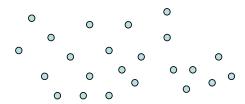
Approximation Algorithms 2009
Petros Potikas

**Definition**: Let G=(V,E) be a complete undirected graph with edge costs satisfying the triangle inequality and k be an integer,  $0 < k \le |V|$ . For any  $S \subseteq V$  and vertex  $v \in V$ , define connect(v,S) to be the cost of the cheapest edge from v to a vertex in S.

**Goal**: Find a set  $S \subseteq V$ , with |S| = k, so as to minimize  $\max_{v} \{ \text{connect}(v, S) \}$ .

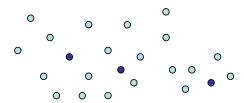
**Applications**: Place *k* fire stations or warehouses.



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#### **Results**

- o NP-hard problem.
- o Approximation algorithm with ratio 2.
- o Technique: parametric pruning.
- o Generalization to a weighted variant.

**Theorem 1:** It is NP-hard to approximate the general k-center within factor a(n), for any computable function a(n).

### **Proof**:

Reduction from dominating set...

### Technique: parametric pruning

Idea: prune irrelevant parts of the input

- Suppose OPT = t
- We want a 2-approximation algorithm
- Any edges of cost more than  $2 \cdot t$  are useless: if two vertices are connected by such an edge and one of them gets is picked, then the other vertex is too far away
- We can remove expensive edges

We don't know OPT, but we guess.

### Technique: parametric pruning

- $\triangleright$  Order the edges by cost:  $cost(e_1) \le cost(e_2) \le ... \le cost(e_m)$
- ► Let  $G_i = (V, E_i)$ , where  $E_i = \{e_1, ..., e_i\}$
- The k-center problem is equivalent to finding the minimal index *i* such that

 $G_i$  has a dominating set of size  $\leq k$ 

- $\triangleright$  Let  $i^*$  be this minimal i
- $\triangleright$  Then OPT=cost( $e_{i*}$ )

**Dominating Set**: Let H=(U,F) be an undirected graph. A subset  $S \subseteq U$  is a dominating set if every vertex in U-S is adjacent to a vertex in S.

*Goal*: Find the minimum dominating set in *H*.

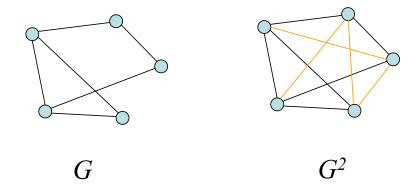
Dominating Set is NP-hard.

**Independent Set**: Let H=(U,F) be an undirected graph. A subset  $S \subseteq U$  is an independent set if there is no edge in H having both ends in S.

Maximum Independent Set is NP-hard.

### Powers of graphs

Let G=(V,E) be a graph. The square of G is the graph  $G^2=(V,E')$ , where  $(u,v) \in E'$  if there is a path of length at most 2 between u and v in G (and  $u \neq v$ ).



Generalization:  $G^t$ 

**Lemma 1**: Given a graph G, let I be an independent set in  $G^2$ . Then,  $|I| \le dom(G)$ .

#### **Proof**:

Let D be a minimum dominating set in G(|D| = dom(G)).

Then G contains |D| stars spanning all vertices of G ( the vertices of D are the centers of the stars).

A star in G becomes a clique in  $G^2$ .

So  $G^2$  contains |D| cliques spanning all vertices.

Independent set *I* can pick at most one vertex from each clique.

#### **Algorithm 1 (Metric k-center)**

We use that maximal independent sets can be found in polynomial time.

- 1. Construct  $G_1^2, G_2^2, ..., G_m^2$ .
- 2. Compute a maximal independent set,  $M_i$ , in each graph  $G_i^2$ .
- 3. Find the smallest index i, such that  $|M_i| \le k$ , say j.
- 4. Return  $M_i$ .

**Lemma 2**: For j as defined in the above algorithm,  $cost(e_j) \le OPT$ .

#### **Proof**:

- For i < j, we have that  $|M_i| > k$ .
- By Lemma 1,  $dom(G_i) > k$ .
- So,  $i^* > i$ .

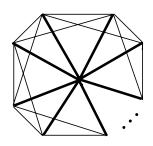
Thus,  $j \le i^*$ .

**Theorem 2:** Algorithm metric k-center achieves an approximation factor 2.

#### **Proof:**

- Any maximal independent set I in  $G_j^2$  is also a dominating set (for, if some vertex u is not dominated by I, then  $I \cup \{u\}$  is an independent set, contradicting I's maximality).
- In  $G_i^2$  we have  $|M_i|$  stars centered on the vertices in  $M_i$ .
- These stars cover all the vertices.
- Each edge used in constructing these stars has cost at most  $2 \cdot \text{cost}(e_i) \le 2 \cdot \text{OPT}$  (by Lemma 2).

### Tight example:



*n*+1 vertices

thick edges have cost 1, all edges incident to the center thin edges have cost 2, the rest of the edges (not all edges of cost 2 are shown)

For k = 1, OPT = 1, the center of the wheel The algorithm will compute j=n,  $G_n^2$  is a clique, and if a peripheral vertex is chosen, then cost is 2.

**Theorem 3:** If  $P \neq NP$ , no approximation algorithm gives a  $(2-\varepsilon)$ -approximation for  $\varepsilon > 0$ .

#### **Proof:**

Reduction from dominating set to the metric k-center problem.

Let G = (V,E), k be an instance of the dominating set problem.

We define the complete graph G' = (V,E'), where

$$cost(u,v)=1$$
, if  $(u,v) \in E$   
 $cost(u,v)=2$ , if  $(u,v) \notin E$ 

G' satisfies the triangle inequality.

**Theorem 3:** If  $P \neq NP$ , no approximation algorithm gives a  $(2-\epsilon)$ -approximation for  $\epsilon > 0$ .

### **Proof(cont'd):**

Suppose G has a dominating set of size at most k.

Then G' has a k-center of cost 1

 $\rightarrow$ a (2- $\varepsilon$ )-approximation algorithm delivers one with cost < 2.

If there is no such dominating set in G, every k-center has  $\cos t \ge 2 > 2 - \varepsilon$ .

Thus, a  $(2-\varepsilon)$ -approximation algorithm for the k-center problem can be used to determine whether or not there is a dominating set of size k.

**Definition:** Let G=(V,E) be a complete undirected graph with edge costs satisfying the triangle inequality, with weights on vertices and a bound  $W \in R^+$ . For any  $S \subseteq V$  and vertex  $v \in V$ , define connect(v,S) to be the cost of the cheapest edge from v to a vertex in S.

**Goal:** Find a set  $S \subseteq V$ , with total weight at most W, so as to minimize  $\max_{v} \{ \text{connect}(v,S) \}$ .

**Applications:** Place fire stations or warehouses, given a budget.

- $\triangleright$  We use the same graphs  $G_1, G_2, ..., G_m$
- Let wdom(G) be the weight of a minimum weight dominating set in G
- Find the minimal index i such that  $wdom(G_i) \leq W$
- $\triangleright$  Let  $i^*$  be this minimal i
- $\rightarrow$  Then OPT=cost( $e_{i*}$ )

- Let I be an independent set in  $G^2$
- For any vertex u, let s(u) denote its lightest neighbor of u
- We also consider *u* to be a neighbor of itself
- Let  $S = \{s(u) \mid u \in I\}$
- We claim  $w(S) \leq wdom(G)$

**Lemma 3:**  $w(S) \leq wdom(G)$ 

#### **Proof:**

Let D be a minimum weight dominating set in G(w(D)=wdom(G)).

Then G contains |D| stars spanning all vertices of G (the vertices of D are the centers of the stars).

A star in G becomes a clique in  $G^2$ .

So  $G^2$  contains |D| cliques spanning all vertices.

Independent set *I* can pick at most one vertex from each clique.

Each vertex in I has the center of the corresponding star available as a neighbor in G (this might not be the lightest neighbor).

Thus,  $w(S) \leq wdom(G)$ .

### **Algorithm 2 (Weighted k-center)**

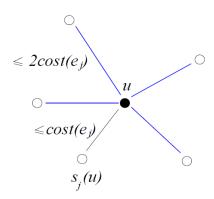
Let  $s_i(u)$  denote the lightest neighbor of u in  $G_i$ .

- 1. Construct  $G_1^2, G_2^2, ..., G_m^2$
- 2. Compute a maximal independent set,  $M_i$ , in each graph  $G_i^2$
- 3. Compute  $S_i = \{s_i(u) \mid u \in M_i\}$
- 4. Find the smallest index i, such that  $w(S_i) \leq W$ , say j
- 5. Return  $S_i$

**Theorem 2:** This algorithm achieves a 3-approximation.

#### **Proof:**

OPT  $\geq \cot(e_j)$  (as Lemma 2)  $M_j$  is a dominating set in  $G_j^2$ We can cover V with stars of  $G_j^2$  centered in vertices of  $M_j$ These stars use edges of cost at most  $2 \cdot \cot(e_j)$ 



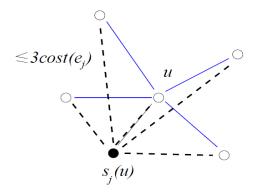
**Theorem 2:** This algorithm achieves a 3-approximation.

#### Proof (cont'd):

Each star center is adjacent to a vertex in  $S_j$ , using an edge of cost at most  $cost(e_i)$ 

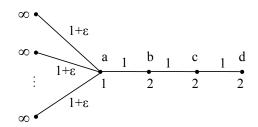
Move each center of these stars to the adjacent vertex in  $S_j$  and redefine the star

Every vertex in  $G_j$ , can be reached by a cost at most  $3 \cdot \text{cost}(e_j)$ 



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### Tight example:



n+4 vertices, W=3

All edges not shown have cost equal to the cost of the shortest path in the graph shown.

$$OPT = 1 + \varepsilon (\{a,c\})$$

For any i < n+3, set  $G_i$  is missing at least one edge of cost  $1+\varepsilon$ .

One vertex will be isolated (also in  $G_i^2$ ) so it will be in  $S_i$ .

For i=n+3,  $\{b\}$  is a maximal independent set. If the algorithm chooses  $\{b\}$ , then the center of the star will be  $S_{n+3}=\{a\}$ , with cost=3.

### Related problem

Metric k-cluster: Let G=(V,E) be a complete undirected graph with edge costs satisfying the triangle inequality and k be an integer,  $0 < k \le |V|$ .

Goal: Partition V into sets  $V_1, V_2, ..., V_k$ , so as to minimize the costliest edge between two vertices in the same set, i.e. minimize  $\max_{1 \le i \le k} \sum_{u,v \in V_i} cost(u,v)$