namely, adding arrows depicting the fluid movement.

The topic can be subdivided into:

1. **Part analysis:** Detecting parts segments and inferring part properties.
2. **Fluid simulation:** Simulate how the fluid behaves in the previously detected parts.
3. **Flow visualization:** Display the fluid simulation data in a intuitive format.
4. **Explanatory illustration:** Transform the flow visualization data in one or several still images.

To start with, input data is needed in the form of CAD 3D models. 3D visualization program such as SketchUp [Tri14] can be used to create, visualize and edit the models. Once we have this input, we can begin the process to generate *How things work* illustrations from them.

Part analysis involves two steps: part segmentation and part characterization. Usually 3D models consist of meshes or point clouds where there is not a clear distinction between each piece. Consequently, any additional analysis and simulation would be overly complicated without further simplifications. Therefore, a segmentation stage is needed in order to divide the model into its constituent components. Part analysis consists of detecting the piece type,

how it moves and interacts with others. So the information saved for type would be axle, gear, reservoir, fluid conduct, etc. In this classification parts are also organized considering fluid interaction criteria, e.g. if the piece interplays or not with the hydraulic fluid. With respect to types of movement, it will be direction of movement, axis of rotation, axis of translation, etc.

Once the parts have been categorized and given an input force, we will have to simulate how the force is transmitted along the different elements. In the special case where a component is a container of a fluid or is in direct contact with one, that force will have to be introduced in a fluid simulation algorithm. The output of the simulation will then carry the information along to the next piece.

In order to visualize the fluid simulation data we will need to generate a visual cue that will intuitively indicate the fluid movement. Either generating arrows indicating the overall fluid movement, displaying an animation or showing illustrative key frames.

Lastly, *How things work* illustrations have to be generated from the flow visualization data, that is by itself a time variant data. Therefore, techniques to embed motion in a single picture will be used.

## 3.2 Proposed Methodology

### 3.2.1 Part analysis

As discussed in Sections 1 and 2, the input models for the system might not be segmented into parts. In Section 2.1 we presented several options to segment meshes. If we are to treat the more general case we need to perform a model to part segmentation. Volumetric extraction segmentation is needed to perform this task. Depending of the quality of the mesh a simpler method like [AFS06] or a more complex one [YK12] will be used. Then, the parts itself have to be segmented to obtain more information, such as type of the part or rotation axis. Slippage analysis [YLT\*14] is the only method that can be applied directly to mechanical machinery. Moreover, this method outputs the degrees of freedom for each part. However, symmetry analysis [MGP07] can give more detailed information about part rotation and translation axis, and to extract extra features such as edges or gear radius. 1D features curves are useful for characterizing these and other attributes of man-made parts [GSMCO09]. Therefore, a geometric analysis can be performed and the needed information can be extracted from models that do not have extra time information embedded. Which is the case for most of the models available in the model libraries mentioned in Section 2.1. However, none of the methods will automatically

detect which parts will interact with the hydraulic fluid. A first approach will be to manually mark them. Nevertheless, other paths can be explored, such as heuristics that analyse the segment shape. For example, the biggest cylindrical part will probably be the reservoir for the fluid, and the parts connected to it have high probability to have the hydraulic liquid flowing through them.

### 3.2.2 Fluid simulation

Once we have segmented the model and we know how each part can move and where the hydraulic fluid can flow, we need to calculate how the liquid interacts with the solid parts. The previous part analysis is specially useful in order to simplify the simulation stage. If we already know how the solid parts can move, the simulation will be simpler since each segments will have constrained degrees of freedom. Besides, extra information about the parts, such as maximum translation range, that otherwise would have to be input manually would be automatically available to the fluid simulation. Given the characteristics of our goals, a physically accurate simulation with two way solid-fluid coupling is required. Fourier methods are discarded because we will not be modelling vast amounts of fluid. Then, we are left with either SPH, grid or hybrid simulations. In terms of implementation complexity, grid methods are generally simpler, followed by SPH and lastly by hybrid systems. If we look at quality of the simulation, hybrid and SPH tend to be better while grid methods lag behind. However, the great disadvantage of grid simulations is the need to compute the simulation in highly divided grids in order to be able to display foams and other effects. What is more, SPH have become quite popular in the last years as a general purpose fluid simulation tool. Nevertheless, for hydraulic machinery the fluid will be constrained to a small area. Moreover, extra realistic effects, such as foams or droplets, are irrelevant for this proposal. Therefore, the previous grid disadvantages are no longer applicable; accordingly, methods such as [CMT04] become the most convenient type of simulation for our purposes.

### 3.2.3 Flow visualization

The next step is to generate the flow visualization from the simulation data. Following the techniques discussed in Section 2.3, we will use streamlines to visualize the flow. *How things work* illustrations do not have complex flow visualizations, the objective is to understand roughly how the system works, not to give a perfect scientific representation of fluid dynamics. Given that our CAD models are 3D, two-dimensional methods are ruled out immediately. Since in hydraulic equipment there are not complex fluid flow situations, there is not clear advantage

in applying surface based integral objects. Streamcomets offer a more natural way to visualize the flow, and having a translucent body means that occlusion is less likely to happen. However, we consider streamcomets too complex for our purposes, therefore a simpler technique such as [WST09] would be used, with the optimizations presented by [MJL\*13] should the generation be too slow. This flow visualization should also include an optimization step, where the arrow placement would be improved. Following the criteria in [MY\*10], steps such as increasing arrow size in zones with more flow, replacing arrows in occluded areas or adding extra arrows in bigger areas, will be required to improve illustration quality.

### 3.2.4 Solid parts visualization

Solid parts visualization will be based on [MY\*10] methods for mechanical assemblies. This stage involves placing arrows to convey part movement on the edge of each junction. Different arrow types will be used, such as the ones shown in Figure 2-6. Moreover, extra arrows will be added if the parts are too long and, if occlusion were to happen, the arrow placement can be optimized. However, should the previous method not be enough, the type of the arrow could be switched if it entailed an improvement in visibility, from cap to side or viceversa.

### 3.2.5 Key frames visualization

To create key frame visualizations a simple approach is to sample the animation created from the fluid simulation at key points [MY\*10]. In order to select those key points there are two cases: objects with cyclical movement and parts that do not. The first ones would be spinning components, for example cylinders in engines whose movement is a periodic loop. In this case, a displacement curve can be drawn and the sample points would be the extrema and middle frames in between. In machinery that does not present cyclical movement, such as the simple cylinder shown in Figure 1-1a, it is evident that the extrema have to be included. However, since the minimal number of key frames to illustrate the motion are unknown, we are faced with a problem of either under or oversampling for the middle frames.

## 3.3 Timeline

In Figure 3-1 we present an estimated timeline for the implementation of the proposal. This estimation is highly dependant on the features to be implemented, the complexity of the CAD

input models, the generality to be achieved and the desired quality of the results. The output of one task is the input of the subsequent one, so overlapping naturally occurs when we are finishing one task and moving towards the next. We inevitably have to fix unexpected errors or introduce new features in the former task. Moreover, the changes may backtrack several steps backs as shown in the isolated bars of Part Characterization or Fluid Simulation.

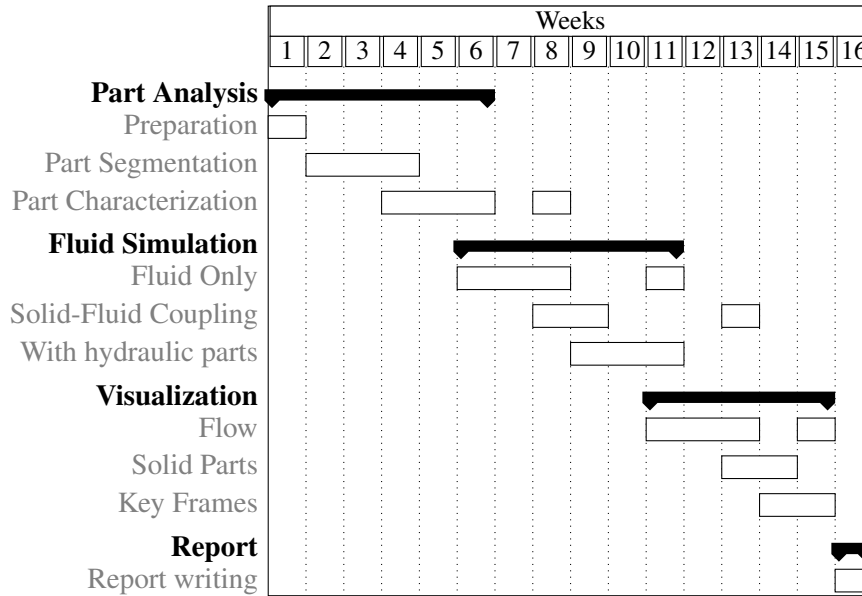


Figure 3-1: Timeline for a four months completion of the proposal.

### 3.4 Evaluation

The system will be tested by generating illustrations for a wide variety of models. Since we do not know of any previous work which has generated *How things work* illustrations for hydraulic machinery, comparison with other methods is limited to contrasting our work against manually generated illustrations. Being able to replicate a series of chosen hydraulic illustrations could be a goal of the project. Another path to evaluate the effectiveness of the generated data is to perform user studies. One example could be an evaluation on how well the test subjects understood the machinery internal workings with a series of questions, estimating their knowledge on the equipment before examining the illustrations and after. Another survey could measure which illustrations users finds more instructing, automatically or manually generated ones, as well as asking them why they were driven to such choice. Moreover, user studies can be helpful in fine tuning parameters in the algorithm, therefore improving the overall quality of the

results. Comparing frame sequences, animations and single illustrations is another potential evaluation survey.



## Chapter 4

# Applications and Conclusions

### 4.1 Applications

We are proposing a tool whose main aim are educational purposes. The most obvious applications would be the generation of *How things work* visualizations for museums, schools and educational centres in general. Such institutions would benefit from having a illustration generator tool. Since by its semi-automatic nature, it will address the issues with depictions created manually by experts that were discussed in Chapter 1, such as a time consuming generation stage or lack of actualization when new machinery arrives.

### 4.2 Conclusions

We have presented a proposal for a semi-automatic *How things work* illustrations generator for hydraulic machinery. The system would work with 3D CAD models of hydraulic assemblies and it would output the illustrations, either single frame or key frames. The work flow of the algorithm would include a part analysis stage, were the 3D model is segmented in sections with high level information, such as type or rotational axis, a fluid simulation stage were the hydraulic interactions are calculated, and finally a visualization stage were techniques from flow visualization and illustrating motion in single images are used to depict the overall internal workings of the hydraulic machinery.

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