

# Database Assignment: Simple In-Database Text Analytics: Instructions

When you're ready to submit your solution, go to the [assignments list](#).

In the virtual machine, please open a Terminal to complete this assignment. The relevant commands for this assignment are:

- `cd [directory name]` : change directory to the given directory name
- `ls` : list files in the current directory
- `sqlite3 reuters.db < [SQL filename]`: query reuters.db with the given SQL file. Do not add "[" and "]" when executing the command. (reuters.db and the SQL file must be in your current directory)
- tab will attempt to autocomplete any command or filename you are currently typing
- Extra Note: For Problem 2, you will use matrix.db instead of reuters.db

Before you start the assignment, please go to the git repository and get the most recent course materials by issuing the following command: **git pull**

For most of these problems, you will use the [reuters.db](#) database consisting of a single table:

`frequency(docid, term, count)`

Here, *docid* is an identifier corresponding to a particular file, *term* is an English word, and *count* is the number of the times the term appears within the document indicated by docid.

Many questions ask you to turn in the number of records by a query. The sqlite3 client does not report this information directly, so perhaps the easiest way to get the answer is to write

```
SELECT count(*) FROM ( ) x;
```

(In SQLite, the alias "x" is not required, but in other dialects of SQL, it is. So I've included it here.)

## Problem 1: Inspecting the Reuters Dataset; Relational Algebra

**(a) select:** Write a query that is equivalent to the following relational algebra expression.

$\sigma_{\text{docid}=10398\_txt\_earn}(\text{frequency})$

What to turn in: Run your query against your local database and determine the number of records returned as described above. In your browser, turn in a text file, `select.txt`, which states the number of records.

**(b) select project:** Write a SQL statement that is equivalent to the following relational algebra expression.

$$\pi_{\text{term}}(\sigma_{\text{docid}=10398\_txt\_earn \text{ and } count=1}(\text{frequency}))$$

What to turn in: Run your query against your local database and determine the number of records returned as described above. In your browser, turn in a text file, `select_project.txt`, which states the number of records.

**(c) union:** Write a SQL statement that is equivalent to the following relational algebra expression. (Hint: you can use the UNION keyword in SQL)

$$\pi_{\text{term}}(\sigma_{\text{docid}=10398\_txt\_earn \text{ and } count=1}(\text{frequency})) \cup \pi_{\text{term}}(\sigma_{\text{docid}=925\_txt\_trade \text{ and } count=1}(\text{frequency}))$$

What to turn in: Run your query against your local database and determine the number of records returned as described above. In your browser, turn in a text file, `union.txt`, which states the number of records.

**(d) count:** Write a SQL statement to count the number of documents containing the word “parliament”

What to turn in: Run your query against your local database and determine the count returned as described above. In your browser, turn in a text file, `count.txt`, which states the count.

**(e) big documents** Write a SQL statement to find all documents that have more than 300 total terms, including duplicate terms. (Hint: You can use the HAVING clause, or you can use a nested query. Another hint: Remember that the count column contains the term frequencies, and you want to consider duplicates.) (docid, term\_count)

What to turn in: Run your query against your local database and determine the number of records returned as described above. In your browser, turn in a text file, `big_documents.txt`, which states the number of records.

**(f) two words:** Write a SQL statement to count the number of unique documents that contain both the word 'transactions' and the word 'world'.

What to turn in: Run your query against your local database and determine the number of records returned as described above. In your browser, turn in a text file, `two_words.txt`, which states the number of records.

## Problem 2: Matrix Multiplication in SQL

Recall from lecture that a *sparse* matrix has many positions with a value of zero.

Systems designed to efficiently support sparse matrices look a lot like databases: They represent each cell as a record (i,j,value).

The benefit is that you only need one record for every non-zero element of a matrix.

For example, the matrix

0	2	-1
1	0	0
0	0	-3
0	0	0

can be represented as a table

row #	column #	value
0	1	2
0	2	-1
1	0	1
2	2	-3

Take a minute to make sure you understand how to convert back and forth between these two representations.

Now, since you can represent a sparse matrix as a table, it's reasonable to consider whether you can express matrix multiplication as a SQL query and whether it makes sense to do so.

Within `matrix.db`, there are two matrices A and B represented as follows:

`A(row_num, col_num, value)`

`B(row_num, col_num, value)`

The matrix A and matrix B are both square matrices with 5 rows and 5 columns.

**(g) multiply:** Express  $A \times B$  as a SQL query, referring to the class lecture for hints.

**What to turn in:** On the assignment site, turn in a text document, `multiply.txt`, which is value of the cell (2,3)

If you're wondering why this might be a good idea, consider that advanced databases execute queries in parallel automatically. So it can be quite efficient to process a very large sparse matrix in a database (millions of rows and columns)!

But a word of warning: In a job interview, don't tell them you recommend implementing linear algebra in a database. You won't be wrong, but they won't understand databases as well as you now do, and therefore won't understand when this is a good idea. Just say you have done some experiments using databases for analytics, then mention the papers in the reading if

they seem incredulous!

## Problem 3: Working with a Term-Document Matrix

The reuters dataset can be considered a *term-document matrix*, which is an important representation for text analytics.

Each row of the matrix is a *document vector*, with one column for every term in the entire corpus. Naturally, some documents may not contain a given term, so this matrix is sparse. The value in each cell of the matrix is the term frequency. (You'd often want this value to be a weighted term frequency, typically using tf-idf -- term frequency-inverse document frequency. But we'll stick with the raw frequency.)

What can you do with the term-document matrix  $D$ ? One thing you can do is compute the similarity of documents. Just multiply the matrix with its own transpose  $S = DD^T$ , and you have an (unnormalized) measure of similarity.

The result is a square document-document matrix, where each cell represents the similarity. Here, similarity is pretty simple: if two documents both contain a term, then the score goes up by the product of the two term frequencies. This score is equivalent to the dot product of the two document vectors.

To normalize this score to the range 0-1 and to account for relative term frequencies, the *cosine similarity* is perhaps more useful. The cosine similarity is a measure of the angle between the two document vectors, normalized by magnitude. You just divide the dot product by the magnitude of the two vectors. However, we would need a power function ( $x^2$ ,  $x^{(1/2)}$ ) to compute the magnitude, and sqlite has [built-in support for only very basic mathematical functions. It is not hard to extend sqlite to add what you need](#), but we won't be doing that in this assignment.

**(h) similarity matrix:** Write a query to compute the similarity matrix  $DD^T$ . (Hint: The transpose is trivial -- just join on columns to columns instead of columns to rows.) The query could take some time to run if you compute the entire result. But notice that you don't need to compute the similarity of both (doc1, doc2) and (doc2, doc1) -- they are the same, since similarity is symmetric. If you wish, you can avoid this wasted work by adding a condition of the form  $a.docid < b.docid$  to your query. (But the query still won't return immediately if you try to compute every result.)

**What to turn in:** On the assignment website, turn in a text document, `similarity_matrix.txt`, which has the similarity of the two documents '10080\_txt\_crude' and '17035\_txt\_earn'.

You can also use this similarity metric to implement some primitive search capabilities. Consider a keyword query: It's a bag of words, just like a document (typically a keyword query will have far fewer terms than a document, but that's ok).

So if we can compute the similarity of two documents, we can compute the similarity of a query with a document. You can imagine taking the union of the keywords represented as a small set of (docid,

term, count) tuples with the set of all documents in the corpus, then recomputing the similarity matrix and returning the top 10 highest scoring documents.

**(i) keyword search:** Find the best matching document to the keyword query "washington taxes treasury". You can add this set of keywords to the document corpus with a union of scalar queries:

```
SELECT * FROM frequency
UNION
SELECT 'q' as docid, 'washington' as term, 1 as count
UNION
SELECT 'q' as docid, 'taxes' as term, 1 as count
UNION
SELECT 'q' as docid, 'treasury' as term, 1 as count
```

Then, compute the similarity matrix again, but filter for only similarities involving the "query document": docid = 'q'. Consider creating a view of this new corpus to simplify things.

What to turn in: On the assignment website, turn in a text document, keyword\_search.txt, with the maximum similarity score between the query and any document. Your query should return a list of (docid, similarity) pairs. You will submit the highest score in the list.

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