ML_HW6_report 309554027 鍾弈言

A. code with detailed explanations (20%)

- a. Kernel Eigenfaces
- 1. main.py

I process all input parameters and read the data in main.py read dataset

2. kernel_eigenfaces() in kernel_eigenfaces.py main function in kernel_eigenfaces.py, check should run PCA or LDA.

3. computePCA()

The main function of compute PCA. First, according to the given method (simple or kernel) to compute the covariance matrix. Then, find the principal eigenvectors corresponding to 25 first largest eigenvalues of the covariance matrix as principal eigenvectors. Next, compute the eigenfaces and reconstruct the eigenfaces and visualize it. Finally, use principal eigenvectors to classify and recognize the test images.

```
def computePCA(train_images: np.ndarray, train_labels: np.ndarray, test_images: np.ndarray,
             test_labels: np.ndarray, mode: int, num_k: int, kernel_type: int, gamma: float) -> None:
   compute Principal Components Analysis
   mean_images = np.sum(train_images, axis=0) / len(train_images)
   if mode == 0:
      cov_matrix = computeSimplePCACov(train_images, mean_images)
      print(f'Simple PCA with {num_k}-NN')
      cov_matrix = computeKernelPCACov(train_images, kernel_type, gamma)
          f'{"RBF" if kernel type else "Linear"} Kernel PCA with {num k}-NN',
       if kernel_type:
          print('')
   principal_eigenvectors = findPrincipalEigenvectors(cov_matrix)
     eigenfaces = principal_eigenvectors.T
     drawEigenfaces(
         eigenfaces.reshape(
               25,
              config.HEIGHT,
              config.WIDTH),
          'Original PCA Eigenfaces')
     saveFigure(0, mode, kernel_type, 'PCA_Original_Eigenfaces')
     reconstruction_eigenfaces = reconstructEigenfaces(
          train_images, principal_eigenvectors)
     drawEigenfaces(
          reconstruction eigenfaces.reshape(
              config.HEIGHT,
              config.WIDTH),
          'Reconstruct PCA Eigenfaces')
     saveFigure(0, mode, kernel_type, 'PCA_Reconstruct_Eigenfaces')
     classifyAndRecognize(
          train images,
          train_labels,
         test_images,
          test_labels,
          principal eigenvectors,
         num k)
```

computeSimplePCACov() in computePCA

According to the formula taught from the course, using a simple method to compute the covariance matrix of images.

$$S = \left[\frac{1}{N} \sum_{x} (x - \bar{x})(x - \bar{x})^{\top}\right]$$

computeKernelPCACov() in computePCA

According to the kernel type(Linear or RBF) to compute the kernel function of training images. Then use formula taught from the course to compute the covariance matrix of images.

$$K^C = K - \mathbf{1}_N K - K \mathbf{1}_N + \mathbf{1}_N K \mathbf{1}_N$$

4. computeLDA()

Basically, it does the same things as PCA. Only one difference between LDA and PCA is the method(Simple and kernel) of computing covariance matrix.

```
compute Linear Discriminative Analysis
   _, count_num_of_class = np.unique(train_labels, return_counts=True)
   if mode == 0:
       cov_matrix = computeSimpleLDACov(
          count_num_of_class, train_images, train_labels)
      print(f'Simple LDA with {num_k}-NN')
       cov_matrix = computeKernelLDACov(
          count_num_of_class,
          train_images,
          train_labels,
          kernel_type,
       print(
          f'{"RBF" if kernel_type else "Linear"} Kernel LDA with {num_k}-NN',
       if kernel_type:
         print(f' gamma {gamma}')
   principal_eigenvectors = findPrincipalEigenvectors(cov_matrix)
```

```
eigenfaces = principal_eigenvectors.T
drawEigenfaces(
    eigenfaces.reshape(
        25,
        config.HEIGHT,
        config.WIDTH),
    'Original LDA Eigenfaces')
saveFigure(1, mode, kernel_type, 'LDA_Original_Eigenfaces')
reconstruction eigenfaces = reconstructEigenfaces(
    train_images, principal_eigenvectors)
drawEigenfaces(
    reconstruction_eigenfaces.reshape(
        config.HEIGHT,
        config.WIDTH),
    'Reconstruct LDA Eigenfaces')
saveFigure(1, mode, kernel_type, 'LDA_Reconstruct_Eigenfaces')
classifyAndRecognize(
    train_images,
    train labels,
    test_images,
    test_labels,
    principal_eigenvectors,
    num_k)
```

computeSimpleLDACov() in computeLDA

According to the formula taught from the course, first compute the between-class scatter B. Then compute within-class scatter W.

within-class scatter:
$$S_W = \sum_{j=1}^k S_j$$
, where $S_j = \sum_{i \in \mathcal{C}_j} (x_i - \mathbf{m}_j)(x_i - \mathbf{m}_j)^{\top}$
and $\mathbf{m}_j = \frac{1}{n_j} \sum_{i \in \mathcal{C}_j} x_i$

between-class scatter:

$$S_B = \sum_{j=1}^k S_{B_j} = \sum_{j=1}^k n_j (\mathbf{m}_j - \mathbf{m}) (\mathbf{m}_j - \mathbf{m})^{\top}$$
where $\mathbf{m} = \frac{1}{n} \sum x$

Finally get the covariance matrix by compute Sw^-1 * Sb.

$$S_B \mathbf{w}_l = \lambda_l S_W \mathbf{w}_l$$

```
eigenfaces = principal_eigenvectors.T
drawEigenfaces(
    eigenfaces.reshape(
        config.HEIGHT,
        config.WIDTH),
    'Original LDA Eigenfaces')
saveFigure(1, mode, kernel_type, 'LDA_Original_Eigenfaces')
reconstruction eigenfaces = reconstructEigenfaces(
    train_images, principal_eigenvectors)
drawEigenfaces(
    reconstruction_eigenfaces.reshape(
        config.HEIGHT,
        config.WIDTH),
    'Reconstruct LDA Eigenfaces')
saveFigure(1, mode, kernel_type, 'LDA_Reconstruct_Eigenfaces')
classifyAndRecognize(
    train_images,
    train_labels,
    test_images,
    test_labels,
    principal_eigenvectors,
    num k)
```

```
def computeSimpleLDACov(count_num_of_class: int, train_images: np.ndarray,
                       train_labels: np.ndarray) -> np.ndarray:
    global_mean = np.mean(train_images, axis=0)
    image size = config.HEIGHT * config.WIDTH
    class_num = len(count_num_of_class)
    class mean = np.zeros((class num, image size))
    for label_idx in range(class_num):
        class_mean[label_idx, :] = np.mean(
            train_images[train_labels == label_idx + 1], axis=0)
    scatter_b = np.zeros((image_size, image_size), dtype=float)
    for idx in range(len(count_num_of_class)):
        difference = (class mean[idx] - global mean).reshape((image size, 1))
        scatter b += count num of class[idx] * difference.dot(difference.T)
    scatter_w = np.zeros((image_size, image_size), dtype=float)
    for idx in range(len(class mean)):
        difference = train_images[train_labels == idx + 1] - class_mean[idx]
        scatter_w += difference.T.dot(difference)
    cov_matrix = np.linalg.pinv(scatter_w).dot(scatter_b)
    return cov matrix
```

computeKernelLDACov() in computeLDA

When compute the covariance matrix of kernel LDA, first I use the given kernel type(Linear or RBF) to compute the kernel function of training images. Then use the formula searched from wikipedia to compute matrix M and N. Finally get the covariance matrix by compute N^-1 * M.

$$egin{aligned} (\mathbf{M}_i)_j &= rac{1}{l_i} \sum_{k=1}^{l_i} k(\mathbf{x}_j, \mathbf{x}_k^i). \quad \mathbf{N} = \sum_{j=1,2} \mathbf{K}_j (\mathbf{I} - \mathbf{1}_{l_j}) \mathbf{K}_j^{\mathrm{T}} \ & lpha &= \mathbf{N}^{-1} (\mathbf{M}_2 - \mathbf{M}_1). \end{aligned}$$

```
def computeKernelLDACov(count_num_of_class: int, train_images: np.ndarray,
                        train_labels: np.ndarray, kernel_type: int, gamma: float):
    reference: https://en.wikipedia.org/wiki/Kernel_Fisher_discriminant_analysis
   matrix N: sigma Kk(I - 1/num_k * I)Kk^T, Kk means k-th kernel
    class_num = len(count_num_of_class)
    image_num = len(train_images)
    image_size = config.HEIGHT * config.WIDTH
    if kernel_type == 0:
       kernel_per_class = np.zeros((class_num, image_size, image_size))
        for idx in range(class_num):
            tmp_image = train_images[train_labels == idx + 1]
            kernel_per_class[idx] = tmp_image.T.dot(tmp_image)
        kernel_all = train_images.T.dot(train_images)
        kernel_per_class = np.zeros((class_num, image_size, image_size))
        for idx in range(class_num):
            tmp_image = train_images[train_labels == idx + 1]
            kernel_per_class[idx] = np.exp(-gamma *
                                           cdist(tmp_image.T, tmp_image.T, 'sqeuclidean'))
        kernel_all = np.exp(-gamma * cdist(train_images.T,
                            train_images.T, 'sqeuclidean'))
    matrix_n = np.zeros((image_size, image_size))
    identity_matrix = np.identity(image_size)
    for idx in range(class_num):
        matrix_n += kernel_per_class[idx].dot(identity_matrix - (
            1 / count_num_of_class[idx]) * identity_matrix).dot(kernel_per_class[idx].T)
    M_i = np.zeros((class_num, image_size))
    for idx, kernel in enumerate(kernel_per_class):
        for row_idx, row in enumerate(kernel):
            M_i[idx, row_idx] = np.sum(row) / count_num_of_class[idx]
    M_mean = np.zeros(image_size)
    for idx, row in enumerate(kernel_all):
        M_mean[idx] = np.sum(row) / image_num
    matrix_m = np.zeros((image_size, image_size))
    for idx, num in enumerate(count_num_of_class):
        difference = (M_i[idx] - M_mean).reshape((image_size, 1))
        matrix_m += num * difference.dot(difference.T)
    cov_matrix = np.linalg.pinv(matrix_n).dot(matrix_m)
    return cov_matrix
```

The shared function of LDA and PCA.

5. findPrincipalEigenvectors()

After we get the covariance matrix, we compute the eigenvalues and eigenvectors of the covariance matrix and find the principal eigenvectors which correspond to 25 first largest eigenvalues.

6. drawEigenfaces()

We can simply get eigenfaces by computing the transpose of principal eigenvectors. Given eigenfaces, then use this function to draw the eigenfaces.

7. reconstructEigenfaces()

I randomly choose ten training images and use the formula below to reconstruct eigenfaces.



8. classifyAndRecognize()

First, I decorrelated the training images and testing images according to the principal eigenvectors. Then, the function uses k-NN to classify the testing images it should belong to.

decorrelatedImages()

This function project gives images to principal eigenspace.

b. t-SNE

1. Modify

In the original t-SNE, the compute formula of gradient and Q(the similarity of lower dimension) is below.

$$q_{ij} = \frac{(1+||y_i - y_j||^2)^{-1}}{\sum_{k \neq l} (1+||y_i - y_j||^2)^{-1}}$$

gradient:

$$\frac{\delta C}{\delta y_i} = 4 \sum_{j} (p_{ij} - q_{ij})(y_i - y_j)(1 + ||y_i - y_j||^2)^{-1}$$

In the symmetric SNE, the compute formula of gradient and Q(the similarity of lower dimension) is below.

$$q_{ij} = \frac{\exp(-||y_i - y_j||^2)}{\sum_{k \neq l} \exp(-||y_l - y_k||^2)}$$
$$\frac{\partial C}{\partial y_i} = 2\sum_{j} (p_{ij} - q_{ij})(y_i - y_j)$$

Based on the formula above, the difference between symmetric SNE and t-SNE is q and gradient. Thus, we only need to modify q and gradient.

In original tsne()

my ssne()

```
num = np.exp(-1. * np.add(np.add(num, sum_Y).T, sum_Y))
```

```
dY[i, :] = np.sum(
    np.tile(PQ[:, i], (no_dims, 1)).T * (Y[i, :] - Y), 0)
```

2. drawCurrentImage()

To produce the gif results, this function will be called every 10 iterations, draw the current results and appends to an image array.

```
# Compute current value of cost function
if (iter + 1) % 10 == 0:
    C = np.sum(P * np.log(P / Q))
    print("Iteration %d: error is %f" % (iter + 1, C))
    image.append(drawCurrentImage(Y, labels, 't-SNE', perplexity))
```

```
def drawCurrentImage(Y: np.ndarray, labels: np.ndarray, title: str, perplexity: float) -> Image:
   plt.clf()
   plt.title(title)
   plt.scatter(Y[:, 0], Y[:, 1], 20, labels)
   plt.tight_layout()
   canvas = plt.get_current_fig_manager().canvas
   canvas.draw()

return Image.frombytes('RGB', canvas.get_width_height(), canvas.tostring_rgb())
```

3. visualize()

After t-SNE or symmetric SNE is finished, this function will draw the high-dimensional similarities and low-dimensional similarities of results.

```
def visualize(Y: np.ndarray, P: np.ndarray, Q: np.ndarray,
              labels: np.ndarray, title: str):
    plt.clf()
    plt.figure(title)
    dirname = './output images/SNE'
    os.makedirs(dirname, exist_ok=True)
    plt.title(title)
    plt.scatter(Y[:, 0], Y[:, 1], 20, labels)
    plt.savefig(f'{dirname}/{title}.png')
    sorted idx = np.argsort(labels)
    plt.figure('similarity')
    log P = np.log(P)
    sorted P = log P[sorted idx][:, sorted idx]
    plt.subplot(121)
    plt.title('The similarity of High dimensions')
    plt.imshow(P, cmap='hot', interpolation='nearest')
    # Plot Q
    log_Q = np.log(Q)
    sorted Q = log Q[sorted idx][:, sorted idx]
    plt.subplot(122)
    plt.title('The similarity of Low dimensions')
    plt.imshow(Q, cmap='hot', interpolation='nearest')
    plt.savefig(f'{dirname}/{title}_similarity.png')
```

B. experiments settings and results (35%) & discussion (15%)

a. Kernel Eigenfaces

settings:

compress size: 98 x 116

k_NN: 5

PCA

	Eige	enfac	es		Reconstruct faces Accuracy
Simple					Error count: 3 Accuracy: 0.9
Linear kernel					Accuracy: 0.860
RBF kernel					Accuracy: 0.866

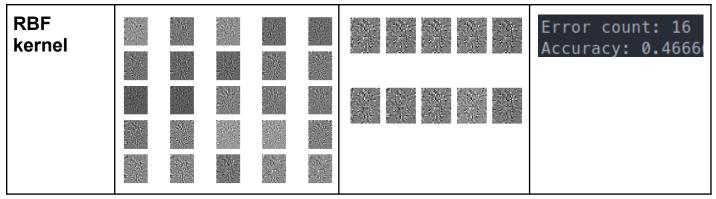
LDA

Eigenfaces	Reconstruct faces	Accuracy
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LDA (compress size: 24 x 29)

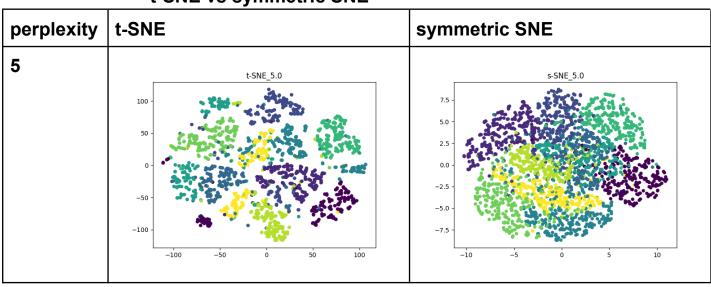
	1 1	<u> </u>	JIZO: ZT X	, 	
	Eigenfa	ices		Reconstruct faces	Accuracy
Simple					Error count: 1 Accuracy: 0.9666
Linear kernel					Error count: 22 Accuracy: 0.2666

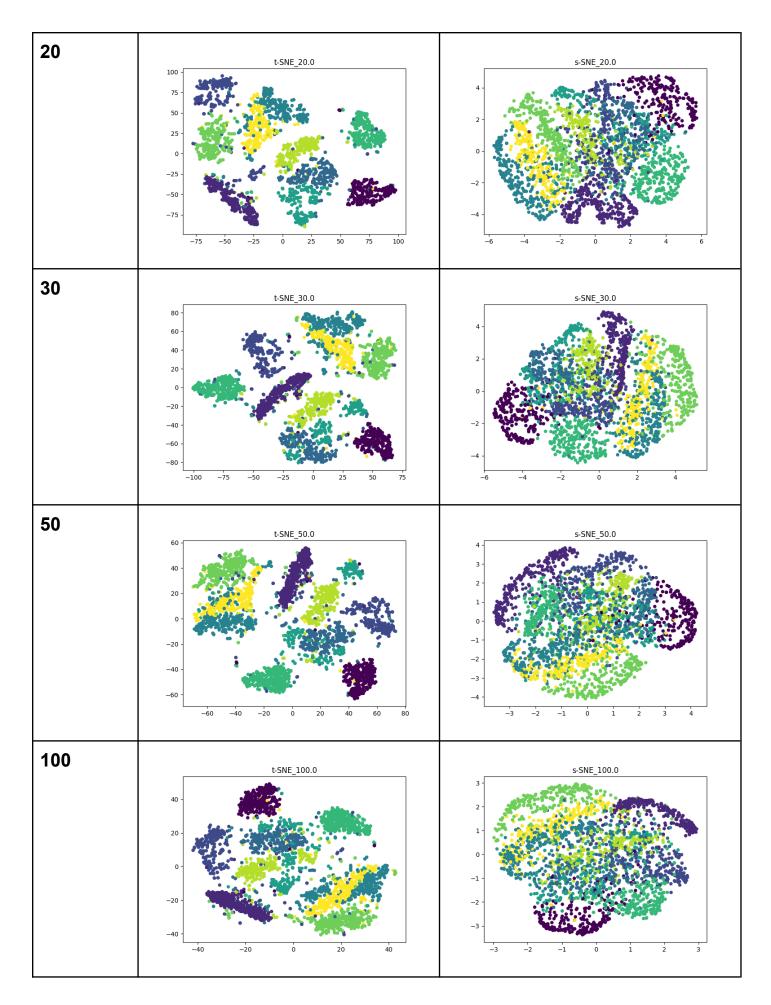


discussion:

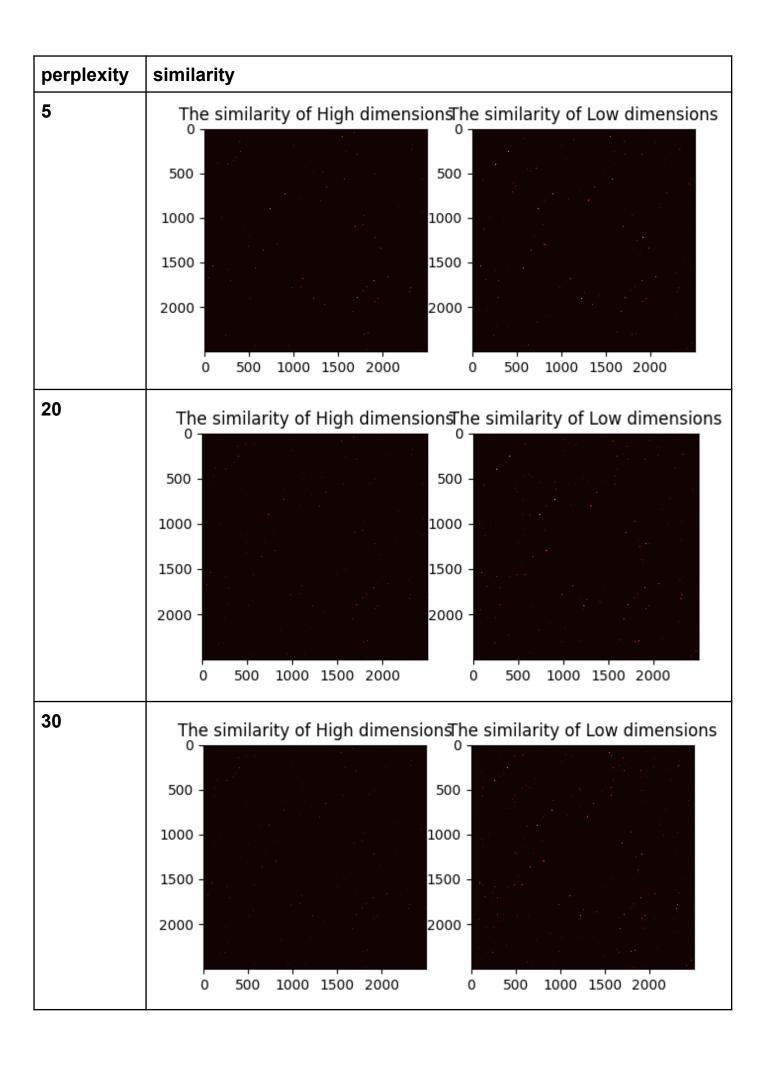
In my experiments, you can see that the original eigenfaces and reconstructed eigenfaces of PCA are more clearly than LDA. And the overall accuracy of PCA is better than LDA, too. The second observation of my experiments is that if the compress size is too large(i.e. 98x116), the eigenfaces of LDA are pure black and cannot see any features. I modify the compress size by setting config.py and the eigenfaces of LDA are more clear than before, but still cannot seem clear like PCA. Overall, the results of simple methods are better than the kernel methods.

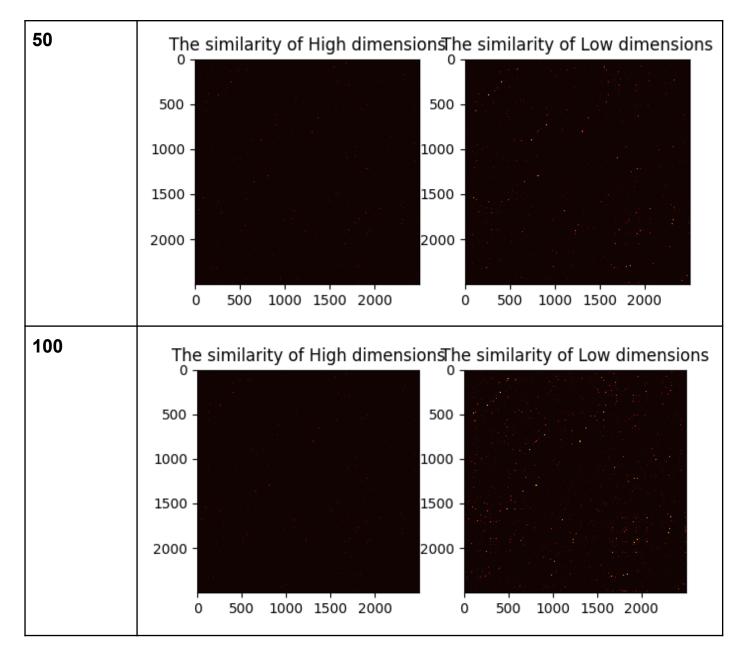
b. t-SNE t-SNE vs symmetric SNE





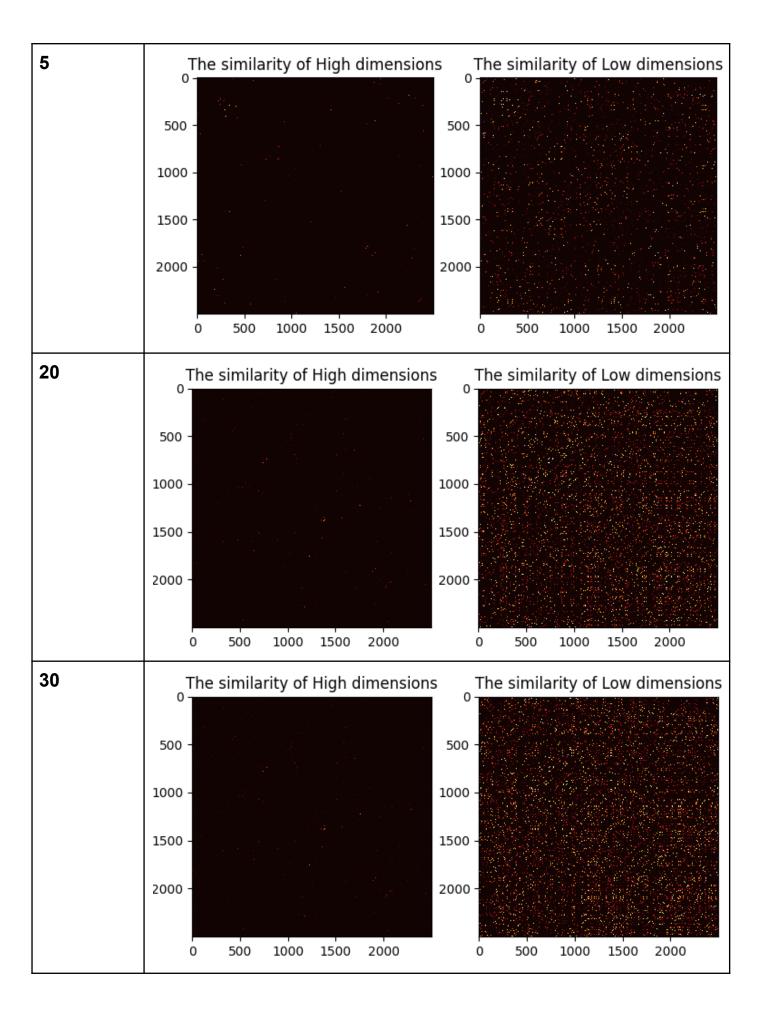
Similarity - t-SNE

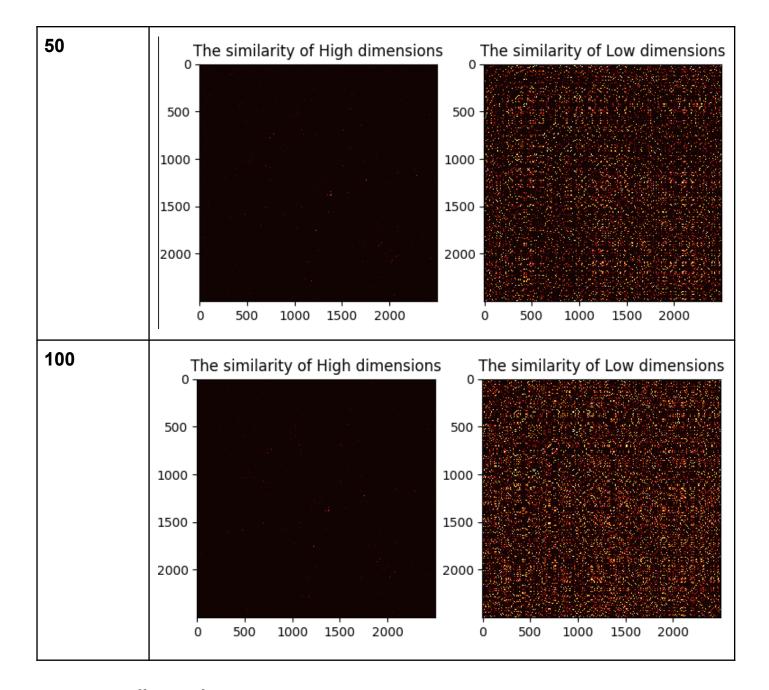




Similarity - symmetric SNE

perplexity





discussion

Part1. Compare the results of the t-SNE and symmetric-SNE shown above, we can easily observe that symmetric-SNE is more crowded than t-SNE.

Part4. No matter the perplexity is how much, the crowded problem in symmetric-SNE is not changed. In the t-SNE, change perplexity will influence the results graph. In my experience, setting perplexity to 30 will get best results.

C. Observation

- a. Although the eigenfaces of LDA are not clear, the accuracy of LDA is better than the accuracy of PCA(only in simple cases.)
- b. In theory, the classification of supervised methods should be better than unsupervised methods. But in my

- experience, the results of kernel LDA are worse than kernel PCA.
- c. Eigenfaces preserve the principal feature of training images. Thus, we can use eigenfaces to classify testing images.
- d. The reconstructed faces of PCA look like the original faces.
- e. The performance between simple method and kernel method are not big differences. And the kernel function between linear and RBF are not big differences, too.
- f. The larger compress size we set, the more compute time we need. (e.g. 98x116 >>> 24x29)
- g. In PCA, the larger compress size we set, the more clearly eigenfaces we get.
- h. In LDA,if the compress size is too large(98x116), the eigenfaces are all black and cannot see any outline. Conversely, with the compress size set to 24x29, we can see a little bit of the outline of the eigenfaces.
- i. According to my experience, the symmetric SNE suffers from the crowded problem. It almost cannot distinguish the different classes without color. Compared to symmetric SNE, t-SNE uses t-distribution to reduce the crowded problem.
- j. Looking at the similarity graph of symmetric SNE, the high dimension graph is sparse and the low dimension graph is crowded. As the perplexity increases, the crowded situation of low dimension similarity graphs is intensified.
- k. Looking at the similarity graph of t-SNE, the low dimension graph is more sparse than symmetric SNE.
- I. The convergence speed of symmetric SNE is faster than t-SNE in the gif results.
- m. The perplexity will influence the number of neighbors to be considered. The larger the perplexity we set, the less sensitive it is to classify the small group. i.e Small groups are easy to ignore. This situation can be observed clearly in t-SNE. Since the symmetric SNE suffers from a crowded problem, the effect of perplexity is not clear.