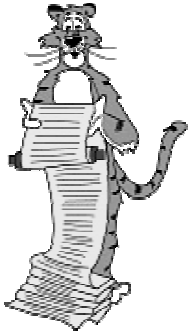


Lecture T4: Computability



A Puzzle ("Post's Correspondence Problem")

Given a set of cards:

- N card types (can use as many of each type as possible).
- Each card has a top string and bottom string.

Example 1:

BAB	A	AB	BA
A	ABA	B	B
0	1	2	3

N = 4

Puzzle:

- Is it possible to arrange cards so that top and bottom strings are the same?

2

A Puzzle ("Post's Correspondence Problem")

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- Each card has a top string and bottom string.

Example 1:

BAB	A	AB	BA
A	ABA	B	B
0	1	2	3

N = 4

Puzzle:

- Is it possible to arrange cards so that top and bottom strings are the same?

Solution 1.

A	BA	BAB	AB	A
ABA	B	A	B	ABA
1	3	0	2	1

3

A Puzzle ("Post's Correspondence Problem")

Given a set of cards:

- N card types (can use as many of each type as possible).
- Each card has a top string and bottom string.

Example 2:

A	ABA	B	A
BAB	B	A	B
0	1	2	3

N = 4

Puzzle:

- Is it possible to arrange cards so that top and bottom strings are the same?

4

A Puzzle ("Post's Correspondence Problem")

Given a set of cards:

- N card types (can use as many of each type as possible).
- Each card has a top string and bottom string.

Example 2:

A	ABA	B	A
BAB	B	A	B
0	1	2	3

$N = 4$

Puzzle:

- Is it possible to arrange cards so that top and bottom strings are the same?

Solution 2.



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PCP Puzzle Contest

S[X	X	11A	1	[A]	[B1	B]	[1A]E
S[11111X][1X	A	A1	1	[B]	[1B	A]	E
0	1	2	3	4	5	6	7	8	9	10

Contest:

- Additional restriction: string must start with 'S'.
- Be the first to solve this puzzle!
 - extra credit for first correct solution
- Check solution by putting STRING ONLY (blanks and line breaks OK) in a file `solution.txt`, then type
`pcp126 < solution.txt`

Hopeless challenge for the bored:

- Write a program that reads a set of Post cards, and determines whether or not there is a solution.

6

Overview

Formal language.

- Rigorously express computational problems.
- Ex: $L = \{ 2, 3, 5, 7, 11, 13, 17, \dots \}$

Abstract machines recognize languages.

- Ex. Is 977 prime? Is 977 in L?
- Essence of computers.

This lecture:

- What is an "algorithm"?
- Is it possible, in principle, to write a program to solve any problem (recognize any language)?

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Background

Abstract models of computation help us learn:

- Nature of machines needed to solve problems.
- Relationship between problems and machines.
- Intrinsic difficulty of problems.

As we make machines more powerful, we can recognize more languages.

- Are there languages that no machine can recognize?



- Are there limits on the power of machines that we can imagine?

Pioneering work in the 1930's. (Princeton = center of universe)

- Turing, Church, von Neumann, Gödel. (inspiration from Hilbert)
- Automata, languages, computability, complexity, logic, rigorous definition of "algorithm."

8

Undecidable Problems

Hilbert's 10th Problem.

- “Devise a process according to which it can be determined by a finite number of operations whether a given multivariate polynomial has an integral root.”

- Example 1: $f(x, y, z) = 6x^3yz^2 + 3xy^2 - x^3 - 10$

- Example 2: $f(x, y) = x^2 + y^2 - 3$

- Example 3: $f(x, y, z) = x^n + y^n - z^n$



Andrew Wiles, 1995

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Undecidable Problems

Hilbert's 10th Problem.

- “Devise a process according to which it can be determined by a finite number of operations whether a given multivariate polynomial has an integral root.”

- Problem resolved in very surprising way. (Matijasevič, 1970)

- How can we assert such a mind-boggling statement?



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Undecidable Problems

Hilbert's 10th Problem.

Post's Correspondence Problem.

Halting Problem.

- Write a C program that reads in another program and its inputs, and decides whether or not it goes into an infinite loop.
 - infinite loop often signifies a bug

- Program 1.

- 8 6 4 2 4 2 4 2 4 2 4 2 4 2 4
- 9 7 5 3 1

```

odd.c

...

while (x > 1) {
    if (x > 2)
        x = x - 2;
    else
        x = x + 2;
}
    
```

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Undecidable Problems

Hilbert's 10th Problem.

Post's Correspondence Problem.

Halting Problem.

- Write a C program that reads in another program and its inputs, and decides whether or not it goes into an infinite loop.
 - infinite loop often signifies a bug

- Program 2.

- 8 4 2 1
- 7 22 11 34 17 52 26 13 40 20 10 5 16 8 4 2 1

```

hailstone.c

...

while (x > 1) {
    if (x % 2 == 0)
        x = x / 2;
    else
        x = 3*x + 1;
}
    
```

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Undecidable Problems

Hilbert's 10th Problem.
Post's Correspondence Problem.
Halting Problem.
Program Equivalence.
Optimal Data Compression.
Virus Identification.

Impossible to write C program to solve any of these problem!

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TM : As Powerful As TOY Machine

Turing machines are strictly more **powerful** than FSA, PDA, LBA because of infinite tape memory.

- Power = ability to recognize languages.

Turing machines are at least as powerful as a TOY machine:

- Encode state of memory, PC, etc. onto Turing tape.
- Develop TM states for each instruction.
- Can do because all instructions:
 - examine **current state**
 - make well-define changes depending on **current state**

Works for all real machines.

- Can simulate at machine level, gate level, . . .

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TM : Equal Power as TOY and C

Turing machines are equivalent in power to C programs.

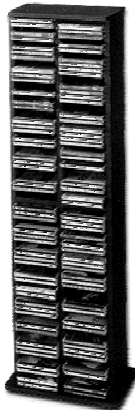
- C program \Rightarrow TOY program (Lecture A2)
- TOY program \Rightarrow TM (previous slide)
- TM \Rightarrow C program (TM simulator, Lecture T2)

Works for all real programming languages.



Is this assumption reasonable?

Assumption: TOY machine and C program have unbounded amount of memory. Otherwise TM is strictly more powerful.



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Church-Turing Thesis

Church-Turing thesis (1936):

- Q. Which problems can a Turing machine solve?
A. Any problem that any real computer can solve.

"Thesis" and not a mathematical theorem.



Implications:

- Provides rigorous definition for **algorithm**.
- Universality among computational models.
 - if a problem can be solved by TM, then it can be solved on **EVERY** general-purpose computer.
 - if a problem can't be solved by TM, then it can't be solve on **ANY** physical computer

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Evidence Supporting Church-Turing Thesis

Imagine TM with more power.

- Composition of TM's, multiple heads, more tapes, 2D tapes.
- Nondeterminism.

Different ways to define "computable."

- TM, circuits, grammar, λ -calculus, μ -recursive functions.
- [Conway's game of life.](#)

Conventional computers.

- ENIAC, TOY, Pentium III, . . .

New speculative models of computation.

- DNA computers, quantum computers, soliton computers.

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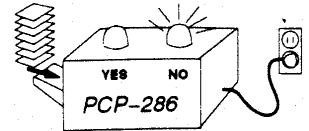
A More Powerful Computer

Post machine (PCP-286).

- Input: set of Post cards.
- Output.
 - YES light if PCP is solvable for these cards
 - NO light if PCP has no solution

PCP is strictly more powerful than:

- Turing machine.
- TOY machine.
- C programming language.
- iMac.
- Any conceivable super-computer.



Why doesn't it violate Church-Turing thesis?

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TM: A General Purpose Machine

Each TM solves one particular problem.

- Ex: is the integer x prime?
- Analogy: computer algorithm.
- Similar to ancient special-purpose computers (Analytic Engine) prior to von Neumann stored-program computers.

Goal: "general purpose machine" that can solve many problems.

- Simulate the operations of any special-purpose TM.
- Analogy: computer than can execute any algorithm.
- How?



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Representation of a Turing Machine

Special-purpose TM consists of 3 ingredients.

- TM program.
- Initial tape contents.
- Current TM state.

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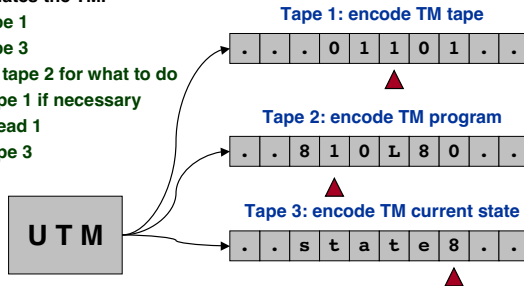
Universal Turing Machine

Universal Turing Machine (UTM),

- A specific TM that simulates operations of any TM.

How to create.

- Encode 3 ingredients of TM using 3 tapes.
- UTM simulates the TM.
 - read tape 1
 - read tape 3
 - consult tape 2 for what to do
 - write tape 1 if necessary
 - move head 1
 - write tape 3



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Universal Turing Machine

Universal Turing Machine (UTM),

- A specific TM that simulates operations of any TM.

How to create.

- Encode 3 ingredients of TM using 3 tapes.
- UTM simulates the TM.
- Like the fetch-increment-execute cycle of TOY.
 -
 -
 -
- Can reduce 3-tape TM to single tape one.

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Implications of Universal Turing Machine

Existence of UTM has profound implications.

- "Invention" of general-purpose computer.
 - stimulated development of stored-program computers (von Neumann machines)
- "Invention" of software.
- Universal framework for studying limitations of computing devices.
- Can simulate any machine (including itself)!

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Halting Problem

Halting problem.

- Devise a TM that reads in another TM (encoded in binary) and its initial tape, and determines whether or not that TM would ever reach a yes or no state.
- Write a C program that reads in another program and its inputs, and determines whether or not it goes into an infinite loop.

Halting problem is unsolvable.

- No TM can solve this problem.
- Not possible to write a C program either.

We prove that the halting problem is not solvable.

- Intuition of proof: self-reference.

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Warmup: Grelling's Paradox

Grelling's paradox:

- Divide all adjectives into two categories:
 - autological: self-descriptive
 - heterological: not self-descriptive

autological adjectives
pentasyllabic
awkwardnessful
recherché
...

heterological adjectives
bisyllabic
palindromic
edible
...

- How do we categorize heterological?

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palindromic
edible

- How do we categorize heterological?
 - suppose it's autological



33

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34

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heterological adjectives
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palindromic
edible
heterological

- How do we categorize heterological?
 - not possible
 - we can't have words with these meanings!
(or we can't partition adjectives into these two groups)

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Halting Problem Proof

Assume the existence of $\text{Halt}(f, x)$ that takes as input: **any function f** and its input x , and outputs **yes** if $f(x)$ halts, and **no** otherwise.

- Proof by contradiction.
- Note: $\text{Halt}(f, x)$ always returns **yes** or **no**.
(infinite loop not possible)

Halt(f, x)	
<pre>#define YES 1 #define NO 0 int Halt(char f[], char x[]) { if (???) return YES; else return NO; }</pre>	<p>function f and its input x encoded as strings</p>

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Halting Problem Proof

Assume the existence of $\text{Halt}(f, x)$ that takes as input: **any function f** and its input x , and outputs **yes** if $f(x)$ halts, and **no** otherwise.

- Construct program $\text{Strange}(f)$ as follows:
 - calls $\text{Halt}(f, f)$
 - halts if $\text{Halt}(f, f)$ outputs **no**
 - goes into infinite loop if $\text{Halt}(f, f)$ outputs **yes**
- In other words:
 - if $f(f)$ does not halt then $\text{Strange}(f)$ halts
 - if $f(f)$ halts then $\text{Strange}(f)$ does not halt

Strange(f)
<pre>void Strange(char f[]) { if (Halt(f, f) == NO) return; else while(1) ; // infinite loop }</pre>

37

Halting Problem Proof

Assume the existence of $\text{Halt}(f, x)$ that takes as input: **any function f** and its input x , and outputs **yes** if $f(x)$ halts, and **no** otherwise.

- Construct program $\text{Strange}(f)$ as follows:
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 - goes into infinite loop if $\text{Halt}(f, f)$ outputs **yes**
- In other words:
 - if $f(f)$ does not halt then $\text{Strange}(f)$ halts
 - if $f(f)$ halts then $\text{Strange}(f)$ does not halt
- Call Strange with **ITSELF** as input.
 - if $\text{Strange}(\text{Strange})$ does not halt then $\text{Strange}(\text{Strange})$ halts
 - if $\text{Strange}(\text{Strange})$ halts then $\text{Strange}(\text{Strange})$ does not halt
- Either way, a contradiction. Hence $\text{Halt}(f, x)$ cannot exist.



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Consequences

Halting problem is "not artificial."

- Undecidable problem reduced to simplest form to simplify proof.
- Closely related to practical problems.
 - Hilbert's 10th problem, Post's correspondence problem, program equivalence, optimal data compression

How to show new problem X is undecidable?

- Use fact that Halting problem is undecidable.
- Design algorithm to solve Halting problem, using (alleged) algorithm for X as a subroutine.
- See Reduction in Lecture T6.

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Implications

Practical:

- Work with limitations.
- Recognize and avoid unsolvable problems.
- Learn from structure.
 - same theory tells us about efficiency of algorithms (see T5)

Philosophical (caveat: ask a philosopher):

- We "assume" that any step-by-step reasoning will solve any technical or scientific problem.
- "Not quite" says the halting problem.
- Anything that is like (could be) a computer has the same flaw:



Summary

What is an algorithm?

- Informally, step-by-step procedure for solving a problem.
- Formally, Turing machine.

Turing's key ideas:

- Computing is same as manipulating symbols.
 - can encode numbers as strings
- Existence of general-purpose computer (UTM).
 - programmable machine

What is a general-purpose computer (UTM)?

- Can be "programmed" to implement any algorithm.
- iMac, Dell, Sun UltraSparc, TOY (assuming unlimited memory).

Is it possible, in principle, to write a program to solve any problem?

- No.